

# PLACER 49 SAFETY BARRIER PROJECT

PLACER COUNTY, CALIFORNIA  
DISTRICT 3 – PLA – 49 (PM R8.7/R10.6)  
03-4H600/031900004

## Initial Study with Proposed Mitigated Negative Declaration / Environmental Assessment



**Prepared by the  
State of California, Department of Transportation**

The environmental review, consultation, and any other actions required by applicable Federal environmental laws for this project are being, or have been, carried out by Caltrans pursuant to 23 USC 327 and the Memorandum of Understanding dated December 23, 2016, and executed by FHWA and Caltrans.



**May 2021**

## General Information about This Document

### What's in this document:

The California Department of Transportation (Caltrans), as assigned by the Federal Highway Administration (FHWA), has prepared this Initial Study/Environmental Assessment (IS/EA), which examines the potential environmental impacts of the alternatives being considered for the proposed project located in Placer County, California. Caltrans is the lead agency under the National Environmental Policy Act (NEPA). Caltrans is the lead agency under the California Environmental Quality Act (CEQA). The document tells you why the project is being proposed, what alternatives we have considered for the project, how the existing environment could be affected by the project, the potential impacts of each of the alternatives, and the proposed avoidance, minimization, and/or mitigation measures.

### What you should do:

- Please read this document.
- Additional copies of this document and the related technical studies are available for review at:
  - Caltrans District 3 Office, 703 B Street, Marysville, CA. 95901
  - Auburn Library, 350 Nevada Street, Auburn CA. 95603
  - Grass Valley Library, 207 Mill Street, Grass Valley, CA. 95945
  - Madelyn Helling Library, 980 Helling Way, Nevada City, CA. 95959
  - Nevada County Offices (Main Lobby), 950 Maidu Avenue, Nevada City, CA. 95959
- This document may be downloaded at the following website:  
<https://dot.ca.gov/caltrans-near-me/district-3/d3-programs/d3-environmental/d3-environmental-docs>
- Caltrans is hosting a virtual public meeting seeking community comments via Cisco WebEx. The online event is scheduled Wednesday, May 26, 2021 from 6 to 7 p.m. Meeting information with details about WebEx will be posted on the project website at:  
<https://dot.ca.gov/caltrans-near-me/district-3/d3-projects/d3-sr-49-safety-barrier>  
For those preferring to participate using a landline, a phone bridge will also be available:  
1-888-570-6350 Phone Number  
4170217 . . . . . Participant
- We'd like to hear what you think. If you have any comments about the proposed project, please attend the virtual public meeting and/or send your written comments via postal mail or email to the Department by the deadline.
- Send comments via postal mail to:  
Sandeep Sandhu, North Region Environmental  
California Department of Transportation, District 3  
703 B Street, Marysville, CA 95901
- Send comments via email to: [hw49safetybarrier@dot.ca.gov](mailto:hw49safetybarrier@dot.ca.gov)
- Comments can also be submitted via the project website below:  
<https://deavpm.wixsite.com/pla49sb>
- Be sure to send comments by the deadline: **June 17, 2021**

**What happens next:**

After comments are received from the public and reviewing agencies, the Department, as assigned by the FHWA, may: (1) give environmental approval to the proposed project, (2) do additional environmental studies, or (3) abandon the project. If the project is given environmental approval and funding is obtained, the Department could design and construct all or part of the project.

**Alternative Formats:**

For individuals with sensory disabilities, this document can be made available in Braille, in large print, on audiocassette, or on computer disk. To obtain a copy in one of these alternate formats, please call or write to Department of Transportation, Attn: Sandeep Sandhu, North Region Environmental, 703 B Street, Marysville, CA 95901; 530-720-3324 (Voice), or use the California Relay Service 1 (800) 735-2929 (TTY to Voice), 1 (800) 735-2922 (Voice to TTY), 1 (800) 855-3000 (Spanish TTY to Voice and Voice to TTY), 1-800-854-7784 (Spanish and English Speech-to-Speech) or 711.

SCH# TBD  
03-PLA-49-PM R8.7/R10.6  
EA: 03-4H600  
03-1900-0004

Placer 49 Safety Improvement Project on  
State Route 49, in Placer County (Postmile R8.7 to Postmile R10.6)  
north of Auburn city limits

## **INITIAL STUDY with Proposed Mitigated Negative Declaration/Environmental Assessment**

Submitted Pursuant to: (State) Division 13, California Public Resources Code  
(Federal) 42 USC 4332(2)(C)

THE STATE OF CALIFORNIA  
Department of Transportation

05/18/2021

Date

*Mike Bartlett*

Mike Bartlett, Office Chief  
North Region Environmental-District 3  
California Department of Transportation  
CEQA/NEPA Lead Agency

The following persons may be contacted for more information about this document:

Sandeep Sandhu, 703 B Street, Marysville, CA 95901  
Telephone Number: 530-720-3324

## PROPOSED MITIGATED NEGATIVE DECLARATION

Pursuant to: Division 13, Public Resources Code

### ***Project Description***

The California Department of Transportation (Caltrans) proposes a safety project on California State Route 49 (SR-49) in Placer County between the city of Auburn and the city of Grass Valley. This project proposes to construct a concrete median barrier on SR 49, between Lorenson Road and Lone Star Road to reduce the number and severity of cross median collisions within this segment. In addition, construction of traffic features at Lorenson Road and Lone Star Road intersections are proposed to accommodate U-turn movements for out-of-direction travel resulting from the construction of the concrete median barrier.

### ***Determination***

This proposed Mitigated Negative Declaration (MND) is included to give notice to interested agencies and the public that it is the Department's intent to adopt an MND for this project. This does not mean that the Department's decision regarding the project is final. This MND is subject to change based on comments received by interested agencies and the public.

Caltrans has prepared an Initial Study for this project and, pending public review, expects to determine from this study that the proposed project would not have a significant effect on the environment for the following reasons:

The proposed project would have no impact on **agriculture and forest resources, energy, geology and soils, land use and planning, mineral resources, public services, recreation, and tribal cultural resources.**

In addition, the proposed project would have less than significant impact to **aesthetics, air quality, cultural resources, greenhouse gas emissions, hazards and hazardous waste materials, hydrology and water quality, population and housing, noise, transportation, utilities and service systems, and wildfires.**

With the following avoidance, minimization, and mitigation measures incorporated, the proposed project would have less than significant effects to **biological resources:**

**BIO-2: Natural Resource Protection Plan**

**BIO-4: Install Fencing to Protect Sensitive Biological Resources**

**BIO-5: Compensatory for Impacts on Wetlands**

*Mike Bartlett*

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Mike Bartlett, Office Chief  
North Region Environmental-District 3  
California Department of Transportation

05/18/2021

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Date



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# Chapter 1 – Proposed Project

## 1.1 Introduction

### NEPA Assignment

California participated in the “Surface Transportation Project Delivery Pilot Program” (Pilot Program), pursuant to 23 USC 327, for more than five years beginning July 1, 2007, and ending September 30, 2012. MAP-21 (P.L. 112-141), signed by President Obama on July 6, 2012, amended 23 USC 327 to establish a permanent Surface Transportation Project Delivery Program. As a result, the Department entered into a Memorandum of Understanding pursuant to 23 USC 327 (NEPA Assignment MOU) with FHWA. The NEPA Assignment MOU became effective October 1, 2012, and was renewed on December 23, 2016, for a term of five years. In summary, the Department continues to assume FHWA responsibilities under NEPA and other federal environmental laws in the same manner as was assigned under the Pilot Program, with minor changes. With NEPA Assignment, FHWA assigned and the Department assumed all of the United States Department of Transportation (USDOT) Secretary's responsibilities under NEPA. This assignment includes projects on the State Highway System and Local Assistance Projects off of the State Highway System within the State of California, except for certain categorical exclusions that FHWA assigned to the Department under the 23 USC 326 CE Assignment MOU, projects excluded by definition, and specific project exclusions.

The California Department of Transportation (Caltrans), as assigned by the Federal Highway Administration (FHWA), is the lead agency under the National Environmental Policy Act (NEPA). Caltrans is the lead agency under the California Environmental Quality Act (CEQA).

Caltrans proposes to improve safety and operations through the Federally mandated and State supported Highway Safety Improvement Program (HSIP), as a 201.010 Safety Improvement Program project, on a segment of State Route (SR) 49 in Placer County, about 4 miles north of the city of Auburn. The total length of the project is about 1.9 miles. Figures 1 and 2 show the project location and vicinity maps.

SR 49 travels north-south within Caltrans District 3 for approximately 146 miles. Within the District, the route begins at the Amador/El Dorado County line traveling north in El Dorado County, traversing Placer, Nevada, Yuba and Sierra counties, and ending at the Sierra/Plumas County line north of the city of Loyalton. A route break occurs in Nevada County at the junction of SR 20 and in Sierra County at the junction of SR 89. SR 49 provides access to towns and cities such as El Dorado, Diamond Springs, Placerville, Coloma, Auburn, Grass Valley, Nevada City, Downieville, Loyalton, and many communities in the Gold Country area. SR 49 intersects US 50 near Placerville, SR 193 in Placerville and Cool, I-80 in Auburn, SR 20 in Grass Valley and Nevada City, and joins with SR 89 between Sierraville and Sattley.

SR 49 provides lifeline accessibility for interregional movement of people, goods, agriculture, and recreation. It is also considered an alternative route during closures on I-80. Traffic on SR 49 is a mixture of local and visitor vehicles traveling to residential sites, commercial establishments, and recreational facilities along its length. Traffic volumes on SR 49 vary considerably from the urban community of Auburn to the small, rural community of Downieville.

This segment of SR-49 is a four-lane conventional highway with two lanes in each direction and a two way, left turn lane in the median to allow vehicles to turn in and out of local roads, driveways, and unsignalized intersections. The posted regulatory speed limit on this segment of SR 49 is 65 mph. The truck designation is Terminal Access Surface Transportation Assistance Act (STAA).

The project was initiated per the Highway Safety Improvement Program (HSIP) Multilane Cross Median Collision Monitoring Program. This segment of SR 49 met HSIP requirements for funding under the State Highway Operation and Protection Program (SHOPP) 20.XX.201.010, Safety Program for installation of a median barrier.

## **1.2 Purpose and Need**

### **1.2.1 Need**

This segment of SR 49 has a history of cross median collisions identified through the Multilane Cross Median Collision Monitoring Program. Per the March 2019 Traffic Safety Systems Guidance, this segment meets the requirement for installation of a concrete median barrier.

### **1.2.2 Purpose**

The purpose of this project is to improve safety on this segment of SR 49 by reducing the number and severity of cross median collisions through installation of a concrete median barrier on SR 49 from Lorenson Road/Florence Lane to Lone Star Road. To accommodate U-turn movements for out-of-direction travel due to the installation of the concrete median barrier, traffic features will be constructed at Lorenson Road/Florence Lane and Lone Star Road intersections.

### **1.2.3 Problem, Deficiencies, Justification**

This segment of SR 49 has a history of cross median collisions. Based on a Selective Collision Rate Calculation performed by District 3 Office of Traffic Safety for this segment of roadway, for the three-year period from January 1, 2015, to December 31, 2017, there were 34 reported collisions including one fatal head-on collision in 2017. Of the 34 reported collisions, 1 resulted in a fatality, 12 resulted in injury, and 21 resulted in property damage. Out of these 34 collisions, 2 were cross-centerline and head-on, 6 were sideswipes, 5 were rear ends, 9 were broadside collisions, 5 were object collisions, 4 were overturned vehicles, and 3 were not reported.

This project was identified through the Federally mandated, State supported Highway Safety Improvement Program (HSIP) as a 201.010, Safety Improvement Program project. This is part of the Multilane Cross Median Monitoring Program to place concrete median barrier on SR 49 in Placer County, due to a series of cross median collisions that resulted in both fatal and serious injuries. The concrete median barrier will be installed on a segment between Lorenson Road/Florence Lane and Lone Star Road, respectively.

The placement of the concrete median barrier on SR within the project limits cuts off direct left turn access onto SR 49 for the public exiting from SR 49 or entering from driveways or side streets creates out of direction travel for the public. This creates a necessity to provide a nearby safe and viable U-turn movement to return in the other direction of travel. The nearest existing

marked U-turn movement at a signalized intersection on SR 49 for northbound traffic is at Wolf-Combie Road, 3.3 miles away, and for southbound traffic at Willow Creek Road, 2.8 miles away.

Caltrans has identified safety of the transportation system as a primary Mission and has established Safety First Goals to provide a safe transportation system for all users and workers. We have also been tasked to rethink Traffic Safety processes to include the **Four Pillars of Traffic Safety** as we work toward the ultimate "Toward Zero Deaths" goal. This includes use of:

- FHWA Proven Safety Countermeasures, part of the Everyday Counts program;
- Safe System approach for traffic safety, which notes that death and serious injury are unacceptable, that humans make mistakes and are vulnerable, that responsibility is shared, that safety is proactive, and that system redundancy is critical;
- Accelerate advanced technology; and
- Integrating equity by ensuring that the goals of the Strategic Highway Safety Plan (SHSP) and HSIP are incorporated into engineering processes to help traditionally underserved populations.
- The change to Safe Systems approach is a paradigm shift in roadway safety philosophy. Whereas before we wanted to prevent collisions, we now want to prevent death and serious injuries.

### 1.3 Independent Utility and Logical Termini

Federal Highway Administration (FHWA) regulations (23 Code of Federal Regulations [CFR] 771.111 [f]) require that the action evaluated:

1. Connect logical termini and be of sufficient length to address environmental matters on a broad scope.
2. Have independent utility or independent significance (be usable and be a reasonable expenditure even if no additional transportation improvements in the area are made).
3. Not restrict consideration of alternatives for other reasonably foreseeable transportation improvements.

Per FHWA guidelines on "Independent Utility and Logical Termini," This project should satisfy an identified need, such as safety, rehabilitation, economic development, or capacity improvements, and should be considered in the context of the local area socioeconomics and topography, the future travel demand, and other infrastructure improvements in the area.

Logical termini for project development are defined as (1) rational end points for a transportation improvement, and (2) rational end points for a review of the environmental impacts. The environmental impact review frequently covers a broader geographic area than the strict limits of the transportation improvements. In the past, the most common termini have been points of major traffic generation, especially intersecting roadways. This is because in most cases traffic generators determine the size and type of facility being proposed. However, there are also cases where the project improvement is not primarily related to congestion due to traffic generators, and the choice of termini based on these generators may not be appropriate.

When developing a transportation project, project sponsors should consider how the end points of the action are determined, both for the improvement itself and for the scope of the environmental analysis. Whether the action has "logical termini" or not is also a concern. Logical

termini for project development are defined as rational end points for both a transportation improvement and a review of the environmental impacts.

The need of this project is to address the history of cross median collisions along this section of the corridor. The purpose is to improve safety on this segment of SR 49 by reducing the number and severity of cross median collisions from Lorensen Road/Florence Lane to Lone Star Road. The project limits and environmental study area were based on these termini. Therefore, the project has logical termini.

The project alternatives will address the purpose and need without additional improvements; therefore, the project has independent utility.

#### **1.4 Project Description**

This section describes the proposed action and the project alternatives developed to meet the purpose and need of the project, while avoiding or minimizing environmental impacts.

This project is located on California State Route 49 (SR 49) in Placer County, from Post Miles (PM) 8.7 to PM 10.6, between the City of Auburn and the City of Grass Valley. This project proposes to construct a concrete median barrier on SR 49, between Lorensen Road/Florence Lane and Lone Star Road to reduce the number and severity of cross median collisions within this segment. In addition, construction of traffic features at Lorensen Road/Florence Lane and Lone Star Road intersections are proposed to accommodate U-turn movements for out-of-direction travel resulting from the construction of the concrete median barrier.

Figure 1. Project Vicinity Map

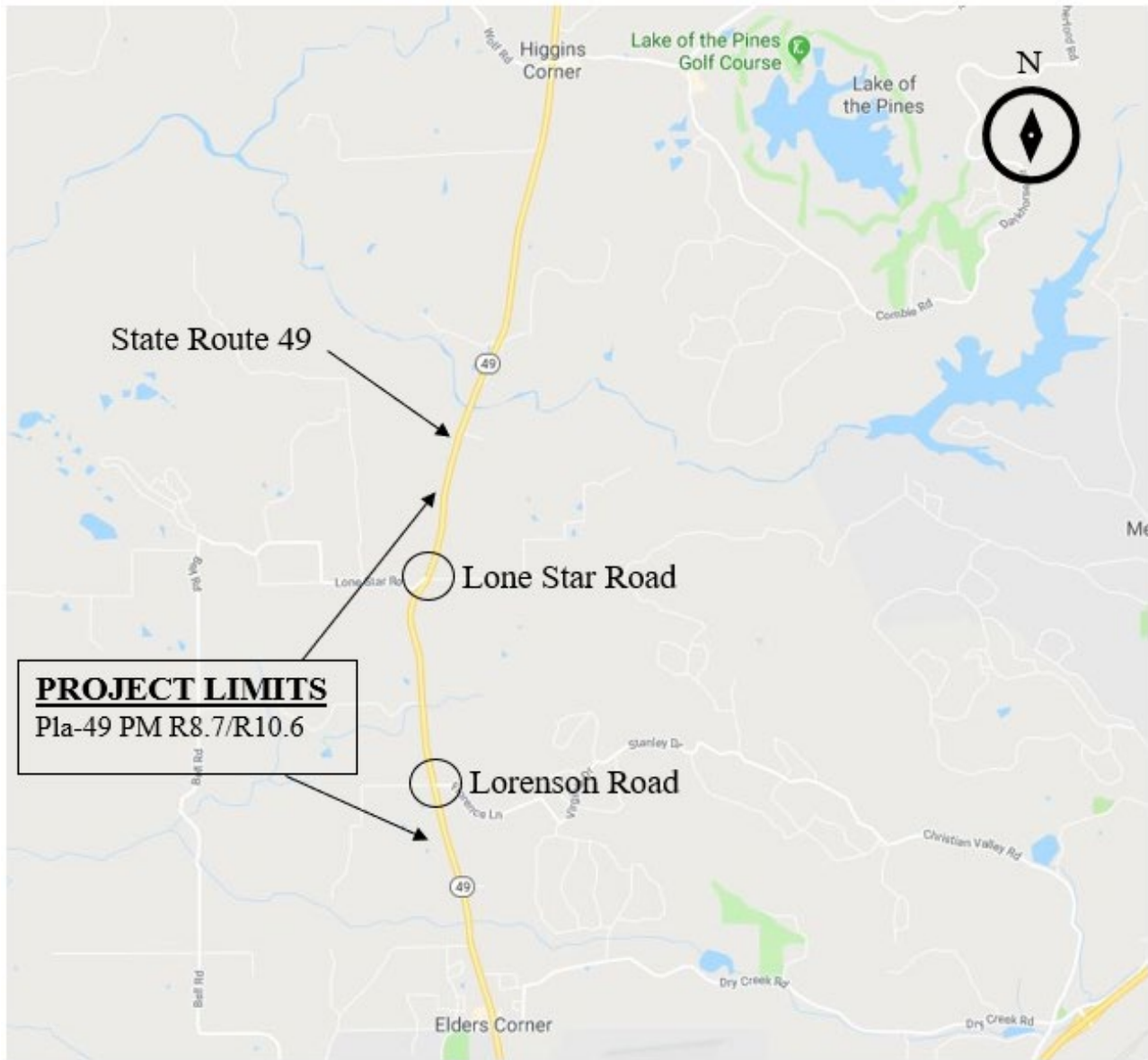
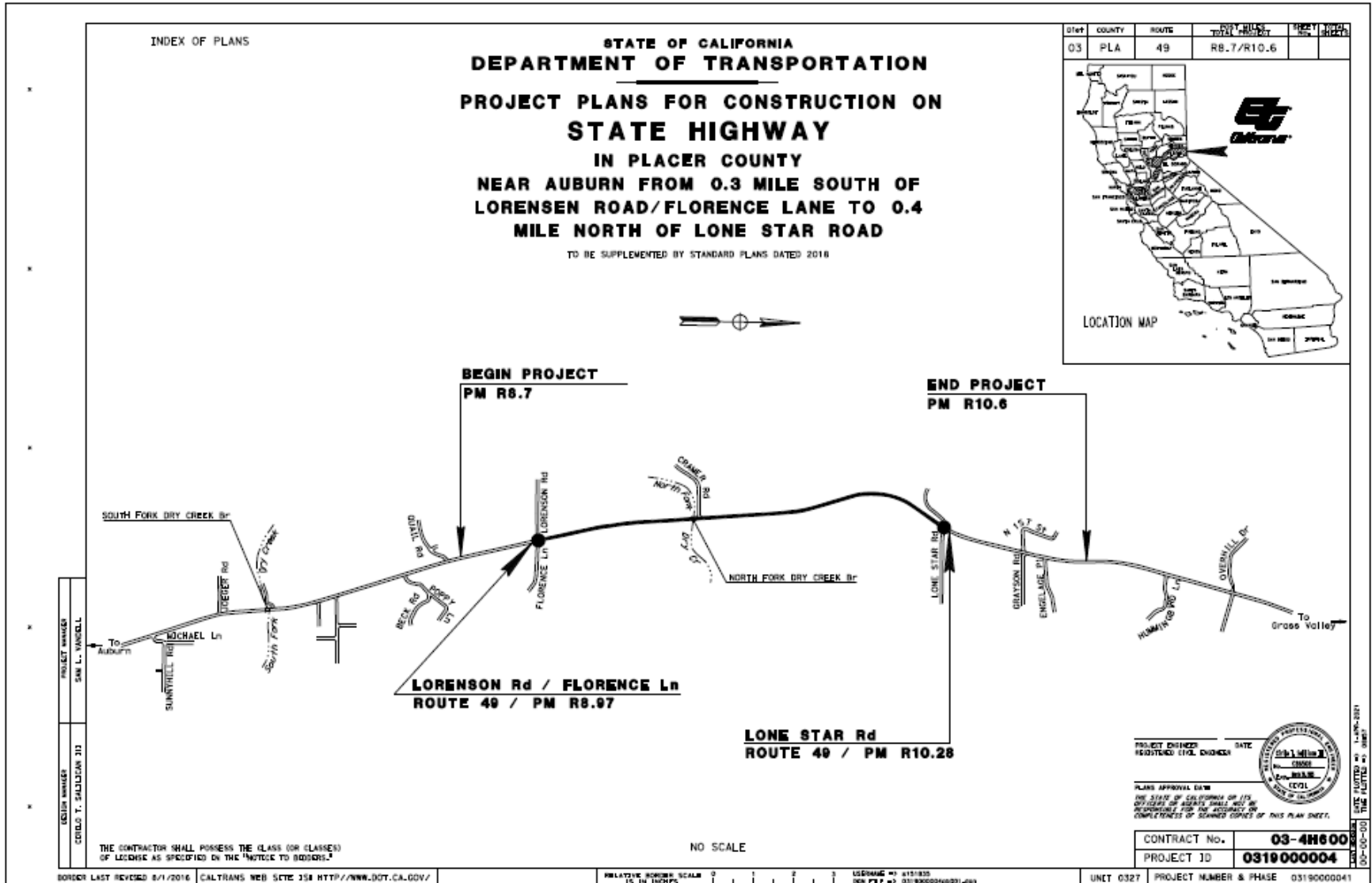


Figure 2. Project Location Map



## 1.5 PROJECT ALTERNATIVES

Under evaluation for this project are three build alternative—Alternative 1, Alternative 2 and Alternative 3 described in the subsections below, as well as a No-Build (or No-Action) Alternative.

