

## **APPENDIX 2-E: PROJECT IMPACT AVOIDANCE AND MINIMIZATION FEATURES**

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Since publication of the Draft Environmental Impact Report (EIR)/Environmental Impact Statement (EIS), the following substantive changes have been made to this appendix:

- Where appropriate, the verb “would,” when used specifically to describe impact avoidance and minimization features (IAMF) or mitigation measures, as well as their directly related activities, was changed to “will,” indicating their integration into project design.
- The IAMF to reduce emissions from on-road construction vehicles (AQ-IAMF#5) was clarified.
- The title of AQ-IAMF#4 was corrected.
- BIO-IAMF#12 was revised to include use of facility designs and architecture that minimizes the potential for bird collisions with buildings, to the extent feasible and consistent with local design requirements, and use of bird-friendly lighting on all stationary light sources (i.e., lighting with shorter wavelengths toward the blue and green spectrum), provided it is consistent with safety and security requirements. The Grasslands Ecological Area was added as a location where additional bird operational actions will be required.

### Impact Avoidance and Minimization Features Definitions

The California High-Speed Rail Authority (Authority) and the Federal Railroad Administration (FRA) have pledged to integrate programmatic IAMFs consistent with the (1) *2005 Statewide Program Environmental Impact Report/ Environmental Impact Statement (EIR/EIS)*, (2) *2008 Bay Area to Central Valley Program EIR/EIS*, and (3) *2012 Partially Revised Final Program EIR* into the high-speed rail (HSR) project. The Authority and FRA will implement these features during project design and construction, as relevant to the San Jose to Merced Project Section (Project Section), to avoid or reduce impacts.

IAMFs are incorporated into the Project Section design and construction to avoid or minimize environmental or community impacts. The description of each measure details the means and effectiveness of the measure in avoiding or minimizing impacts, as well as the environmental benefits of implementing the measure. For example, an IAMF can require development and application of measures to reduce impacts on air quality and hydrology based on applicable design standards that will also reduce impacts on biological resources.

Each IAMF is described in the EIR/EIS. The factual basis for their efficacy, feasibility, and implementation is provided. The IAMFs are included in the Mitigation Monitoring and Enforcement Plan to enhance implementation tracking, identify the responsible party, and clarify implementation timing.

### Descriptions of Impact Avoidance and Minimization Features

#### AG-IAMF#1: Restoration of Important Farmland Used for Temporary Staging Areas

Prior to any ground disturbing activities at the site of a temporary construction staging area located on Important Farmland, the Contractor shall prepare a restoration plan addressing specific actions, sequence of implementation, parties responsible for implementation and successful achievement of restoration for temporary impacts. Actions shall include removing and stockpiling the top 18 inches of soil for replacement on-site during restoration activities. Before beginning construction use of sites on Important Farmland, the Contractor shall submit the restoration plan to the Authority for review and obtain Authority (and if applicable, the landowner) approval. The restoration plan shall include time-stamped photo documentation of the pre-construction conditions of all temporary staging areas.

All construction access, mobilization, material laydown, and staging areas on Important Farmlands will be returned to a condition equal to the pre-construction staging condition. This requirement is included in the design-build construction contract requirements.

### **AG-IAMF#2: Permit Assistance**

Prior to disturbance causing activities affecting any segment of a confined animal facility, the Authority will assign a representative to act as a single point of contact to assist each confined animal facility owner during the process of obtaining new or amended permits or other regulatory compliance necessary to the continued operation or relocation of the facility. The Authority will consider and may provide compensation when acquisition of a confined animal site will require either relocation of the facility or amendment of its existing regulatory permits. The Authority will create a permit assistance center for landowners and operators whose operations will be out of compliance with permits because of the HSR. This permit center will focus on helping the permit holders modify or obtain any new permits that are required because of the HSR impacts.

### **AG-IAMF#3: Farmland Consolidation Program**

The Authority will establish and administer a farmland consolidation program to sell remnant parcels to neighboring landowners for consolidation with adjacent farmland properties. In addition, the program will assist the owners of remnant parcels in selling those remnants to adjacent landowners, upon request. The goal of the program is to provide for continued agricultural use on the maximum feasible amount of remnant parcels that otherwise may not be economic to farm. The program will focus on severed remainder parcels, including those that were under Williamson Act or Farmland Security Act contract at the time of right-of-way acquisition and have become too small to remain in the local Williamson Act or Farmland Security Act program. The program will assist landowners in obtaining lot line adjustments where appropriate to incorporate remnant parcels into a larger parcel that is consistent with size requirements under the local government regulations.

The program will operate for a minimum of 5 years after construction of the section is completed. The Authority shall document implementation of this measure through issuance of a compliance memorandum- after the minimum operation period of 5 years has elapsed. The document shall be filed with Environmental Mitigation Management and Assessment system (EMMA).

### **AG-IAMF#4: Notification to Agricultural Property Owners**

Prior to the start of any construction activity adjacent to farmland, the Authority shall provide written notification to agricultural property owners or leaseholders immediately adjacent to the disturbance limits for the HSR project section. The notification is to indicate the intent to begin construction, including an estimated date for the start of construction. In order to provide agricultural property owners or leaseholders sufficient lead time to make any changes to their operations due to project section construction, this notification shall be provided at least 3 months, but no more than 12 months, prior to the start of construction activity.

### **AG-IAMF#5: Temporary Livestock and Equipment Crossings**

Prior to the start of any construction activity adjacent to any farmland, the Authority shall coordinate with agricultural property owners or leaseholders to provide temporary livestock and equipment crossings to minimize impacts to livestock movement, as well as routine operations and normal business activities, during project construction.

### **AG-IAMF#6: Equipment Crossings**

During final design, and in coordination with the property owners of land in use for agricultural operations, the Authority shall finalize the realignments of any affected access roads to provide equipment crossings to minimize impediments to routine agricultural operations and normal business activities that may result from long-term project operation.

## **Air Quality**

### **AQ-IAMF#1: Fugitive Dust Emissions**

During construction, the Contractor shall employ the following measures to minimize and control fugitive dust emissions. The Contractor shall prepare a fugitive dust control plan for each distinct

construction segment. At a minimum, the plan shall describe how each measure will be employed and identify an individual responsible for ensuring implementation. At a minimum, the plan shall address the following components unless alternative measures are approved by the applicable air quality management district.

- Cover all vehicle loads transported on public roads to limit visible dust emissions, and maintain at least 6 inches of freeboard space from the top of the container or truck bed.
- Clean all trucks and equipment before exiting the construction site using an appropriate cleaning station that does not allow runoff to leave the site or mud to be carried on tires off the site.
- Water exposed surfaces and unpaved roads at a minimum three times daily with adequate volume to result in wetting the top 1 inch of soil while avoiding overland flow. Rain events may sufficiently wet the top 1 inch of soil to alleviate the need to manually apply water.
- Limit vehicle travel speed on unpaved roads to 15 miles per hour (mph).
- Suspend any dust-generating activities when average wind speed exceeds 25 mph.
- Stabilize all disturbed areas, including storage piles that are not being used on a daily basis for construction purposes, by using water, a chemical stabilizer/suppressant, or hydro mulch or by covering with a tarp or other suitable cover or vegetative ground cover. In areas adjacent to organic farms, the Authority will use nonchemical means of dust suppression.
- Stabilize all on-site unpaved roads and off-site unpaved access roads using water or a chemical stabilizer/suppressant. In areas adjacent to organic farms, the Authority will use nonchemical means of dust suppression.
- Apply water to or presoak all areas where land clearing, grubbing, scraping, excavation, land leveling, grading, cut-and-fill, and demolition activities are carried out.
- For buildings up to six stories tall, wet all exterior surfaces of buildings during demolition.
- Limit or expeditiously remove the accumulation of mud or dirt from adjacent public streets at a minimum of once daily, using a vacuum type sweeper.
- After the addition of materials to or the removal of materials from the surface or outdoor storage piles, apply sufficient water or a chemical stabilizer/suppressant.

**AQ-IAMF#2: Selection of Coatings**

During construction, the contractor will use:

- Low-volatile organic compound (VOC) paint that contains less than 10 percent of VOC contents (VOC, 10%).
- Super-compliant or Clean Air paint that has a lower VOC content than that required by Bay Area Air Quality Management District Regulation 8, Rule 3, Monterey Bay Unified Air Pollution Control District Rule 426, and San Joaquin Valley Unified Air Pollution Control District Rule 4601, when available. If not available, the contractor will document the lack of availability, recommend alternative measure(s) to comply with Regulation 8, Rule 3, Rule 426, and Rule 4601 or disclose absence of measure(s) for full compliance, and obtain concurrence from the Authority.

**AQ-IAMF#3: Renewable Diesel**

During construction, the Contractor will use renewable diesel fuel to minimize and control exhaust emissions from all heavy-duty diesel-fueled construction diesel equipment and on-road diesel trucks. Renewable diesel must meet the most recent ASTM D975 specification for Ultra Low Sulfur Diesel and have a carbon intensity no greater than 50% of diesel with the lowest carbon intensity among petroleum fuels sold in California. The Contractor will provide the Authority with monthly and annual reports, through the Environmental Mitigation Management and Application

(EMMA) system, of renewable diesel purchase records and equipment and vehicle fuel consumption. Exemptions to use traditional diesel can be made where renewable diesel is not available from suppliers within 200 miles of the project site. The construction contract must identify the quantity of traditional diesel purchased and fully document the availability and price of renewable diesel to meet project demand.

#### **AQ-IAMF#4: Reduce Criteria Exhaust Emissions from Construction Equipment**

Prior to issuance of construction contracts, the Authority will incorporate the following construction equipment exhaust emissions requirements into the contract specifications:

- All heavy-duty off-road construction diesel equipment used during the construction phase will meet Tier 4 engine requirements.
- A copy of each unit's certified tier specification and any required CARB or air pollution control district operating permit will be made available to the Authority at the time of mobilization of each piece of equipment.
- The contractor will keep a written record (supported by equipment-hour meters where available) of equipment usage during project construction for each piece of equipment.
- The contractor will provide the Authority with monthly reports of equipment operating hours (through the Environmental Mitigation Management and Assessment [EMMA] system) and annual reports documenting compliance.

#### **AQ-IAMF#5: Reduce Criteria Exhaust Emissions from On-Road Construction Equipment**

Prior to issuance of construction contracts, the Authority will incorporate the following material-hauling truck fleet mix requirements into the contract specifications:

- All diesel on-road trucks used to haul construction materials, including fill, ballast, rail ties, and steel, shall use a model year 2010 or newer engine.
- The contractor will provide documentation to the Authority of efforts to secure such a fleet mix.
- The contractor will keep a written record of equipment usage during project construction for each piece of equipment and provide the Authority with monthly reports of VMT (through EMMA) and annual reports documenting compliance.

#### **AQ-IAMF#6: Reduce the Potential Impact of Concrete Batch Plants**

Prior to construction of any concrete batch plant, the contractor will provide the Authority with a technical memorandum documenting consistency with the Authority's concrete batch plant siting criteria and utilization of typical control measures. Concrete batch plants will be sited at least 1,000 feet from sensitive receptors, including places such as daycare centers, hospitals, senior care facilities, residences, parks, and other areas where people may congregate. The concrete batch plant will implement typical control measures to reduce fugitive dust such as water sprays, enclosures, hoods, curtains, shrouds, movable and telescoping chutes, central dust collection systems, and other suitable technology, to reduce emissions to be equivalent to the USEPA AP-42 controlled emission factors for concrete batch plants. The contractor will provide to the Authority documentation that each batch plant meets this standard during operation.

### **Aesthetics and Visual Quality**

#### **AVQ-IAMF#1: Aesthetic Options**

Prior to construction the Contractor shall document, through issue of a technical memorandum, how the Authority's aesthetic guidelines have been employed to minimize visual impacts. The Authority seeks to balance providing a consistent, project-wide aesthetic with the local context for the numerous high-speed rail non-station structures across the state. Examples of aesthetic

options will be provided to local jurisdictions that can be applied to non-standard structures in the high-speed rail system. Refer to *Aesthetic Options for Non-Station Structures, 2017*.

### **AVQ-IAMF#2: Aesthetic Review Process**

Prior to construction, the contractor will document that the Authority's aesthetic review process has been followed to guide the development of non-station area structures. Documentation will be accomplished through issuance of a technical memorandum to the Authority. The Authority will identify key non-station structures recommended for aesthetic treatment; consult with local jurisdictions on how best to involve the community in the process; solicit input from local jurisdictions on their aesthetic preferences; evaluate aesthetic preferences for potential cost, schedule, and operational impacts and compatibility with project-wide aesthetic goals, include recommended aesthetic approaches in the construction procurement documents; and work with the contractor and local jurisdictions to review and incorporate designs and local aesthetic preferences into final design and construction. Refer to *Aesthetic Options for Non-Station Structures, 2017*.

