

# Notice of Exemption

# Appendix E

To: Office of Planning and Research  
P.O. Box 3044, Room 113  
Sacramento, CA 95812-3044

From: (Public Agency): \_\_\_\_\_

County Clerk

County of: \_\_\_\_\_

(Address)

Project Title: \_\_\_\_\_

Project Applicant: \_\_\_\_\_

Project Location - Specific:

Project Location - City: \_\_\_\_\_ Project Location - County: \_\_\_\_\_

Description of Nature, Purpose and Beneficiaries of Project:

Name of Public Agency Approving Project: \_\_\_\_\_

Name of Person or Agency Carrying Out Project: \_\_\_\_\_

Exempt Status: **(check one):**

- Ministerial (Sec. 21080(b)(1); 15268);
- Declared Emergency (Sec. 21080(b)(3); 15269(a));
- Emergency Project (Sec. 21080(b)(4); 15269(b)(c));
- Categorical Exemption. State type and section number: \_\_\_\_\_
- Statutory Exemptions. State code number: \_\_\_\_\_

Reasons why project is exempt:

Lead Agency

Contact Person: \_\_\_\_\_ Area Code/Telephone/Extension: \_\_\_\_\_

**If filed by applicant:**

1. Attach certified document of exemption finding.
2. Has a Notice of Exemption been filed by the public agency approving the project?    Yes    No

Signature: Sherry Constancio    Date: \_\_\_\_\_    Title: \_\_\_\_\_

Signed by Lead Agency    Signed by Applicant

Governor's Office of Planning & Research

Authority cited: Sections 21083 and 21110, Public Resources Code.  
Reference: Sections 21108, 21152, and 21152.1, Public Resources Code.

Date Received for filing at OPR: **Oct 22 2020**

**STATE CLEARINGHOUSE**

# **ATTACHMENT**

**Categorical Exemption Determination Form**



**CEQA EXEMPTION / NEPA CATEGORICAL EXCLUSION  
DETERMINATION FORM (rev. 05/2020)**

**Project Information**

**DIST-CO-RTE:** 01-LAK-20

**PM/PM:** 12.27/45.96

**EA:** 01-0K500

**Federal-Aid Project Number:**

**Project Description**

The California Department of Transportation (Caltrans) is proposing to repair or replace eight deteriorated culverts along State Route (SR) 20 between post mile (PM) 12.27 and PM 45.96 in Lake County. The purpose of the project is to prevent damage to SR 20 from culvert failure and washouts. The project is needed because the culverts have exceeded their useful service life. Project scope components would include drainage/culverts, ground disturbance, and vegetation removal.

The culverts would be replaced as closely as possible to the existing alignment (horizontal/vertical). All work would be done from the roadway, with shoulders and turnouts used for staging. Without such repair or replacement, the roadway could be subject to washout, requiring more extensive roadway reconstruction.

**Continued on Page 3.**

**Caltrans CEQA Determination** (Check one)

- Not Applicable** – Caltrans is not the CEQA Lead Agency
- Not Applicable** – Caltrans has prepared an IS or EIR under CEQA

Based on an examination of this proposal and supporting information, the project is:

- Exempt by Statute.** (PRC 21080[b]; 14 CCR 15260 et seq.)
- Categorically Exempt. Class 2.** (PRC 21084; 14 CCR 15300 et seq.)
  - No exceptions apply that would bar the use of a categorical exemption (PRC 21084 and 14 CCR 15300.2). See the [SER Chapter 34](#) for exceptions.
- Covered by the Common Sense Exemption.** This project does not fall within an exempt class, but it can be seen with certainty that there is no possibility that the activity may have a significant effect on the environment (14 CCR 15061[b][3].)

**Senior Environmental Planner or Environmental Branch Chief**

Mundeep Purewal	<i>Mundeep Purewal</i>	10/8/20
Print Name	Signature	Date

**Project Manager**

Sherry Constancio	<i>Sherry Constancio</i>	10/14/2020
Print Name	Signature	Date



CEQA EXEMPTION / NEPA CATEGORICAL EXCLUSION DETERMINATION FORM

Caltrans NEPA Determination (Check one)

Caltrans has determined that this project has no significant impacts on the environment as defined by NEPA, and that there are no unusual circumstances as described in 23 CFR 771.117(b). See SER Chapter 30 for unusual circumstances. As such, the project is categorically excluded from the requirements to prepare an EA or EIS under NEPA and is included under the following:

- 23 USC 326: Caltrans has been assigned, and hereby certifies that it has carried out the responsibility to make this determination pursuant to 23 USC 326 and the Memorandum of Understanding dated April 18, 2019, executed between FHWA and Caltrans. Caltrans has determined that the project is a Categorical Exclusion under:
- 23 CFR 771.117(c): activity (c)(N/A)
- 23 CFR 771.117(d): activity (d)(N/A)
- Activity N/A listed in Appendix A of the MOU between FHWA and Caltrans
23 USC 327: Based on an examination of this proposal and supporting information, Caltrans has determined that the project is a Categorical Exclusion under 23 USC 327. The environmental review, consultation, and any other actions required by applicable Federal environmental laws for this project are being, or have been, carried out by Caltrans pursuant to 23 USC 327 and the Memorandum of Understanding dated December 23, 2016 and executed by FHWA and Caltrans.

Senior Environmental Planner or Environmental Branch Chief

N/A
Print Name Signature Date

Project Manager/ DLA Engineer

N/A
Print Name Signature Date

Date of Categorical Exclusion Checklist completion: N/A
Date of Environmental Commitment Record or equivalent: 09/29/2020

Briefly list environmental commitments on continuation sheet if needed (i.e., not necessary if included on an attached ECR). Reference additional information, as appropriate (e.g., additional studies and design conditions).



CEQA EXEMPTION / NEPA CATEGORICAL EXCLUSION  
DETERMINATION FORM

**Continuation sheet:**

**Project Description, continued**

**Staging**

Shoulders and turnouts would be used for any needed staging. These are within Caltrans right of way.

**Disposal/Borrow**

Due to the nature of the project, a borrow site would not be required.

**Right of Way**

This project would be completed within Caltrans right of way.

**Consultation and Agency Coordination**

Based on the proposed scope of the work and due to the nature of the project, Caltrans would work with the California Department of Fish and Wildlife (CDFW) on obtaining a 1602 permit.

**Permits**

Based on the proposed scope of the work and due to the nature of the project, a 1602 permit with CDFW would be required.