Each project alternative includes the following standardized measures that are part of the project description. Standardized measures (such as Best Management Practices [BMPs]) are those measures that are generally applied to most or all projects. These standardized or pre-existing measures allow little discretion regarding their implementation and are not specific to the circumstances of a particular project. More information on each measure can be found in the applicable sections of Chapter 2.

**TT-1:** A Transportation Management Plan (TMP) will be prepared for the project.

**CR1:** Standard provisions dealing with the discovery of unanticipated cultural materials or human remains will be included in the project plans and specifications:

**AQ1:** The construction contractor must comply with the Department's Standard Specifications in Section 14.

After the public circulation period, all comments will be considered, and the Department will select a preferred alternative and make the final determination of the project's effect on the environment. Under the California Environmental Quality Act (CEQA), if no unmitigable significant adverse impacts are identified, the Department will prepare a Negative Declaration (ND) or Mitigated ND.

Similarly, if the Department, as assigned by the Federal Highway Administration (FHWA), determines the National Environmental Policy Act (NEPA) action does not significantly impact the environment, the Department will issue a Finding of No Significant Impact (FONSI).

The project will be designed as a conventional highway in rural, flat terrain with a minimum design speed of 65 mph.

For Alternative 1, the project capital cost, including right of way and construction, is estimated to be \$25.3 million as of April 2021.

For Alternative 2 the project capital cost, including right of way and construction, is estimated to be \$25.2 million as of April 2021.

For Alternative 3 the project capital cost, including right of way and construction, is estimated to be \$33.3 million as of April 2021.

The proposed completion of construction for this project is in the fiscal year 2022/2024.



## 1.5.1 Build Alternatives

### Common Design Features of the Build Alternatives

The construction approach would be the same for all alternatives. Construction of Alternative 1, Alternative 2, or Alternative 3 is currently projected to begin in September 2022 and end in August 2024. All build alternatives contain the following design features:

- Median Barrier (MB) – the primary purpose of this project is to install concrete median barrier for the purpose of reducing fatal and serious injury cross median collisions.
- Safety Edge – is applicable as required by the appropriate Caltrans Standard Plans.
- Corridor Access Management – this countermeasure refers to control of entry and exit points from the highway. The concrete median barrier meets this requirement since it prevents both left turns from the mainline highway and from the secondary roads/driveways within the project.
- Caltrans will coordinate utility relocation work with the affected utility companies to notify them of conflicts and necessary relocation of their utilities prior to construction. The coordination will provide ample time for affected utility companies to notify customers of potential service disruptions. A coordinated relocation plan will be developed with the utility companies to relocate the underground utilities line.
- Enhanced Delineation and Friction for Curves – this project includes the following:
  - Pavement markings –The Department uses a standard 6" wide Enhanced Wet Night Visibility (EWNV) thermoplastic striping. EWNV striping adds both a high level of initial and long-term luminescence and a multi-faceted bead to the standard thermoplastic. This multifaceted bead reflects light on wet pavement back to the driver which, when coupled with the wider stripe width, further enhances the visibility of the striping both at night and when the pavement is wet. These assist all drivers.
  - Post mounted delineation – all curves through the project are evaluated for compliance with California Manual of Uniform Traffic Control Devices (CA MUTCD) Section 2C.09 for additions of chevrons for curve delineation.
  - Larger signs and signs with enhanced retro reflectivity—all speed limit signs will have the size increased to the maximum allowed by the CA MUTCD for a conventional highway. Caltrans already uses Type XI retroreflective sheeting as a standard and this is currently the highest standard retroreflective sheeting available in the industry.
  - Dynamic advance curve warning signs and sequential curve signs—all curves through the project are evaluated for compliance with California Manual of Uniform Traffic Control Devices (CA MUTCD) Section 2C.09 for additions of sequential chevrons for curve delineation.
  - Curve correction and new Gap Graded Rubberized HMA pavement—the Department will place a Gap Graded Rubberized HMA pavement as the final riding surface. This riding surface will have a higher frictional coefficient than the existing pavement.

## Unique Features of Build Alternatives

### Alternative 1: Barriers and Roundabouts

Alternative 1 proposes to construct a 1.3-mile-long concrete median barrier on SR 49 between Lone Star Road and Lorensen Road/Florence Lane. Approximately 80 linear feet of concrete median will be installed at the North Fork Dry Creek Bridge (Br. No. 19-0021). Multilane roundabouts are proposed to be constructed at the intersection of SR 49/Lone Star Road and SR 49/Lorensen Road/Florence Lane.

Both intersections will be designed to allow for vehicles up to the size of a CA Legal truck to perform a U-turn movement. Both roundabouts will have an Inscribed Circle Diameter (ICD) of 180 feet, 2 lanes in the NB and SB directions, and 1 lane in the EB and WB directions.

To help with speed reduction on SR 49, a combination of successive curves (chicanes) on the NB and SB approaches to the roundabouts are proposed. The roundabouts will also have a 15-foot-wide truck apron, two 19-foot-wide travel lanes in the NB/SB direction, and a single 24-foot-wide lane in the EB/WB directions. This stretch of roadway will have a Class II bike lane. There will be a bike ramp on the north and south sides of the roundabouts to give cyclists the option of traversing through the roundabout or getting off the road onto a shared-use path. The shared-use path will connect with the crosswalks on the east and west sides of the roundabouts. Both roundabouts will utilize commonly used roundabout traffic calming aspects: geometric design, approach curves (chicanes), raised curbs and splitter islands, signage, and landscape features.

Lighting will be upgraded to standard at both roundabouts to increase safety. Advanced warning will be added at the intersection approaches to include flashing beacons with signage. In the NB direction, approximately 11,000 feet south of Lone Star Road, a large hill will be cut back to improve sight distance to the Lone Star Road intersection. Other work will include pavement rehabilitation of SR 49 between Lorensen Road/Florence Lane and Lone Star Road. This will include grinding of the existing asphalt surface and repaving with rubberized hot mix asphalt.

### Alternative 2: Barriers and Signaled Intersections

Alternative 2 proposes to construct a 1.3-mile-long concrete median barrier on SR 49 between Lone Star Road and Lorensen Road/Florence Lane. Approximately 80 linear feet of concrete median will be installed at the North Fork Dry Creek Bridge (Br. No. 19-0021). Signalized intersections are proposed at the intersections of SR 49/Lone Star Road and SR 49/Lorensen Road/Florence Lane.

Both intersections will be widened to allow for vehicles up to the size of a California Legal truck to perform a U-turn movement. An acceleration lane will be added to the NB side of the SR 49/Lorensen Road/Florence Lane intersection and to the SB side of the SR 49/Lone Star Road intersection to allow the U-turning vehicles to accelerate to the traveling speed. Left turn lanes and right turn pockets will also be included.

Lighting will be upgraded to standard at both intersections to increase lighting and visibility. Advanced warning will be added at the intersection approaches to include flashing beacons with signage. In the NB direction, approximately 11,000 feet south of Lone Star Road, a large hill will be cut back to improve sight distance to the Lone Star Road intersection.

This stretch of roadway will have a Class II bike lane. Crosswalk signals will allow pedestrian and bike access through the intersections. Other work will include pavement rehabilitation of SR 49 between Lorensen Road/Florence Lane and Lone Star Road. This will include grinding of the existing asphalt surface and repaving with rubberized hot mix asphalt.

**Alternative 3: Barriers and Restricted Crossing U-Turn (RCUT)**

Alternative 3 proposes to construct Restricted Crossing U-Turn (RCUT) intersections at Lorenson Road/Florence Lane and Lone Star Road. This intersection design prohibits left turn and through movements from the minor road. These movements will be accommodated by turnarounds located north and south of the main intersection. The proposed turnaround provides a turn lane and widened receiving area for U-turn movements. The intersection control will remain the same as existing conditions with stop signs on the side roads.

**1.5.2 NO-BUILD (NO-ACTION) ALTERNATIVE**

The No-Build Alternative will not address the purpose and need of this project—to improve the safety of this segment of roadway. If this project is not completed, the severity of cross centerline collisions occurring on this section of roadway will not be reduced.

**1.5.3 ALTERNATIVES CONSIDERED BUT ELIMINATED FROM FURTHER DISCUSSION**

**Alternative 4 – Barriers Only**

Construct concrete median barrier on State Route 49 between Lorenson and Lone Star Roads. This Alternative does not address the out-of-direction travel for vehicles now unable to directly cross SR 49 from their cross street or driveway. These vehicles would have to proceed to the next intersection and then wait for a gap in traffic sufficient to make their U-turn movement. This could be problematic for either vehicles towing trailers or even 30-foot kingpin to axle trucks. The need for vehicles to make U-turns across multiple lanes of high-speed traffic could lead to additional collisions due to the turning movements and speed differentials created from them. This is a primary reason for rejecting this alternative.

**1.6 PERMITS AND APPROVALS NEEDED**

Agency	PLAC	Status
U.S. Army Corps of Engineers	Clean Water Act Section 404: Permit for Placement of Fill Material into Waters of the United States	Permit application will be submitted after environmental document approval
Central Valley Regional Water Quality Control Board	Clean Water Act Section 401: Water Quality Certification	Permit application will be submitted after environmental document approval
California Department of Fish and Wildlife	California Fish and Game Code Section 1602: Streambed Alteration Agreement	Permit application will be submitted after environmental document approval
State Historic Preservation Office	Concurrence on Caltrans Findings of Effects	SHPO has provided written concurrence on Caltrans Findings of No Adverse Effect with Standard Conditions

## **Chapter 2 – Affected Environment, Environmental Consequences, and Avoidance, Minimization, and/or Mitigation Measures**

As part of the scoping and environmental analysis carried out for the project, the following environmental issues were considered; however, no adverse impacts were identified. As a result, there is no further discussion about these issues in this document.

### **Coastal Zone**

There will be no effects to coastal resources because the project is not located within the Coastal Zone.

### **Wild and Scenic Rivers**

There will be no effects to wild and scenic rivers because the project is not located near a designated wild and scenic river.

### **Parks and Recreational Facilities**

SR 49 is a primary route that is used to access recreational facilities. However, since there are no recreation facilities located within the project limits, the proposed project will have no effect to any recreational facilities.

### **Farmlands**

There are no lands affected by the project which are under Williamson Act contract or that have farmland designation.

### **Timberlands**

The project is not located within any land use designated as Timberland Production Zones (TPZs); therefore, there would be no effects to timberland resources.

### **Environmental Justice**

No minority or low-income populations that would be adversely affected by the proposed project have been identified. Demographic data for the study area indicates that the proportion of the population comprising minority populations is smaller than for Placer County as a whole. Therefore, this project is not subject to the provisions of Executive Order 12898.

### **Geology, Soils, Seismic and Topography**

The project is not located in any geologically active areas which may pose a risk for the construction or finished project. Standard erosion control measures will be employed during construction.

### **Air Quality**

The project is exempt from air quality conformity, per 40 CFR 93.126, however air quality during construction is discussed in the air quality section 2.2.5.

### **Noise**

The proposed project is not considered a Type 1 project as defined by Caltrans' Traffic Noise Analysis Protocol. Therefore, a traffic noise analysis is not required.

## Section 4(f)

There are no historic sites, parks and recreational resources, wildlife or waterfowl refuges which meet the definition of a Section 4(f) resource, within the project vicinity. Therefore, this project is not subject to the provisions of Section 4(f) of the Department of Transportation Act of 1966.

## 2.1 Human Environment

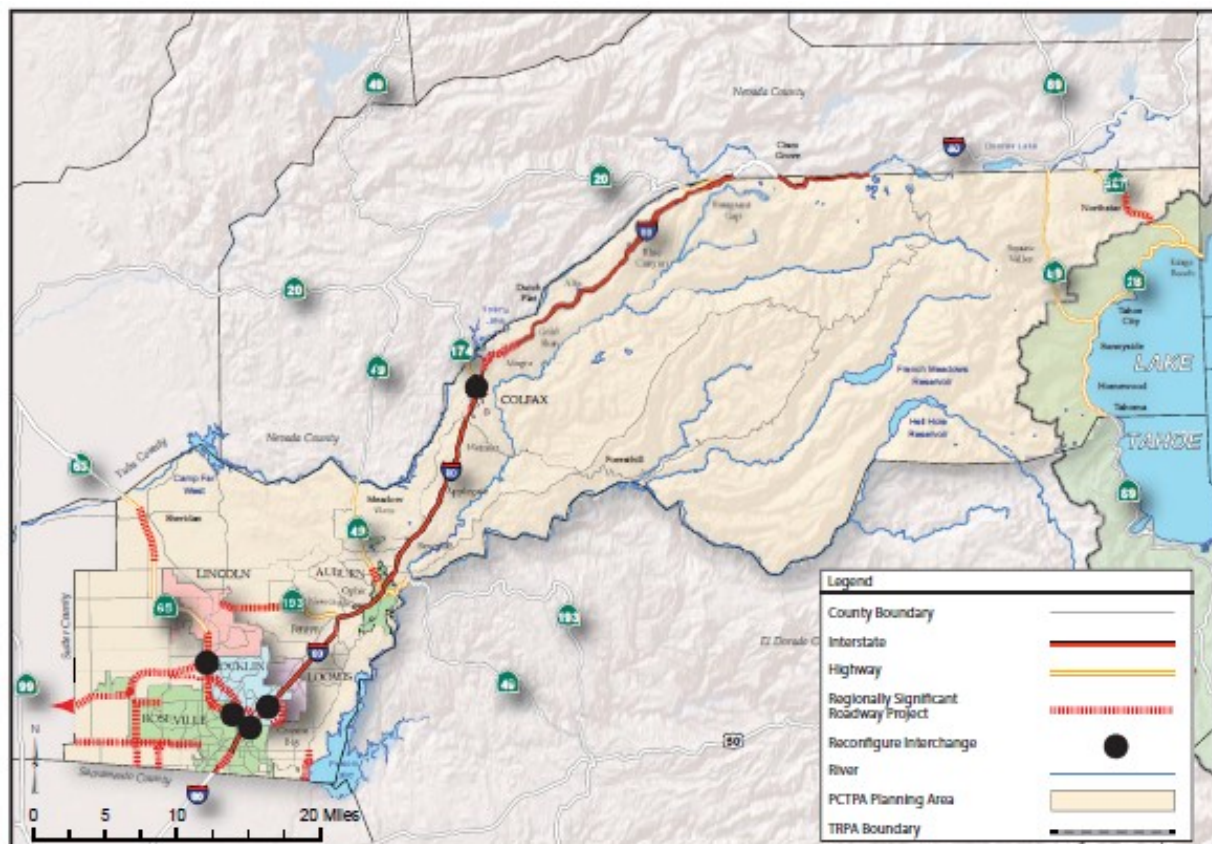
### 2.1.1 Existing and Future Land Use

Placer County is approximately 65 miles northeast of Sacramento and borders Nevada to the west. It stretches from the Sacramento Valley to the Sierra Nevada and consists of 1,506 square miles.

In Placer County, SR 49 is a north/south route connecting Auburn with communities in the foothills (Figure 3). At the south of the county, SR 49 is a connector roadway across the American River to El Dorado County. Towards the north end of the county, SR 49 crosses the Bear River to Nevada County.

SR 49 is a major arterial for local and through traffic; in some parts SR 49 is a city street with turn lanes and traffic signals in north and central Auburn. It also serves as an emergency detour route for I-80. The route is the lifeline for much of Placer County's freight and lumber traffic and provides access to recreational and tourist attractions.

**Figure 3. Regionally Significant Roadway Projects**



Source: Placer County RTP 2016

The Placer 49 Safety Barrier Project is in Placer County, north of Auburn. The project surrounding area is rural residential properties and farmland. To the south of this project there is a large shopping center, hospitals, an airport, housing developments, and recreational facilities. The area is rural and has large pockets of undeveloped land. This foothill area has a combination of tree-covered rolling hills and stream channels. The undeveloped parcels have grasslands and native and non-native vegetation (Figure 4). The land uses are zoned Rural Estates (Rural Residential) and Agriculture 10-80 acres minimum. Rural Estates and Agriculture are identified as the following:

**Agriculture (AG) (10, 20, 40, 80-160 acre minimum)**

This designation identifies land for the production of food and fiber, including areas of prime agricultural soils, and other productive and potentially productive lands where commercial agricultural uses can exist without creating conflicts with other land uses, or where potential conflicts can be mitigated. Typical land uses allowed include crop production, orchards and vineyards, grazing, pasture and rangeland, hobby farms; other resource extraction activities; facilities that directly support agricultural operations, such as agricultural products processing; and necessary public utility and safety facilities. Allowable residential development in areas designated Agriculture includes one principal dwelling and one secondary dwelling per lot, caretaker/employee housing, and farm worker housing.

**Rural Residential (RR)**

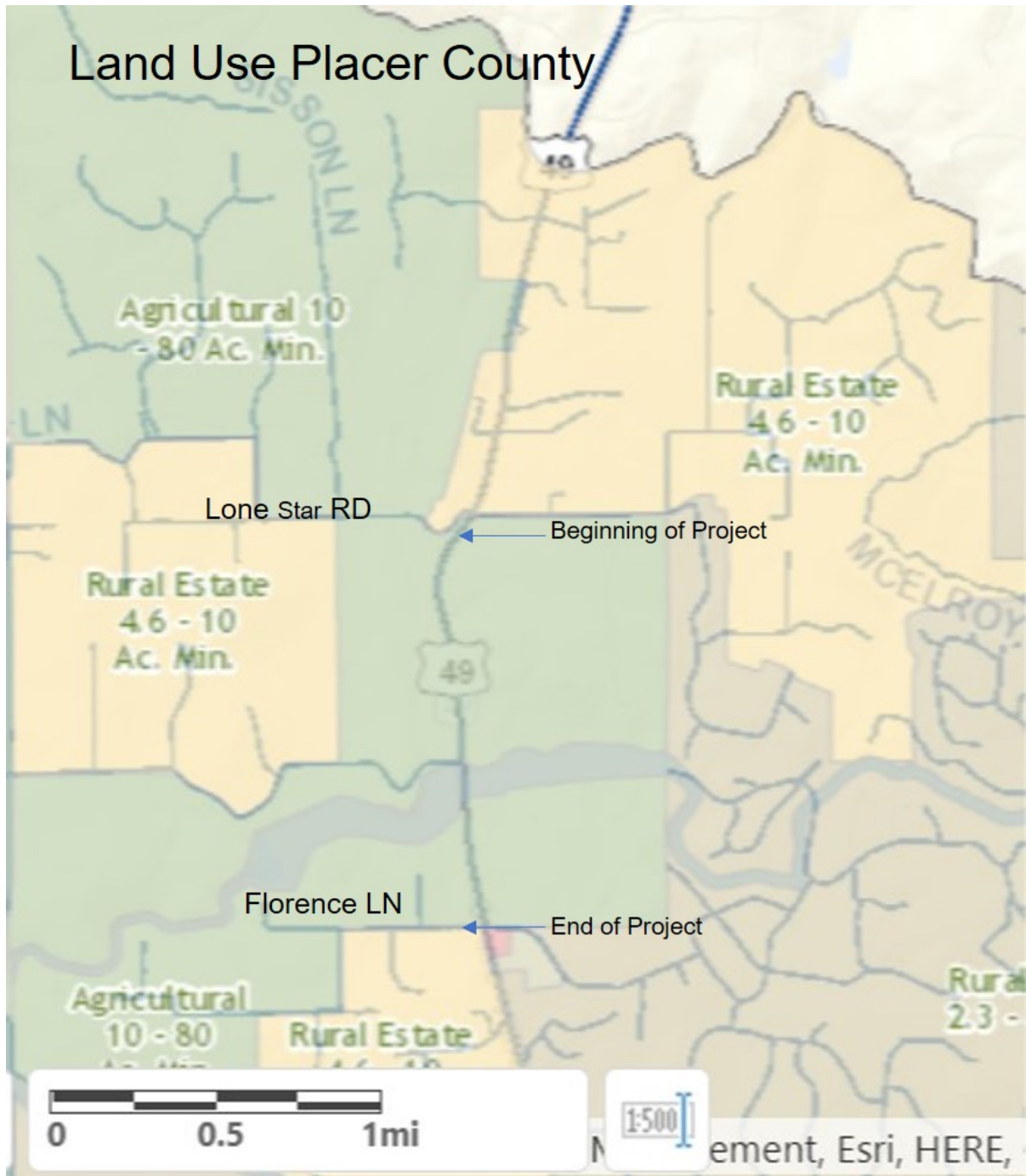
This designation is applied to areas generally located away from cities and unincorporated community centers, in hilly, mountainous, and/or forested terrain, and as a buffer zone where dispersed residential development on larger parcels would be appropriate and compatible with smaller-scale farming and ranching operations. Typical uses allowed include detached single-family dwellings and secondary dwellings; agricultural uses, such as crop production and grazing; equestrian facilities; and limited agricultural support businesses such as roadside stands, farm equipment and supplies sales; resource extraction uses; various facilities and services that support residential neighborhoods, such as churches, schools, libraries, child care and medical facilities; and parks and necessary public utility and safety facilities.

Several projects within the project vicinity are in the planning stages (Table 1)

**Table 1. Planned Projects Near SR 49**

Name and Address	Jurisdiction	Description	Status
03-0H210 NEV-49 Culvert Rehab (south)	Placer County	Rehabilitate Drainage System	Construction 2021
03-0H420 Count Station Repair & Install	Placer County	Repair Existing Continuous County Stations, Install New Loops at Ramp Meters, and Install New Radar County Station	In Construction 2020
03-4H020 Safety Improvement	Placer County	Install Various Safety Improvements	In Construction 2020
03-3H830 PLA-49 Sidewalk Gap Closure	Placer County	Construction Sidewalks and ADA Curb Ramps at Various Locations	Construction 2021

Figure 4. Nevada County Project Area Land



## Environmental Consequences

### Build Alternatives

The proposed project would improve safety for all modes of transportation. All Alternatives would require property acquisitions for intersection and shoulder improvements. Alternative 2 and 3 would require property acquisitions leading to displacement of two residential dwellings. The proposed project would not change the land use designations or zoning within the study area due to the property acquisition for intersection and shoulder improvements. The land use patterns in the study area would remain the same, and the project would increase the traffic flow and safety throughout the study area.

### No Build Alternative

The No Build Alternative would not affect existing land use because the proposed project would not be constructed.

### 2.1.2 CONSISTENCY WITH STATE, REGIONAL, AND LOCAL PLANS AND PROGRAMS

State Law, Government Code Section 65583(c)(1), requires that the housing element contain an inventory of land suitable for residential development, including vacant sites and sites having potential for redevelopment. The study area is in Placer County; consequently, land use planning is governed by Placer County. The Placer County *2021-2029 Housing Element* explores resources and constraints for the county and examines Placer County's housing needs, as they exist today, and projects future housing needs.

The purpose of the housing element is to identify the community's housing needs, to state the community's goals and objectives with regard to housing production, rehabilitation, and conservation to meet those needs, and to define the policies and programs that the community will implement to achieve the stated goals and objectives.

As mentioned earlier, Placer County encompasses 1,506 square miles and has a population of about 397,000 (2019). The median household income for a household of four (2020) was about \$86,300 and the median home sales price in 2020 was about \$569,000. There are five incorporated cities (Auburn, Colfax, Lincoln, Roseville, Rocklin) and the incorporated town of Loomis within the county. In addition to the incorporated cities and town, the county has about 21 unincorporated small communities, five in the eastern part of the county (Lake Tahoe area) and 16 in the western part of the county (Placer County Regional 2020).

According to the *Placer Housing Element 2021 – 2029*, the county has grown at a rapid pace with much of this growth occurring within the incorporated cities, reflecting Placer County's General Plan policy to steer growth towards the cities.