## **Biological Resources**

### **BIO-IAMF#1: Project Biologist**

At least 15 business days prior to commencement of any ground disturbing activity, including but not limited to geotechnical investigations, utility realignments, creation of staging areas, or initial clearing and grubbing, the Authority will submit the name(s) and qualifications of Project Biologists, Designated Biologists, Species-Specific Biological Monitors, and General Biological Monitors retained to conduct biological resource monitoring activities and implement avoidance and minimization measures. No ground disturbing activity will begin until the Authority has received written approval from the U.S. Fish and Wildlife Service (USFWS), National Marine Fisheries Service (NMFS), where applicable, and the California Department of Fish and Wildlife (CDFW) that the biologists and monitors have been approved to conduct the specified work. The Project Biologist is responsible for ensuring the timely implementation of the biological avoidance and minimization measures as outlined in the Biological Resources Management Plan (BRMP), and for guiding and directing the work of the Designated Biologists and Biological Monitors. Designated Biologists will be responsible for directly overseeing and reporting the implementation of general and species-specific conservation measures. In some instances, Designated Biologists will only be approved for specific species, in which case they will only be authorized to conduct surveys and implement measures for the species for which they have been approved. Species-Specific Biological Monitors will be responsible for implementation of species-specific measures for the species for which they have been approved, and will report directly to a Designated Biologist. General Biological Monitors will report directly to a Designated Biologist or to the Project Biologist. General Biological Monitors will be responsible for conducting Worker Environmental Awareness Program (WEAP) training, implementing general conservation measures, conducting general compliance monitoring, and reporting on compliance monitoring activities. The term Project Biologist is used in these IAMFs to mean the Project Biologist, Designated Biologists, Species-Specific Biological Monitors, and General Biological Monitors, as appropriate. When the Authority is specified as implementing an IAMF, it is assumed that the Authority, or its contractor or agent, is implementing the IAMF under the supervision of biologists and biological monitors, as appropriate.

### **BIO-IAMF#2: Agency Access**

Throughout the construction period, the Authority will allow access by the USFWS, NMFS, U.S. Army Corps of Engineers (USACE), CDFW, and State Water Resources Control Board (SWRCB) to the project site. Because of safety concerns, all visitors will check in with the Authority's resident engineer prior to entering the project footprint. In the event that agency personnel visit the project footprint, the Project Biologist will prepare a memorandum within 3 business days after the visit documenting the issues raised during the field meeting. The Project Biologist will report any issues regarding regulatory compliance raised by agency personnel to the Authority.

### **BIO-IAMF#3: Construction Period WEAP Training**

Prior to any ground disturbing activity, the Project Biologist will prepare a Worker Environmental Awareness Program (WEAP) for the purpose of training construction crews to recognize and identify sensitive biological resources that may be encountered in the vicinity of the project footprint. The WEAP training materials will be submitted to the Authority for review and approval. A video of the WEAP training prepared and presented by the Project Biologist and approved by the Authority may be used if the Project Biologist is not available to present the training in person.

At a minimum, WEAP training materials will include the following information: key provisions of the federal Endangered Species Act (federal ESA), the California Endangered Species Act (CESA), the Bald and Golden Eagle Protection Act (BGEPA), the Migratory Bird Treaty Act (MBTA), California Fish and Game Code 1600, Porter-Cologne Water Quality Control Act (Porter-Cologne), and the Clean Water Act (CWA); the consequences and penalties for violation or noncompliance with these laws and regulations and project authorizations; identification and characteristics of special-status plants, special-status wildlife, jurisdictional waters, and special-status plant communities and explanations about their ecological value; hazardous substance spill prevention and containment measures; the contact person in the event of the discovery of a dead or injured wildlife species; and review of avoidance, minimization, and mitigation measures.

The Project Biologist will present WEAP training to all construction personnel before they work in the project footprint. As part of the WEAP training, construction timing in relation to species' habitat and life-stage requirements will be detailed and discussed on project maps, which will show areas of planned minimization and avoidance measures. Crews will be informed during the WEAP training that, except when necessary as determined in consultation with the Project Biologist, travel within the project footprint is restricted to established roadbeds, which include all pre-existing and project-constructed unimproved and improved roads. A fact sheet conveying this information will be prepared by the Project Biologist for distribution to the construction crews and to others who enter the project footprint. Fact sheet information will be duplicated in a wallet-sized format and will be provided in other languages as necessary to accommodate non-English speaking workers. All construction staff will attend the WEAP training prior to beginning work on-site, and will attend the WEAP training on an annual basis thereafter.

Upon completion of the WEAP training, each member of the construction crew will sign a form stating that they attended the training, understood the information presented, and agreed to comply with the requirements set out in the WEAP training. The Project Biologist will submit the signed WEAP training forms to the Authority on a monthly basis. On an annual basis, the Authority will certify that WEAP training had been provided to all construction personnel. On a monthly basis, the Project Biologist will provide updates relevant to the training to construction personnel during the daily safety ("tailgate") meeting.

### **BIO-IAMF#4: Operation and Maintenance Period WEAP Training**

Prior to initiating operation and maintenance (O&M) activities, O&M personnel will attend a WEAP training session arranged by the Authority.

At a minimum, O&M WEAP training materials will include the following information: key provisions of the ESA, CESA, the BGEPA, the MBTA, Porter-Cologne, and the CWA; the consequences and penalties for violation or noncompliance with these laws and regulations and project authorizations; identification and characteristics of special-status plants, special-status wildlife, jurisdictional waters, and special-status plant communities and explanations about their ecological value; hazardous substance spill prevention and containment measures; and the contact person in the event of the discovery of a dead or injured wildlife species. The training will include an overview of provisions of the biological resources management plan, annual vegetation, and management plan, weed control plan and security fencing and wildlife exclusion fencing maintenance plans pertinent to O&M activities. A fact sheet prepared by the Authority environmental compliance staff will be prepared for distribution to the O&M employees. The training will be provided by the Authority environmental compliance staff. The training sessions will be provided to employees prior to their involvement in any O&M activity and will be repeated

for all O&M employees on an annual basis. Upon completion of the WEAP training, O&M employees will, in writing, verify their attendance at the training sessions and confirm their willingness to comply with the requirements set out in those sessions.

### **BIO-IAMF#5: Prepare and Implement a Biological Resources Management Plan**

Prior to any ground disturbing activity, the Project Biologist will prepare the BRMP, which will include a compilation of the biological resources avoidance and minimization measures applicable to the HSR section. All project environmental plans, such as the Restoration and Revegetation Plan (RPP) and Weed Control Plan (WCP), will be included as appendices to the BRMP. The BRMP is intended to serve as a comprehensive document that sets out the range of avoidance and minimization measures to support the appropriate and timely implementation of those measures. The implementation of these measures will be tracked through final design, construction, and operation phases. The BRMP will contain, but not be limited to, the following information:

- A master schedule that shows construction of the project, pre-construction surveys, and establishment of buffers and exclusions zones to protect sensitive biological resources.
- Specific measures for the protection of special-status species.
- Identification (on construction plans) of the locations and quantity of habitats to be avoided or removed, along with the locations where habitats are to be restored.
- Identification of agency-approved Project Biologist(s) and Biological Monitor(s), including those responsible for notification and report of injury or death of federally or State-listed species.
- Measures to preserve topsoil and control erosion.
- Design of protective fencing around Environmentally Sensitive Areas (ESAs) and the construction staging areas.
- Locations of trees to be protected as wildlife habitat (roosting sites) and locations for planting replacement trees.
- Specification of the purpose, type, frequency, and extent of chemical use for insect and disease control operations as part of vegetative maintenance within sensitive habitat areas.
- Specific measures for the protection of vernal pool habitat and riparian areas. These measures may include erosion and siltation control measures, protective fencing guidelines, dust control measures, grading techniques, construction area limits, and biological monitoring requirements.
- Provisions for biological monitoring during ground disturbing activities to confirm compliance and success of protective measures. The monitoring will: (1) identify specific locations of wildlife habitat and sensitive species to be monitored; (2) identify the frequency of monitoring and the monitoring methods (for each habitat and sensitive species to be monitored); (3) list required qualifications of biological monitor(s); (4) identify the reporting requirements; and (5) provide an accounting of impacts to special-status species habitat compared to pre-construction impact estimates.

The BRMP will be submitted to the Authority for review and approval prior to any ground disturbing activity.

### **BIO-IAMF#6: Establish Monofilament Restrictions**

Prior to any ground disturbing activity, the Project Biologist will verify that plastic monofilament netting (erosion control matting) or similar material is not being used as part of erosion control activities. The Project Biologist will identify acceptable material for such use, including: geomembranes, coconut coir matting, tackified hydroseeding compounds, and rice straw wattles (e.g., Earthsaver wattles: biodegradable, photodegradable, burlap). Within developed or urban

areas, the Project Biologist may allow exceptions to the restrictions on the type of erosion control material if the Project Biologist determines that the construction area is of sufficient distance from natural areas to ensure the avoidance of potential impacts to wildlife.

### **BIO-IAMF#7: Prevent Entrapment in Construction Materials and Excavations**

At the end of each work day during construction, the Authority will cover all excavated, steep-sided holes or trenches more than 8 inches deep and that have sidewalls steeper than 1:1 (45 degree) slope with plywood or similar materials, or provide a minimum of one escape ramp per 100 feet of trenching (with slopes no greater than 3:1) constructed of earth fill or wooden planks. The Project Biologist will thoroughly inspect holes and trenches for trapped animals at the start and end of each work day.

The Authority will screen, cover, or elevate at least 1 foot above ground, all construction pipe, culverts, or similar structures with a diameter of 3 inches or greater that are stored overnight within the project footprint. These pipes, culverts, and similar structures will be inspected by the Project Biologist for wildlife before such material is moved, buried, or capped.

### **BIO-IAMF#8: Delineate Equipment Staging Areas and Traffic Routes**

Prior to any ground disturbing activity, the Authority will establish staging areas for construction equipment in areas that minimize effects to sensitive biological resources, including habitat for special-status species, seasonal wetlands, and wildlife movement corridors. Staging areas (including any temporary material storage areas) will be located in areas that will be occupied by permanent facilities, where practicable. Equipment staging areas will be identified on final project construction plans. The Authority will flag and mark access routes to ensure that vehicle traffic within the project footprint is restricted to established roads, construction areas and other designated areas.

### **BIO-IAMF#9: Dispose of Construction Spoils and Waste**

During ground disturbing activities, the Authority may temporarily store excavated materials produced by construction activities in areas at or near construction sites within the project footprint. Where practicable, the Authority will return excavated soil to its original location to be used as backfill. Any excavated waste materials unsuitable for treatment and reuse will be disposed at an off-site location, in conformance with applicable State and federal laws.

### **BIO-IAMF#10: Clean Construction Equipment**

Prior to any ground disturbing activity, the Authority will ensure that all equipment entering the Work Area is free of mud and plant materials. The Authority will establish vehicle cleaning locations designed to isolate and contain organic materials and minimize opportunities for weeds and invasive species to move in and out of the project footprint. Cleaning may be done by washing with water, blowing with compressed air, brushing, or other hand cleaning. The cleaning areas will be located so as to avoid impacts to surface waters and appropriate Stormwater Pollution Prevention Plan (SWPPP) best management practices (BMPs) will be implemented so as to further control any potential for the spread of weeds or other invasive species. Cleaning stations will be inspected regularly (at least monthly).

### **BIO-IAMF#11: Maintain Construction Sites**

Prior to any ground disturbing activity, the Authority will prepare a construction site BMP field manual. The manual will contain standard construction site housekeeping practices required to be implemented by construction personnel. The manual will identify BMPs for the following topics; temporary soil stabilization, temporary sediment control, wind erosion control, non-storm water management, waste management and materials control, rodenticide use, and other general construction site cleanliness measures.

All construction personnel will receive training on BMP field manual implementation prior to working within the project footprint. All personnel will acknowledge, in writing, their understanding of the BMP field manual implementation requirements. The BMP field manual will be updated by

January 31<sup>st</sup> of each year. The Authority will provide, on an annual basis, training updates to all construction personnel.

### **BIO-IAMF#12: Design the Project to be Bird Safe**

Prior to final construction design, the Authority will ensure that the catenary system, masts, and other structures such as fencing, electric lines, communication towers and facilities are designed to be bird and raptor-safe in accordance with the applicable recommendations presented in Suggested Practices for Raptor Protection on Power Lines: The State of the Art in 2006 (APLIC 2006) and Reducing Avian Collisions with Power Lines: State of the Art in 2012 (APLIC 2012), and other guidelines related to collisions with buildings available from the American Bird Conservancy (Sheppard and Phillips 2015). Applicable recommendations include, but are not limited to:

- Ensuring sufficient spacing of phase conductors to prevent bird electrocution
- Configuring lines to reduce vertical spread of lines and/or decreasing the span length if such options are feasible
- Marking lines and fences (e.g. Bird Flight Diverter for fencing and lines) to increase the visibility of lines and reduce the potential for collision. Where fencing is necessary, using bird compatible design standards to increase visibility of fences to prevent collision and entanglement.
- Installing perch guards to discourage avian presence on and near project facilities
- Minimizing the use of guywires. Where the use of guywires is unavoidable, demarcating guywires using the best available methods to minimize avian strikes (e.g. line markers).
- Reusing or co-locating new transmission facilities and other ancillary facilities with existing facilities and disturbed areas to minimize habitat impacts and avoid collision risks
- Structures will be monopole or dual-pole design versus lattice tower design to minimize perching and nesting opportunities. Communication towers will conform to Recommended Best Practices for Communication Tower Design, Siting, Construction, Operation, Maintenance, and Decommissioning (UFWS 2018).
- Use of facility lighting that does not attract birds or their prey to project sites. These include using non-steady burning lights (red, dual red and white strobe, strobe-like flashing lights) to meet Federal Aviation Administration requirements, using motion or heat sensors and switches to reduce the time when lights are illuminated, using appropriate shielding to reduce horizontal or skyward illumination, and avoiding the use of high-intensity lights (e.g., sodium vapor, quartz, and halogen). Lighting will not be installed under viaduct and bridge structures in riparian habitat areas.
- Use of facility designs and architecture that minimizes the potential for bird collisions with buildings, to the extent feasible and consistent with local design requirements.