The unincorporated county area had moderate growth compared to cities in the county, and a slightly higher rate of growth than the state in most years. Table 2 shows population, households, average household size, and housing units for unincorporated and incorporated Placer County and the State of California for 2000, 2010, and 2019. The table also shows 2000 to 2010 and 2010 to 2019 absolute growth and average annual growth rate (AAGR).

Unincorporated Placer County's population grew at an AAGR of 0.7 percent between 2000 and 2010. This was slightly lower than California's growth rate of 1 percent. Housing units increased at a slightly faster rate than population for unincorporated Placer County between 2000 and



2010. In California, the average household size increased by 0.003 person from 2000 to 2010 because population grew faster than the number of housing units.

From 2010 to 2019, Placer County as a whole had a 3.4 percent AAGR for population, a rate nearly three times California's population AAGR of 1.0 percent during this period. Most of this growth was in the incorporated areas, where the AAGR was 5.0 percent between 2000 and 2010. Growth in unincorporated areas slowed to an AAGR of 0.7 percent.

**Table 2. Population, Households, Housing Size, and Housing Units**

<b>POPULATION, HOUSEHOLDS, HOUSING SIZE, AND HOUSING UNITS</b>									
<b>Placer County and California</b>									
<b>2000, 2010, and 2019</b>									
	Unincorporated Areas			Incorporated Areas			California		
	2000	2010	2019	2000	2010	2019	2000	2010	2019
<b>Population</b>									
Number	100,701	108,128	116,170	147,698	240,304	280,521	33,873,086	37,253,956	39,927,315
Growth from Previous Period	16,474	7,427	8,042	59,129	92,606	40,217	4,114,873	3,380,870	2,673,359
% AAGR from Previous Period	1.8%	0.7%	0.8%	5.2%	5.0%	1.7%	1.3%	1.0%	0.8%
<b>Households</b>									
Number	37,334	41,351	42,914	56,048	91,276	102,997	11,502,871	12,577,498	13,085,036
Growth from Previous Period	6,505	4,017	1,563	22,776	35,228	11,721	1,122,015	1,074,627	507,538
% AAGR from Previous Period	1.9%	1.0%	0.4%	5.4%	5.0%	1.4%	1.0%	0.9%	0.4%
Average Household Size	2.66	2.57	2.66	2.61	2.61	2.71	2.87	2.9	2.99
<b>Housing Units</b>									
Number	48,433	55,891	57,990	58,869	96,757	109,558	12,214,550	13,680,081	14,235,093
Growth from Previous Period	5,926	7,458	2,099	23,497	37,888	12,801	1,032,037	1,465,531	555,012
% AAGR from Previous Period	1.3%	1.4%	0.4%	5.2%	5.1%	0.5%	0.9%	1.1%	0.5%

Source: 2021-2019 Placer County Housing Element

Placer County uses a Growth Management tool that local governments use to prevent urban sprawl and preserve natural resources and agriculture. Growth management measures, such as urban limit lines (ULLs), can in some instances increase the cost of affordable housing by limiting the amount of land for new development. Though Placer County does not have a ULL, a policy in its 1994 General Plan references growth management. Policy 1.M.1 in the Land Use Element states:

“The County shall concentrate most new growth within existing communities emphasizing infill development, intensified use of existing development, and expanded services, so individual communities become more complete, diverse, and balanced.”

The General Plan also recognizes that as the county continues to grow, additional areas may be identified as being suitable for development at urban or suburban densities and intensities.

### Placer County General Plan

The following general plan policies are relevant to and consistent with the proposed project.

### Goals

3.A: To provide for the long-range planning and development of the County's roadway system to ensure the safe and efficient movement of people and goods.

3.A.14. Placer County shall participate with other jurisdictions and Caltrans in the planning and programming of improvements to the State Highway system, in accordance with state and federal transportation planning and programming procedures, so as to maintain acceptable levels of service for Placer County residents on all State Highways in the County. Placer County shall participate with Caltrans and others to maintain adopted level of service (LOS) standards as follows:

a. For State Highways 49, 65, and 267 Placer County's participation shall be in proportion to traffic impacts from its locally-generated traffic. The following general plan policies are relevant to and consistent with the proposed project.

4.J.5. The County should plan and approve residential uses in those areas that are most accessible to school sites in order to enhance neighborhoods, minimize transportation requirements and costs, and minimize safety problems.

### **Placer County Regional Transportation Plan**

The following policies included in the Placer RTP are relevant to the project.

*Objective A: Identify and prioritize improvements to the roadway system.*

#### **Policies:**

1. Work with Caltrans and local jurisdictions to identify roadways in need of major upgrading to meet standards for safety and design, maximize system efficiency and effectiveness, and plan their improvement through regional planning, corridor system management planning, and capital improvement programming.

*Objective B: Construct, maintain, and upgrade roadways to meet current safety standards.*

#### **Policies:**

1. Work in partnership with Caltrans and local jurisdictions to identify, improve, and enhance safety conditions on state highways.
2. Prioritize roadway projects, including maintenance and repair, required to maintain safety standards.

### **Environmental Consequences**

Implementation of the project would result in the conversion of private land not currently used for transportation purposes to transportation Right of Way (ROW). In addition, temporary construction easements will be obtained for construction. With the exception of the conversion of land to transportation uses and the use of land for construction purposes, no change in land use or underlying zoning designation within the study area will occur as a result of implementing the proposed project.

### **No Build Alternative**

The No Build Alternative would not meet the purpose and need or traffic operations in the study area. Many of the goals, policies, and actions in the General Plan are focused on maintaining a transportation system that is safe and efficient for all modes of transportation. The No Build Alternative would not address the current safety issues or traffic delay.

## Avoidance, Minimization, and/or Mitigation Measures

No potential conflicts with current or planned land uses in the study area are anticipated. Therefore, no avoidance, minimization, or mitigation measures are required.

### 2.1.3 GROWTH

#### Regulatory Setting

The Council on Environmental Quality (CEQ) regulations, which establish the steps necessary to comply with the National Environmental Policy Act (NEPA) of 1969, require evaluation of the potential environmental effects of all proposed federal activities and programs. This provision includes a requirement to examine indirect effects, which may occur in areas beyond the immediate influence of a proposed action and at some time in the future. The CEQ regulations (40 Code of Federal Regulations [CFR] 1508.8) refer to these consequences as indirect impacts. Indirect impacts may include changes in land use, economic vitality, and population density, which are all elements of growth.

The California Environmental Quality Act (CEQA) also requires the analysis of a project's potential to induce growth. The CEQA guidelines (Section 15126.2[d]) require that environmental documents "...discuss the ways in which the proposed project could foster economic or population growth, or the construction of additional housing, either directly or indirectly, in the surrounding environment..."

#### Affected Environment

According to Placer County Regional Transportation Plan 2040, Placer County is home to about 375,000 residents, with 4 percent living in Auburn, 0.6 percent in Colfax, 12 percent in Lincoln, 2 percent in Loomis, 16 percent in Rocklin, 34 percent in Roseville, and 32 percent living in unincorporated areas. Table 3 illustrates Placer County's steady population growth over recent years. This steady growth in population continues to increase demand on Placer County's transportation network, increasing the need for greater roadway capacity, increased investment in alternative transportation infrastructure, and continued partnership with local housing, land use, and economic development efforts.

**Table 3. Placer County Total Population 2010 -2017**

Placer	2010	2011	2012	2013	2014	2015	2016	2017
Total Population	336,477	343,554	350,074	355,924	361,518	366,280	370,571	374,985
Change Since Previous Year	-	2%	2%	2%	2%	1%	1%	1%

Source: Placer County Regional Transportation Plan 2040

## **Environmental Consequences**

### **Build Alternatives**

Analysis of the Build Alternatives followed the growth-related analysis and indirect impacts as stated in the first-cut screening guidelines provided in Caltrans' *Guidelines for Preparers of Growth-Related, Indirect Impact Analyses* (California Department of Transportation 2006). The first-cut screening analysis focused on addressing the following questions.

- To what extent would travel times, travel cost, or accessibility to employment, shopping, or other destinations be changed? Would this change affect travel behavior, trip patterns, or the attractiveness of some areas to development over others?

Implementing the Build Alternatives would rehabilitate the existing roadway to reduce maintenance expenditures; improve safety, sight distance and traffic operations; and address non-standard shoulders. The project will improve non-standard vertical curves, conflicting movements for local traffic accessing the highway, and crossover accidents.

Access to destinations is not expected to change. There would be no changes to land use. Since SR 49 is an existing roadway in Placer County, the proposed project would not provide additional access to undeveloped areas. Furthermore, no new or expanded infrastructure, housing, or other similar permanent physical changes to the environment would be necessary as an indirect consequence of the proposed project.

- To what extent would change in accessibility affect growth or land use change—its location, rate, type, or amount?

The purpose of the project is to improve safety and reduce potential for collisions along this section of SR 49 with the addition of a median barrier. The project is not anticipated to provide access to new areas or change accessibility in any way that would exert growth pressure. The proposed modifications to SR 49 would not lead to additional planned or unplanned development.

- To what extent would resources of concern be affected by this growth or land use change?

Project-related growth is not foreseen. The Build Alternatives would not result in changes in accessibility because no new access points are being created and the number of lanes in each direction would stay the same. Development in this foothill area is difficult due to the combination of tree-covered rolling hills and stream channels. Based on the above first-cut screening analysis, no additional analysis related to growth is required.

### **No Build Alternative**

The No Build Alternative would not affect existing land uses because the proposed project would not be constructed and there would be no change in land use.

### **Avoidance, Minimization, and/or Mitigation Measures**

No avoidance, minimization, or mitigation measures are required.

## **2.1.4 COMMUNITY CHARACTER AND COHESION**

### **Regulatory Setting**

The National Environmental Policy Act (NEPA) of 1969, as amended, established that the federal government use all practicable means to ensure for all Americans safe, healthful, productive, and aesthetically and culturally pleasing surroundings (42 United States Code [USC] 4331[b][2]). The Federal Highway Administration (FHWA) in its implementation of NEPA (23 USC 109[h]) directs that final decisions on projects are to be made in the best overall public interest. This requires taking into account adverse environmental impacts, such as destruction or disruption of human-made resources, community cohesion, and the availability of public facilities and services.

Under the California Environmental Quality Act (CEQA), an economic or social change by itself is not to be considered a significant effect on the environment. However, if a social or economic change is related to a physical change, then social or economic change may be considered in determining whether the physical change is significant. Since this project would result in physical change to the environment, it is appropriate to consider changes to community character and cohesion in assessing the significance of the project's effects.

### **Affected Environment**

#### **Population and Housing**

The following census tracts are within the study area.

- Census Tract 216.04
- Census Tract 218.01

The study area includes two census tracts surrounding SR 49 and the Environmental Study Limits (ESL). Census Tracts 216.04 and 218.01 surround the greater project area and north of the city of Auburn. These are the census tracts that were analyzed for direct and indirect impacts. For demographic data, the census tracts within the 0.25 mile study area radius were used to gather information on race/ethnicity and income for the surrounding community.

#### **Regional Population Characteristics**

Table 4 shows the population and race/ethnicity data for the study area and census tracts. As presented in Table 4, Non-Hispanic Whites are the largest racial/ethnicity group for the two census tracts. The total population in the two census tracts is 8,599. 7,505 are Non-Hispanic White, making this ethnic group 87 percent of the population. The second largest population ethnic group is Hispanic or Latino. The third largest ethnic group is the Non-Hispanic Asian which comprises 1 percent of the minority population. Native Hawaiian and Other Pacific Islander is the smallest population in the census tracts. Of the total population, minority populations make up the about 13 percent or 1,094.

The population for Census Tract 216.04 is over 85 percent Non-Hispanic White and 2 percent is Non-Hispanic Asian. Census Tract 218.01 has a higher Non-Hispanic Asian population. It contains 8 percent of Hispanic or Latino and 89 percent of Non-Hispanic White.

In the 0.25-mile buffer in the Census Tract 216.04, has less population compared to Census Tract 218.01. The largest ethnic group in both census tracts is the Non-Hispanic Whites followed by Hispanic or Latino.

**Table 4. Race and Ethnicity Data**

Area	Total Population	Non-Hispanic White	Non-Hispanic Black or African American	Non-Hispanic American Indian and Alaska Native	Non-Hispanic Asian	Non-Hispanic Native Hawaiian and Other Pacific Islander	Non-Hispanic Some Other Race	Non-Hispanic Two or More Races	Hispanic or Latino
Placer County	398,329	284,331	7,663	1,504	32,594	700	160	13,996	57,381
Census Tract 216.04	3,634	3,092	12	14	57	-	-	40	419
Census Tract 218.01	4,965	4,413	4	9	64	-	-	71	404

Source: U.S. Census Bureau 2021

**Neighborhood Surrounding the Project Area**

The study area has a significant number of large parcels, some of which have low-density and single-family residential development. The area can be characterized as rural and sparsely developed.

This stretch of SR 49 is the major route connecting the city of Auburn and the city of Grass Valley. Grass Valley is a city in Nevada County situated at roughly 2,500 feet elevation; it is a rural area with a population around 13,000. South of Grass Valley is the city of Auburn; it has a population of about 14,000. The project area is rural. South of the project area, there is a large shopping center, hospitals, an airport, housing developments and recreational facilities. North of the project is mostly rural residential properties, farmland, and the Nevada/Placer County border.

Table 5 presents the population and age groups. As shown in the table, the age group within the study area with the lowest percentage is between 20 to 29. The group with the highest percentage of people in the study area are between the ages of 40 to 59. The age group with the second highest percentage is between the ages of 60 to 69. These percentages are consistent among the two census tracts and the county. Although age groups vary in the study area, 75 percent of the population is over 30 years of age.

**Table 5. Population and Age Data for the Study Area**

Area	Population by Age	Population 0 to 9	Population 10 to 19	Population 20 to 29	Population 30 to 39	Population 40 to 59	Population 60 to 69	Population 70 and over
Placer County	398,329	44,893	52,172	40,083	49,084	105,879	50,108	56,110
Census Tract 216.04	3,634	342	302	209	251	1,109	733	688
Census Tract 218.01	4,965	347	468	447	458	1,289	1,005	951

Source: U.S. Census Bureau 2021

## Housing Characteristics

Table 6 presents the housing characteristics. Most of the parcels are zoned agriculture and are developed with single family residences. Single-family houses are the most common type of housing units in the study area. Census Tract 216.04 and 218.01 have more single units.

**Table 6. Types of Housing Unit in Census Tracts**

Area	Total Occupancy Housing Units	Total Housing Units 1-unit	Total Housing Units 2 or More Units	Mobile home	Boat, RV, van, etc.
Placer County	168,942	136,780	27,822	4,031	309
Census Tract 216.04	1,394	1,324	32	38	-
Census Tract 218.01	2,007	1,972	17	18	-

Source: U.S. Census Bureau 2021

Table 7 describes the owner and renter occupancy. The two census tracts have a total of 3,401 units. Of the total, 2,973 are owner occupied, and 200 are renters occupied. Census Tract 216.04 has the largest number of renters occupying housing units. Census Tract 216.04 and 218.01 cover the ESL and are within the 0.25-mile buffer. Overall, there are more homes occupied by owners.

**Table 7. Total Population in Occupied Housing Unit by Tenure**

Area	Total Housing Units	Occupied Housing Units	Owner-Occupied	Renter-Occupied	Percent Housing Occupied by Owner
Placer County	168,942	147,236	106,512	40,724	0.72
Census Tract 216.04	1,394	1,273	1,155	118	0.91
Census Tract 218.01	2,007	1,900	1,818	82	0.96

Source: U.S. Census Bureau 2021

## Environmental Consequences - Regional Population Characteristics

### No Build Alternatives

The No Build Alternative would not reduce community cohesion, divide the community, separate residences from community facilities, or result in substantial growth. Therefore, neither construction nor operation of the build alternatives would result in disproportionately high and adverse effects related to community cohesion.

## **Build Alternatives**

The proposed project would not affect growth and would not contribute to changes in the population characteristics of the region and study area. All Alternatives would require property acquisitions for intersection and shoulder improvements. Alternative 2 and 3 would require property acquisitions leading to displacement, however, these displacements would not be enough to cause changes to the regional population due to the relatively small number of relocations required.

## **Neighborhood/Communities/Community Character**

### **No Build Alternatives**

#### *- Regional Population Characteristic*

There would be no changes to neighborhoods or community character under the No Build Alternative because the rural character of the study area would not change.

### **Build Alternatives**

The proposed project would slightly change the character of the study area because it would install a median barrier on a 1.3-mile section of SR 49 and alter the zoning of the property that will be acquired for intersection and shoulder improvements. However, the proposed project will not provide any additional access to areas that are undeveloped. It is not anticipated that the proposed project would result in any changes to the neighborhoods or community character of the study area.

## **Housing**

### **No Build Alternatives**

There would be no changes to housing under the No Build Alternative because the proposed project would not be implemented, avoiding residential acquisitions.

### **Build Alternatives**

Alternative 1 would not require acquisition of any residential home sites. However, Alternatives 2 and 3 would require acquisition of 2 residential homes. See Section 2.1.5, Relocations and Real Property Acquisition for a full discussion of the residential acquisitions required as part of the project. As discussed in Section 2.1.5, there is adequate replacement housing within the replacement area (i.e., Placer County) for those displaced, and the relocation of residents would not pose an impact on the community. Relocation assistance would be provided to persons in accordance with the Uniform Relocation Act and Real Property Acquisition Policies Act of 1970, as amended, to ensure adequate relocation and decent, safe, and sanitary housing for displaced residents. All eligible displaces would be entitled to moving expenses. In addition, as discussed in Section 2.1.3, growth is not reasonably foreseeable, and no development is anticipated to result from the project. Consequently, no change to the local housing market would occur.

## **Avoidance, Minimization, and/or Mitigation Measures**

No avoidance, minimization, or mitigation measures are required.

## **Economic Conditions – Regional Economy and Business Activity**

Placer County's economy is diverse and growing. Placer County's major employers include healthcare providers such as Kaiser Permanente and Sutter Health; technology companies



such as TSI Semiconductors and Oracle; hospitality companies including Northstar Resort and Thunder Valley Casino; and government entities like Placer County and the City of Roseville. Table 8 summarizes employment in Placer County by sector.

**Table 8. Employment in Placer County**

<b>Employment Distribution by Sector</b>	
<b>Employment Sector</b>	<b>% Total in 2017</b>
Agriculture, Natural Resources, and Mining	0.7%
Construction	6.9%
Financial Activities	8.5%
Information	2.3%
Transportation, Warehousing, and Utilities	4.1%
Government and Public Administration	7.1%
Educational and Health Services	22.6%
Other Services	4.8%
Professional and Business Services	12.6%
Arts, Leisure, and Hospitality	9.3%
Manufacturing	6.4%
Wholesale Trade and Retail	14.7%
Other Services	4.8%

Source: US Census Bureau 2017 5-year American Community Survey

Source: Placer Regional Transportation Plan 2040

Table 9 shows the percent below poverty level for Census Tract 2016.04, Census Tract 218.01, and Nevada County. The poverty status in the project area is lower than the county level.

**Table 9. Poverty Status in the Past 12 Months**

<b>Area</b>	<b>Total Households</b>	<b>Poverty Status in the Past 12 Months - Below Poverty Level</b>	<b>Poverty Status in the Past 12 Month - At or Above Poverty Level</b>	<b>Percent Below Poverty Level</b>
Placer County	142,855	11,630	131,225	8%
Census Tract 216.04	1,273	34	1,239	3%
Census Tract 218.01	1,900	109	1,791	6%

Source: U.S. Census Bureau 2021

Table 10 shows the major industries in Placer County which include manufacturing, retail, technology, agriculture, construction, and health services. The main job sector for residents within the study area comprises educational services, health care, social assistance, professional scientific management, and administrative Waste Management Services. The proposed project is a safety project on a 1.9-mile section of SR 49 that is primarily used as a commuter corridor and to transport goods. The project could possibly cause some temporary construction delays but will ultimately make this section of the corridor safer for the traveling public.

**Table 10. Placer County Industry**

Area	Agriculture Forestry Fishing Hunting Mining	Construction	Manufacturing	Wholesale Trade	Retail Trade	Transportation Warehousing Utilities	Information	Finance Insurance Real Estate Rental Leasing	Professional Scientific Management Administrative Waste Management Services	Educational Services Health Care Social Assistance	Arts, Entertainment Recreation Accommodation Food Services	Other Services	Public Administration
Placer County	491	12,108	10,835	4,096	23,175	9,583	3,642	16,023	25,759	42,730	18,396	9,572	14,211
Census Tract 216.04	26	174	96	-	191	88	16	86	222	564	48	128	88
Census Tract 218.01	23	160	88	90	277	147	104	45	300	318	229	103	298

Source: U.S. Census Bureau 2021

## **Environmental Consequences - Regional Economy and Business Activity**

### **No Build Alternative**

There would be no changes to the regional economy under the No Build Alternatives.

### **Build Alternative**

There would be no changes to the regional economy under the Build Alternatives.

## **2.1.5 RELOCATIONS AND REAL PROPERTY ACQUISITION**

### **Regulatory Setting**

The Department's Relocation Assistance Program (RAP) is based on the Federal Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (Uniform Act), and Title 49 Code of Federal Regulations (CFR) Part 24. The purpose of the RAP is to ensure that persons displaced as a result of a transportation project are treated fairly, consistently, and equitably so that such persons will not suffer disproportionate injuries as a result of projects designed for the benefit of the public as a whole. Please see Appendix C for a summary of the RAP.

All relocation services and benefits are administered without regard to race, color, national origin, persons with disabilities, religion, age, or sex. Please see Appendix B for a copy of the Department's Title VI Policy Statement.

### **Affected Environment**

A Community Impact Assessment (April 2021) and a Relocation Impact Statement (April 2021) were conducted for the proposed project. The affected environment consists of acquisitions that would be acquired under each alternative. The proposed project would acquire strips of land from parcels, along with some full parcels on both the east and west sides of SR 49 in the study area.

Alternative 1 will not require any full acquisitions which will lead to residential displacement.

Alternatives 2 and 3 will require full acquisition of two properties and one partial acquisition that will lead to two residential displacements.

## **Environmental Consequences**

### **No Build Alternative**

There would be no property acquisitions under the No Build Alternative because the project would not be implemented.

### **Build Alternatives**

Alternative 1 would not acquire any residential properties, but will acquire strips of parcels along the project limit. Alternatives 2 and 3 would acquire two residential properties and strips of parcels along the project limit. No non-residential, commercial properties will be acquired.

The relocation resources available for residential displacement are listed below:

Based upon available data, it appears there are sufficient residential and non-residential parcels available in the replacement area (Placer County) for all parcels affected by build Alternatives 2 and 3 that would be equal to or better than the displacement properties.

It does not appear that the Last Resort Housing Program will be necessary, as the residential housing stock in the replacement area is ample. However, should the housing market improve and prices increase, the Last Resort Housing Program would be available to assist any residential displaces unable to afford comparable replacement housing.

As part of project implementation, all acquisitions would be conducted in accordance with the Federal Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and the California Relocation Act.

### **Avoidance, Minimization, and/or Mitigation Measures**

Any acquisitions and compensation to property owners would occur consistent with the Uniform Act, as amended. In accordance with this act, compensation is provided to eligible recipients for property acquisitions. Relocation assistance payments and counseling would be provided by the transportation agencies to persons and businesses in accordance with the act, as amended, to ensure adequate relocation and a decent, safe, and sanitary home for displaced residents. All eligible displacees would be entitled to moving expenses. All benefits and services would be provided equitably to all residential and business displacees without regard to race, color, religion, age, national origins, and disability, as specified under Title VI of the Civil Rights Act of 1964. All relocation activities would be conducted by the implementing agencies in accordance with the Uniform Act, as amended. Relocation resources would be available to all displacees without discrimination

### **2.1.6 UTILITIES/EMERGENCY SERVICES**

#### **Affected Environment**

#### **Emergency Services**

The Placer County Sheriff's Office serves the people of Placer County by providing law enforcement to the unincorporated areas, from the Sacramento County line to the Nevada state line at Lake Tahoe, plus providing contract law enforcement services to the city of Colfax and the township of Loomis.