Additional bird operational actions will be required for the Grasslands Ecological Area, dry lakes and playas, Audubon Important Bird Areas and documented avian movement corridors. These measures include:

- Avoid, to the extent feasible, siting transmission lines across canyons or on ridgelines to prevent bird and raptor collisions.
- Install bird flight diverters on all facilities spanning or within 1,000 feet of stream and wash channels, canals, ponds, and any other natural or artificial body of water.
- Fencing or other type of flight diverter will be installed on all viaduct structures to encourage birds and raptors to fly over the HSR and avoid flying directly in the path of on-coming trains.

- Use of bird-friendly lighting on all stationary light sources (i.e., lighting with shorter wavelengths toward the blue and green spectrum), provided it is consistent with safety and security requirements.

## Cultural Resources

### CUL-IAMF#1: Geospatial Data Layer and Archaeological Sensitivity Map

Prior to Construction (any ground disturbing activities) and staging of materials and equipment, the Contractor's archaeologist or geoarchaeologist shall prepare a geospatial data layer identifying the locations of all known archaeological resources and built historic resources that require avoidance or protection, and areas of archaeological sensitivity that require monitoring within the area of potential effect (APE). The Contractor's archaeologist, who meets the Secretary of the Interior's Professional Qualifications Standards provided in 36 Code of Federal Regulations (CFR) Part 61, is to use, as appropriate, a combination of the following: known locations of archaeological sites and built historic properties, tribal consultation, landforms, depositional processes, distance to water, mapping provided in the Archaeological Treatment Plan, or historic mapping. This mapping is to be updated as the design progresses if it results in an expansion of the area of ground disturbance/APE, including temporary construction easements and new laydown and access areas. This mapping will be used to develop an archaeological monitoring plan to be prepared by the Contractor's archaeologist, and upon approval by the Authority, implemented by the Contractor's archaeologist. When design is sufficiently advanced, a geospatial data layer will be produced by the Contractor overlaying the locations of all known archaeological resources and built historic resources within the APE, for which avoidance measures are necessary, and all archaeologically sensitive areas, for which monitoring is required.

### CUL-IAMF#2: WEAP Training Session

Prior to Construction (any ground disturbing activity) construction contractor personnel who work on site will attend a WEAP training session provided by the Contractor. The WEAP will include cultural resources awareness training performed by the Contractor's archaeologist who meets the Secretary of the Interior's Professional Qualification Standards provided in 36 CFR Part 61. The Contractor will develop instructional materials and a fact sheet for distribution to the construction crews, and submit the materials, as well as qualifications of the personnel providing the training, to the Authority for approval at least 15 days prior to being permitted on-site access. The training will address measures required to avoid or protect built historic resources, educate crews on artifacts and archaeological features they may encounter and the mandatory procedures to follow should potential cultural resources be exposed during construction. Translation services shall be provided by the Contractor for non-English speaking participants. The training sessions shall be given prior to the initiation of any ground disturbance activities and repeated on an annual basis. Additionally, new construction crewmembers shall attend an initial WEAP training session prior to working on site.

On completion of the WEAP training, construction crews will sign a form stating that they attended the training, understood the information presented, and will comply with the WEAP requirements. The Contractor's archaeologist will submit the signed WEAP training forms to the Mitigation Manager on a monthly basis. On an annual basis, the Contractor will provide the Authority with a letter indicating that regular WEAP training has been implemented and will provide at least one PowerPoint annually of the WEAP training. On a monthly basis, the Contractor's archaeologist will provide updates and synopsis of the training to workers during the daily safety ("tailgate") meeting. Construction crews will be informed during the WEAP training that, to the extent possible, travel within the marked project site will be restricted to established roadbeds.

### CUL-IAMF#3: Pre-Construction Cultural Resource Surveys

Prior to Construction (any ground disturbing activities in areas not yet surveyed) and the staging of materials and equipment, the Contractor shall conduct pre-construction cultural resource

surveys. Resulting from lack of legal access, much of the construction footprint may not have been surveyed. Once parcels are accessible the Contractor will have archaeologists or architectural historians, as appropriate, who meet the Secretary of the Interior professional qualification standards survey and complete reporting in appropriate document for archaeology and / or built resources, in accordance with documentation requirements stipulated in the Programmatic Agreement. Identified resources shall be evaluated for the National Register of Historic Places (NRHP) and the California Register of Historical Resources (CRHR). The qualified archaeologist or architectural historian, as appropriate, will assess the potential to affect to historic properties (NRHP) by applying the effects criteria in 36 CFR Part 800.5(a)(1), and the potential of significant impacts to historical resources (CRHR) by applying the criteria in California Environmental Quality Act (CEQA) Guidelines 15064.5(b). Should the Authority and FRA determine, in consultation with the State Historic Preservation Office (SHPO), that any newly identified historic properties or historical resources will be adversely affected, the Built Environment Treatment Plan or Archeological Treatment Plan, as appropriate, will be amended, to document mitigation measures agreed upon by the MOA signatories. The schedule of these surveys will be dependent on the timing of obtaining legal access to the properties and may be driven by the need to complete construction-related activities, e.g., geotechnical borings, laydown yards, etc. Prior to beginning surveys, updated records searches may be required by the FRA and Authority, depending on the length of the passage of time, to validate that accurate information was obtained regarding previous inventory and evaluation efforts. The Contractor's archaeologist, in consultation with the Authority, will determine if an updated records search is required. If an updated records search is necessary, the search shall be performed by the Contractor's archaeologist.

#### **CUL-IAMF#4: Relocation of Project Features when Possible**

Changing the rail alignment to avoid newly discovered sites is likely infeasible; however, access areas and laydown sites may be relocated should their proposed location be found to be on archaeological sites or have the potential to affect historic built resources in the vicinity. The contractor will delineate all avoidance and protection measures for identified archaeological and built resources on construction drawings.

#### **CUL-IAMF#5: Archaeological Monitoring Plan and Implementation**

Prior to construction the Contractor's professionally qualified archaeologist, as defined in the Programmatic Agreement, will prepare a monitoring plan based on the results of geospatial data layer and archaeological sensitivity map. The plan is to be reviewed and approved by the Authority prior to any ground-disturbing activities. During Construction (any ground disturbing activities) or staging of materials or equipment, the Contractor will be responsible for implementing the monitoring plan and providing archaeological and tribal monitoring of ground-disturbing construction activities with a potential to affect archaeological remains in areas identified as archaeologically sensitive in the Archeological Treatment Plan. The Contractor shall obtain Authority approval of all persons providing archaeological or tribal monitoring.

#### **CUL-IAMF#6: Pre-Construction Conditions Assessment, Plan for Protection of Historic Built Resources, and Repair of Inadvertent Damage**

Prior to Construction (any ground disturbing activities that are within 1,000 feet of a historic built property) the Contractor may be required to assess the condition of construction-adjacent historic properties, and prepare a Plan for the Protection of Historic Built Resources and Repair of Inadvertent Damage. The MOA and Built Environment Treatment Plan (BETP) will stipulate for which properties the plan is to be prepared. MOA signatories and consulting parties may comment on the adequacy of the assessments. Protection measures will be developed in consultation with the landowner or land-owning agencies as well as the SHPO and the MOA signatories and consulting parties, as required by the Programmatic Agreement. As the design progresses, additional properties may be identified by the Authority as requiring this plan. The plan shall record existing conditions in order to (1) establish a baseline against which to compare the property's post-project condition, (2) to identify structural deficiencies that make the property

vulnerable to project construction related damage, such as vibration, and (3) to identify stabilization or other measures required to avoid or minimize inadvertent adverse effects. The plan will be further described in the BETP and be prepared by an interdisciplinary team, including (but not limited to) as appropriate, an architectural historian, architect, photographer, structural engineer, and acoustical engineer. Ambient conditions will be used to identify buildings that are sensitive receptors to construction-related vibration and require vibration monitoring during construction activities. Additional protective measures may be required if the property is vacant during construction.

The plan content shall be outlined in the BETP and is to be completed and approved by the Authority, with protective measures implemented before construction begins within 1,000 feet of the subject building. The plan shall describe the protocols for documenting inadvertent damage (should it occur), as well as notification, coordination, and reporting to the SHPO, MOA signatories, and the owner of the historic property. The plan shall direct that inadvertent damage to historic properties shall be repaired in accordance with the Secretary of the Interior's (SOI) Standards for the Treatment of Historic Properties (U.S. Department of the Interior, 1995). The plan shall be developed in coordination with the Authority and FRA, and shall be submitted to the SHPO for review and approval. Protective plans will be required for buildings that will be moved as part of the project mitigation, including stabilization before, during, and after relocation; protection during temporary storage; and relocation to a new site, followed by rehabilitation.

### **CUL-IAMF#7: Built Environment Monitoring Plan**

Prior to Construction (any ground disturbing activities within 1,000 feet of a historic property or resource) the Contractor shall prepare a Built Environment Monitoring Plan (BEMP). Draft and final BEMP's will be prepared describing the properties that will require monitoring, the type of activities or resources that will require full-time monitoring or spot checks, the required number of monitors for each construction activity, and the parameters that will influence the level of effort for monitoring. Maximum vibration level thresholds may be established in the Plan for Protection of Historic Resources and Repair of Inadvertent Damage the monitoring of which will be included in this monitoring plan. The BETP will outline the process for corrective action should the protection measures prove ineffective. Consultation procedures will also be defined in the BETP. The Contractor shall develop both the draft and final plans in coordination with the Authority and FRA, and shall be submitted to the SHPO for review and approval. The plan will be implemented prior to any ground-disturbing activities within 1,000 feet of properties identified as requiring monitoring, as specified in the BETP.

### **CUL-IAMF#8: Implement Protection and/or Stabilization Measures**

Implement the plan described in the Plan for Protection of Historic Resources and Repair of Inadvertent Damage and in the Built Environment Treatment Plan. Such protection measures will include, but will not be limited to, vibration monitoring of construction in the vicinity of historic properties; cordoning off of resources from construction activities (e.g., traffic, equipment storage, personnel); shielding of resources from dust or debris; and stabilization of buildings adjacent to construction. Temporary stabilization and protection measures will be removed after construction is complete, and the historic properties will be restored to their pre-construction condition. For buildings that will be moved, treatment will include stabilization before, during, and after relocation; protection during temporary storage; and relocation to a new site, followed by rehabilitation.

## **EMI/EMF Standards**

### **EMI/EMF-IAMF#1: Preventing Interference with Adjacent Railroads**

TM 3.00.10. Implementation Stage Electromagnetic Compatibility Program Plan (ISEP) requires coordination with adjacent railroads. During Project Design the Contractor will work with the engineering departments of railroads that operate parallel the HSR to apply standard design practices to prevent interference with the electronic equipment operated by these railroads. Prior to Operation and Maintenance of each operating segment, the Contractor shall certify through

issuance of a technical memorandum to the Authority that design provisions to prevent interference have been established and have been determined to be effective prior to the activation of potentially interfering systems of the HSR.

The contractor will work with the railroad engineering departments where these railways parallel the HSR to apply the standard design practices to prevent interference with the electronic equipment operated by these railroads. Design provisions to prevent interference will be put in place and determined to be adequately effective by a qualified electrical engineering professional prior to the HSR activation of potentially interfering systems. The HSR Design Criteria Manual (DCM) Chapter 26 summarizes the applicable electromagnetic interference/electromagnetic field (EMI/EMF) design standards that the Authority will use for the project.

**EMI/EMF-IAMF#2: Controlling Electromagnetic Fields/Electromagnetic Interference**

Prior to Construction, the Contractor will prepare an electromagnetic field/electromagnetic interference technical memorandum for review and approval by the Authority. The HSR project shall adhere to international guidelines and comply with applicable federal and state laws and regulations. The HSR project design will follow TM 300.10, ISEP, the CHSR DCM Chapter 26, which provides detailed EMC design criteria for the HSR systems and equipment, and the HSR DCM Chapter 22, which addresses grounding requirements for third-party metallic structures, including fences and pipelines, which are parallel and adjacent to the CHSTS right of way. These documents describe the design practices to avoid EMI and to provide for HSR operational safety. Some measures of the ISEP include:

- During the planning stage through system design, the Authority will perform electromagnetic compatibility (EMC)/EMI safety analyses, which will include identification of existing nearby radio systems, design of systems to prevent EMI with identified neighboring uses, and incorporation of these design requirements into bid specifications used to procure radio systems.
- Pipelines and other linear metallic objects that are not sufficiently grounded through the direct contact with earth will be separately grounded in coordination with the affected owner or utility to avoid possible shock hazards. For cases where metallic fences are purposely electrified to inhibit livestock or wildlife from traversing the barrier, specific insulation design measures will be implemented.
- HSR standard corrosion protection measures will be implemented to eliminate risk of substantial corrosion of nearby metal objects.

**Geologic Resources**

**GEO-IAMF#1: Geologic Hazards**

Prior to Construction, the Contractor shall prepare a Construction Management Plan (CMP) addressing how the Contractor will address geologic constraints and minimize or avoid impacts to geologic hazards during construction. The plan will be submitted to the Authority for review and approval. At a minimum, the plan will address the following geological and geotechnical constraints/resources:

- a. Groundwater Withdrawal. Controlling the amount of groundwater withdrawal from the project, by re-inject groundwater at specific locations if necessary, or use alternate foundation designs to offset the potential for settlement. This control is important for locations with retained cuts in areas where high groundwater exists, and where existing buildings are located near the depressed track section.
- b. Unstable Soils. Employing various methods to mitigate for the risk of ground failure from unstable soils. If soft or loose soils are encountered at shallow depths, they can be excavated and replaced with competent soils. To limit the excavation depth, replacement materials can also be strengthened using geosynthetics. Where unsuitable soils are deeper, ground

improvement methods, such as stone columns, cement deep-soil-mixing (CDSM), or jet-grouting, can be used. Alternatively, if sufficient construction time is available, preloading—in combination with prefabricated vertical drains (wicks) and staged construction—can be used to gradually improve the strength of the soil without causing bearing-capacity failures.

- c. **Subsidence.** The Authority addresses subsidence in its design and construction processes. For the initial design, survey monuments were installed to establish a datum and set an initial track profile. In the construction phase, the design-build (DB) contractors for track bed preparation will conduct topographic surveys for preparation of final design. Because subsidence could have occurred since the original benchmarks (survey monuments) were established, the DB contractor's topographic surveys will be used to help determine whether subsidence has occurred. The updated topographic surveys will also be used to establish the top of rail elevations for final design where the HSR system is outside established floodplain areas and above water surface elevations. Where the HSR system is in floodplain areas susceptible to flooding, consideration is being given to overbuild the height of the rail bed in anticipation of future subsidence.
- d. **Water and Wind Erosion.** The Contractor will implement erosion control methods as appropriate from the various erosion control methods documented in the Construction Storm Water Pollution Prevention Plan (SWPPP) (See HYD-IAMF#3), the Caltrans Construction Manuals, and the construction technical memorandum (see GEO-IAMF#6), and in coordination with other erosion, sediment, stormwater management and fugitive dust control efforts. Water and wind erosion control methods may include, but are not limited to, use of revegetation, stabilizers, mulches, and biodegradable geotextiles.
- e. **Soils with Shrink-Swell Potential.** In locations where shrink-swell potential is marginally unacceptable, soil additives will be mixed with existing soil to reduce the shrink-swell potential. Construction specifications will be based upon the decision whether to remove or treat the soil. This decision is based on the soils, specific shrink-swell characteristics, the additional costs for treatment versus excavation and replacement, as well as the long-term performance characteristics of the treated soil.
- f. **Soils with Corrosive Potential.** In locations where soils have a potential to be corrosive to steel and concrete, the soils will be removed and buried structures will be designed for corrosive conditions, and corrosion-protected materials will be used in infrastructure.

### **GEO-IAMF#2: Slope Monitoring**

During Operation and Maintenance, the Authority shall incorporate slope monitoring by a Registered Engineering Geologist into the Operation and Maintenance procedures. The procedures shall be implemented at sites identified in the CMP where a potential for long-term instability exists from gravity or seismic loading including but not limited to at-grade sections where slope failure could result in loss of track support, or where slope failure could result in additional earth loading to foundations supporting elevated structures.

### **GEO-IAMF#3: Gas Monitoring**

Prior to Construction, the Contractor shall prepare a Construction Management Plan (CMP) addressing how gas monitoring will be incorporated into construction best management practices. The CMP will be submitted to the Authority for review and approval. Hazards related to potential migration of hazardous gases due to the presence of known oil and gas fields, areas of active or historic landfills, or other subsurface sources can be reduced or eliminated by following strict federal and state Occupational Safety & Health Administration (OSHA/Cal-OSHA) regulatory requirements for excavations, and by consulting with other agencies as appropriate, such as the Department of Conservation (Division of Oil and Gas) and the California Environmental Protection Agency, Department of Toxic Substances Control, regarding known areas of concern.

Practices will include using safe and explosion-proof equipment during construction, and testing for gases regularly. Installation of passive or active gas venting systems, gas collection systems, as well as active monitoring systems and alarms will be required in underground construction

areas and facilities where subsurface gases are present. Installing gas-detection systems can monitor the effectiveness of these systems.

#### **GEO-IAMF#4: Historic or Abandoned Mines**

Prior to Construction, the Contractor shall prepare a Construction Management Plan (CMP) addressing how historic and abandoned mines will be incorporated into construction best management practices. The CMP will be submitted to the Authority for review and approval. Depending on the properties of an individual mine, mitigations to address historic or abandoned mines could include:

- a. CERCLA Cleanup. Environmental cleanups at sites that are releasing or threatening to release hazardous substances such as heavy metals from acid mine drainage.
- b. Non-CERCLA Cleanup. Cleanups of non-hazardous substance-related surface disturbance such as revegetation of disturbed areas, stabilization of mine tailings, reconstruction of stream channels and floodplains.
- c. Safety Mitigation. Mitigation of physical safety hazards such as closure of adits and shafts and removal of dangerous structures.

#### **GEO-IAMF#5: Hazardous Minerals**

Prior to Construction, the Contractor shall prepare a Construction Management Plan (CMP) addressing how the contractor will minimize or avoid impacts related to hazardous minerals (i.e., radon, mercury, and naturally occurring asbestos (NOA)) during construction. The CMP will be submitted to the Authority for review and approval. The CMP shall include appropriate provisions for handling hazardous minerals including but limited to dust control, control of soil erosion and water runoff, and testing and proper disposal of excavated material.

#### **GEO-IAMF#6: Ground Rupture Early Warning Systems**

Prior to Construction, the Contractor shall document how the project design incorporates installation of early warning systems, triggered by strong ground motion association with ground rupture. Known nearby active fault will be monitored. Linear monitoring systems such as time domain reflectometers or similar technology shall be installed along rail lines in the zone of potential ground rupture. These devices emit electronic information that is processed in a centralized location and will be used to temporarily control trains, thus reducing accidents due to fault creep. Damage to infrastructure from fault creep can be mitigated with routine maintenance including minor realignment.

#### **GEO-IAMF#7: Evaluate and Design for Large Seismic Ground Shaking**

Prior to Construction, the Contractor shall document through preparation of a technical memorandum how all HSR components were evaluated and designed for large seismic ground shaking. Prior to final design, the Contractor will conduct additional seismic studies to establish up-to-date estimation of levels of ground motion. The most current Caltrans seismic design criteria at the time of design will be used in the design of any structures supported in or on the ground. These design procedures and features reduce to the greatest practical extent for potential movements, shear forces, and displacements that result from inertial response of the structure. In critical locations, pendulum base isolators may be used to reduce the levels of inertial forces. New composite materials may also be used to enhance seismic performance.

#### **GEO-IAMF#8: Suspension of Operations During an Earthquake**

Prior to Operation and Maintenance activities, the Contractor shall document in a technical memorandum how suspension of operations during or after an earthquake was addressed in project design. Motion-sensing instruments to provide ground motion data and a control system to shut down HSR operations temporarily during or after a potentially damaging earthquake will be incorporated into final design. Monitoring equipment will be installed at select locations where high ground motions could occur. The system will then be inspected for damage due to ground motion and/or ground deformation, and then returned to service when appropriate.

## **GEO-IAMF#9: Subsidence Monitoring**

Prior to Operation and Maintenance, the Authority shall develop a stringent track monitoring program. Once tracks are operational, a remote monitoring program will be implemented to monitor the effects of ongoing subsidence. Track inspection systems will provide early warning of reduced track integrity. HSR train sets will be equipped with autonomous equipment for daily track surveys. This specification will be added to HSR train bid packages. If monitoring indicates that track tolerances are not met, trains will operate at reduced speed until track tolerances are restored. In addition, the contractor responsible for wayside maintenance will be required to implement a stringent program for track maintenance.

## **GEO-IAMF#10: Geology and Soils**

Prior to Construction, the Contractor shall document through issuance of a technical memorandum how the following guidelines and standards have been incorporated into facility design and construction:

- 2015 American Association of State Highway and Transportation Officials (AASHTO) Load and Resistance Factor Bridge Design Specifications and the 2015 AASHTO Guide Specifications for Load and Resistance Factor Seismic Bridge Design, or their most recent versions. These documents provide guidance for characterization of soils, as well as methods to be used in the design of bridge foundations and structures, retaining walls, and buried structures. These design specifications will provide minimum specifications for evaluating the seismic response of the soil and structures.
- Federal Highway Administration (FHWA) Circulars and Reference Manuals: These documents provide detailed guidance on the characterization of geotechnical conditions at sites, methods for performing foundation design, and recommendations on foundation construction. These guidance documents include methods for designing retaining walls used for retained cuts and retained fills, foundations for elevated structures, and at-grade segments. Some of the documents include guidance on methods of mitigating geologic hazards that are encountered during design.
- American Railway Engineering and Maintenance-of-Way Association (AREMA) Manual: These guidelines deal with rail systems. Although they cover many of the same general topics as AASHTO, they are more focused on best practices for rail systems. The manual includes principles, data, specifications, plans, and economics pertaining to the engineering, design, and construction of railways.
- California Building Code: The code is based on 2015 International Building Code (IBC). This code contains general building design and construction requirements relating to fire and life safety, structural safety, and access compliance.
- IBC and American Society of Civil Engineers (ASCE)-7: These codes and standards provide minimum design loads for buildings and other structures. They will be used for the design of the maintenance facilities and stations. Sections in IBC and ASCE-7 provide minimum requirements for geotechnical investigations, levels of earthquake ground shaking, minimum standards for structural design, and inspection and testing requirements.
- Caltrans Design Standards: Caltrans has specific minimum design and construction standards for all aspects of transportation system design, ranging from geotechnical explorations to construction practices. These amendments provide specific guidance for the design of deep foundations that are used to support elevated structures, for design of mechanically stabilized earth (MSE) walls used for retained fills, and for design of various types of cantilever (e.g., soldier pile, secant pile, and tangent pile) and tie-back walls used for retained cuts.
- Caltrans Construction Manuals: Caltrans has a number of manuals including Field Guide to Construction Dewatering, Caltrans Construction Site BMPs Manual and Construction Site BMP Field Manual and Troubleshooting Guide. These provide guidance and best

management practices for dewatering options and management, erosion control and soil stabilization, non-storm water management, and waste management at construction sites.

- American Society for Testing and Materials (ASTM): ASTM has developed standards and guidelines for all types of material testing- from soil compaction testing to concrete-strength testing. The ASTM standards also include minimum performance requirements for materials.

**GEO-IAMF#11: Engage a Qualified Paleontological Resources Specialist**

Prior to the 90% design milestone for each construction package<sup>1</sup> (CP) within the Project Section, the Contractor will retain a paleontological resources specialist (PRS) responsible for

- reviewing the final design for the CP, and
- developing a detailed Paleontological Resources Monitoring and Mitigation Plan (PRMMP) for the CP. The PRS will be responsible for implementing the PRMMP, including delivery of WEAP Training, and evaluation and treatment of finds, if any, per the PRMMP and for each CP. A Supervising Paleontologist, who is also a PRS, will be retained and act as Lead Paleontologist for the CP if there are multiple PRS' retained for a single CP.

Retention of PRS staff will occur in a timely manner, in advance of the 90% design milestone for each CP, such that the PRS is on board and can review the 90% design submittal without delay when it becomes available. If feasible, the same PRS will be responsible for all CPs within the Project Section. However, if efficiency dictates, separate qualified PRSs may be retained for the various Project Section CPs. Should a CP retain more than one PRS, a supervising paleontologist will be identified.

All PRS staff will meet or exceed the qualifications for a Principal Paleontologist as defined in the California Department of Transportation's (Caltrans') current *Standard Environmental Reference*, Chapter 8 (Caltrans 2014). Appointment of PRS staff will be subject to review and approval by the Authority.

**GEO-IAMF #12: Perform Final Design Review and Triggers Evaluation**

For each CP within the Project Section, the responsible PRS will evaluate the 90% design submittal to identify the portions of the CP that will involve work in paleontologically sensitive geologic units (either on the surface or in the subsurface), in consideration of the final Paleontological Resources Technical Report prepared for the Project Section. Evaluation will consider the location, areal extent, anticipated depth of disturbance, the construction techniques that are planned/proposed, and the geology of the CP and vicinity. The evaluation and resulting recommendations will be consistent with guidance in the Society of Vertebrate Paleontology (SVP) *Standard Procedures for the Assessment and Mitigation of Adverse Impacts to Paleontological Resources* (SVP Impact Mitigation Guidelines Revision Committee 2010), the *SVP Conditions of Receivership for Paleontologic Salvage Collections* (SVP Conformable Impact Mitigation Guidelines Committee 1996), and relevant guidance from Chapter 8 of the current Caltrans *Standard Environmental Reference* (Caltrans 2014).

The purpose of the Final Design Review and Triggers Evaluation will be to develop specific language detailing the paleontological monitoring and other requirements applicable to each CP within the Project Section. Paleontological protection requirements identified through the Final Design Review and Triggers evaluation will be recorded in a concise technical memorandum ("Final Design Review Requirements for Paleontological Resources Protection") and will then be incorporated in full detail into the PRMMP for each CP. Portions of the CP requiring paleontological monitoring will also be clearly delineated in the project construction documents for each CP.