#### **Utilities**

AT&T, PG&E, Comcast and Nevada Irrigation District (NID) utilities are located within the project area. AT&T and PG&E have overhead utility lines that are located on the easterly side of the project. Comcast has underground fiber optic lines along the westerly side of the project. NID also has underground facilities located within the project limits.

## **Public Sewage**

Placer County does not comprehensively provide wastewater collection and treatment to all areas of the county. The project area is within a rural part of Placer County and the primary source of water is supplied by individual wells and sewage through septic tank systems. Water and sewage services within the county are provided by the following:

- Tahoe City Public Utility District
- North Tahoe Public Utility District
- Northstar Community Services District
- Squaw Valley Public Service District
- Sierra Lakes County Water District
- Alpine Springs County Water District
- Donner Summit Public Utilities District

## **Environmental Consequences**

### **Emergency Services**

#### **No Build Alternative**

The No Build Alternative has the potential to affect emergency services, because the intersections within the study area can create many conflict points between motorists, pedestrians, and bicyclists. These conflict points have the potential to cause congestion, which could potentially cause delays in and possibly prevent emergency services from reaching the destinations in time. These conditions would continue, and likely worsen over time, under the No Build Alternative.

#### **Build Alternatives**

The Build Alternatives would not result in direct or long-term impacts on emergency services. During construction, lane closures may be required. Any required temporary lane closures would be coordinated with emergency service providers so as not to hinder emergency responses. The build alternatives are not anticipated to adversely affect response time for emergency services associated with fire station or police department personnel. The build alternatives could improve response times of emergency services by improving traffic flow and reducing delay. In addition, the build alternatives are intended to reduce conflicts in the study area, which would result in fewer emergency service calls.

### **Utilities**

#### **No Build Alternative**

The No Build Alternative would not affect utilities.

#### **Build Alternatives**

Minor utilities will be affected with this project. The underground fiber optics line and the overhead utilities will be affected by the project because construction of the proposed intersection improvements and removal of the roadway surface and decompaction of the

road base will disrupt the earth surrounding the transmission line. Upon project approval and finalization of the environmental document, Caltrans will be authorized to notify the owner of the utility that there is a conflict between the utility and Caltrans' proposed project. Utility Conflict Mapping will be sent, along with the anticipated schedule of the proposed project. It is expected that once notice of the conflict is given, coordination will commence between the utility owner and Caltrans to develop a utility relocation plan.

### **Avoidance, Minimization, and/or Mitigation Measures**

Any required temporary closures would be coordinated with emergency service providers so as not to hinder emergency responses. As part of construction, the project proponents will prepare and implement a Traffic Management Plan (TMP) to avoid and minimize potential impacts. The TMP would ensure emergency vehicles and school bus routes are not impeded. The TMP would reduce impacts of the proposed project on temporary access and circulation caused by potential traffic delays during construction.

## **2.1.7 TRAFFIC AND TRANSPORTATION/PEDESTRIAN AND BICYCLE FACILITIES**

### **Regulatory Setting**

Caltrans, as assigned by the Federal Highway Administration (FHWA), directs that full consideration should be given to the safe accommodation of pedestrians and bicyclists during the development of Federal-aid highway projects (see 23 Code of Federal Regulations [CFR] 652). It further directs that the special needs of the elderly and the disabled must be considered in all Federal-aid projects that include pedestrian facilities. When current or anticipated pedestrian and/or bicycle traffic presents a potential conflict with motor vehicle traffic, every effort must be made to minimize the detrimental effects on all highway users who share the facility.

In July 1999, the U.S. Department of Transportation (USDOT) issued an Accessibility Policy Statement pledging a fully accessible multimodal transportation system. Accessibility in federally assisted programs is governed by the USDOT regulations (49 CFR 27) implementing Section 504 of the Rehabilitation Act (29 United States Code [USC] 794). The FHWA has enacted regulations for the implementation of the 1990 Americans with Disabilities Act (ADA), including a commitment to build transportation facilities that provide equal access for all persons. These regulations require application of the ADA requirements to federal-aid projects, including Transportation Enhancement Activities.

### **Affected Environment**

A Transportation Analysis Report was completed by Fehr and Peers in April 2021. The transportation analysis study locations comprise highway segments and intersections.

This segment of SR 49 from Lorenson Road/Florence Lane to Lone Star Road has a history of cross centerline collisions. The Traffic Accident Surveillance and Analysis System (TASAS) was queried to generate the collision history for SR 49 in the project area for a three-year period from January 2015 to December 2017. This period is reported rather than the most recent three-year period because this data was referenced when generating the project need. The number of collisions by severity and compares the collision rate to statewide averages. In the three-year period, 34 collisions occurred, one resulted in a fatality, 12 resulted in injury, and 21 resulted in property damage only. Out of these 34

collisions, two were cross-centerline and head-on, six were sideswipes, five were rear ends, nine were broadside collisions, five were object collisions, four were overturned vehicles, and three were not reported. The fatality collision rate is more than the statewide average for similar facilities although the fatality plus injury and total collision rates are lower than the corresponding statewide averages.

Collisions are most frequent near Lorensen Road/Florence Lane and Lone Star Road. These locations have the highest volume of conflicting traffic. Severe collisions also occur near the Cramer Road intersection, and the fatality-related crash occurred just south of the intersection.

The most frequent collision type is broadside (26 percent), followed by other (20 percent) and sideswipe (18 percent). Rear end and hit object collisions (15 percent each) are next most common. Two head-on collisions occurred in the three-year period. The collision types at the high frequency crash locations are primarily broadside collisions.

SR 49 is a regional highway that connects SR 20 in Grass Valley and I-80 in Auburn. In the study area, SR 49 is a four-lane highway with a continuous two-way left-turn lane median and paved shoulders. Left-turn lanes are striped on SR 49 at the three study intersections. Right-turn lanes are provided southbound at Lone Star Road, Cramer Road, and Lorensen Road and northbound at Lone Star Road. All study intersections have side-street stop control. The nearest signalized intersections are 3.3 miles north of Lone Star Road at Wolf Road/Combie Road and 1.5 miles south of Lorensen Road/Florence Lane at Dry Creek Road. The study area extends along SR 49 from Joeger Road (PM R8.0) to Rio Oso Road/Overhill Drive (PM 11.2).

The study highway segments are listed below (Figure 5).

- Rio Oso Road/Overhill Drive to Lone Star Road
- Lone Star Road to Cramer Road
- Cramer Road to Lorensen Road/Florence Lane
- Lorensen Road/Florence Lane to Joeger Road

The study intersections are listed below.

1. SR 49/Lone Star Road
2. SR 49/Cramer Road
3. SR 49/Lorensen Road/Florence Lane

The intersection crossroads are described as follows.

- Lorensen Road is a local road that serves parcels west of SR 49 and is not a through road.
- Florence Lane is a local road that serves rural and residential land uses east of SR 49. Connection to Dry Creek Road near I-80 is provided via Virginia Drive, Stanley Drive, and Christian Valley Road.
- Cramer Road is a local road that serves rural land uses west of SR 49 and extends to Bell Road, a local road that parallels SR 49 to the west.
- Lone Star Road is a local road that serves rural residential and agricultural

**Figure 5. Highway Segments and Intersections in the Study Area**





## **Existing Conditions for Highway Study Segments and Study Intersections**

To measure the operational status of the local roadway network, transportation engineers and planners use a grading system called level of service (LOS). Level of service is a description of the quality of operation of a roadway segment or intersection, ranging from LOS A (for free-flowing traffic with little to no delay) to LOS F (where traffic in excess of capacity introduces significant delays).

### **Transit System**

Gold Country Stage provides transit bus services, along SR 49 within the study area. Prior to the COVID-19 pandemic, Route 5 served the corridor five times per day in each direction (with about two-hour headways) on weekdays between Grass Valley and Auburn. The current reduced schedule is three times per day. Route 5 has stops in both directions on SR 49 in the project area at Lorensen Road/Florence Lane and Lone Star Road.

### **Freight System**

SR 49 is a Terminal Access route for truck traffic in the study area. Terminal Access routes accommodate Surface Transportation Assistance Act (STAA) trucks. SR 49 provides access for agricultural trucks and connects industrial areas in Grass Valley and Auburn to the rest of the state.

Daily truck volume on SR 49 is estimated at 2,360 trucks per day, using the total volume measured in October 2019 and the reported truck percentage of 7 percent. According to a recent (2013) count reported in Annual Average Daily Truck Traffic on the California State Highway System (Caltrans 2016) at Lorensen Road, the truck volume is divided among 79 percent two-axle trucks, 9 percent three- or four-axle trucks, and 12 percent trucks with five or more axles.

The District 3 Goods Movement Study (February 2015) identified SR 49 in the study area as middle priority for improving truck mobility under the base year conditions. In the project area, no deficiencies were identified for bridge vertical clearance, bridge permit weight, or distressed bridges.

### **Highway Study Segment**

Under existing (2019) conditions, this segment of the highway operates at LOS B for SR 49 for both northbound and southbound directions during the AM and PM peak hours within the project limits.

### **Study Intersections**

Under existing (2019) conditions, the study intersections; SR 49/Lorensen Road/Florence Lane intersection operates at LOS D during the AM peak hour and LOS F in the PM peak hour, while the two other intersections (SR 49/Lone Star Road and SR 49/Cramer Road) operate at LOS F during both AM and PM peak hours. More congestion occurs in the PM peak hour at all study intersections due to higher through volumes on SR 49.

## **Opening Year (2024) Conditions for Highway Study Segments and Study Intersections**

### **Highway Study Segment**

Compared to existing (2019) conditions, operations under the opening year (2024) the addition of traffic volume does not affect the density and LOS for the highway segments. LOS would be A in the off-peak direction (southbound PM and northbound AM) and B for the peak direction (northbound PM and southbound AM).

### **Study Intersections**

Intersection operations were analyzed for opening year (2024) conditions during the AM and PM peak hours. During the AM and PM peak hours, build alternatives 1 and 2 would have similar results. At intersections SR49/Lone Star Road and SR49/Lorenson Road/Florence Road would provide LOS B conditions and at SR49/Cramer Road would provide LOS C or better conditions for AM and PM peak hours.

Alternative 3, would provide LOS C or better conditions at SR49/Cramer Road and LOS F at SR49/Lone Star Road and SR49/Lorenson Road/Florence Road intersections.

The no-build alternative would provide LOS F conditions for all intersection.

## **Horizon Year (2044) Conditions for Highway Study Segments and Study Intersections**

### **Highway Study Segment**

Compared to existing (2019) conditions, operations under the horizon year (2044) the addition of traffic volume does not affect the density and LOS for the highway segments. LOS would be A in the off-peak direction (southbound PM and northbound AM) and B for the peak direction (northbound PM and southbound AM).

### **Study Intersections**

Intersection operations were analyzed for horizon year (2044) conditions during the AM and PM peak hours.

Alternative 1 would improve the intersections SR49/Lone Star Road and SR49/Lorenson Road/Florence Road to LOS B and LOS C conditions at SR49/Cramer Road.

The movements to and from the side roads onto SR 49 at the roundabouts would see the most improvement in delay. The SR 49 approaches would have increased delay under this alternative.

Alternative 2 would improve all intersections to LOS C or better conditions.

Alternative 3, would provide LOS C conditions at SR49/Cramer Road and LOS F at SR49/Lone Star Road and SR49/Lorenson Road/Florence Road intersections.

The no-build alternative would provide LOS F conditions for all intersection.

## **Transit System**

Gold Country Stage provides transit service along SR 49 in the study area. Prior to the COVID-19 pandemic, Route 5 served the corridor five times per day in each direction (with about two-hour headways) on weekdays between Grass Valley and Auburn. The current reduced schedule is three times per day. Route 5 has stops in both directions on SR 49 in the project area at Lorenson Road/Florence Lane and Lone Star Road.

## **Bicycle/Pedestrian Facilities**

SR49 is a conventional highway with no pedestrian or bicycle restrictions. Pedestrians and bikes are allowed to use the shoulder.

Bicycle volume is very low along the corridor. No bicyclists were observed during field observations. Bicycles were not reported in the 24-hour counts collected at the three study intersections.

Given that the posted speed limit for vehicle traffic is 65 miles per hour, pedestrians are more likely to use the unpaved shoulder to travel as far from the vehicle lanes as possible. The 24-hour counts in October 2019 measured a total of three pedestrians crossing at Lorenson Road/Florence Lane, one pedestrian at Cramer Road, and one pedestrian at Lone Star Road.

## **Freight System**

SR 49 is a Terminal Access route for truck traffic in the study area. Terminal Access routes accommodate Surfaces Transportation Assistance Act (STAA) trucks. SR 49 provides access for agricultural trucks and connects industrial areas in Grass Valley and Auburn to the rest of the state.

Daily truck volume on SR 49 is estimated at 2,360 trucks per day, using the total volume measured in October 2019 and the reported truck percentage of 7 percent. According to a recent (2013) count reported in Annual Average Daily Truck Traffic on the California State Highway System (Caltrans 2016) at Lorenson Road, the truck volume is divided among 79 percent two-axle trucks, 9 percent three- or four-axle trucks, and 12 percent trucks with five or more axles.

The District 3 Goods Movement Study (February 2015) identified SR 49 in the study area as middle priority for improving truck mobility under the base year conditions. In the project area, no deficiencies were identified for bridge vertical clearance, bridge permit weight, or distressed bridges.

## **Environmental Consequences**

### **Induced Travel**

Induced travel is the phenomenon wherein additional capacity leads to additional travel demand. The proposed project does not provide additional capacity. The number of through lanes on SR 49 would be the same under all alternatives. Some alternatives would add intersection turn lanes, but these operational improvements will not provide additional through capacity. Therefore, the proposed project is not expected to induce travel.

## Alternatives Comparison Summary

### Study Intersections

The proposed concrete median barrier would reduce cross median collisions by physically preventing inattentive drivers from crossing the median into the opposing direction of travel. In addition, the following conflict points would be eliminated.

- Vehicles will be prohibited from making a left turn from SR 49 to access Cramer Road and all driveways between Lorensen Road/Florence Lane and Lone Star Road.
- Vehicles will be prohibited from making a left turn onto SR 49 or a through movement across SR 49 from Cramer Road and all driveways between Lorensen Road/Florence Lane and Lone Star Road.

These movements will be diverted to make U-turns at either Lorensen Road/Florence Lane or Lone Star Road.

The Crash Modification Factors Clearinghouse website shows three four-star rated studies for the countermeasure of “install concrete guardrail in median”. One study reported that the treatment was 100 percent effective at preventing cross median and head on collisions (that is, a crash modification factor of zero). Another study showed a 20 percent reduction in sideswipe collisions. The last study reported a 120 percent increase in single vehicle collisions.

For Alternative 1, roundabouts would have a lower rate of severe collisions due to the lower speed (about 20 mph) needed to traverse the roundabout intersection compared to traffic signals (Alternative 2). With Alternative 2, vehicles can maintain facility free-flow speed of 65 mph when the signal is green, and with RCUTs (Alternative 3), vehicles can maintain 65 mph at all times. In addition, roundabout intersections minimize conflict points so that the potential for broadside collisions is reduced. Some increase in rear-end and hit object collisions may be expected with the introduction of traffic control for the SR 49 approaches.

For Alternative 2, an increase in rear end collisions would be anticipated in association with the installation of traffic signals as drivers are not accustomed to stopping at the intersections (as in Alternative 1). However, traffic signals can help to reduce broadside and sideswipe collisions that occur at intersections with side street stop control.

The RCUTs in Alternative 3 would eliminate conflict points associated with left turn and through movements from the minor roads at the affected intersections but introduce new conflict points at the turnarounds.

The following existing safety features should be maintained under the proposed project.

- Shoulder rumble strips to alert inattentive drivers
- Six-inch wide thermoplastic pavement markings to provide enhanced visibility of the striping during nighttime and when the pavement is wet
- Speed feedback signs to encourage drivers to obey the posted speed limit

While travel time would be higher for Alternatives 1 and 2, intersection delay would be lower. These two alternatives would have no intersection deficiencies (all study intersections would operate at LOS D or better). In contrast, Alternative 3 would have two deficient study locations and No Build Alternative would have three deficient study locations due to high delay for minor road approaches.

Alternatives 1 and 2 would also improve bicycle and pedestrian conditions compared to Alternatives 3 and the No Build Alternative. The proposed intersection improvements at Lorensen Road/Florence Lane and Lone Star Road (roundabouts and signals, respectively) would provide a designated crossing location for pedestrians and an opportunity for bicycles and pedestrians to cross SR 49 more comfortably and safely. However, both bicycle and pedestrian activity in the project area is low due to the adjacent low-density development.

Finally, the median barrier to be installed under Alternatives 1, 2, and 3 is expected to improve safety compared to the No Build Alternative. Alternative 2 and 3 would also have some intersection safety improvements as the more difficult left turn and through movements from the minor road would be either controlled by a signal (Alternative 2) or prohibited (Alternative 3).

### **Avoidance, Minimization, and/or Mitigation Measures**

Since no project impacts would occur, no potential mitigation measures are recommended.

#### **2.1.8 VISUAL/AESTHETICS**

##### **Regulatory Setting**

The National Environmental Policy Act (NEPA) of 1969, as amended, establishes that the federal government use all practicable means to ensure all Americans safe, healthful, productive, and *aesthetically* (emphasis added) and culturally pleasing surroundings (42 United States Code [USC] 4331[b][2]). To further emphasize this point, the Federal Highway Administration (FHWA), in its implementation of NEPA (23 USC 109[h]), directs that final decisions on projects are to be made in the best overall public interest taking into account adverse environmental impacts, including among others, the destruction or disruption of aesthetic values.

The California Environmental Quality Act (CEQA) establishes that it is the policy of the state to take all action necessary to provide the people of the state “with...enjoyment of *aesthetic*, natural, scenic and historic environmental qualities” (CA Public Resources Code [PRC] Section 21001[b]).

California Streets and Highways Code Section 92.3 directs Caltrans to use drought resistant landscaping and recycled water when feasible, and incorporate native wildflowers and native and climate-appropriate vegetation into the planting design when appropriate.

##### **Affected Environment**

This section was prepared using information from the *Visual Impact Assessment* (VIA) technical report prepared for the project in September 2020. The VIA assesses follows the guidance outlined in the publication *Visual Impact Assessment for Highway Projects* published by the Federal Highway Administration (FHWA) in March 1981.

##### **Project Setting**

The project site is located on State Route 49 through Placer County and is a four-lane conventional rural highway, which serves local residents, commercial, tourist and recreational traffic traveling between Auburn and Grass Valley.

The visual settings throughout the project area is dominated by open space of undeveloped landforms with few manmade infrastructure and rural housing developments interspersed along the corridor, surrounded by an oak savannah landscape. This section of highway is characterized by the grassy rolling hills, naturally clumped native oak trees, manmade roadside slopes covered with native or naturalized grasslands.

This location of California State Route 49 is an Eligible Scenic Highway that retains the same scenic resources as an Officially Designated Scenic Highway, which is protected by the California Streets and Highways Code (SHC) Section 260 and a local Corridor Protection Program.

## **Resource Change**

Visual Resource is comprised of Visual Character and Visual Quality, and the assessment between the two constitutes the Resource Change. Resource Change is quantified by averaging the determined Visual Character and the anticipated Visual Quality of the proposed project. All alternatives are evaluated for Resource Change through two (2) aerial Key Views contained within one (1) Visual Assessment Unit. One Key View is located at the northern portion of the project at the Lone Star Road and SR49 intersection, the other Key View is located at the southern portion of the project at the Lorenson Road and SR49 intersection. These Key Views were chosen at the terminus of the two main components of the project.

Alternative 1's expected Visual Character of the proposed project is generally compatible with the existing visual character of the corridor. This alternative entails replacement of the two intersections with large roundabouts, multiuse pedestrian paths, a median crash barrier, splitter islands, high contrast pavement, chicane approaches, and a retaining wall. Most project elements are related to the existing roadway, but some pattern elements of form, line, and texture are expected to be altered due to the quantity of vegetation and landscape scarring required to accommodate the road widening and new roundabouts. With the introduction of new high contrast elements of pedestrian crosswalks, colorized chicanes, and overhead illuminated warning signs, the corridor's color will be moderately changed; however, because the preponderance of the affected project area will be replaced with in-kind materials for the same purpose, the proposed project will remain very similar to existing conditions and there will be only minor changes to corridor's dominate pattern elements.

Alternative 2 and 3's expected Visual Character of the proposed projects is compatible with the existing visual character of the corridor. These alternatives maintain most of the existing pattern elements of form, line, and texture, though they will have a minor effect on the roadway's dominance due to roadway widening at the intersections of Lorenson and Lone Star. Because most pattern elements are consistent with roadway projects and the existing corridor, these alternatives are not expected to alter the corridor's visual character.

The Visual Quality of the existing conditions of the project area convey a generally intact visual corridor with some manmade visual intrusions, such as rural residential development, that interfere with the cultural and landscape intactness and unity. However, dominance of the pastoral oak savannah landscape throughout the mid and foregrounds of the visual corridor conveys high vividness on this stretch of SR49.

Alternative 1 will replace the existing two-way intersections at Lone Star and Lorenson Roads with a dissimilar configuration. By doing so, the footprint of the proposed intersections

will expand in size to accommodate the roundabouts and require a retaining wall and an overall reduction in natural elements adjacent to the project thereby reducing the vividness of the corridor. Large cut slopes and/or a 100' long soldierpile retaining wall located on the southeastern side of the Lorensen intersection is expected to affect the visual unity and intactness by introducing a large noticeable manmade element to the corridor. At both Key Views, creating a high visibility intersection and approach will provide high contrast with the surrounding neutral and earthtone colors, further affecting the visual quality. However, the majority of pattern elements will remain intact. Though some of the foreground will be altered, the mid and background will retain the oak savannah landscape of wide open fields punctuated by native oak trees. Only at the intersection legs will there be any impact beyond the shoulder. Disturbed ground will be seeded with native seed thereby reducing observable impacts in the foreground. Therefore, the visual quality of the corridor will be affected, but not at a substantial level.

Alternative 2 and 3 will essentially maintain the unprotected two-way intersections at Lone and Lorensen Roads. The protected J-turns of Alternative 2 will require some modification to the roadway width at the intersection locations and require some additional paving on the shoulders where the new turn lanes cross the opposite lane traffic flow. A small acceleration lane is necessary to provide vehicles opportunity to return to the dominant traveling speed. Alternative 3's signalization will require road widening at the controlled intersections, but will otherwise maintain the roadway as it currently exists. Both alternatives will result in some loss of surrounding mature vegetation and introduce a few cut and fill slopes in the surrounding landscape. Therefore, Alternative 2 and 3 are not expected to affect the visual quality of the corridor beyond a minor level.

All alternatives will create a minor Visual Resource change for the proposed project. Alternative 1 would have the greatest visual effect, out of all of the alternatives, because the intersection configuration is the most visually distinct. However, Alternative 1 still retains almost all of the existing features of the corridor. Only minor alterations to the foreground is expected. Even though, Alternative 2 and 3 will create some visual quality impacts, the change will be very minimal, because the alternatives retain majority of the existing visual elements. All alternatives have a limited pattern element change and the Visual Character will be generally compatible with the existing conditions and will not affect the distinctiveness of the corridor.