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<sup>1</sup> Because of their length and complexity, most HSR Project Sections are expected to be designed and constructed in segments, with separate construction documents (plans and specifications) developed for each segment. *Construction package* refers to a portion (segment) of a Project Section for which a discrete, stand-alone construction document set will be developed.

### **GEO-IAMF#13: Prepare and Implement Paleontological Resources Monitoring and Mitigation Plan (PRMMP)**

Following the Final Design Review and Triggers Evaluation for each CP, the PRS will develop a CP-specific PRMMP. For greater efficiency, PRMMPs may be combined such that they cover more than one CP, as long as the specific requirements of this IAMF are satisfied explicitly and in detail for each CP included.

The PRMMP for each CP will incorporate the findings of the Design Review and Triggers Evaluation for that CP and will be consistent with the Society of Vertebrate Paleontology (SVP) *Standard Procedures for the Assessment and Mitigation of Adverse Impacts to Paleontological Resources* (SVP Impact Mitigation Guidelines Revision Committee 2010), the SVP *Conditions of Receivership for Paleontologic Salvage Collections* (SVP Conformable Impact Mitigation Guidelines Committee 1996), and relevant guidance from Chapter 8 of the current Caltrans *Standard Environmental Reference* (Caltrans 2014). As such, the PRMMP will provide for at least the following:

- Implementation by qualified personnel, as follows:
  - The PRS will be required to meet or exceed Principal Paleontologist Qualifications per Chapter 8 of the current *Caltrans Standard Environmental Reference* (Caltrans 2014). The Supervising Paleontologist may, but not necessarily, be the PRS who prepares the PRMMP.
  - Paleontological Monitors will be required to meet or exceed Paleontological Monitor qualifications per Chapter 8 of the current Caltrans *Standard Environmental Reference* (Caltrans 2014).
- Pre-construction survey by qualified personnel, with salvage or protection in place, as appropriate, in areas where the CP will result in surface disturbance of geologic units identified as highly sensitive for paleontological resources (“highly sensitive units”).
- Pre-construction and construction-period coordination procedures and communications protocols.
- Paleontological monitoring by qualified staff for all ground-disturbing activities known to involve, or potentially involve, highly sensitive units and for activities involving other geologic units in any areas where the PRS considers it warranted based on the results of the TR or field surveys. In all areas subject to monitoring, monitoring will initially be conducted full-time during all grading and excavation activities, but the PRMMP may provide for monitoring frequency in any given location to be reduced once 50% of the ground-disturbing activity in that location has been completed, if the reduction is appropriate based on the implementing PRS’s professional judgment in consideration of actual site conditions.
- If the PRS considers it warranted, monitoring will also be stipulated for construction drilling operations. In general, small diameter (i.e., <18 inches) drilling activities or drilling activities using bucket augers tend to pulverize impacted sediments and contained fossils and not typically monitored. The portion of the PRMMP monitoring program for drilling operations will be developed in conjunction with the CP design and geotechnical teams, in consideration of the nature, depth, and location of drilling needed, and the anticipated equipment and staging configurations.
- Provisions for the content and delivery of paleontological resources WEAP training.
- In-progress documentation of monitoring (and, if applicable, salvage/recovery operations) via “construction dailies” or a similar means.
- Provisions for a “stop work, evaluate, and treat appropriately” response in the event of a known or potential paleontological discovery, including finds in highly sensitive units as well as finds, if any, in units identified as less sensitive, or non-sensitive, for paleontological resources.

- Sampling and recovery procedures consistent with SVP *Standard Procedures* (SVP Impact Mitigation Guidelines Revision Committee 2010) and the SVP *Conditions of Receivership* (SVP Conformable Impact Mitigation Guidelines Committee 1996). Recovery procedures will provide for recovery of both macrofossils and microfossils.
- A repository agreement providing for appropriate curation of recovered materials, consistent with the SVP *Conditions of Receivership* (SVP Conformable Impact Mitigation Guidelines Committee 1996). If more than one repository institution is designated, separate repository agreements must be provided.
- Final report preparation procedures consistent with Caltrans *Standard Environmental Reference* Chapter 8 provisions for the Paleontological Monitoring Report and Paleontological Stewardship Summary (Caltrans 2014).
- Procedures for the preparation, identification, and analysis of fossil specimens and data recovered, consistent with the SVP *Conditions of Receivership* (SVP Conformable Impact Mitigation Guidelines Committee 1996) and any specific requirements of the designated repository institution(s).

**GEO-IAMF #14: Provide WEAP Training for Paleontological Resources**

Prior to groundbreaking for each CP within the Project Section, the Contractor will provide paleontological resources WEAP training delivered by the Supervising Paleontologist. All management and supervisory personnel and construction workers involved with ground-disturbing activities will be required to take this training before beginning work on the project. Refresher training will also be made available to management and supervisory personnel and workers as needed, based on the judgment of the PRS.

At a minimum, paleontological resources WEAP training will include information on:

- coordination between construction staff and paleontological staff
- construction and paleontological staff roles and responsibilities in implementing the PRMMP
- the possibility of encountering fossils during construction
- the types of fossils that may be seen and how to recognize them
- proper procedures in the event fossils are encountered, including the requirement to halt work in the vicinity of the find and procedures for notifying responsible parties in the event of a find.

Training materials and formats may include, but are not necessarily limited to, in-person training, prerecorded videos, posters, and informational brochures that provide contacts and summarize procedures in the event paleontological resources are encountered. WEAP training contents will be subject to review and approval by the Authority. Paleontological resources WEAP training may be provided concurrently with cultural resources WEAP training.

Upon completion of any WEAP training, the Contractor will require workers to sign a form stating that they attended the training and understand and will comply with the information presented. Verification of paleontological resources WEAP training will be provided to the Authority by the Contractor.

**GEO-IAMF#15: Halt Construction, Evaluate, and Treat if Paleontological Resources Are Found**

If known or potential fossil materials are discovered during construction, regardless of the individual making a paleontological discovery, all activity in the immediate vicinity of the discovery will halt and the find will be protected from further disturbance. If the discovery is made by someone other than the PRS or qualified paleontological monitor, the person who made the discovery will immediately notify construction supervisory personnel, who will notify the PRS. Notification to the PRS will take place promptly (prior to the close of work the same day as the

find), and the PRS will evaluate the find and prescribe appropriate treatment as soon as feasible. Work may continue on other parts of the site while evaluation (and, if needed, treatment) takes place, as long as the find can be adequately protected in the judgment of the PRS.

If the PRS determines that treatment is warranted, such treatment, and any required reporting, will proceed consistent with the PRMMP. The Contractor will be responsible for ensuring prompt and accurate implementation, subject to verification by the Authority.

The stop work requirement does not apply to drilling since drilling typically cannot be suspended in mid-course. However, if finds are made during drilling, the same notification and other follow-up requirements will apply. The PRS will coordinate with construction supervisory and drilling staff regarding the handling of recovered materials.

The requirements of this IAMF will be detailed in the PRMMP and presented as part of the paleontological resources WEAP training.

## **Hazardous Materials and Waste**

### **HMW-IAMF#1: Property Acquisition Phase 1 and Phase 2 Environmental Site Assessments**

During the right-of-way acquisition phase, Phase 1 environmental site assessments (ESA) shall be conducted in accordance with standard ASTM methodologies to characterize each parcel. The determination of parcels that require a Phase 2 ESA (e.g., soil, groundwater, soil vapor subsurface investigations) will be informed by a Phase 1 ESA and may require coordination with state and local agency officials. If the Phase 2 ESA concludes that the site is impacted, remediation or corrective action (e.g., removal of contamination, in-situ treatment, or soil capping) will be conducted with state and local agency officials (as necessary) and in full compliance with applicable state and federal laws and regulations.

### **HMW-IAMF#2: Landfill**

Prior to Construction (any ground disturbing activities), the Contractor shall verify to the Authority through preparation of a technical memorandum that methane protection measures will be implemented for all work within 1,000 feet of a landfill, including gas detection systems and personnel training. This will be undertaken pursuant to State of California Title 27, Environmental Protection – Division 2, Solid Waste, and the hazardous materials best management practices plan.

### **HMW-IAMF#3: Work Barriers**

Prior to Construction (any ground disturbing activities), the Contractor shall verify to the Authority through preparation of a technical memorandum the use of work barriers. Nominal design variances, such as the addition of a plastic barrier beneath the ballast material to limit the potential release of volatile subsurface contaminants, may be implemented in conjunction with site investigation and remediation.

### **HMW-IAMF#4: Undocumented Contamination**

Prior to Construction, the Contractor shall prepare a CMP addressing provisions for the disturbance of undocumented contamination. The plan will be submitted to the Authority for review and approval. Undocumented contamination could be encountered during construction activities and the Contractor will work closely with local agencies to resolve any such encounters and address necessary clean-up or disposal. Copies of all required hazardous material documentation shall be provided within 30 days to the Authority.

### **HMW-IAMF#5: Demolition Plans**

Prior to Construction that involves demolition, the Contractor shall prepare demolition plans for the safe dismantling and removal of building components and debris. The demolition plans will include a plan for lead and asbestos abatement. The plans shall be submitted to the Project Construction Manager (PCM) on behalf of the Authority for verification that appropriate demolition

practices have been followed consistent with federal and state regulations regarding asbestos and lead paint abatement.

### **HMW-IAMF#6: Spill Prevention**

Prior to Construction (any ground disturbing activities), the Contractor shall prepare a Construction Management Plan addressing spill prevention. A Spill Prevention, Control, and Countermeasure (SPCC) plan (or Soil Prevention and Response Plan if the total above-ground oil storage capacity is less than 1,320 gallons in storage containers greater than or equal to 55-gallons) shall prescribe BMPs to follow to prevent hazardous material releases and clean-up of any hazardous material releases that may occur. The plans will be prepared and submitted to the PCM on behalf of the Authority and shall be implemented during Construction.

### **HMW-IAMF#7: Transport of Materials**

During Construction, the Contractor will comply with applicable state and federal regulations, such as the Resource Conservation and Recovery Act (RCRA), Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), the Hazardous Materials Release Response Plans and Inventory Law, and the Hazardous Waste Control Act. Prior to Construction the Contractor will provide the Authority with a hazardous materials and waste plan describing responsible parties and procedures for hazardous waste and hazardous materials transport.

### **HMW-IAMF#8: Permit Conditions**

During Construction the Contractor will comply with the State Water Resources Control Board Construction Clean Water Act Section 402 General Permit conditions and requirements for transport, labeling, containment, cover, and other BMPs for storage of hazardous materials during construction. Prior to Construction, the Contractor shall provide the Authority with a hazardous materials and waste plan describing responsible parties and procedures for hazardous waste and hazardous materials transport, containment, and storage BMPs that will be implemented during Construction.

### **HMW-IAMF#9: Environmental Management System**

To the extent feasible, the Authority is committed to identifying, avoiding, and minimizing hazardous substances in the material selection process for construction, operation, and maintenance of the HSR System. The Authority will use an Environmental Management System to describe the process that will be used to evaluate the full inventory of hazardous materials as defined by federal and state law employed on an annual basis and will replace hazardous substances with nonhazardous materials. The Contractor shall implement the material substitution recommendation contained in the annual inventory.

### **HMW-IAMF#10 Hazardous Materials Plans**

Prior to Operations and Maintenance activities, the Authority shall prepare hazardous materials monitoring plans. These will use as a basis source, such as a hazardous materials business plan as defined in Title 19 California Code of Regulations and a SPCC plan.

## **Hydrology and Water Resources**

### **HYD-IAMF#1: Storm Water Management**

Prior to Construction, the Contractor shall prepare a storm water management and treatment plan for review and approval by the Authority. During the detailed design phase, each receiving stormwater system's capacity to accommodate project runoff will be evaluated. As necessary, on-site stormwater management measures, such as detention or selected upgrades to the receiving system, will be designed to provide adequate capacity and to comply with the design standards in the latest version of Authority Technical Memorandum 2.6.5 *Hydraulics and Hydrology Guidelines*. On-site stormwater management facilities will be designed and constructed to capture runoff and provide treatment prior to discharge of pollutant-generating surfaces, including station parking areas, access roads, new road over- and underpasses, reconstructed interchanges, and

new or relocated roads and highways. Low-impact development techniques will be used to detain runoff on site and to reduce off site runoff such as constructed wetland systems, biofiltration and bioretention systems, wet ponds, organic mulch layers, planting soil beds, and vegetated systems (biofilters), such as vegetated swales and grass filter strips, will be used where appropriate.

### **HYD-IAMF#2: Flood Protection**

Prior to Construction, the Contractor shall prepare a flood protection plan for Authority review and approval. The project will be designed both to remain operational during flood events and to minimize increases in 100-year or 200-year flood elevations, as applicable to locale. Design standards will include the following:

- Establish track elevation to prevent saturation and infiltration of stormwater into the sub-ballast.
- Minimize development within the floodplain, to such an extent that water surface elevation in the floodplain will not increase by more than 1 foot, or as required by state or local agencies, during the 100-year or 200-year flood flow [as applicable to locale]. Avoid placement of facilities in the floodplain or raise the ground with fill above the base-flood elevation.
- Design the floodplain crossings to maintain a 100-year floodwater surface elevation of no greater than 1 foot above current levels, or as required by state or local agencies, and project features within the floodway itself will not increase existing 100-year floodwater surface elevations in Federal Emergency Management Agency-designated floodways, or as otherwise agreed upon with the county floodplains manager.