## **Viewer Response**

State Route 49 is a heavily trafficked highway due to the northerly route connecting the cities of Auburn, Grass Valley, and Nevada City, as well as the connection to interstate 80. Local traffic is expected to include commuters and commercial vehicles. Bicyclists are also noted users of the state route, Placer County lists the stretch between the cities of Auburn and Grass Valley as a class 2 bicycle route and this section is part of the 2018 Placer County Bike Master Plan.

A few small rural residential developments are adjacent and appurtenant to both sides of the roadway with clear unobstructed views to the project area; however, all residential homes located within the vicinity of the intersections marked for improvement do not have clear views onto the project. At the Lorensen intersection approximately five (5) homes are south of the intersection and may have distant but interrupted views of the project. Residents have obscured vantage points due to grade changes and functional (screening) landscape plants. At the Lone Star intersection, one (1) home is south of the intersection and three (3) are

north of the intersection. As with the Lorensen intersection, residents have distant but interrupted views of the project due to grade changes and functional landscape plants.

The eligible Scenic Highway designation of SR 49 indicates that the visual corridor is aesthetically valuable and does not contain many visual intrusions interrupting the natural beauty of the region. Because of this, all viewers groups are expected to be more sensitive to project related visual disruptions than other, similar, projects located outside of an eligible or Officially Designated Scenic Highway.

In general, groups with the longest duration, most frequent views are either specifically traveling to the region for the natural beauty of the region (tourists, bicyclists) or have constant views to the project area (residents), have the highest level of sensitivity to visual alterations. Groups with the shortest duration of views or are preoccupied with business (commercial vehicle operators, commuters/local traffic) have the least sensitivity.

Sensitivity is moderated by the distance, duration and quantity of views by each group, which is the highest from commuters/local traffic since they are expected to live in the general area. As a group, commercial vehicle operators have a low duration and quantity due to their infrequency at the project location and their preoccupation with business; Tourists and recreationalists have a moderate duration and quantity because they tend to be on vacation and seek out aesthetically pleasing locales, which means they travel at a slower pace and are more aware of their surroundings; commuters/local traffic has a moderate exposure due to their routine relationship with the roadway and familiarity with the setting; business owners and residents have a high exposure due to their proximity, adjacency, and constant visual interaction.

## **Environmental Consequences**

### **Visual impacts**

Visual impacts are determined by assessing changes to the visual resources (Resource Change) and predicting how people will react to those changes (Viewer Response). The average between the Resource Change and the Viewer Response is the Visual Impact. Each project alternative is evaluated individually for Visual Impact and future or past projects that may contribute to the roadway corridor's visual degradation are accounted as additional cumulative impact.

### **No Build Alternative**

Under the No Build Alternative, the project would not be constructed and there would be no visual impacts on the existing visual character, visual quality, or affected viewer groups.

### **Build Alternatives**

Alternative 1 will physically affect the surrounding landscape more than any other proposed intersection configuration; regardless, the new roundabouts will not create a substantial impact on the visual corridor. Alternative 1 will require vegetation removal, engineered slopes, and variation in corridor colorization. A large cut slope and/or a 100' long soldier-pile retaining wall located on the southeastern side of the Lorensen intersection is expected to affect the visual unity and intactness by introducing a noticeable manmade element to the corridor. When compared with the existing conditions, most of the pattern elements are



retained and only a minor visual quality loss is expected. Resource Change is expected to be Low.

Alternatives 2 and 3 will affect the surrounding landscape but to a lesser degree than Alternative 1 due to the reduced limit of disturbance. Alternatives 2 and 3 will require some vegetation removal, few engineered slopes, and little to no alteration of corridor colorization. Therefore, Visual Character is expected to retain nearly all of the existing pattern elements, while very few physical visual alterations will be implemented. Rating of the Resource Change for Alternatives 2 and 3 is expected to mirror Alternative 1's rating at Low. Because the corridor is considered an eligible scenic highway, users are expected to be sensitive to physical alterations in the visual environment or rated at Moderate, with Residents and Tourists being the most responsive to the visual changes. Even though, all alternatives propose some sort of visual impact to the corridor, the remaining corridor will still substantially maintain the same level of pattern characteristics, pattern elements and color that currently exist within the corridor.

### **Avoidance, Minimization, and/or Mitigation Measures**

The following measures to avoid or minimize visual impacts will be incorporated into the project:

#### **AES-1: Landscape Preservation**

Trees and vegetation will be protected, where feasible. Special care will be applied to large trees that frame the roadway and have designated aesthetic value. Vegetation removal shall be limited to the extent necessary to construct the project in accordance to Caltrans Standard Specification 5-1.36B, Landscape and 5-1.39C(1) Landscape.

#### **AES-2: Apply Minimum Lighting Standards**

Temporary construction activities that require nighttime illumination sources for staging, access, or other construction activities shall comply with Caltrans Standard Specification 7-1.04, Public Safety.

## **2.1.9 CULTURAL RESOURCES**

### **Regulatory Setting**

The term "cultural resources," as used in this document, refers to the "built environment" (e.g., structures, bridges, railroads, water conveyance systems, etc.), places of traditional or cultural importance, and archaeological sites (both prehistoric and historic), regardless of significance. Under federal and state laws, cultural resources that meet certain criteria of significance are referred to by various terms including "historic properties," "historic sites," "historical resources," and "tribal cultural resources." Laws and regulations dealing with cultural resources include:

The National Historic Preservation Act (NHPA) of 1966, as amended, sets forth national policy and procedures for historic properties, defined as districts, sites, buildings, structures, and objects included in or eligible for listing in the National Register of Historic Places (NRHP). Section 106 of the NHPA requires federal agencies to take into account the effects of their undertakings on historic properties and to allow the Advisory Council on Historic

Preservation (ACHP) the opportunity to comment on those undertakings, following regulations issued by the ACHP (36 Code of Federal Regulations [CFR] 800). On January 1, 2014, the First Amended Section 106 Programmatic Agreement (PA) among the Federal Highway Administration (FHWA), the ACHP, the California State Historic Preservation Officer (SHPO), and the Department went into effect for Department projects, both state and local, with FHWA involvement. The PA implements the ACHP's regulations, 36 CFR 800, streamlining the Section 106 process and delegating certain responsibilities to the Department. The FHWA's responsibilities under the PA have been assigned to the Department as part of the Surface Transportation Project Delivery Program (23 United States Code [USC] 327).

The California Environmental Quality Act (CEQA) requires the consideration of cultural resources that are historical resources and tribal cultural resources, as well as "unique" archaeological resources. California Public Resources Code (PRC) Section 5024.1 established the California Register of Historical Resources (CRHR) and outlined the necessary criteria for a cultural resource to be considered eligible for listing in the CRHR and, therefore, a historical resource. Historical resources are defined in PRC Section 5020.1(j). In 2014, Assembly Bill 52 (AB 52) added the term "tribal cultural resources" to CEQA, and AB 52 is commonly referenced instead of CEQA when discussing the process to identify tribal cultural resources (as well as identifying measures to avoid, preserve, or mitigate effects to them). Defined in PRC Section 21074(a), a tribal cultural resource is a CRHR or local register eligible site, feature, place, cultural landscape, or object which has a cultural value to a California Native American tribe. Tribal cultural resources must also meet the definition of a historical resource. Unique archaeological resources are referenced in PRC Section 21083.2.

PRC Section 5024 requires state agencies to identify and protect state-owned historical resources that meet the NRHP listing criteria. It further requires the Department to inventory state-owned structures in its rights-of-way. Sections 5024(f) and 5024.5 require state agencies to provide notice to and consult with the State Historic Preservation Officer (SHPO) before altering, transferring, relocating, or demolishing state-owned historical resources that are listed on or are eligible for inclusion in the NRHP or are registered or eligible for registration as California Historical Landmarks. Procedures for compliance with PRC Section 5024 are outlined in a Memorandum of Understanding (MOU)<sup>1</sup> between the Department and SHPO, effective January 1, 2015. For most Federal-aid projects on the State Highway System, compliance with the Section 106 PA will satisfy the requirements of PRC Section 5024.

## **Affected Environment**

This section is based on the studies performed to identify and evaluate the potential for the project's effects on cultural resources, including the Historical Properties Survey Report (HPSR), an Archaeological Survey Report (ASR), and an Historical Resource Evaluation Report (HRER), all completed in November 2020.

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<sup>1</sup> The MOU is located on the SER at <https://dot.ca.gov/-/media/dot-media/programs/environmental-analysis/documents/5024mou-15-a11y.pdf>

Methods used to support the studies for the analysis include records searches, field surveys including Phase I pedestrian surveys and Extended Phase I testing, field testing and Native American consultation with the United Auburn Indian Community and Colfax-Todds.

The Area of Potential Effects (APE) is the area studied for the cultural resources present in the general project area and which may extend beyond the boundary of the project study area. The APE is defined to avoid impacts to cultural resources when feasible, and where avoidance did not conflict with the purpose and need of the proposed project. The APE aligns with the cultural resource study area and project study area. It consists of a broad corridor that encompasses existing and proposed new right-of-way (ROW) as well as lands that may be used during construction but not included in the final ROW.

In accordance with Section 106 PA Stipulation VIII.A, the Area of Potential Effects (APE) for the project was established by Caltrans District 3 staff on November 5, 2020.

Cultural resources identified within the APE include several built-environment resources that were evaluated as a result of this project and are not eligible for inclusion in the National Register of Historic Places (NRHP), Section 106 Programmatic Agreement Stipulation VIII.C.6. Caltrans received concurrence on this determination from the State Historic Preservation Officer (SHPO) in a letter dated January 13, 2021.

One archaeological site is within the APE, a Native American bedrock mortar which is considered eligible for inclusion in the NRHP for the purpose of this project; this is only because the feature/artifact will be protected in their entirety from any potential effects through the establishment of an Environmentally Sensitive Area (ESA), in accordance with Section 106 PA Stipulation VIII.C.3.

## **Environmental Consequences**

Within the project APE, there is one cultural resource that is assumed eligible for inclusion to the National Register of Historic Places. However, the Extended Phase I excavations confirmed the site does not extend into the projects Area of Direct Impact (ADI) and thus will be avoided and protected in its entirety through the establishment of an ESA. Thus, the project has a finding of “no adverse effect with standard conditions”.

There are historic properties protected by Section 4(f) of the Department of Transportation Act of 1966 within the project vicinity. However, this project will not “use” those properties as defined by Section 4(f). Please see Appendix A “Resources Evaluated Relative to the Requirements of Section 4(f)” for additional details.

## **Avoidance, Minimization, and/or Mitigation Measures**

### **CUL-1: Discovery of Unanticipated Cultural Resources**

If cultural materials are discovered during construction, all earth-moving activity within and around the immediate discovery area will be diverted until a qualified archaeologist can assess the nature and significance of the find.

If human remains are discovered, California Health and Safety Code (H&SC) Section 7050.5 states that further disturbances and activities shall stop in any area or nearby area suspected to overlie remains, and the County Coroner contacted. If the remains are thought by the coroner to be Native American, the coroner will notify the Native American Heritage

Commission (NAHC), who, pursuant to PRC Section 5097.98, will then notify the Most Likely Descendent (MLD). At this time, the person who discovered the remains will contact Erin Dwyer, Caltrans District 3 Environmental Branch Manager, so that they may work with the MLD on the respectful treatment and disposition of the remains. Further provisions of PRC 5097.98 are to be followed as applicable.

**CUL-2: Environmentally Sensitive Areas**

Use of high visibility fencing will be used to establish an ESA to protect the cultural resource in its entirety.

## 2.2 Physical Environment

### 2.2.1 Hydrology and Floodplain

#### Regulatory Setting

Executive Order (EO) 11988 (Floodplain Management) directs all federal agencies to refrain from conducting, supporting, or allowing actions in floodplains unless it is the only practicable alternative. The Federal Highway Administration (FHWA) requirements for compliance are outlined in 23 Code of Federal Regulations (CFR) 650 Subpart A.

To comply, the following must be analyzed:

- The practicability of alternatives to any longitudinal encroachments.
- Risks of the action.
- Impacts on natural and beneficial floodplain values.
- Support of incompatible floodplain development.
- Measures to minimize floodplain impacts and to preserve/restore any beneficial floodplain values affected by the project.

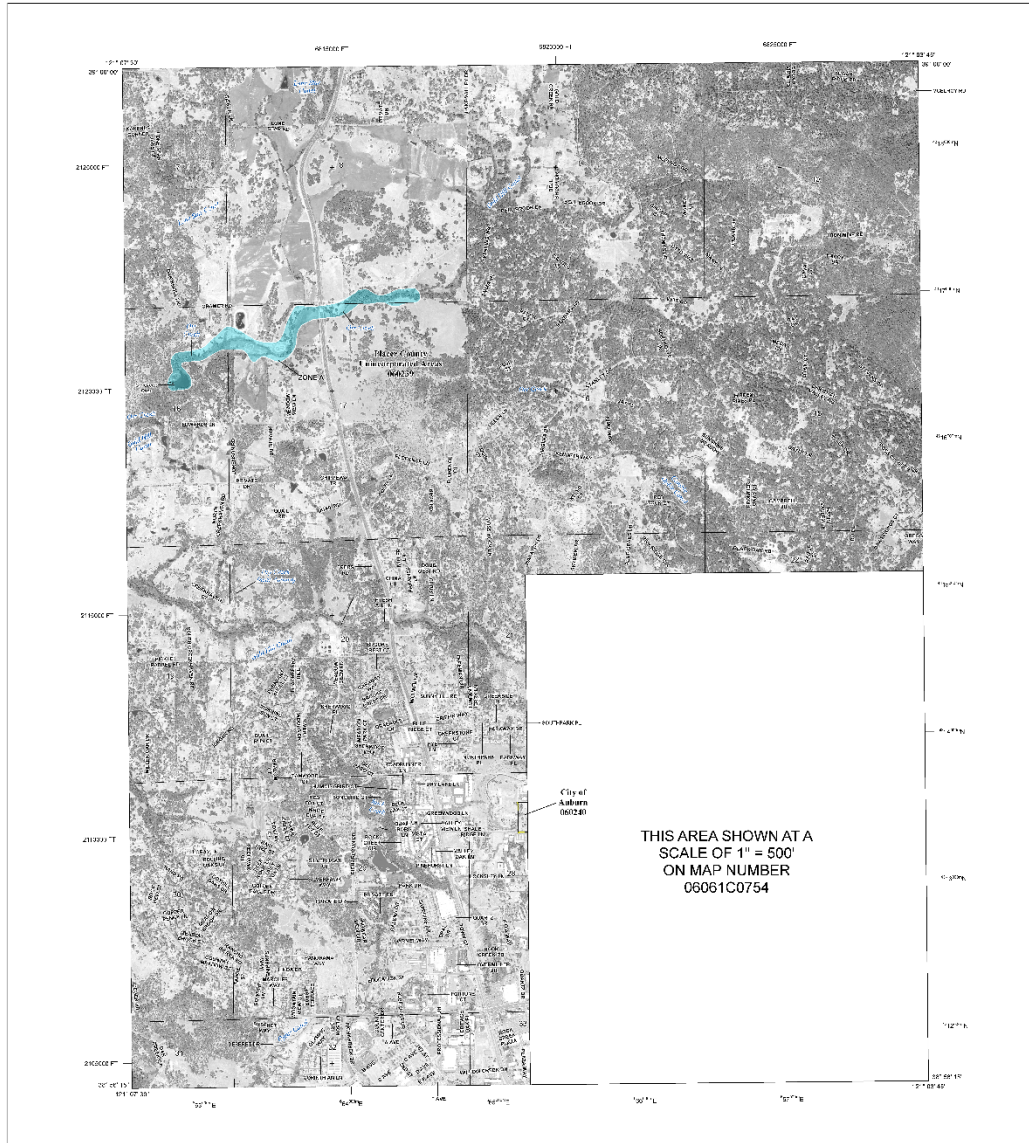
The base floodplain is defined as “the area subject to flooding by the flood or tide having a one percent chance of being exceeded in any given year.” An encroachment is defined as “an action within the limits of the base floodplain.”

#### Affected Environment

The project is located within the mother-lode region of the Sierra Nevada Mountain Foothills and is within the jurisdiction of the Central Valley Regional Water Quality Control Board. The project area is within the Coon Creek Watershed (hydrologic unit code [HUC] 10201610201). The average annual precipitation within the Coon Creek watershed is approximately 33.97 inches. The terrain, within the project area and vicinity, is generally characterized by grassy rolling hills, naturally clumped native oak trees, manmade roadside slopes covered with native or naturalized grasslands, with elevations ranging from approximately 1300 to 1400 feet above mean sea level.

The project area, at Post Mile 9.45, North Fork Dry Creek (also known as Orr Creek) is within flood zone A, a Federal Emergency Management Agency (FEMA) 100-year floodplain, as depicted on Flood Insurance Rate Maps (FIRMs) (Figure 6). The North Fork Dry Creek (also known as Orr Creek) is within a Special Flood Hazard Area (SFHA) Zone A, which represents areas subject to inundation by the 100-year storm event, however, base flood depths and elevations are not determined for SFHA Zone A areas.

Figure 6. FEMA Flood Zone Map



**FLOOD HAZARD INFORMATION**

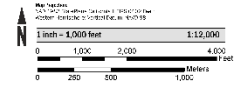
SEE THE REPORT FOR DETAILED DESCRIPTIONS AND INDEX MAP THE INFORMATION DEPICTED ON THIS MAP AND SUPPORTING DOCUMENTATION ARE ALSO AVAILABLE IN DIGITAL FORMAT AT [HTTP://MSC.FEMA.GOV](http://MSC.FEMA.GOV)

	Without Base Flood Elevation (BFE)
	With BFE or Depth (GENERAL NOTES ON THIS MAP)
	Regulatory Floodway
	0.2% Annual Chance Flood Hazard. Areas of 1% annual chance flood with average depth less than one foot or with drainage areas of less than two square miles. Zone A-F
	Special Conditions 1% Annual Chance Flood Hazard
	Area with Reduced Flood Risk due to Levee Site Notes. Zone V
	Area Determined to be Outside the 0.2% Annual Chance Floodplain
	Area of Unmitigated Flood Hazard
	Channel, Culvert, or Storm Sewer
	Levee, Dike, or Floodwall
	Cross Sections with 1% Annual Chance Water Surface Elevation (BFE)
	Cross Section
	Cross Section Baseline
	Hydrographic Feature
	Base Flood Elevation Line (BFE)
	Limit of Study
	Jurisdiction Boundary

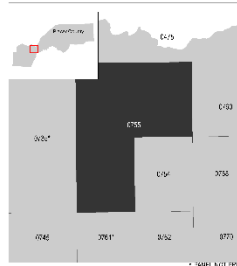
**NOTES TO USERS**

1. This map was prepared by FEMA in accordance with the National Flood Insurance Act of 1968 and the National Flood Insurance Program Act of 1968. It is intended to provide information for the purpose of determining flood insurance coverage. It is not intended to be used for any other purpose. FEMA does not warrant the accuracy or completeness of the information shown on this map. The user is responsible for verifying the accuracy of the information shown on this map. FEMA does not assume any liability for any damage or loss resulting from the use of this map.

**SCALE**



**PANEL LOCATOR**



**NATIONAL FLOOD INSURANCE PROGRAM**  
FLOOD INSURANCE RATE MAP

PLACER COUNTY, CA  
Map 1713 (Panel 06061C0754)

DATE: 11/15/2018

VERSION: 2.0.3.3

DATE: 11/15/2018

DATE: 11/15/2018

DATE: 11/15/2018

## **Environmental Consequences**

### **No Build Alternative**

The No Build Alternative would not change hydrology in the project area.

### **Build Alternatives**

Environmental consequences for the three alternatives are similar, and therefore discussed together. The project would construct inside shoulders (minimum width of 5-feet) and construct roadside ditches, which will incorporate side slopes of 2:1 or less. The total length of the project is 1.9 miles. Cross culverts for intersecting street drainage culverts and driveways would be evaluated and replaced as necessary to provide improved drainage capacity along the northbound and southbound highway shoulder drainage ditches. Existing driveways would be modified to conform to the highway, as needed. As a result, driveway culverts would be replaced to convey drainage flows in the roadside ditches. Existing cross culverts would also be extended or replaced, as needed. In addition, there will be minor shifts in the horizontal alignment and minor adjustments in vertical profile to correct existing non-standard features.

The proposed project would likely exceed one acre of new impervious area. With new impervious surfaces, post-project flows will exceed/increase pre-project flows and could result in downstream erosion or flooding. In addition, increased impervious surfaces could reduce the ability for groundwater recharge within the localized groundwater aquifer system. However, to address the additional flows and ensure that the proposed project does not exceed existing flow conditions, the project will include stormwater runoff best management practices (BMPs) to collect and retain or detain the additional flows within the project limits, as required by the California Department of Transportation National Pollution Discharge Elimination System municipal separate storm sewer systems (MS4) permit and a Storm Water Management Plan. In addition, the proposed project will only minimally affect groundwater resources because the excavations would occur on a temporary, short-term basis during the construction period. The proposed project would not infringe upon the existing floodplain.

### **Avoidance, Minimization, and/or Mitigation Measures**

This Floodplain Hydraulics Study has determined that North Fork Dry Creek does not overtop the roadway in the 100-year storm event, and the Project will not infringe upon the existing floodplain because of construction of the proposed center concrete median. No additional measures are proposed.

## 2.2.2 WATER QUALITY AND STORM WATER RUNOFF

### Regulatory Setting

#### Federal Requirements: Clean Water Act

In 1972, Congress amended the Federal Water Pollution Control Act, making the addition of pollutants to the waters of the United States (U.S.) from any point source<sup>2</sup> unlawful unless the discharge is in compliance with a National Pollutant Discharge Elimination System (NPDES) permit. This act and its amendments are known today as the Clean Water Act (CWA). Congress has amended the act several times. In the 1987 amendments, Congress directed dischargers of storm water from municipal and industrial/construction point sources to comply with the NPDES permit scheme. The following are important CWA sections:

- Sections 303 and 304 require states to issue water quality standards, criteria, and guidelines.
- Section 401 requires an applicant for a federal license or permit to conduct any activity that may result in a discharge to waters of the U.S. to obtain certification from the state that the discharge will comply with other provisions of the act. This is most frequently required in tandem with a Section 404 permit request (see below).
- Section 402 establishes the NPDES, a permitting system for the discharges (except for dredge or fill material) of any pollutant into waters of the U.S. Regional Water Quality Control Boards (RWQCBs) administer this permitting program in California. Section 402(p) requires permits for discharges of storm water from industrial/construction and municipal separate storm sewer systems (MS4s).
- Section 404 establishes a permit program for the discharge of dredge or fill material into waters of the U.S. This permit program is administered by the U.S. Army Corps of Engineers (USACE).

The goal of the CWA is “to restore and maintain the chemical, physical, and biological integrity of the Nation’s waters.”

The USACE issues two types of 404 permits: General and Individual. There are two types of General permits: Regional and Nationwide. Regional permits are issued for a general category of activities when they are similar in nature and cause minimal environmental effect. Nationwide permits are issued to allow a variety of minor project activities with no more than minimal effects.

Ordinarily, projects that do not meet the criteria for a Regional or Nationwide Permit may be permitted under one of the USACE’s Individual permits. There are two types of Individual permits: Standard permits and Letters of Permission. For Individual permits, the USACE decision to approve is based on compliance with U.S. Environmental Protection Agency’s (U.S. EPA) Section 404 (b)(1) Guidelines (40 Code of Federal Regulations [CFR] Part 230), and whether the permit approval is in the public interest. The Section 404(b)(1) Guidelines (Guidelines) were developed by the U.S. EPA in conjunction with the USACE, and allow the

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<sup>2</sup> A point source is any discrete conveyance such as a pipe or a man-made ditch.



discharge of dredged or fill material into the aquatic system (waters of the U.S.) only if there is no practicable alternative which would have less adverse effects. The Guidelines state that the USACE may not issue a permit if there is a least environmentally damaging practicable alternative (LEDPA) to the proposed discharge that would have lesser effects on waters of the U.S. and not have any other significant adverse environmental consequences. According to the Guidelines, documentation is needed that a sequence of avoidance, minimization, and compensation measures has been followed, in that order. The Guidelines also restrict permitting activities that violate water quality or toxic effluent<sup>3</sup> standards, jeopardize the continued existence of listed species, violate marine sanctuary protections, or cause “significant degradation” to waters of the U.S. In addition, every permit from the USACE, even if not subject to the Section 404(b)(1) Guidelines, must meet general requirements. See 33 CFR 320.4. A discussion of the LEDPA determination, if any, for the document is included in the Wetlands and Other Waters section.

### **State Requirements: Porter-Cologne Water Quality Control Act**

California’s Porter-Cologne Act, enacted in 1969, provides the legal basis for water quality regulation within California. This act requires a “Report of Waste Discharge” for any discharge of waste (liquid, solid, or gaseous) to land or surface waters that may impair beneficial uses for surface and/or groundwater of the state. It predates the CWA and regulates discharges to waters of the state. Waters of the state include more than just waters of the U.S., like groundwater and surface waters not considered waters of the U.S. Additionally, it prohibits discharges of “waste” as defined, and this definition is broader than the CWA definition of “pollutant.” Discharges under the Porter-Cologne Act are permitted by Waste Discharge Requirements (WDRs) and may be required even when the discharge is already permitted or exempt under the CWA.

The State Water Resources Control Board (SWRCB) and RWQCBs are responsible for establishing the water quality standards (objectives and beneficial uses) required by the CWA and regulating discharges to ensure compliance with the water quality standards. Details about water quality standards in a project area are included in the applicable RWQCB Basin Plan. In California, RWQCBs designate beneficial uses for all water body segments in their jurisdictions and then set criteria necessary to protect those uses. As a result, the water quality standards developed for particular water segments are based on the designated use and vary depending on that use. In addition, the SWRCB identifies waters failing to meet standards for specific pollutants. These waters are then state-listed in accordance with CWA Section 303(d). If a state determines that waters are impaired for one or more constituents and the standards cannot be met through point source or non-point source controls (NPDES permits or WDRs), the CWA requires the establishment of Total Maximum Daily Loads (TMDLs). TMDLs specify allowable pollutant loads from all sources (point, non-point, and natural) for a given watershed.

### **State Water Resources Control Board and Regional Water Quality Control Boards**

The SWRCB administers water rights, sets water pollution control policy, and issues water board orders on matters of statewide application, and oversees water quality functions throughout the state by approving Basin Plans, TMDLs, and NPDES permits. RWCQB are

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<sup>3</sup> The U.S. EPA defines “effluent” as “wastewater, treated or untreated, that flows out of a treatment plant, sewer, or industrial outfall.”

responsible for protecting beneficial uses of water resources within their regional jurisdiction using planning, permitting, and enforcement authorities to meet this responsibility.

- **National Pollutant Discharge Elimination System (NPDES) Program**

Municipal Separate Storm Sewer Systems (MS4)

Section 402(p) of the CWA requires the issuance of NPDES permits for five categories of storm water discharges, including Municipal Separate Storm Sewer Systems (MS4s). An MS4 is defined as “any conveyance or system of conveyances (roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, human-made channels, and storm drains) owned or operated by a state, city, town, county, or other public body having jurisdiction over storm water, that is designed or used for collecting or conveying storm water.” The SWRCB has identified the Department as an owner/operator of an MS4 under federal regulations. The Department’s MS4 permit covers all Department rights-of-way, properties, facilities, and activities in the state. The SWRCB or the RWQCB issues NPDES permits for five years, and permit requirements remain active until a new permit has been adopted.

The Department’s MS4 Permit, Order No. 2012-0011-DWQ (adopted on September 19, 2012 and effective on July 1, 2013), as amended by Order No. 2014-0006-EXEC (effective January 17, 2014), Order No. 2014-0077-DWQ (effective May 20, 2014) and Order No. 2015-0036-EXEC (conformed and effective April 7, 2015) has three basic requirements:

1. The Department must comply with the requirements of the Construction General Permit (see below);
2. The Department must implement a year-round program in all parts of the State to effectively control storm water and non-storm water discharges; and
3. The Department storm water discharges must meet water quality standards through implementation of permanent and temporary (construction) Best Management Practices (BMPs), to the maximum extent practicable, and other measures as the SWRCB determines to be necessary to meet the water quality standards.

To comply with the permit, the Department developed the Statewide Storm Water Management Plan (SWMP) to address storm water pollution controls related to highway planning, design, construction, and maintenance activities throughout California. The SWMP assigns responsibilities within the Department for implementing storm water management procedures and practices as well as training, public education, and participation, monitoring and research, program evaluation, and reporting activities. The SWMP describes the minimum procedures and practices the Department uses to reduce pollutants in storm water and non-storm water discharges. It outlines procedures and responsibilities for protecting water quality, including the selection and implementation of BMPs. The proposed project will be programmed to follow the guidelines and procedures outlined in the latest SWMP to address storm water runoff.

## ***Construction General Permit***

Construction General Permit, Order No. 2009-0009-DWQ (adopted on September 2, 2009 and effective on July 1, 2010), as amended by Order No. 2010-0014-DWQ (effective February 14, 2011) and Order No. 2012-0006-DWQ (effective on July 17, 2012). The permit regulates storm water discharges from construction sites that result in a Disturbed Soil Area (DSA) of one acre or greater, and/or are smaller sites that are part of a larger common plan of development. By law, all storm water discharges associated with construction activity where clearing, grading, and excavation result in soil disturbance of at least one acre must comply with the provisions of the General Construction Permit. Construction activity that results in soil disturbances of less than one acre is subject to this Construction General Permit if there is potential for significant water quality impairment resulting from the activity as determined by the RWQCB. Operators of regulated construction sites are required to develop Storm Water Pollution Prevention Plans (SWPPPs); to implement sediment, erosion, and pollution prevention control measures; and to obtain coverage under the Construction General Permit.

The Construction General Permit separates projects into Risk Levels 1, 2, or 3. Risk levels are determined during the planning and design phases and are based on potential erosion and transport to receiving waters. Requirements apply according to the Risk Level determined. For example, a Risk Level 3 (highest risk) project would require compulsory storm water runoff pH and turbidity monitoring, and before construction and after construction aquatic biological assessments during specified seasonal windows. For all projects subject to the permit, applicants are required to develop and implement an effective SWPPP. In accordance with the Department's SWMP and Standard Specifications, a Water Pollution Control Program (WPCP) is necessary for projects with DSA less than one acre.

## ***Section 401 Permitting***

Under Section 401 of the CWA, any project requiring a federal license or permit that may result in a discharge to a water of the U.S. must obtain a 401 Certification, which certifies that the project will be in compliance with state water quality standards. The most common federal permits triggering 401 Certification are CWA Section 404 permits issued by the USACE. The 401 permit certifications are obtained from the appropriate RWQCB, dependent on the project location, and are required before the USACE issues a 404 permit.

In some cases, the RWQCB may have specific concerns with discharges associated with a project. As a result, the RWQCB may issue a set of requirements known as WDRs under the State Water Code (Porter-Cologne Act) that define activities, such as the inclusion of specific features, effluent limitations, monitoring, and plan submittals that are to be implemented for protecting or benefiting water quality. WDRs can be issued to address both permanent and temporary discharges of a project.

## **Affected Environment**

The initial Water Quality Assessment (WQA) was completed on September 2, 2020 and was updated on September 21, 2020 to include the updated alternatives.

The project is within the Coon Creek watershed (HUC 190201610201) and this segment of SR-49, within the project area, crosses two drainages, Lone Star Canal and Orr Creek.

This project segment is within Placer County's Urban MS4 Permit boundary. and per The Department is expected to comply with the lawful requirements of municipalities and other local, regional, and/or other State agencies regarding discharges of storm water to separate storm sewer systems or other watercourses under the agencies' jurisdictions.

This segment also lies within a high-risk receiving watershed boundary. High risk receiving watersheds are watersheds that drain to water bodies that are either listed on the CWA 303(d) List for sedimentation/siltation or turbidity, have a USEPA-approved Total Maximum Daily Load Implementation Plan for sediment; or have beneficial uses of Cold, Spawn, and Migratory. A project that meets at least one of the three criteria has a high receiving water risk.

## **Environmental Consequences**

The discharge of storm water runoff from construction sites has the potential to affect water quality standards, water quality objectives and beneficial uses. Potential pollutants and sources are sediment; non-storm water (groundwater, waters from cofferdams, dewatering, water diversions) discharges; from vehicle and equipment cleaning agents, fueling, and maintenance; from waste materials and materials handling and storage activities.

A Storm Water Data Report (SWDR) has not yet been prepared for this project as it will require a more developed design. As a result, recommendations for Design Pollution Prevention and Construction Site Best Management Practices (BMPs) are unknown. However, the BMPs that are typically implemented and common for projects having similar scopes of work and field operations include (but are not limited to) the following: concrete washouts and bins, drainage inlet protection, plastic covering, straw wattles, silt fencing, waste management and disposal bins, stabilized construction vehicle ingress and egress points, vacuum trucks, and pavement sweepers.

## **Avoidance, Minimization, and/or Mitigation Measures**

The following are recommendations to avoid water quality impacts and ensure NPDES permit compliance for the duration of the project:

1. The project shall adhere to the conditions of the Caltrans a Statewide National Pollutant Discharge Elimination System (NPDES) Permit (Permit) (Order No. 2012-0011-DWQ, NPDES Permit No. CAS000003) on September 19, 2012. This statewide permit regulates storm water and non-storm water discharges from Caltrans' properties and facilities, and discharges associated with operation and maintenance of the State highway system. Caltrans facilities include, but are not limited to, maintenance stations/yards, equipment storage areas, storage facilities, fleet vehicle parking and maintenance areas and warehouses with material storage areas.

2. Adherence to the requirements of the Statewide NPDES General Permit for Storm Water Discharges Associated with Construction and Land Disturbance Activities (Order No. 2009-0009-DWQ, NPDES No. CAS000002), and all adopted amendments to this General Permit; for discharge of pollutants to waters of the United States, from construction sites that disturb one or more acres of land surface or is part of a larger common plan of development or sale that disturbs more than one acre of land surface.
3. The discharge of storm water runoff from construction sites has the potential to affect water quality standards, water quality objectives and beneficial uses. Potential pollutants and sources are sediment; non-storm water (groundwater, waters from cofferdams, dewatering, water diversions) discharges; from vehicle and equipment cleaning agents, fueling, and maintenance; from waste materials and materials handling and storage activities.
4. Adherence to the following is recommended to prevent receiving water pollution as a result of construction activities and/or operations from this project:
  - a) Follow all applicable guidelines and requirements in the 2018 Caltrans Standard Specifications (2018 CSS), Section 13, regarding water pollution control and general specifications for preventing, controlling, and abating water pollution to Department owned Municipal Separate Storm Sewer Systems (MS4s), streams, waterways, and other bodies of water.
  - b) The Contractor prepared Storm Water Pollution Prevention Plan (SWPPP) or Water Pollution Control Program (WPCP) shall incorporate appropriate temporary Construction Site BMPs to implement effective handling, storage, use and disposal practices during construction activities.
  - c) Focus and attention during construction should be given to 2018 CSS, Section 13-4 (Job Site Management), to control potential sources of water pollution before it encounters any MS4 or watercourse. It requires the Contractor to implement spill prevention and controls; materials, waste and non-storm management controls; and manage dewatering activities at the construction site.
  - d) Existing drainage facilities should be identified and protected by the application of appropriate temporary Construction Site BMPs.
  - e) If and where applicable, shoulder backing areas should be stabilized by Temporary Construction Site BMPs, or rolled and compacted in place, by the end of each day and prior to the onset of precipitation.
5. The Caltrans' Storm Water Management Plan (SWMP), the Project Planning and Design Guide (PPDG) Section 4, and the Evaluation Documentation Form (EDF) provide detailed guidance in determining if a specific project requires the consideration of permanent Treatment BMPs. Using these tools, general purpose BMPs will be selected by the Design Engineer (per Caltrans' PPDG) and described in the project SWDR.
6. If groundwater dewatering is anticipated, a separate permit may be required. Coordinate with the District NPDES Coordinator prior to the PS&E phase for direction and guidance.
7. If a batch plant is considered within the State's ROW, it will require a separate permit (Industrial General Permit) and involve coordination with Caltrans Construction field staff and the main Contractor for the project.

## 2.2.3 PALEONTOLOGY

### Regulatory Setting

Paleontology is a natural science focused on the study of ancient animal and plant life as it is preserved in the geologic record as fossils.

A number of federal statutes specifically address paleontological resources, their treatment, and funding for mitigation as a part of federally authorized projects.

16 United States Code (USC) 431-433 (the “Antiquities Act”) prohibits appropriating, excavating, injuring, or destroying any object of antiquity situated on federal land without the permission of the Secretary of the Department of Government having jurisdiction over the land. Fossils are considered “objects of antiquity” by the Bureau of Land Management, the National Park Service, the Forest Service, and other federal agencies.

16 United States Code (USC) 461-467 established the National Natural Landmarks (NNL) program. Under this program property owners agree to protect biological and geological resources such as paleontological features. Federal agencies and their agents must consider the existence and location of designated NNLs, and of areas found to meet the criteria for national significance, in assessing the effects of their activities on the environment under NEPA.

16 United States Code (USC) 470aaa (the Paleontological Resources Preservation Act) prohibits the excavation, removal, or damage of any paleontological resources located on federal land under the jurisdiction of the Secretaries of the Interior or Agriculture without first obtaining an appropriate permit. The statute establishes criminal and civil penalties for fossil theft and vandalism on federal lands.

23 United States Code (USC) 1.9(a) requires that the use of Federal-aid funds must be in conformity with all federal and state laws.

23 United States Code (USC) 305 authorizes the appropriation and use of federal highway funds for paleontological salvage as necessary by the highway department of any state, in compliance with 16 USC 431-433 above and state law.

Under California law, paleontological resources are protected by the California Environmental Quality Act (CEQA).

### Affected Environment

This section is based on the Paleontological Identification Report (PIR) prepared on September 10, 2020.

The project area is in North Auburn, CA, approximately 30 miles NE of Sacramento, CA, on the western boundary of the Sierra Nevada geomorphic province and the eastern edge of the Great Valley geomorphic province. The project area is included within the Preliminary Geologic Map of the Sacramento 30' x 60' Quadrangle, California (Gutierrez 2011) and identified as overlying Jurassic metavolcanics rocks of the Foothill Melange. This was supported by earlier mapping of the Sacramento Quadrangle by Wagner et al (1981). A

finer-scaled map by Bartow and Helley (1979) failed to identify the geologic units underlying the project area (likely due to their Jurassic age), however known fossil-bearing Tertiary formations (i.e. Mehrten, Laguna, Turlock and Lone) were positively identified outside of the footprint of the proposed project.

Searches of the University of California, Berkeley, Museum of Paleontology records database resulted in no known previous fossil discoveries in or near the proposed project footprint, however fossils have been recovered within Placer County in the Lone, Calaveras, Chico, Mariposa, Sailor Canyon and Shoo Fly and Division A formations. None of these geologic formations occur within the proposed project footprint.

Due to the topographic setting of the proposed project, within a low-lying basin in between two mountain ranges, the surficial geology is likely Quaternary alluvium, underlain by metavolcanics as identified in the mapping referenced above. Metavolcanic rocks as a class are unlikely to contain significant fossil resources, and Quaternary alluvium is generally too young to contain fossils. No previous discoveries of fossils within Quaternary alluvium are known in or near the project limits.

## **Environmental Consequences**

### **No Build Alternative**

Under the no build alternative, there would be no impacts to paleontological resources because no construction would occur.

### **Build Alternatives**

Impacts to paleontological resources generally occur during excavations and other ground-disturbing activities. Since the existing facility is assumed to be built on imported fill material, activities related to grinding, pulverizing, excavating, and paving within the existing paved portion of the project area have low to no potential to affect significant paleontological resources. Existing roadside ditches will most likely be graded and filled with imported material to build the proposed wider shoulders at the existing highway elevation. There is a low to moderate potential for these activities to impact paleontological resources in these areas as depth of excavation will be between 1–3 feet. Newly acquired right-of-way will be cleared of vegetation and graded or excavated. The majority of new right-of-way would be acquired from actively-managed farmland.

### **Avoidance, Minimization, and/or Mitigation Measures**

Because ground disturbance during construction activities could disturb paleontological resources, the following mitigation measures would be implemented.

#### **PALEO-1: Implement Construction Training**

Prior to the start of grading or excavation activities into any non-fill soils in the project vicinity (specifically the Modesto and Riverbank formations), construction personnel involved with earth-moving activities (including the Caltrans Resident Engineer or site superintendent) shall be informed of the possibility of encountering fossils, the appearance and types of fossils likely to be seen during construction activities, and proper notification procedures should fossils be encountered. This training must be prepared and delivered by a qualified paleontologist or archaeologist.

## **PALEO-2: Stop Work if Paleontological Resources are Discovered**

If paleontological resources are discovered during earthmoving activities, the construction crew shall immediately cease work in the vicinity of the find and notify the appropriate Caltrans personnel as defined in the project specifications. Ground-disturbing activities in the vicinity of the find cannot begin again until approved by a qualified paleontologist. Vicinity of work stoppage is at the professional discretion of the qualified paleontologist and will be determined in consultation with the Caltrans resident engineer.

## **PALEO-3: Prepare Mitigation Plan if Resources are Discovered**

If paleontological resources are discovered during earthmoving activities, a qualified paleontologist will be required to evaluate the resource and prepare a mitigation plan in accordance with Caltrans guidelines. The plan may include items including, but not limited to, construction monitoring, sampling and data recovery procedures, museum storage coordination for any specimen removed, and a report of findings. To avoid construction delays it is recommended that the mitigation plan and mitigation procedures be developed prior to beginning construction. To avoid potential impacts to the project schedule, it is also recommended that right-of-way acquisition includes language that designates Caltrans as the sole owner of any paleontological resources discovered; otherwise the underlying landowner(s) would need to be consulted for handling, ownership and possible curation of fossils found on their property.

### **2.2.4 HAZARDOUS WASTE/MATERIALS**

#### **Regulatory Setting**

Hazardous materials, including hazardous substances and wastes, are regulated by many state and federal laws. Statutes govern the generation, treatment, storage and disposal of hazardous materials, substances, and waste, and also the investigation and mitigation of waste releases, air and water quality, human health, and land use.

The primary federal laws regulating hazardous wastes/materials are the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) of 1980, and the Resource Conservation and Recovery Act (RCRA) of 1976. The purpose of CERCLA, often referred to as “Superfund,” is to identify and cleanup abandoned contaminated sites so that public health and welfare are not compromised. The RCRA provides for “cradle to grave” regulation of hazardous waste generated by operating entities. Other federal laws include:

- Community Environmental Response Facilitation Act (CERFA) of 1992
- Clean Water Act
- Clean Air Act
- Safe Drinking Water Act
- Occupational Safety and Health Act (OSHA)
- Atomic Energy Act
- Toxic Substances Control Act (TSCA)
- Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA)



In addition to the acts listed above, Executive Order (EO) 12088, *Federal Compliance with Pollution Control Standards*, mandates that necessary actions be taken to prevent and control environmental pollution when federal activities or federal facilities are involved.

California regulates hazardous materials, waste, and substances under the authority of the CA Health and Safety Code and is also authorized by the federal government to implement RCRA in the state. California law also addresses specific handling, storage, transportation, disposal, treatment, reduction, cleanup, and emergency planning of hazardous waste. The Porter-Cologne Water Quality Control Act also restricts disposal of wastes and requires cleanup of wastes that are below hazardous waste concentrations but could impact ground and surface water quality. California regulations that address waste management and prevention and cleanup of contamination include Title 22 Division 4.5 Environmental Health Standards for the Management of Hazardous Waste, Title 23 Waters, and Title 27 Environmental Protection.

Worker and public health and safety are key issues when addressing hazardous materials that may affect human health and the environment. Proper management and disposal of hazardous material is vital if it is found, disturbed, or generated during project construction.

### **Affected Environment**

The Initial Site Assessment (ISA) was completed on July 21, 2020 and was updated on March 25, 2021.

The purpose of the ISA was to identify any hazardous waste issues within and adjacent to the project area that could affect the project's design, constructability, feasibility, and/or cost. A records search of federal, state, and local databases, review of maps and reports, and a field inspection were conducted as well.

### **Naturally Occurring Asbestos**

A geologic evaluation regarding Naturally Occurring Asbestos (NOA) was conducted within the project limits. This evaluation included a review of geologic maps and reports including data prepared by the California Geological Survey (CGS) and the United States Geological Survey (USGS), previous studies conducted by Caltrans and their consultants, and a field inspection of the geology in the project area. The evaluation **does not** indicate the presence of altered ultramafic bedrock, alluvium derived from ultramafic rock, or other rock commonly associated with NOA.

### **Cortese List**

The Cortese List is a compilation of contaminated sites identified by the State of California-State Water Resource Control Board; active, closed, and inactive landfills identified by the Integrated Waste Management Board; and potentially hazardous waste sites identified by the Department of Toxic Substance Control. This list was reviewed as part of the initial screening for this project. The list, or a property's presence on the list, has bearing on the local permitting process as well as on compliance with the California Environmental Quality Act (CEQA). The proposed project **is not** within or impacting any site on the Cortese List.

## **Lead in Soil**

Aerially deposited lead (ADL) from the historical use of leaded gasoline, exists along roadways throughout California. If encountered, soil with elevated concentrations of lead as a result of ADL on the state highway system right-of-way within the limits of the project will be managed under the July 1, 2016, ADL Agreement between Caltrans and the California Department of Toxic Substances Control. This ADL Agreement allows such soils to be safely reused within the project limits as long as all requirements of the ADL Agreement are met.

## **Thermoplastic/Paint Stripe/Pavement Markings**

SR 49 has thermoplastic paint and/or pavement markings. Thermoplastic striping and markings may contain elevated concentrations of lead chromate and hexavalent chromium manufactured before 2005 and painted markings manufactured before 1997.

## **Treated Wood Waste**

Treated wood waste (TWW) is wood with preservative chemicals that protect it from insect attack and fungal decay during use. Typical uses in the highway environment include signposts, metal beam guardrail wood posts, and lagging on retaining walls. The chemical preservatives used are hazardous and pose a risk to human health and the environment. Arsenic, chromium, copper, creosote and pentachlorophenol are among the chemicals used. These chemicals are known to be toxic or carcinogenic. Harmful exposure to these chemicals may result from dermal contact with TWW from inhalation or ingestion of TWW particulate (e.g., sawdust and smoke) as this material is handled.

## **Environmental Consequences**

### **No Build Alternative**

No construction would take place under the No-Build Alternative; therefore, there would be no potential to expose workers or nearby land uses to soil contamination or hazardous materials from construction activities. The No-Build Alternative would not result in right-of-way acquisition or construction disturbance. Accordingly, the No-Build Alternative would not result in any direct effects regarding hazardous wastes or materials.

### **Build Alternatives**

Humans and the environment could be exposed to hazardous conditions from the accidental release of hazardous materials during construction activities. Construction would involve the use of heavy equipment, involving small quantities of hazardous materials (e.g., petroleum and other chemicals used to operate and maintain construction equipment) that may result in hazardous conditions in the project area.