The following design standards will minimize the effects of pier placement on floodplains and floodways:

- Design site crossings to be as nearly perpendicular to the channel as feasible to minimize bridge length.
- Orient piers to be parallel to the expected high-water flow direction to minimize flow disturbance.
- Elevate bridge crossings at least 3 feet above the high-water surface elevation to provide adequate clearance for floating debris, or as required by local agencies.
- Conduct engineering analyses of channel scour depths at each crossing to evaluate the depth for burying the bridge piers and abutments. Implement scour-control measures to reduce erosion potential.
- Use quarry stone, cobblestone, or their equivalent for erosion control along rivers and streams, complimented with native riparian plantings or other natural stabilization alternatives that will restore and maintain a natural riparian corridor.
- Place bedding materials under the stone protection at locations where the underlying soils require stabilization as a result of stream-flow velocity.

### **HYD-IAMF#3: Prepare and Implement a Construction Stormwater Pollution Prevention Plan**

Prior to Construction (any ground disturbing activities), the Contractor shall comply with the State Water Resources Control Board (SWRCB) Construction General Permit requiring preparation and implementation of a SWPPP. The Construction SWPPP will propose BMPs to minimize potential short-term increases in sediment transport caused by construction, including erosion control requirements, stormwater management, and channel dewatering for affected stream crossings. These BMPs will include measures to incorporate permeable surfaces into facility design plans where feasible, and how treated stormwater will be retained or detained on site. Other BMPs shall include strategies to manage the amount and quality of overall stormwater runoff. The Construction SWPPP will include measures to address, but will not be limited to, the following:

- Hydromodification management to verify maintenance of pre-project hydrology by emphasizing on site retention of stormwater runoff using measures such as flow dispersion, infiltration, and evaporation (supplemented by detention where required). Additional flow control measures will be implemented where local regulations or drainage requirements dictate.
- Implementing practices to minimize the contact of construction materials, equipment, and maintenance supplies with stormwater.
- Limiting fueling and other activities using hazardous materials to areas distant from surface water, providing drip pans under equipment, and daily checks for vehicle condition.
- Implementing practices to reduce erosion of exposed soil, including soil stabilization, regular watering for dust control, perimeter siltation fences, and sediment catchment basins.
- Implementing practices to maintain current water quality, including: siltation fencing, wattle barriers, stabilized construction entrances, grass buffer strips, ponding areas, organic mulch layers, inlet protection, storage tanks and sediment traps to arrest and settle sediment.
- Where feasible, avoiding areas that may have substantial erosion risk, including areas with erosive soils and steep slopes.
- Using diversion ditches to intercept surface runoff from off site.
- Where feasible, limiting construction to dry periods when flows in water bodies are low or absent.
- Implementing practices to capture and provide proper off-site disposal of concrete wash water, including isolation of runoff from fresh concrete during curing to prevent it from reaching the local drainage system, and possible treatments (e.g., dry ice).
- Developing and implementing a spill prevention and emergency response plan to handle potential fuel and/or hazardous material spills.

Implementation of a SWPPP will be performed by the construction contractor as directed by the contractor’s Qualified SWPPP Practitioner or designee. As part of that responsibility, the effectiveness of construction BMPs must be monitored before, during and after storm events. Records of these inspections and monitoring results are submitted to the local regional water quality control board (RWQCB) as part of the annual report required by the Statewide Construction General Permit. The reports are available to the public online. The SWRCB and RWQCB will have the opportunity to review these documents.

**HYD-IAMF#4: Prepare and Implement an Industrial Stormwater Pollution Prevention Plan**

Prior to Construction of any facility classified as an industrial facility, the Contractor shall comply with existing water quality regulations. The stormwater general permit requires preparation of a SWPPP and a monitoring plan for industrial facilities that discharge stormwater from the site, including vehicle maintenance facilities associated with transportation operations. The permit includes performance standards for pollution control.

**HYD-IAMF-#5: Tunnel Design Features and Construction Methods**

The Contractor shall implement the following tunnel design features and construction methods to avoid and/or minimize the potential for groundwater depletion during tunnel construction and operation, and consequential potential for hydrologic changes that may affect groundwater and/or surface water resources in areas overlying the tunnel alignment. Two types of potential effects must be considered, (1) temporary effects that occur due to construction; and (2) permanent effects that could occur over the lifetime of the project.

Hydraulic conductivity of the subsurface strata is expected to be low along many parts of the Pacheco Pass tunnel alignments based on evaluation of the construction of previous tunnels

nearby and of the geological strata along the proposed tunnel alignment (Authority 2017b). However, certain sections of the tunneled alignments (e.g. fault zones, zones of highly fractured or sheared rock, or other pervious deposits) could exhibit higher hydraulic conductivity, higher rates of groundwater inflow into excavated opening(s) and higher water pressure(s) on tunnel's permanent structure (final liner). Subsurface conditions for the Pacheco tunnels could include groundwater pressures up to 435 psi (Authority 2017b).<sup>2</sup>

The amount of groundwater depletion will depend upon the geotechnical and hydrogeological conditions along the tunnel alignment, the tunnel construction methods utilized, and design features that will minimize such inflows. Temporary inflows into the tunnel and groundwater flow around the outside of the tunnel (annular flow) during construction are likely unavoidable. Thus, temporary effects to surface and groundwater conditions are possible even with implementation of this IAMF. Methods implemented to control potential effects will depend on the consequences and nature of the anticipated effects.

The tunnels at Pacheco Pass could be constructed using tunnel boring machine (TBM) tunneling methods or conventional mined tunnel methods such as the sequential excavation method (SEM). Cross passages will most likely be constructed using a conventional mining approach. The table below summarizes the potential for temporary and permanent groundwater effects for the two primary tunneling methods. It should be recognized that potential for groundwater effects also depends on geologic and groundwater conditions as well.

Tunneling Method	Potential for Temporary Groundwater Depletion	Potential for Permanent Groundwater Depletion	Comments
TBM Methods	Low, as inflows typically occur at or close to the tunnel face and are controlled via TBM design that allows watertight construction and may include special measures applied from the TBM or from the surface to lower potential for groundwater inflows into the tunnel	Very low especially with the provision of a watertight lining. Also, grouting around precast segmental liner will lower potential for directional groundwater depletion due to annular flows along the tunnel alignment	Generally, TBM tunnels will have a one-pass precast concrete segmental lining designed to be watertight
Conventional Mining or SEM Methods	Can be higher; inflows along the entire tunnel alignment can be controlled by special measures until final lining is installed	Very low since a watertight lining is usually provided	Initial lining installed using this approach is usually not a watertight lining. Special measures (grouting) can control higher inflows during sequential excavation and initial liner construction. Drainage system may be provided to reduce hydrostatic pressures on final lining; however, such system is usually not practical in long tunnels due to requirement for continuous maintenance measures of the drainage system components (cleanouts, piping).

### Tunnel Design to Avoid Permanent Groundwater Depletion

<sup>2</sup> California High Speed Rail Authority. 2017b. Conceptual Tunnel Design and Constructability Considerations for Pacheco Pass – Draft PEPD. Project Section: San Jose to Central Valley Wye. May.

Tunnels shall be designed to be watertight, smooth, durable, and low maintenance to generally maintain existing groundwater levels over the tunnel structures throughout the tunnel design service life. Tunnel lining shall consist of one- or two-pass lining systems to meet HSR design criteria requirements.

TBM Methods - One-pass tunnel lining construction entails the installation of a precast concrete segmental lining with gaskets at each segment joint to construct an essentially watertight tunnel lining. The segmental lining is installed from within the shield at the rear of a TBM. A dual system of gaskets can be utilized to increase safety factors for resisting water pressures and arrest groundwater intrusion into the final tunnel structure. The feasibility for watertight linings are generally limited to magnitudes of water pressure less than 40 bars (580 psi)<sup>3</sup>.

A two-pass tunnel lining system involves two stages of construction and will be used in tunnels where groundwater pressures exceed the capacity of state-of-the-art one-pass linings available at the time of project construction. During the first stage of construction, an initial ground support system (e.g. precast segmental lining for a TBM tunnel) will be erected during the excavation cycle to maintain stability of the excavated opening, minimize water inflows and protect workers. During the second stage, a watertight membrane together with a cast-in-place concrete liner will be installed as the final component and permanent support of a two-pass lining system. This two-pass lining approach has been used in long, high-speed rail tunnel projects with high ground water pressures, such as in tunnels in the Lyon-Turin line, the Gotthard Base Tunnel (Switzerland), and the Vienna-St. Pölten Railway Line (Austria).

Conventional Tunneling Methods – Conventional tunneling methods using drill and blast or mechanical excavation will also be designed to be undrained and watertight to arrest or minimize potential groundwater depletion effects. The initial concrete linings used for temporary excavation support will likely consist of sprayed shotcrete, reinforced or unreinforced, and may be preceded by implementation of grouting measures that may control groundwater inflows during excavation. Following application of initial shotcrete support and prior to installation of permanent (final) lining, a waterproofing membrane will be installed. Often ‘compartmentalization’ of waterproofing membrane is implemented, including grouting hoses, to allow local repairs to be made later in case groundwater leakage is identified in course of the liner service life. The shape and size of the tunnel cross section of a conventionally mined tunnel will be designed and adjusted to accommodate ground conditions, including potentially high groundwater pressures.

The specific tunnel lining type will be determined during final design, informed by Phase 2 geotechnical investigations proximate to the tunnel alignment. The Contractor shall utilize state-of-the-art technology available to ensure that potential groundwater depletion is avoided or minimized to the greatest degree practicable.

### **Construction Methods to Minimize Temporary Groundwater Depletion**

The following construction methods shall be employed to avoid and minimize temporary groundwater depletion due to tunnel construction.

TBM Methods - TBM requirements shall include:

- Capability to control potential water inflows by using a closed-face, shielded TBM including special shield provisions (multiple brush system with inflatable seals) to maintain waterproofed excavation on a temporary basis prior to segmental liner installation;
- Capability of systematic probe drilling, monitoring of water inflows, and pre-excavation grouting and backfilling with two-component grout. Grouting requirements include providing adequate backfill grouting, monitoring grout volumes, and using appropriate grout mixes to prevent grout washout; these measures will improve watertight performance of tunnel linings; and

<sup>3</sup> See discussion of Hallandsås Tunnel and Arrowhead Tunnels in Authority (2017a).

- Check-grouting through dedicated sockets in precast segmental liner to completely fill the annular opening due to TBM over-excavation, between the segments and the ground.

Pre-excavation grouting can be performed from the TBM, provided the TBM is delivered with built-in capability, including grout ports through the TBM cutter-head and through the shield, and set-up for concurrent drilling and grouting of multiple holes. For predominantly non-cohesive soils, or cohesive soils, Slurry TBMs or Earth Pressure Balance (EPB) TBMs, respectively, as well as variable density TBMs, use pressurized tunnel face and pressurized tunnel perimeter around the tunnel shield to counterbalance external earth and groundwater pressures to minimize groundwater inflow during tunnel construction and work in concert with special layered shield brush-system with inflatable seals, to assure shield water-tightness during the tunnel excavation.<sup>4</sup>

Conventional Tunneling Methods – Conventional tunneling methods require access to the open face of the tunnel are limited to ground which can remain stable during excavation. In very hard rock, drill and shoot methods are required. In medium to soft rock, a road header can be employed and in stiff clay and soil an excavator can be used. Conventional tunneling is a very flexible method and can adapt to varying ground conditions and changing geometry. Support type and excavation methods can be adapted to meet the ground conditions including the ability to vary the support types, size of opening, ring closure time and the excavation technique as well as other factors. Tunneling can be done full face or in several drifts and benches. Typically, the cyclic steps of excavation included loosening and removing material in short sets of 3 feet to 10 feet before placing support measures. The freshly exposed ground must remain stable long enough to allow workers time to put initial support measures such as dowels, mesh, shotcrete, and lattice girders in place. The face and sides of the tunnel are exposed during the time between excavation and placement of support. For this reason, conventional tunneling methods are limited to stiff soil or rock. Construction below the water table in fractured rock or highly permeable ground such as sand, requires ground modification measures such as grouting or ground freezing in advance of excavation. Such measures are usually employed for short stretches of tunnel or adits but generally are cost prohibitive for long tunnels where use of a TBM is much more economical.

In conventional mined tunnel segments and cross passages, the Contractor shall use pre-excavating grouting techniques as the preliminary primary method of groundwater control to lower ground permeability and minimize or arrest ground water inflow into the excavated openings, prior to excavation of cross passages and other underground structures. Pre-excavation grouting will be adjusted as necessary to control ground water inflows. Pre-excavation grouting for conventionally mined tunnels will be carried out within the tunnel by face grouting or radial grouting. Ground improvement measures such as jet grouting and ground freezing, as applicable to specific ground conditions, are other methods which may be used to stabilize the excavation and seal off water during construction. As tunnel inflows may become mixed with construction materials such as concrete and grout that could otherwise affect water quality, tunnel inflows will be collected and pre-treated prior to any discharge into surface water or groundwater as necessary to maintain baseline water quality.

### **Monitoring and Remedial Action**

Hydrogeologic information from pre-construction subsurface investigations will be used to model existing hydrogeologic features and evaluate potential effects of tunneling on the local groundwater regime. Based on assessment of existing conditions and anticipated effects of construction to groundwater regime, the contractor will identify the specific methods (based on the methods described above) to minimize construction effects to the existing groundwater regime and suggest refined tunnel excavation methods and/or design to minimize or eliminate the risk and likelihood of impacts to groundwater.