Disturbing either yellow or white pavement markings by grinding or sandblasting or removal of treated wood posts or guardrails could expose construction workers or the general public to lead chromate and other harmful chemicals unless standard removal protocols are followed. Exposure of construction workers or the general public to these hazardous materials or wastes could pose a possible threat to human health. Soils on agricultural parcels could contain hazardous chemicals from past pesticide/herbicide use. Exposure of

construction workers or the general public to these hazardous materials or wastes could pose a possible threat to human health.

Aerially deposited lead (ADL) from the historical use of leaded gasoline, exists along roadways throughout California. Areas of primary concern are soils along routes that have had high vehicle emissions from large traffic volumes or congestion during the time when leaded gasoline was in use (generally prior to 1986). Along roads where the shoulder subgrade has not been disturbed, the presence of ADL is generally limited to the upper 24 inches. Lead concentrations typically drop rapidly with increasing depth below the ground surface. A preliminary Site Investigation (PSI) would be required during the design phase of to determine if lead is present, and what, if any worker protection or materials handling, transportation or disposal restrictions are required.

### **Avoidance, Minimization, and/or Mitigation Measures**

#### **HAZ-1: Avoid and Minimize the Potential for Effects from Hazardous Waste or Materials**

The proposed project will disturb soil during construction. As it is possible that aerially deposited lead may be disturbed, a preliminary site investigation (PSI) is required. High levels of lead from historical combustion of leaded fuel is present at several locations near the proposed project limits. A preliminary site investigation (PSI) is required prior to final PS&E to determine if lead is present, and what, if any worker protection or materials handling, transportation or disposal restrictions are required.

Contractors would be required to work under a health and safety plan and soil management plan. These plans would be prepared to address worker safety when working with potentially hazardous materials, including soils potentially containing aerially deposited lead, and other construction-related materials within the project right-of-way. The plans would provide for identification of potential hazardous materials at the work site and for specific actions to avoid worker exposure.

### **2.2.5 AIR QUALITY**

#### **Regulatory Setting**

The Federal Clean Air Act (FCAA), as amended, is the primary federal law that governs air quality while the California Clean Air Act (CCAA) is its companion state law. These laws, and related regulations by the United States Environmental Protection Agency (U.S. EPA) and the California Air Resources Board (ARB), set standards for the concentration of pollutants in the air. At the federal level, these standards are called National Ambient Air Quality Standards (NAAQS). NAAQS and state ambient air quality standards have been established for six criteria pollutants that have been linked to potential health concerns: carbon monoxide (CO), nitrogen dioxide (NO<sub>2</sub>), ozone (O<sub>3</sub>), particulate matter (PM)—which is broken down for regulatory purposes into particles of 10 micrometers or smaller (PM<sub>10</sub>) and particles of 2.5 micrometers and smaller (PM<sub>2.5</sub>), Lead (Pb), and sulfur dioxide (SO<sub>2</sub>). In addition, state standards exist for visibility reducing particles, sulfates, hydrogen sulfide (H<sub>2</sub>S), and vinyl chloride. The NAAQS and state standards are set at levels that protect public health with a margin of safety, and are subject to periodic review and revision. Both state and federal regulatory schemes also cover toxic air contaminants (air toxics); some criteria pollutants are also air toxics or may include certain air toxics in their general definition.

Federal air quality standards and regulations provide the basic scheme for project-level air quality analysis under the National Environmental Policy Act (NEPA). In addition to this environmental analysis, a parallel “Conformity” requirement under the FCAA also applies.

### *Conformity*

The conformity requirement is based on FCAA Section 176(c), which prohibits the U.S. Department of Transportation (USDOT) and other federal agencies from funding, authorizing, or approving plans, programs, or projects that do not conform to State Implementation Plan (SIP) for attaining the NAAQS. “Transportation Conformity” applies to highway and transit projects and takes place on two levels: the regional (or planning and programming) level and the project level. The proposed project must conform at both levels to be approved.

Conformity requirements apply only in nonattainment and “maintenance” (former nonattainment) areas for the NAAQS, and only for the specific NAAQS that are or were violated. U.S. EPA regulations at 40 Code of Federal Regulations (CFR) 93 govern the conformity process. Conformity requirements do not apply in unclassifiable/attainment areas for NAAQS and do not apply at all for state standards regardless of the status of the area.

Regional conformity is concerned with how well the regional transportation system supports plans for attaining the NAAQS for carbon monoxide (CO), nitrogen dioxide (NO<sub>2</sub>), ozone (O<sub>3</sub>), particulate matter (PM<sub>10</sub> and PM<sub>2.5</sub>), and in some areas (although not in California), sulfur dioxide (SO<sub>2</sub>). California has nonattainment or maintenance areas for all of these transportation-related “criteria pollutants” except SO<sub>2</sub>, and also has a nonattainment area for lead (Pb); however, lead is not currently required by the FCAA to be covered in transportation conformity analysis. Regional conformity is based on emission analysis of Regional Transportation Plans (RTPs) and Federal Transportation Improvement Programs (FTIPs) that include all transportation projects planned for a region over a period of at least 20 years (for the RTP) and 4 years (for the FTIP). RTP and FTIP conformity uses travel demand and emission models to determine whether or not the implementation of those projects would conform to emission budgets or other tests at various analysis years showing that requirements of the FCAA and the SIP are met. If the conformity analysis is successful, the Metropolitan Planning Organization (MPO), Federal Highway Administration (FHWA), and Federal Transit Administration (FTA) make the determinations that the RTP and FTIP are in conformity with the SIP for achieving the goals of the FCAA. Otherwise, the projects in the RTP and/or FTIP must be modified until conformity is attained. If the design concept and scope and the “open-to-traffic” schedule of a proposed transportation project are the same as described in the RTP and FTIP, then the proposed project meets regional conformity requirements for purposes of project-level analysis.

Project-level conformity is achieved by demonstrating that the project comes from a conforming RTP and TIP; the project has a design concept and scope<sup>4</sup> that has not changed significantly from those in the RTP and TIP; project analyses have used the latest planning assumptions and EPA-approved emissions models; and in PM areas, the project complies with any control measures in the SIP. Furthermore, additional analyses (known as hot-spot

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<sup>4</sup> “Design concept” means the type of facility that is proposed, such as a freeway or arterial highway. “Design scope” refers to those aspects of the project that would clearly affect capacity and thus any regional emissions analysis, such as the number of lanes and the length of the project.

analyses) may be required for projects located in CO and PM nonattainment or maintenance areas to examine localized air quality impacts.

## **Affected Environment**

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### **Location Climate and Meteorology**

Meteorology (weather) and terrain can influence air quality. Certain weather parameters are highly correlated to air quality, including temperature, the amount of sunlight, and the type of winds at the surface and above the surface. Winds can transport ozone and ozone precursors from one region to another, contributing to air quality problems downwind of source regions. Furthermore, mountains can act as a barrier that prevents ozone from dispersing.

The Auburn Municipal Airport climatological station (AUN) in Placer County is located near the project site and is representative of meteorological conditions near the project. The prevailing wind direction over the county is westerly. The proposed project is located within Western Placer County in the SVAB, which is relatively flat and bordered by mountains to the east, west, and north. The basin has a Mediterranean climate characterized by hot, dry summers and cool, rainy winters, sometimes with periods of dense and persistent low-level fog that are most prevalent between winter storms. The extreme summer aridity of the Mediterranean climate is caused by sinking air of subtropical high-pressure regions. In the Sacramento Valley, the ocean has less influence than in the coastal areas, giving the interior Mediterranean climate more seasonal temperature variation.

The area covers the transition from the low elevations of the Sacramento Valley to the Sierra Nevada foothills, with a corresponding transition in climate. Most precipitation results from air masses that move in from the Pacific Ocean during the winter months, from west or northwest. Rainfall increases as the air mass is pushed upward and cools; therefore, the lower western edge of the area is drier than the higher eastern edge. The normal annual precipitation, which occurs primarily from November through April, ranges from 18 inches on the west to 36 inches on the east. Temperature is less variable across the area. Winter temperature averages 49°F. During the summer months, average daily temperatures range from 58°F to more than 91°F, and daily high temperatures can exceed 110°F. The inland location and surrounding mountains shelter the area from much of the ocean breezes or morning cloud cover that moderate coastal temperature. The predominant wind direction and speed is from the south-southwest at 10 miles per hour. The Plan Area has nearly 250 sunny days per year. The heat and summer sun, and typically less than 1 inch of rainfall from May to August, cause rapid drying of open water. The climate, coupled with the extensive hardpan underlying Valley soils, creates the vernal pool condition. When rain fills the pools in the winter and spring, the water collects and remains in the depressions. In the springtime, the water gradually evaporates until the pools become completely dry in the summer and fall.

## Existing Air Quality Conditions

Existing air quality conditions in the project area can be characterized in terms of the ambient air quality standards that federal and state governments have established for various pollutants by monitoring data collected in the region. The nearest air quality monitoring station in the vicinity of the project area that reported pollutant concentrations between 2017 and 2019 is the Auburn-Atwood Rd Air Monitoring Station, which is approximately 3 miles south of the proposed project. Air quality standards are summarized below in Table 11.

As shown in Table 11, levels of ozone exceeded both the state and federal 8-hour standard concentrations for the period from 2017 to 2019. Levels of PM<sub>10</sub> exceeded the state highest 24-hr standard in 2019 and the national highest 24-hr standard in 2018. Federal maximum 24-hour concentrations of PM<sub>2.5</sub> exceeded the standard concentration (35 µg/m<sup>3</sup>) in 2018.

**Table 11. Air Quality Concentrations for the Past 3 Years Measured at Auburn-Atwood Road**

Pollutant	Standard	2017	2018	2019
<i>Ozone</i>				
Highest 8-hr concentration (ppm): State		0.084	0.116	0.081
Federal		0.084	0.115	0.081
No. days exceeded: State	0.070 ppm	30	36	9
Federal	0.070 ppm	28	35	9
<i>PM<sub>10</sub>*</i>				
Highest 24-hr concentration (µg/m <sup>3</sup> ): State		65.8	211.3	63.1
Federal		66.0	202.2	61.3
No. days exceeded: State	50 µg/m <sup>3</sup>	**	**	2.0
Federal	150 µg/m <sup>3</sup>	0	2.0	0
Annual average concentration (µg/m <sup>3</sup> ): State		**	**	15.4
Federal		16.4	22.8	15.1
<i>PM<sub>2.5</sub></i>				
Max 24-hr concentration (µg/m <sup>3</sup> ): State		29.7	91.1	21.1
Federal		29.7	91.1	21.1
No. days exceeded: Federal	35 µg/m <sup>3</sup>	0	11.6	0
Annual average concentration (µg/m <sup>3</sup> ): State		5.6	8.5	7.1
Federal		5.6	8.5	7.1

Source: California Air Resources Board (<http://www.arb.ca.gov/adam>) and accessed 12/20/2020

\*PM<sub>10</sub> data in the Roseville-N Sunrise Blvd Air Monitoring station.

\*\*means there was insufficient data available to determine the value.

## Attainment Status

Areas that do not violate ambient air quality standards are considered to have attained the standard. Violations of ambient air quality standards are based on air pollutant monitoring data and are evaluated for each air pollutant. Table 12 lists the state and federal attainment status for all regulated pollutants. At the federal level, Western Placer County is classified as unclassified/attainment for CO, NO<sub>2</sub>, SO<sub>2</sub>, and Pb, nonattainment for 8-Hour O<sub>3</sub> and PM<sub>2.5</sub>, and unclassified for PM<sub>10</sub>. At the state level, Western Placer County is classified as nonattainment for O<sub>3</sub> and PM<sub>10</sub>, attainment for PM<sub>2.5</sub>, CO, NO<sub>2</sub>, SO<sub>2</sub>, Pb, and sulfates, and unclassified for visibility-reducing particles, and hydrogen sulfide.

## Sensitive Receptors

Sensitive receptors can include residential areas, schools, hospitals, other health care facilities, child/day care facilities, parks, and playgrounds. Based on research indicating the zone of greatest concern near roadways is within 500 feet (or 150 meters), sensitive receptors (residential areas) within 500 feet (or 150 meters) have been identified. Figure 7 shows the locations of receptors relative to the proposed project site, which are all private residences.

**Figure 7. Receptors Located Near the Proposed Project**



**Table 12. State and Federal Criteria Air Pollutant Effects and Sources**

Pollutant	Principal Health and Atmospheric Effects	Typical Sources
Ozone (O <sub>3</sub> )	High concentrations irritate lungs. Long-term exposure may cause lung tissue damage and cancer. Long-term exposure damages plant materials and reduces crop productivity. Precursor organic compounds include many known toxic air contaminants. Biogenic VOC may also contribute.	Low-altitude ozone is almost entirely formed from reactive organic gases/volatile organic compounds (ROG or VOC) and nitrogen oxides (NO <sub>x</sub> ) in the presence of sunlight and heat. Common precursor emitters include motor vehicles and other internal combustion engines, solvent evaporation, boilers, furnaces, and industrial processes.
Carbon Monoxide (CO)	CO interferes with the transfer of oxygen to the blood and deprives sensitive tissues of oxygen. CO also is a minor precursor for photochemical ozone. Colorless, odorless.	Combustion sources, especially gasoline-powered engines and motor vehicles. CO is the traditional signature pollutant for on-road mobile sources at the local and neighborhood scale.
Respirable Particulate Matter (PM <sub>10</sub> )	Irritates eyes and respiratory tract. Decreases lung capacity. Associated with increased cancer and mortality. Contributes to haze and reduced visibility. Includes some toxic air contaminants. Many toxic & other aerosol and solid compounds are part of PM <sub>10</sub> .	Dust- and fume-producing industrial and agricultural operations; combustion smoke & vehicle exhaust; atmospheric chemical reactions; construction and other dust-producing activities; unpaved road dust and re-entrained paved road dust; natural sources.
Fine Particulate Matter (PM <sub>2.5</sub> )	Increases respiratory disease, lung damage, cancer, and premature death. Reduces visibility and produces surface soiling. Most diesel exhaust particulate matter – a toxic air contaminant – is in the PM <sub>2.5</sub> size range. Many toxic & other aerosol and solid compounds are part of PM <sub>2.5</sub> .	Combustion including motor vehicles, other mobile sources, and industrial activities; residential and agricultural burning; also formed through atmospheric chemical and photochemical reactions involving other pollutants including NO <sub>x</sub> , sulfur oxides (SO <sub>x</sub> ), ammonia, and ROG.
Nitrogen Dioxide (NO <sub>2</sub> )	Irritating to eyes and respiratory tract. Colors atmosphere reddish-brown. Contributes to acid rain & nitrate contamination of stormwater. Part of the “NO <sub>x</sub> ” group of ozone precursors.	Motor vehicles and other mobile or portable engines, especially diesel; refineries; industrial operations.
Sulfur Dioxide (SO <sub>2</sub> )	Irritates respiratory tract; injures lung tissue. Can yellow plant leaves. Destructive to marble, iron, steel. Contributes to acid rain. Limits visibility.	Fuel combustion (especially coal and high-sulfur oil), chemical plants, sulfur recovery plants, metal processing; some natural sources like active volcanoes. Limited contribution possible from heavy-duty diesel vehicles if ultra-low sulfur fuel not used.
Lead (Pb)	Disturbs gastrointestinal system. Causes anemia, kidney disease, and neuromuscular and neurological dysfunction. Also, a toxic air contaminant and water pollutant.	Lead-based industrial processes like battery production and smelters. Lead paint, leaded gasoline. Aerially deposited lead from older gasoline use may exist in soils along major roads.
Sulfates	Premature mortality and respiratory effects. Contributes to acid rain. Some toxic air contaminants attach to sulfate aerosol particles.	Industrial processes, refineries and oil fields, mines, natural sources like volcanic areas, salt-covered dry lakes, and large sulfide rock areas.



Pollutant	Principal Health and Atmospheric Effects	Typical Sources
Hydrogen Sulfide (H <sub>2</sub> S)	Colorless, flammable, poisonous. Respiratory irritant. Neurological damage and premature death. Headache, nausea. Strong odor.	Industrial processes such as: refineries and oil fields, asphalt plants, livestock operations, sewage treatment plants, and mines. Some natural sources like volcanic areas and hot springs.
Visibility Reducing Particles (VRP)	Reduces visibility. Produces haze. NOTE: not directly related to the Regional Haze program under the Federal Clean Air Act, which is oriented primarily toward visibility issues in National Parks and other "Class I" areas. However, some issues and measurement methods are similar.	See particulate matter above. May be related more to aerosols than to solid particles.
Vinyl Chloride	Neurological effects, liver damage, cancer. Also considered a toxic air contaminant.	Industrial processes

## Environmental Consequences

### Regional Conformity

This project is exempt from regional (40 CFR 93.127) conformity requirements. Separate listing of the project in the Regional Transportation Plan and Transportation Improvement Program, and their regional conformity analyses, is not necessary. The project will not interfere with timely implementation of Transportation Control Measures identified in the applicable SIP and regional conformity analysis. Therefore, this project does not require regional conformity, since it is not a regionally significant project analyses that is on facility which serves regional transportation needs and would normally be included in the modeling of a metropolitan area's transportation network, including at a minimum all principal arterial highways and all fixed guideway transit facilities that offer an alternative to regional highway travel (40 CFR §93.101).

### Project Level Conformity

The proposed project does not require a project-level PM hot spot analysis, since it is exempt from all air quality conformity analysis requirements per 40 CFR 93.126, Table 2 in subsection "Safety" (See Appendix C). Therefore, the interagency consultation process for the project-level PM hot spot analysis does not apply.

### Additional Environmental Analysis

#### Operational Emissions

Operational emissions examine long-term changes in emissions due to the project (excluding the construction phase). The operational emissions analysis compares forecasted emissions for existing/baseline, no-build, and build alternatives.

Table 13 below contains a summary of all long-term operational emissions associated with the proposed project. CO and NOx emissions from the traffic operation during the opening (2024) and the design (2044) years would not change between no-build and build alternatives. The emissions of CO and NOx in the future build and no-build alternatives would be lower than those in the existing condition.

**Table 13. Summary of Comparative Emissions Analysis**

Scenario/ Analysis Year	Direction	ROG (US tons/day)	CO* (US tons/day)	PM10* (US tons/day)	PM2.5* (US tons/day)	NOx* (surrogate for NO2) (US tons/day)
Existing Conditions/ 2019	NB	0.002	0.030	0.036	0.006	0.011
	SB	0.002	0.030	0.036	0.006	0.011
No-Build Alternatives/ 2024	NB	0.002	0.020	0.037	0.006	0.006
	SB	0.002	0.020	0.037	0.006	0.006
Build Alternatives/ 2024	NB	0.002	0.020	0.037	0.006	0.006
	SB	0.002	0.020	0.037	0.006	0.006
No-Build Alternatives/ 2044	NB	< 0.001	0.015	0.042	0.007	0.003
	SB	< 0.001	0.015	0.043	0.007	0.003
Build Alternatives/ 2044	NB	< 0.001	0.015	0.042	0.007	0.003
	SB	< 0.001	0.015	0.043	0.007	0.003

\*Applied adjustment factors

### Naturally Occurring Asbestos

Naturally occurring asbestos (NOA) can be released from serpentinite and ultramafic rocks when the rock is broken or crushed. Based on review of the California Geological Survey 10, Placer County includes the presence of ultramafic rocks or serpentinite and asbestos occurrences reported in the literature. Based on the review of the map, A General Location Guide for Ultramafic Rocks in California-Areas More Likely to Contain Naturally Occurring Asbestos (California Department of Conservation, Division of Mines and Geology, 2000), ultramafic rocks and serpentinite are mapped within the eastern portion of the project area of Placer County where NOA is expected to occur.

The construction activities proposed by Caltrans may disturb NOA-containing soil/rock units, if present at the site. The California Air Resources Board (CARB) has mitigation practices for construction, grading, quarrying and surface mining operations that may disturb natural occurrences of asbestos as outlined in CCR Title 17, §93105 – Asbestos Airborne Toxic Control Measure for Construction, Grading, Quarrying, and Surface Mining Operations (ATCM 93105). NOA potentially poses a health hazard when it becomes an airborne particulate. Mitigation practices can reduce the risk of exposure to asbestos-containing dust. The primary mitigation practice used for controlling exposure to potentially asbestos-containing dust is the implementation of engineering controls including wetting the materials

being disturb. If engineering controls do not adequately control exposure to potentially asbestos-containing dust, the use of personal protective equipment including wearing air purifying respirators with High Efficiency Particulate Air (HEPA) filters is required during construction activities.

## Lead

Lead is normally not an air quality issue for transportation projects unless the project involves disturbance of soils containing high levels of aerially deposited lead or painting or modification of structures with lead-based coatings. Any potential Aerially Deposited Lead (ADL) issues will be addressed within the Initial Site Assessment.

## Mobile Source Air Toxics

Mobile source air toxics (MSATs) are a subset of the 188 air toxics defined by the Clean Air Act. MSATs are compounds emitted from highway vehicles and non-road equipment. Some toxic compounds are present in fuel and are emitted to the air when the fuel evaporates or passes through the engine unburned. Other toxics are emitted from incomplete combustion of fuels or as secondary combustion products. Metal air toxics also result from engine wear or from impurities in oil or gasoline.

FHWA released updated guidance in October 2016 (FHWA, 2016) for determining when and how to address MSAT impacts in the NEPA process for transportation projects. FHWA identified three levels of analysis:

- No analysis for exempt projects or projects with no potential for meaningful MSAT effects;
- Qualitative analysis for projects with low potential MSAT effects; and
- Quantitative analysis to differentiate alternatives for projects with higher potential MSAT effects.

Projects with no impacts generally include those that a) qualify as a categorical exclusion under 23 CFR 771.117, b) qualify as exempt under the FCAA conformity rule under 40 CFR 93.126, and c) are not exempt, but have no meaningful impacts on traffic volumes or vehicle mix.

Projects that have low potential MSAT effects are those that serve to improve highway, transit, or freight operations or movement without adding substantial new capacity or creating a facility that is likely to substantially increase emissions. The majority of projects fall into this category.

Projects with high potential MSAT effects include those that:

- Create or significantly alter a major intermodal freight facility that has the potential to concentrate high levels of Diesel Particulate Matter in a single location; or
- Create new or add significant capacity to urban highways such as interstates, urban arterials, or urban collector-distributor routes with traffic volumes where the AADT is projected to be in the range of 140,000 to 150,000, or greater, by the design year; and
- Are proposed to be located in proximity to populated areas or, in rural areas, in proximity to concentrations of vulnerable populations (i.e., schools, nursing homes, hospitals).

Based on the ARB Land Use Handbook (Cal/EPA and ARB, 2005), it is generally recommended in California that projects perform an emissions analysis to address CEQA requirements if any of the following criteria are met:

- The project changes capacity or realigns a freeway, or urban road with AADT of 100,000 or more and there are sensitive land uses within 500 feet of the roadway.
- The project changes capacity or realigns a rural road (non-freeway) with AADT of 50,000 or more and there are sensitive land uses within 500 feet of the roadway.

This proposed project proposes to construct roundabouts and median barrier, or install signals at intersections, and is located in proximity to the sensitive receptors. However, traffic volumes would not be projected to be in the range of 140,000 to 150,000 for NEPA and 50,000 for CEQA criteria, or greater, by the design year. Therefore, the proposed project can fall into the Category 2 (FHWA, 2016), a project with low potential MSAT effects. As such, a qualitative MSAT analysis for NEPA requirements is appropriate (see Appendix H), and CEQA requirements would not be addressed.