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<sup>4</sup> State-of-the-art of slurry and EPB technology limits maximum pressure to approximately 17 bars/247 psi (570 feet of hydrostatic pressure). These TBM's can be utilized where feasible.

In order to check that these approaches are performing as anticipated, a groundwater instrumentation and monitoring program will be implemented. Prior to any disturbance of the groundwater regime by construction or pre-construction activities, baseline groundwater and surface water conditions will be established by systematic monitoring for a period of at least three years. Baseline monitoring shall include measurements of groundwater levels and groundwater quality as well as measurements of flow rates and hydroperiod of surface water features including creeks and ponds and precipitation.

During tunnel construction and operation, monitoring of groundwater conditions shall consist of systematic observation, measurement, and reporting of changes in 1) water levels in monitoring wells and existing water supply wells; 2) conditions at local springs and surface water bodies; 3) groundwater and surface water chemistry; and 4) quantity and quality of groundwater inflows to the tunnel.

Should unanticipated groundwater inflows be such that excavation by conventional tunneling methods is only possible with dewatering, design of dewatering measures shall specify horizontal and vertical limits on lowering of the groundwater table. Controlled dewatering, if necessary, could be accomplished by vertical or horizontal wells or vacuum drains and could be done from the ground surface or from within the tunnel. If monitoring and modeling indicate that water levels outside of the immediate vicinity of the tunnel could be affected, a simultaneous pumping and recharge system could be used to maintain existing water levels away from the immediate vicinity of the tunnel.

Following initial construction of the tunnel, if groundwater inflow and/or annular flow around the completed tunnel indicates substantial ongoing groundwater depletion, then remedial action, primarily consisting of additional grouting into void spaces around the tunnel exterior and/or other appropriate actions shall be employed.

## **Land Use, Development, and Station Planning**

### **LU-IAMF#1: HSR Station Area Development: General Principles and Guidelines**

Prior to Operation and Maintenance, the Authority shall prepare a memorandum for each station describing how the Authority's station area development principles and guidelines are applied to achieve the anticipated benefits of station area development. Refer to HSR Station Area Development General Principles and Guidelines, February 3, 2011.

### **LU-IAMF#2: Station Area Planning and Local Agency Coordination**

Prior to Operation and Maintenance, the Authority shall prepare a memorandum for each station describing the local agency coordination and station area planning conducted to prepare the station area for HSR operations. Refer to HSR Station Area Development: General Principles and Guidelines, February 3, 2011.

### **LU-IAMF#3: Restoration of Land Used Temporarily During Construction**

Prior to any ground disturbing activities at the site of land to be used temporarily during construction, the Contractor shall prepare a restoration plan addressing specific actions, sequence of implementation, parties responsible for implementation and successful achievement of restoration for temporary impacts. Before beginning construction use of land, the Contractor shall submit the restoration plan to the Authority for review and obtain Authority approval. The restoration plan shall include time-stamped photo documentation of the pre-construction conditions of all temporary staging areas. All construction access, mobilization, material laydown, and staging areas will be returned to a condition equal to the pre-construction staging condition. This requirement is included in the design-build construction contract requirements.

## Noise and Vibration

### NV-IAMF#1: Noise and Vibration

Prior to Construction, the Contractor shall prepare and submit to the Authority a noise and vibration technical memorandum documenting how the FTA and FRA guidelines for minimizing construction noise and vibration impacts will be employed when work is being conducted within 1,000 feet of sensitive receptors. Typical construction practices contained in the FTA and FRA guidelines for minimizing construction noise and vibration impacts include the following:

- Construct noise barriers, such as temporary walls or piles on excavated material, between noisy activities and noise sensitive resources.
- Route truck traffic away from residential streets, when possible.
- Construct walled enclosures around especially noisy activities or around clusters or noise equipment.
- Combine noisy operations so that they occur in the same period.
- Phase demolition, earthmoving, and ground impacting operations so as not to occur in the same time period.
- Avoid impact pile driving where possible in vibration sensitive areas.

## Parks, Recreation, and Open Space

### PK-IAMF#1: Parks, Recreation, and Open Space

Prior to Construction, the Contractor shall prepare and submit to the Authority a technical memorandum that identifies project design features to be implemented to minimize impacts on parks, recreation and open space. Typical design measures to avoid or minimize impacts to parks and recreation may include:

- Provide safe and attractive access for present travel modes (e.g., motorists, bicyclists, pedestrians—as applicable) to existing park and recreation facilities.
- Design guideway, system, and station features in such a way as to enhance the surrounding local communities. Provide easy crossings of the guideway which allows for community use under the guideway or at station areas.

## Public Utilities and Energy

### PUE-IAMF#1: Design Measures

The HSR project design incorporates utilities and design elements that minimize electricity consumption (e.g., using regenerative braking, energy-saving equipment on rolling stock and at station facilities, implementing energy saving measures during construction, and automatic train operations to maximize energy efficiency during operations). Thus, the project will not overburden utility services. The design elements are included in the design build contract. Additionally, the Authority has adopted a sustainability policy that establishes project design and construction requirements that avoid and minimize impacts.

### PUE-IAMF#2: Irrigation Facility Relocation

Where relocating an irrigation facility is necessary, the Contractor will verify the new facility is operational prior to disconnecting the original facility, where feasible. Irrigation facility relocation preferences are included in the design-build contract and reduce unnecessary impacts to continued operation of irrigation facilities. The Contractor shall document all relocations in a memorandum for Authority review and approval.

### **PUE-IAMF#3: Public Notifications**

Prior to Construction in areas where utility service interruptions are unavoidable, the Contractor will notify the public through a combination of communication media (e.g., by phone, email, mail, newspaper notices, or other means) within that jurisdiction and the affected service providers of the planned outage. The notification will specify the estimated duration of the planned outage and will be published no less than 7 days prior to the outage. Construction will be coordinated to avoid interruptions of utility service to hospitals and other critical users. The Contractor will submit the public communication plan to the Authority 60 days in advance of the work for verification that appropriate messaging and notification are to be provided.

### **PUE-IAMF#4: Utilities and Energy**

Prior to Construction, the Contractor shall prepare a technical memorandum documenting how construction activities will be coordinated with service providers to minimize or avoid interruptions. It will include upgrades of existing power lines to connect the HSR System to existing utility substations. The technical memorandum shall be provided to the Authority for review and approval.

## **Safety and Security**

### **SS-IAMF#1: Construction Safety Transportation Management Plan**

Prior to Construction (any ground disturbing activity), the Contractor shall prepare for submittal to the Authority a construction safety transportation management plan. The plan will describe the contractor's coordination efforts with local jurisdictions for maintaining emergency vehicle access. The plan will also specify the Contractor's procedures for implementing temporary road closures including: access to residences and businesses during construction, lane closures, signage and flag persons, temporary detour provisions, alternative bus and delivery routes, emergency vehicle access, and alternative access locations. The Contractor shall prepare and submit monthly reports to the Authority documenting construction transportation plan implementation activities for compliance monitoring.

### **SS-IAMF#2: Safety and Security Management Plan**

Sixty days after receiving from the Authority a construction notice-to-proceed, the Contractor shall provide the Authority with a technical memorandum documenting how the following requirements, plan, programs and guidelines were considered in design, construction and eventual operation to protect the safety and security of construction workers and users of the HSR. The Contractor shall be responsible for implementing all construction-related safety and security plans and the Authority shall be responsible for implementing all safety and security plans related to HSR operation.

- Workplace worker safety is generally governed by the Occupational Health and Safety Act of 1970, which established the OSHA. OSHA establishes standards and oversees compliance with workplace safety and reporting of injuries and illnesses of employed workers. In California, OSHA enforcement of workplace requirements is performed by California Occupational Safety and Health Administration (Cal OSHA). Under Cal OSHA regulations, as of July 1, 1991, every employer must establish, implement, and maintain an injury and illness prevention program.
- The Authority has adopted a Safety and Security Management Plan to guide the safety and security activities, processes, and responsibilities during design, construction and implementation phases of the project to protect the safety and security of construction workers and the public. A Systems Safety Program Plan (SSPP) and a System Security Plan will be implemented prior to the start of revenue service to guide the safety and security of the operation of the high-speed rail system.

- Prior to Construction, the Contractor shall provide the Authority with a Safety and Security Management Plan documenting how they will implement the Authority's safety and security requirements within their project scope.
- Implement site-specific health and safety plans and site-specific security plans to establish minimum safety and security guidelines for contractors of, and visitors to, construction projects. Contractors will be required to develop and implement site-specific measures that address regulatory requirements to protect human health and property at construction sites.
- Preparation of a Valley Fever action plan that includes: A) information on causes, preventative measures, symptoms, and treatments for Valley Fever to individuals who could potentially be exposed through construction activities (i.e., construction workers, monitors, managers, and support personnel); B) continued outreach and coordination with California Department of Public Health; C) coordination with county departments of public health to ensure that the above referenced information concerning Valley Fever is readily available to nearby residents, schools, and businesses and to obtain area information about Valley Fever outbreaks and hotspots; and D) provide a qualified person dedicated to overseeing implementation of the Valley Fever prevention measures to encourage a culture of safety of the contractors and subcontractors. The Valley Fever Health and Safety (VFHS) designee shall coordinate with the county Public Health Officer and oversee and manage the implementation of Valley Fever control measures. The VFHS designee is responsible for ensuring the implementation of measures in coordination with the county Public Health Officer. Medical information will be maintained following applicable and appropriate confidentiality protections. The VFHS in coordination with the county Public Health Officer will determine what measures will be added to the requirements for the Safety and Security Management Plan regarding preventive measures to avoid Valley Fever exposure. Measures shall include, but are not limited to the following: A) train workers and supervisors on how to recognize symptoms of illness and ways to minimize exposure, such as washing hands at the end of shifts; B) provide washing facilities nearby for washing at the end of shifts; C) provide vehicles with enclosed, air conditioned cabs and make sure workers keep the windows closed; D) equip heavy equipment cabs with high efficiency particulate air (HEPA) filters; and E) make NIOSH approved respiratory protection with particulate filters as recommended by the CDPH available to workers who request them.
- System safety program plans incorporate FRA requirements and are implemented upon FRA approval. FRA's SSPPs requirements will be determined in FRA's new System Safety Regulation (49 CFR 270).
- Rail systems must comply with FRA requirements for tracks, equipment, railroad operating rules and practices, passenger safety, emergency response, and passenger equipment safety standards found in 49 CFR Parts 200-299.
- The HSR *Urban Design Guidelines* (Authority 2011) require implementing the principles of crime prevention through environmental design. The contractor shall consider four basic principles of crime prevention through environmental design during station design and site planning: territoriality (design physical elements that express ownership of the station or site); natural surveillance (arrange physical features to maximize visibility); improved sightlines (provide clear views of surrounding areas); and access control (provide physical guidance for people coming and going from a space). The HSR design includes emergency access to the rail right-of-way, and elevated HSR structure design includes emergency egress points.
- Implement fire/life safety and security programs that promote fire and life safety and security in system design, construction, and implementation. The fire and life safety program is coordinated with local emergency response organizations to provide them with an understanding of the rail system, facilities, and operations, and to obtain their input for modifications to emergency response operations and facilities, such as evacuation routes. The Authority will establish fire/life safety and security committees throughout the HSR section.

- Implement system security plans that address design features intended to maintain security at the stations within the track right-of-way, at stations, and onboard trains. A dedicated police force will ensure that the security needs of the HSR system are met.
- The design standards and guidelines require emergency walkways on both sides of the tracks for both elevated and at-grade sections and the provision of appropriate space as defined by fire and safety codes along at-grade sections of the alignment to allow for emergency response access.
- Implement standard operating procedures and emergency operating procedures, such as the FRA-mandated Roadway Worker Protection Program to address the day-to-day operation and emergency situations that will maintain the safety of employees, passengers, and the public.

**SS-IAMF#3: Hazard Analyses**

The Authority’s hazard management program includes the identification of hazards, assessment of associated risk, and application of control measures (mitigation), to reduce the risk to an acceptable level. Hazard assessment includes a preliminary hazard analysis (PHA) and threat and vulnerability assessment (TVA).

- The Authority’s programmatic PHAs are developed in conformance with the FRA’s *Collision Hazard Analysis Guide: Commuter and Intercity Passenger Service* (FRA 2007) and the U.S. Department of Defense’s System Safety Program Plan (MIL-STD-882) to identify and determine the facility hazards and vulnerabilities so that they can be addressed by—and either eliminated or minimized—the design.
- TVAs establish provisions for the deterrence and detection of, as well as the response to, criminal and terrorist acts for rail facilities and system operations. Provisions include right-of-way fencing, intrusion detection, security lighting, security procedures and training, and closed-circuit televisions. Intrusion-detection technology could also alert to the presence of inert objects, such as toppled tall structures or derailed freight trains, and stop HSR operations to avoid collisions.
- During design and construction, the Contractor will conduct site-specific PHA and TVA assessments to apply the programmatic work to their specific project designs.

The Authority’s safety and security committees will be responsible for implementing the recommendations contained in the hazard analysis during HSR operation.

**SS-IAMF#4: Oil and Gas Wells**

Prior to ground disturbing activities, the Contractor shall identify and inspect all active and abandoned oil and gas wells within 200 feet of the HSR tracks. Any active wells will be abandoned and relocated by the Contractor in accordance with the California Department of Conservation, Division of Oil, and Gas and Geothermal Resources (DOGGR) standards in coordination with the well owners. In the event that relocated wells do not attain the current production rates of the now-abandoned active wells, the Authority will be responsible for compensating the well owner for lost production. All abandoned wells within 200 feet of the HS tracks will be inspected and re-abandoned, as necessary, in accordance with DOGGR standards and in coordination with the well owner. The Contractor will provide the Authority with documentation that the identification and inspection of the wells has occurred prior to construction.

**Socioeconomics and Communities**

**SOCIO-IAMF#1: Construction Management Plan**

Prior to Construction, the Contractor shall prepare a CMP providing measures that minimize impacts on low-income households and minority populations. The plan shall be submitted to the Authority for review and approval. The plan will include actions pertaining to communications, visual protection, air quality, safety controls, noise controls, and traffic controls to minimize

impacts on low-income households and minority populations. The plan will verify that property access is maintained for local businesses, residences, and emergency services. This plan will include maintaining customer and vendor access to local businesses throughout construction by using signs to instruct customers about access to businesses during construction. In addition, the plan will include efforts to consult with local transit providers to minimize impacts on local and regional bus routes in affected communities.

### **SOCIO-IAMF#2: Compliance with Uniform Relocation Assistance and Real Property Acquisition Policies Act.**

The Authority must comply with the Uniform Relocation Assistance and Real Property Acquisition Policies Act, as amended (Uniform Act). The provisions of the Uniform Act, a federally mandated program, will apply to all acquisitions of real property or displacements of persons resulting from this federally assisted project. It was created to provide for fair and equitable treatment of all affected persons. Additionally, the Fifth Amendment of the U.S. Constitution provides that private property may not be taken for a public use without payment of “just compensation.”

The Uniform Act requires that the owning agency provide notification to all affected property owners of the agency’s intent to acquire an interest in their property. This notification includes a written offer letter of just compensation. A right-of-way specialist is assigned to each property owner to assist him or her through the acquisition process. The Uniform Act also provides benefits to displaced individuals to assist them financially and with advisory services related to relocating their residence or business operation. Benefits are available to both owner occupants and tenants of either residential or business properties.

The Uniform Act requires provision of relocation benefits to all eligible persons regardless of race, color, religion, sex, or national origin. Benefits to which eligible owners or tenants may be entitled are determined on an individual basis and explained in detail by an assigned right-of-way specialist.

The California Relocation Assistance Act essentially mirrors the Uniform Act and also provides for consistent and fair treatment of property owners. However, because the project will receive federal funding, the Uniform Act takes precedence. Owners of private property have federal and state constitutional guarantees that their property will not be acquired or damaged for public use unless owners first receive just compensation. Just compensation is measured by the “fair market value,” where the property value is considered to be the highest price negotiated on the date of valuation. The value must be agreed upon by a seller who is willing, not obliged to sell, but under no particular or urgent necessity and by a buyer who is ready, willing, and able to buy but under no particular necessity. Both the owner and the buyer must deal with the other with the full knowledge of all the uses and purposes for which the property is reasonably adaptable and available (Code of Civil Procedure Section 1263.320a).

More detailed information about how the Authority plans to comply with the Uniform Act and the California Relocation Assistance Act is provided in the following three detailed relocation assistance documents modeled after Caltrans versions:

- *Your Rights and Benefits as a Displacee under the Uniform Relocation Assistance Program (Residential)*
- *Your Rights and Benefits as a Displacee under the Uniform Relocation Assistance Program (Mobile Home)*
- *Your Rights and Benefits as a Displaced Business, Farm, or Nonprofit Organization under the Uniform Relocation Assistance Program*

### **SOCIO-IAMF#3: Relocation Mitigation Plan**

Before any acquisitions occur, the Authority will develop a relocation mitigation plan, in consultation with affected cities and counties and property owners. In addition to establishing a program to minimize the economic disruption related to relocation, the relocation mitigation plan will be written in a style that also enables it to be used as a public-information document.

The relocation mitigation plan will be designed to meet the following objectives:

- Provide affected property and business owners and tenants a high level of individualized assistance in situations when acquisition is necessary and the property owner desires to relocate the existing land use.
- Coordinate relocation activities with other agencies acquiring property resulting in displacements in the study area to provide for all displaced persons and businesses to receive fair and consistent relocation benefits.
- Make a best effort to minimize the permanent closure of businesses and non-profit agencies as a result of property acquisition.
- Within the limits established by law and regulation, minimize the economic disruption caused to property owners by relocation.
- In individual situations, where warranted, consider the cost of obtaining the entitlement permits necessary to relocate to a suitable location and take those costs into account when establishing the fair market value of the property.
- Provide those business owners who require complex permitting with regulatory compliance assistance.

The relocation mitigation plan will include the following components:

- A description of the appraisal, acquisition, and relocation process as well as a description of the activities of the appraisal and relocation specialists.
- A means of assigning appraisal and relocation staff to affected property owners, tenants, or other residents on an individual basis.
- Individualized assistance to affected property owners, tenants, or other residents in applying for funding, including research to summarize loans, grants, and federal aid available, and research areas for relocation.
- Creation of an ombudsman’s position to act as a single point of contact for property owners, residents, and tenants with questions about the relocation process. The ombudsman will also act to address concerns about the relocation process as it applies to the individual situations of property owners, tenants, and other residents.

## Transportation

### TR-IAMF#1: Protection of Public Roadways during Construction

Prior to Construction, the Contractor shall provide a photographic survey documenting the condition of the public roadways along truck routes providing access to the proposed project site. The photographic survey shall be submitted for approval to the agency responsible for road maintenance and the Authority. The Contractor shall be responsible for the repair of any structural damage to public roadways caused by HSR construction or construction access, returning any damaged sections to the equivalent of their original pre HSR construction structural condition or better. The Contractor shall survey the condition of the public roadways along truck routes providing access to the proposed project site after construction is complete. The Contractor shall complete a before- and after-survey report and submit it to the Authority for review, indicating the location and extent of any damage.

### TR-IAMF#2: Construction Transportation Plan

The design-build contractor shall prepare a detailed Construction Transportation Plan (CTP) for the purpose of minimizing the impact of construction and construction traffic on adjoining and nearby roadways in close consultation with the local jurisdiction having authority over the site. The Authority must review and approve the CTP before the Contractor commences any construction activities. This plan will address, in detail, the activities to be carried out in each

construction phase, with the requirement of maintaining traffic flow during peak travel periods. Such activities include, but are not limited to, the routing and scheduling of materials deliveries, materials staging and storage areas, construction employee arrival and departure schedules, employee parking locations, and temporary road closures, if any. The CTP will provide traffic controls pursuant to the *California Manual on Uniform Traffic Control Devices* sections on temporary traffic controls (Caltrans 2012) and will include a traffic control plan that includes, at a minimum, the following elements:

- Temporary signage to alert drivers and pedestrians to the construction zone.
- Flag persons or other methods of traffic control.
- Traffic speed limitations in the construction zone.
- Temporary road closures and provisions for alternative access during the closure.
- Detour provisions for temporary road closures—alternating one-way traffic will be considered as an alternative to temporary closures where practicable and where it will result in better traffic flow than a detour.
- Identified routes for construction traffic.
- Provisions for safe pedestrian and bicycle passage or convenient detour.
- Provisions to minimize access disruption to residents, businesses, customers, delivery vehicles, and buses to the extent practicable—where road closures are required during construction, limit to the hours that are least disruptive to access for the adjacent land uses.
- Provisions for farm equipment access.
- Provisions for 24-hour access by emergency vehicles.
- Safe vehicular and pedestrian access to local businesses and residences during construction. The plan will provide for scheduled transit access where construction will otherwise impede such access. Where an existing bus stop is within the work zone, the design-builder will provide a temporary bus stop at a safe and convenient location away from where construction is occurring in close coordination with the transit operator. Adequate measures will be taken to separate students and parents walking to and from the temporary bus stop from the construction zone.
- Advance notification to the local school district of construction activities and rigorously maintained traffic control at all school bus loading zones, to provide for the safety of schoolchildren. Review existing or planned Safe Routes to Schools with school districts and emergency responders to incorporate roadway modifications that maintain existing traffic patterns and fulfill response route and access needs during project construction and HSR operations.
- Identification and assessment of the potential safety risks of project construction to children, especially in areas where the project is located near homes, schools, day care centers, and parks.
- Promotion of child safety within and near the project area. For example, crossing guards could be provided in areas where construction activities are located near schools, day care centers, and parks.

CTPs will consider and account for the potential for overlapping construction projects.

### **TR-IAMF#3: Off-Street Parking for Construction-Related Vehicles**

The Contractor shall identify adequate off-street parking for all construction-related vehicles throughout the construction period to minimize impacts to public on-street parking areas. If adequate parking cannot be provided on the construction sites, the Contractor shall designate a remote parking area and arrange for the use a shuttle bus to transfer construction workers to/from the job site. This measure shall be addressed in the CTP.

#### **TR-IAMF#4: Maintenance of Pedestrian Access**

The Contractor shall prepare specific construction management plans to address maintenance of pedestrian access during the construction period. Actions that limit pedestrian access will include, but not be limited to, sidewalk closures, bridge closures, crosswalk closures or pedestrian rerouting at intersections, placement of construction-related material within pedestrian pathways or sidewalks, and other actions that may affect the mobility or safety of pedestrians during the construction period. If sidewalks are maintained along the construction site frontage, provide covered walkways and fencing. The plan objective shall be to maintain pedestrian access where feasible (i.e., meeting design, safety, Americans with Disabilities Act (ADA) requirements). This measure shall be addressed in the CTP.

#### **TR-IAMF#5: Maintenance of Bicycle Access**

The Contractor shall prepare specific construction management plans to address maintenance of bicycle access during the construction period. Actions that limit bicycle access will include, but not be limited to, bike lane closures or narrowing, closure or narrowing of streets that are designated bike routes, bridge closures, placement of construction-related materials within designated bike lanes or along bike routes, and other actions that may affect the mobility or safety of bicyclists during the construction period. Maintain bicycle access where feasible (i.e., meeting design, safety, ADA requirements). This measure shall be addressed in the CTP.

#### **TR-IAMF#6: Restriction on Construction Hours**

The Contractor shall limit construction material deliveries between 7 a.m. and 9 a.m. and between 4 p.m. and 6 p.m. on weekdays to minimize impacts to traffic on roadways. The contractor shall limit the number of construction employees arriving or departing the site between the hours of 7 a.m. and 8:30 a.m. and 4:30 p.m. and 6 p.m. Areas where these restrictions will be implemented will be determined as part of the CTP. Based on Authority review of the CTP the restricted hours maybe altered due to local travel patterns.

#### **TR-IAMF#7: Construction Truck Routes**

The Contractor shall deliver all construction-related equipment and materials on the appropriate truck routes and shall prohibit heavy-construction vehicles from using alternative routes to get to the site. Truck routes will be established away from schools, day care centers, and residences, or along routes with the least impact if the Authority determines those areas are unavoidable. This measure shall be addressed in the CTP.

#### **TR-IAMF#8: Construction during Special Events**

The Contractor shall provide a mechanism to prevent roadway construction activities from reducing roadway capacity during major athletic events or other special events that substantially (10 percent or more) increase traffic on roadways affect by project construction. Mechanisms include the presence of police officers directing traffic, special-event parking, use of within-the-curb parking, or shoulder lanes for through-traffic and traffic cones. This measure shall be addressed in the CTP.

#### **TR-IAMF#9: Protection of Freight and Passenger Rail during Construction.**

The Contractor shall repair any structural damage to freight or public railways that may occur during the construction period, and return any damaged sections to their original structural condition. If necessary, during construction, a “shoofly” track will be constructed to allow existing train lines to bypass any areas closed for construction activities. Upon completion, tracks will be opened and repaired; or new mainline track will be constructed, and the “shoofly” will be removed. Contractor repair responsibility will be included in the design/build contract.

#### **TR-IAMF#10: Off Peak Hour Employee Work Shift Changes at HMF [as applicable to HMF-related sections or the HMF project]**

The Authority will time work shifts for the heavy maintenance facility (HMF) facilities so the shifts do not coincide with local peak hour travel period. When the HMF employees arrive and depart,

they will do so during a non-peak period for local traffic. As a result, the total volumes on the roads during shift changes will be less than the volumes that occur during the local peak periods.

**TR-IAMF#11: Maintenance of Transit Access.**

The Contractor shall prepare specific construction management plans to address maintenance of transit access during the construction period. Actions that limit transit access will include, but not be limited to, roadway lane closures or narrowing, closure or narrowing of streets that are designated transit routes, bus stop closures, bridge closures, placement of construction-related materials within designated transit lanes, bus stop or layover zones or along transit routes, and other actions that may affect the mobility or safety of bus transit during the construction period. Maintain transit access where feasible (i.e., meeting design, safety, ADA requirements). This measure shall be addressed in the CTP.

**TR-IAMF#12: Pedestrian and Bicycle Safety**

Prior to construction, the Contractor shall provide a technical memorandum describing how pedestrian and bicycle accessibility will be provided and supported across the HSR corridor, to and from stations and on station property. Priority of safety for pedestrians and bicycles and vulnerable populations over motor vehicle access will be done in a way so as to encourage maximum potential access from non-motorized modes. Local access programs, such as Safe Routes to Schools, shall be maintained or enhanced. Access to community facilities for vulnerable populations shall be maintained or enhanced.