In addition, the modeling results using the latest version of CT-EMFAC2017 to estimate emissions of benzene, 1,3-butadiene, formaldehyde, acrolein, naphthalene, DPM, and POM, show that the estimated MSAT emissions would not be substantial changes between existing, opening, and design years. Table 14 shows MSAT emissions estimated for baseline, no-build, and build alternatives for the opening year (2024) and design year (2044). It is expected there would be no appreciable difference in overall MSAT emissions between the future build and the future no-build alternatives.

**Table 14. Summary of Comparative MSAT Emissions (US tons) Analysis**

Analysis Year/ Scenario	1,3- butadiene (tons/day)	Acetal- dehyde (tons/day)	Acrolein (tons/day)	Benzene (tons/day)	Diesel PM (tons/day)	Ethyl- benzene (tons/day)	Formal- dehyde (tons/day)	Naph- thalene (tons/day)	Polycyclic Organic Matter (tons/day)
Baseline Year (2019) NB & SB	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001
Opening Year (2024) No-Build Alternative NB & SB	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001
Opening Year (2024) Build Alternative NB & SB	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001
Design Year (2044) No-Build Alternative NB & SB	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001
Design Year (2044) Build Alternative NB & SB	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001

## Construction (Short-term) Impacts

Site preparation and roadway construction will involve grading, removing, or improving existing roadways, installing a traffic sign, and paving roadway surfaces. During construction, short-term degradation of air quality is expected from the release of particulate emissions (airborne dust) generated by excavation, grading, hauling, and other activities related to construction. Emissions from construction equipment powered by gasoline and diesel engines are also anticipated and would include CO, NOX, ROG, directly emitted PM10 and PM2.5, and toxic air contaminants (TACs) such as diesel exhaust particulate matter. Construction activities are expected to increase traffic congestion in the area, resulting in increases in emissions from traffic during the delays. These emissions would be temporary and limited to the immediate area surrounding the construction site.

Under the transportation conformity regulations (40 CFR 93.123(c)(5)), construction-related activities that cause temporary increases in emissions are not required in a hot-spot analysis. These temporary increases in emissions are those that occur only during the construction phase and last five years or less at any individual site. They typically fall into two main categories:

- *Fugitive Dust*: A major emission from construction due to ground disturbance. All air districts and the California Health and Safety Code (Sections 41700-41701) prohibit “visible emissions” exceeding three minutes in one hour – this applies not only to dust but also to engine exhaust. In general, this is interpreted as visible emissions crossing the right-of-way line.
- Sources of fugitive dust include disturbed soils at the construction site and trucks carrying uncovered loads of soils. Unless properly controlled, vehicles leaving the site may deposit mud on local streets, which could be an additional source of airborne dust after it dries. PM10 emissions may vary from day to day, depending on the nature and magnitude of construction activity and local weather conditions. PM10 emissions depend on soil moisture, silt content of soil, wind speed, and the amount of equipment operating. Larger dust particles would settle near the source, while fine particles would be dispersed over greater distances from the construction site.
- *Construction equipment emissions*: Diesel exhaust particulate matter is a California-identified toxic air contaminant, and localized issues may exist if diesel-powered construction equipment is operated near sensitive receptors.

Construction emissions were estimated using the latest Caltrans’ Model, CAL-CET2020 (version 1.0.1). Construction-related emissions for the proposed project are presented in Tables 15, 16, and 17 (Construction Emissions Alternatives 1, 2, and 3, respectively). The emissions presented are based on the best information available at the time of calculations. The emissions represent the construction emissions generated by operation.

**Table 15. Construction Emissions (Alternative 1)**

Phases	Emissions	PM <sub>10</sub> (tons)	PM <sub>2.5</sub> (tons)	CO (tons)	NO <sub>x</sub> (tons)	ROGs (tons)	CO <sub>2</sub> (tons)
Land Clearing/Grubbing		0.000	0.000	0.000	0.000	0.000	0
Roadway Excavation/Removal		0.178	0.093	1.027	1.155	0.163	242
Structural Excavation/Removal		0.000	0.000	0.000	0.000	0.000	0
Base/Subbase/Imported Borrow		0.129	0.045	0.465	0.480	0.068	98
Structure Concrete		0.000	0.000	0.000	0.000	0.000	0
Paving		0.037	0.036	0.213	0.524	0.068	101
Drainage/Environment/Landscaping		0.001	0.001	0.004	0.010	0.002	2
Traffic Signalization/Signage/Striping/Painting		0.084	0.082	0.918	1.628	0.182	581
Other Operation		0.000	0.000	0.000	0.000	0.000	0
Project Total (tons)		0.428	0.257	2.627	3.797	0.482	1024

**Table 16. Construction Emissions (Alternative 2)**

Phases	Emissions	PM <sub>10</sub> (tons)	PM <sub>2.5</sub> (tons)	CO (tons)	NO <sub>x</sub> (tons)	ROGs (tons)	CO <sub>2</sub> (tons)
Land Clearing/Grubbing		0.000	0.000	0.000	0.000	0.000	0
Roadway Excavation/Removal		0.174	0.089	0.978	1.099	0.155	230
Structural Excavation/Removal		0.000	0.000	0.000	0.000	0.000	0
Base/Subbase/Imported Borrow		0.128	0.045	0.457	0.472	0.067	97
Structure Concrete		0.000	0.000	0.000	0.000	0.000	0
Paving		0.037	0.036	0.213	0.526	0.068	101
Drainage/Environment/Landscaping		0.001	0.001	0.004	0.009	0.001	2
Traffic Signalization/Signage/Striping/Painting		0.079	0.078	0.882	1.547	0.173	552
Other Operation		0.000	0.000	0.000	0.000	0.000	0
Project Total (tons)		0.419	0.248	2.535	3.653	0.464	982

**Table 17. Construction Emissions (Alternative 3)**

Phases	Emissions	PM <sub>10</sub> (tons)	PM <sub>2.5</sub> (tons)	CO (tons)	NO <sub>x</sub> (tons)	ROGs (tons)	CO <sub>2</sub> (tons)
Land Clearing/Grubbing		0.000	0.000	0.000	0.000	0.000	0
Roadway Excavation/Removal		0.185	0.100	1.111	1.250	0.177	263
Structural Excavation/Removal		0.000	0.000	0.000	0.000	0.000	0
Base/Subbase/Imported Borrow		0.132	0.048	0.502	0.518	0.073	106
Structure Concrete		0.000	0.000	0.000	0.000	0.000	0
Paving		0.040	0.039	0.230	0.567	0.073	109
Drainage/Environment/Landscaping		0.001	0.001	0.005	0.011	0.002	2
Traffic Signalization/Signage/Striping/Painting		0.091	0.089	0.992	1.761	0.197	628
Other Operation		0.000	0.000	0.000	0.000	0.000	0
<b>Project Total (tons)</b>		<b>0.448</b>	<b>0.276</b>	<b>2.841</b>	<b>4.107</b>	<b>0.522</b>	<b>1108</b>

Implementation of the following measures will reduce air quality impacts resulting from construction activities. Please note that although these measures are anticipated to reduce construction-related emissions, these reductions cannot be quantified at this time.

**Avoidance, Minimization, and/or Mitigation Measures**

**AQ-1: Adhere to PCAPCD (Placer County Air Pollution Control District) Guidelines**

The PCAPCD Guidelines provide reasonably available control measures for dust emissions. Measures to reduce PM and GHG from construction are recommended to ensure that short-term health impacts to nearby sensitive receptors are avoided. The following techniques shall be implemented to limit the emission and/or airborne transport of fugitive dust from a site when practical, during all phases of construction work:

- Application of water, chemical stabilizers/suppressants, soil stabilizers, or other liquids
- Covering, paving, enclosing, shrouding, compacting, planting, cleaning, or such other measures the Air Pollution Control Officer may approve to accomplish satisfactory results for temporary and/or extended suppression of PM10 emissions

**Climate Change**

Neither the United States Environmental Protection Agency (U.S. EPA) nor the Federal Highway Administration (FHWA) has issued explicit guidance or methods to conduct project-level greenhouse gas analysis. FHWA emphasizes concepts of resilience and sustainability in highway planning, project development, design, operations, and maintenance. Because there have been requirements set forth in California legislation and executive orders on climate change, the issue is addressed in the California Environmental Quality Act (CEQA) chapter of this document. The CEQA analysis may be used to inform the National Environmental Policy Act (NEPA) determination for the project.

## 2.2.6 ENERGY

### Regulatory Setting

The National Environmental Policy Act (NEPA) (42 United States Code [USC] Part 4332) requires the identification of all potentially significant impacts to the environment, including energy impacts.

The California Environmental Quality Act (CEQA) Guidelines section 15126.2(b) and Appendix F, Energy Conservation, require an analysis of a project's energy use to determine if the project may result in significant environmental effects due to wasteful, inefficient, or unnecessary use of energy, or wasteful use of energy resources.

### Affected Environment

An Energy Analysis Report was completed January 2021 for this project.

This project is located within Segment 10 of the Transportation Concept Report, which is 5 miles of 4 lanes of conventional highway/expressway that begins at Bell Road extending to the Placer/Nevada County line. This segment consists of numerous side streets, access points, and signalized intersections and serves as a major arterial for through traffic for Nevada and El Dorado Counties. In addition, it connects to high-volume local roadways that serve commuter traffic from Nevada County and the North Auburn area and the rapidly-growing commercial area along the route.

The baseline year used for analysis is 2019. Table 18 shows the existing (2019) traffic conditions on SR 49 in Placer County from post miles 8.7 to 10.6.

**Table 18. Summary of Existing Traffic Conditions**

Scenario/ Analysis Year	Location	AADT		% Truck	VMT (mi)	Average Speed During AM Peak Travel (mph)	Average Speed During PM Peak Travel (mph)	Average Speed During Off-Peak Travel (mph)	LOS
		Total	Truck						
Existing Year 2019	Northbound Post miles 8.7 – 10.6	16,880	1,182	7.0	22,060	69.2	69.2	70.0	B
	Southbound Post miles 8.7 – 10.6	16,920	1,185	7.0	22,110	69.5	69.5	70.0	B

### Environmental Consequences

The following environmental consequences section describes the methods and results of energy consumption of the proposed project. Analyses in the Energy Analysis Report was conducted using methodology and assumptions that are consistent with the requirements of NEPA and CEQA. A quantitative energy analysis for the capacity-increasing project considers direct but temporary fuel usage during construction as well as the direct operational fuel consumption.



## **Direct Energy Consumption (Construction)**

Site preparation and roadway construction will involve land clearing/grubbing, roadway excavation/ removal, structural excavation/removal, base/subbase/imported borrow, structure concrete, paving, drainage/environment/landscaping, and traffic signalization/signage/stripping/painting. During construction, short-term fuel consumption is expected by various operation. Fuels for construction equipment would be largely powered by gasoline and diesel. Construction activities are expected to increase traffic congestion in the area, resulting in increases in fuel consumption from traffic during the delays. This consumption would be temporary and limited to the immediate area surrounding the construction site.

## **Short-Term (Construction)**

While construction would result in a short-term increase in energy use, construction design features would help conserve energy. The following measures shall be implemented when practical:

- Reduce grades and curvatures in construction of the project.
- Use recycled and energy-efficient building materials, energy-efficient tools and construction equipment, and renewable energy sources in construction and operation of the project.
- Improve operations and maintenance practices by regularly checking and maintaining equipment to ensure its functioning efficiently.
- Optimize start-up time, power-down time, and equipment sequencing.
- Review and emphasize the financial and environmental results of a preventative maintenance program for major systems and components.
- Set goals and a methodology to track and reward improvements.
- Visually inspect insulation on all piping, ducting and equipment for damage (tears, compression, stains, etc.).
- Educate employees about how their behaviors affect energy use.
- Ensure that team members are trained in the importance of energy management and basic energy-saving practices. Hold staff meetings on energy use, costs, objectives, and employee responsibilities.

The basic procedure for analyzing direct energy consumption from construction activities is to obtain fuel consumption projections in gallons from the Caltrans Construction Emission Tool (CAL-CET). Construction energy consumption was estimated using the Caltrans' Model, CAL-CET2020 (version 1.0.1). Construction-related fuel consumption by operation and annual for the proposed project is presented in Tables 19 and 20, respectively. The calculations of the construction energy consumption are included in Appendix A. The energy consumption presented is based on the best information available at the time of calculations. The energy represents the construction fuel consumption.

**Table 19. Construction Fuel Consumption by Operation**

Project Phases	Diesel Fuel (gal)			Gasoline Fuel (gal)		
	Alternative 1	Alternative 2	Alternative 3	Alternative 1	Alternative 2	Alternative 3
Land Clearing/Grubbing	0	0	0	0	0	0
Roadway Excavation/Removal	20390	19377	22099	10785	10222	11732
Structural Excavation/Removal	0	0	0	0	0	0
Base/Subbase/Imported Borrow	8275	8132	8935	4020	3943	4331
Structure Concrete	0	0	0	0	0	0
Paving	8326	8357	9025	5427	5447	5898
Drainage/Environment/Landscaping	156	139	176	92	80	109
Traffic Signalization/Signage/Striping/Painting	46612	44309	50420	44558	42423	48208
Project Total	83759	80313	90655	64883	62115	70278

**Table 20. Annual Construction Fuel Consumption**

Construction year	Fuel Consumption (gallons)					
	Diesel Fuel (gal)			Gasoline Fuel (gal)		
	Alternative 1	Alternative 2	Alternative 1	Alternative 2	Alternative 1	Alternative 2
2023	67657	80313	73237	49536	62115	53674
2024	16102	0	17418	15347	0	16604
Total	83759	80313	90655	64883	62115	70278

**Direct Energy Consumption (Mobile Sources)**

The basic procedure for analyzing direct energy consumption from mobile sources was conducted by calculating fuel consumption using CT-EMFAC2017. Operational energy takes into account long-term changes in fuel consumption due to the project that would increase a capacity (excluding the construction phase). The operational fuel consumption analysis compares forecasted consumption for baseline, No-Build, and Build alternatives during existing, opening, and design years. Table 21 below provides a summary of all long-term operational energy consumption associated with the proposed project. Measures of vehicle miles of travel (VMT) for existing, opening, and design years were estimated using fuel consumption, fleet average fuel consumption factor, and the VMT distribution in the speed bin between 5 and 75 mph.

**Table 21. Summary of Comparative Fuel Consumption Analysis**

Scenario/ Analysis Year	Daily Vehicles Miles of Travel	Vehicle Percentage (%)		Fuel Consumption (gallons/day)	
		Truck	Non-Truck	Diesel	Gasoline
<b>Baseline Year, 2019</b>					
Northbound	22,060	7.0	93.0	185.417	1,220.915
Southbound	22,110	7.0	93.0	185.944	1,224.389
<b>Opening Year, 2024</b>					
No-build Alternative Northbound	22,770	7.0	93.0	190.026	1,066.067
Southbound	22,870	7.0	93.0	190.811	1,070.472
Build Alternatives Northbound	22,770	7.0	93.0	190.026	1,066.067
Southbound	22,880	7.0	93.0	190.811	1,070.472
<b>Design Year, 2044</b>					
No-build Alternative Northbound	25,570	7.1	92.9	187.499	861.663
Southbound	25,870	7.1	92.9	189.567	871.166
Build Alternatives Northbound	25,580	7.1	92.9	187.499	861.663
Southbound	25,940	7.1	92.9	190.027	873.278

**Indirect Energy**

The proposed project does not include maintenance activities which would result in long-term indirect energy consumption by equipment required to operate and maintain in the roadway. It will construct roundabouts on SR 49 at the intersections of Lorenson Road and Lone Star Road and place a continuous concrete median barrier between the two roundabouts. As such, it is unlikely to increase indirect energy consumption though increased fuel usage.

The proposed project construction would primarily consume diesel and gasoline through operation of heavy-duty construction equipment, material deliveries, and debris hauling. As indicated above, energy use associated with proposed project construction is estimated to result in the short-term consumption of 83,759 gallons for Alternative 1, 80,313 gallons for Alternative 2, and 90,655 gallons for Alternative 3 from diesel-powered equipment. The proposed project is estimated to result in 64,883 gallons for Alternative 1, 62,115 gallons for Alternative 2, and 70,278 gallons for Alternative 3 from gasoline-powered equipment. These represent small demands (approximately diesel: 0.5%; gasoline: 0.03%) on Placer County’s diesel and gasoline sales estimates (i.e. 17 million of diesel gallons and 206 million of gasoline gallons in 2018) that would be easily accommodated, and this demand would cease once construction is complete. Moreover, construction-related energy consumption would be temporary and not a permanent new source of energy demand, and demand for fuels would have no noticeable effects on peak or baseline demands for energy. While construction would result in a short-term increase in energy use, construction design features would help conserve energy.

The construction of all alternatives on the highway would not significantly increase vehicle capacity along SR 49 within the proposed project area. The fuel consumption during the

future years would not be significantly changed between no-build and build scenarios, and the differences between the build and the no-build alternatives in 2044 are approximately 0.46 diesel gallon and 2.11 gasoline gallons at the southbound direction.

The overall gasoline fuel consumption from the build alternatives during the future years would decrease in comparison with that from the existing condition due to increases in carpooling, hybrid, and electric cars that would improve the emission factors. To decrease the consumption from diesel fuels, the application of newer and more fuel-efficient truck vehicles would result in an overall lower potential for an increase in the energy consumption. Additionally, the project may offset some of a project's potential energy usage if it includes elements that would reduce VMT, such as transit improvements or providing facilities for pedestrians and bicyclists.

Overall, the project is expected to have minimal impact on travel speed as well as the utilization of hybrid/electric cars, such the proposed project regarding the non-truck portion would not lead to an increase in energy consumption compared with the existing conditions.

### **Avoidance, Minimization, and/or Mitigation Measures**

No avoidance, minimization and/or mitigation measures are required.

## **2.3 Biological Environment**

### **2.3.1 NATURAL COMMUNITIES**

This section of the document discusses natural communities of concern. The focus of this section is on biological communities, not individual plant or animal species. This section also includes information on wildlife corridors and habitat fragmentation. Wildlife corridors are areas of habitat used by wildlife for seasonal or daily migration. Habitat fragmentation involves the potential for dividing sensitive habitat and thereby lessening its biological value.

Habitat areas that have been designated as critical habitat under the Federal Endangered Species Act are discussed below in the Threatened and Endangered Species section 2.3.5. Wetlands and other waters are also discussed below 2.3.2.

### **Affected Environment**

Natural Environmental Study (NES) – March 2021

Botanical and habitat assessment surveys were conducted on June 18, 22, and 23, 2020, to identify potential *Rana draytonii* [California red-legged frog (CRLF)] habitat within aquatic features in the Environmental Study Limits (ESL) and within 1-mile radius of the ESL (where accessible). Not all aquatic features were accessible due to private property restrictions. Additional botanical surveys and delineation of aquatic resources will be conducted spring/summer of 2021.

The survey area is in the east-central portion of the Sacramento Valley, in the Sierra Nevada foothills. Floristically, the survey area lies in the northern Sierra Nevada Foothills sub-region of the California Floristic Province (Baldwin et al. 2012). Land uses in the survey area consist of Caltrans' ROW, the surrounding residential areas, and semi-forested rolling hills. The surrounding hills are also used for cattle grazing.

Vegetation and wildlife communities, including wetlands and other waters, are present within the ESL. The natural community vegetation types identified in the ESL are described in the following subsections.

### **Non-Native Annual Grassland**

Grasslands dominated by nonnative annual grasses occur throughout the survey area. Although annual grasses and forbs dominate the grasslands, perennial grass species are also scattered through these grasslands. Nonnative annuals such as soft chess (*Bromus hordeaceus*), annual bluegrass (*Poa annua*), and Mediterranean barley (*Hordeum marinum* spp. *gussoneanum*) are common in these grasslands. The perennial bunchgrasses scattered through the grassland include nonnative species such as orchardgrass (*Dactylis glomerata*) and tall fescue (*Festuca arundinacea*), as well as native perennials such as slender wheatgrass (*Elymus trachycaulus* ssp. *trachycaulus*), Idaho fescue (*Festuca idahoensis*), and red fescue (*Festuca rubra*).

### **Oak Woodland**

The project area surrounding the ESL is habitat for valley oak (*Quercus lobata*) and blue oaks (*Quercus douglasii*) in clusters, interspersed with grassland. The habitat is interspersed with grey pine (*Pinus sabiniana*), interior live oak (*Quercus wislizenii*), canyon live oak (*Quercus chrysolepis*), and bay laurel (*Laurus nobilis*), which make up a minor component of the woodland. The understory is dominated by non-native annual grassland which occurs under the tree canopy as well as in open habitat throughout the project area.

Although non-native species, including but not limited to, slender wild oat (*Avena barbata*), little quaking grass (*Briza minor*), storksbill/filaree (*Erodium botrys*), Italian ryegrass (*Festuca perennis*), and rabbit's foot grass (*Polypogon monspeliensis*) comprised the majority of cover in the grassland area, native grasses and forbs including fiddleneck (*Amsinckia intermedia*), pipevine (*Aristolochia* sp.), harvest brodiaea (*Brodiaea elegans*), California brome (*Bromus carinatus*), and wild rye (*Elymus glaucus*) are present throughout the project area as well. Shrub-type vegetation such as manzanita (*Arctostaphylos* sp.), California buckeye (*Aesculus californica*), toyon (*Heteromeles* sp.), California coffeeberry (*Rhamnus californica*), Ceanothus (*Ceanothus* sp.), and poison oak (*Toxicodendron diversilobum*) also make up the understory vegetation.

### **Arroyo Willow Riparian Woodland**

Arroyo Willow Riparian Woodland is present along the banks of Orr Creek, however, not adjacent to Dry Creek Bridge that crosses the creek. There is little to no vegetation present on the banks adjacent to the bridge; due to Caltrans Maintenance activities regarding Engineer access for bridge inspections. The habitat further up, and downstream, is dominated by arroyo willow (*Salix lasiolepis*), with other riparian trees, including white alder (*Alnus rhombifolia*), Fremont's cottonwood (*Populus fremontii*), big-leaf maple and mountain dogwood (*Cornus nuttallii*). The understory is dominated by dense Himalayan blackberry, but in areas where the Himalayan blackberry is less dominant, other shrubs occur including Pacific ninebark (*Physocarpus capitatus*) and western azalea (*Rhododendron occidentale*). The herbaceous layer consists of soft rush (*Juncus america*), cattail (*Typha* sp.), seep spring monkeyflower (*Mimulus guttatus*), water cress (*Nasturtium officinale*), yellow flag iris (*Iris pseudacorus*), creeping buttercup (*Ranunculus repens*), tall flat sedge/nut sedge (*Cyperus eragrostis*), American brooklime (*Veronica americana*), small-fruited sedge (*Scirpus microcarpus*), and iris-leaved rush (*Juncus xiphioides*).

## **Environmental Consequences**

### **No Build Alternative**

Under the no build alternative, no construction would take place. Therefore, there would be no impacts to vegetation or wildlife species in the study area.

### **Build Alternatives**

Project construction would primarily be within the States Right-of-Way, with the exceptions of the intersections, were the project will encroach on the wetlands in the study area (see section 2.3.2: Wetlands and Other Waters). Impacts were considered to be temporary if only herbaceous vegetation was affected during construction and the area would be restored after project completion. Tree removal would be considered a permanent impact because of the time required for maturation of planted trees in restored areas.

This proposed project will not impact the wildlife corridor used by wildlife for seasonal or daily migration or be involved in habitat fragmentation, were it will have the potential for dividing sensitive habitat and thereby lessening its biological value.

### **Avoidance, Minimization, and/or Mitigation Measures**

To minimize permanent and temporary impacts to sensitive plant communities, wetlands, and other sensitive resources, environmentally sensitive areas would be established to prevent unplanned impacts to these resources. A standard special provision would be included in the construction contract to delineate the placement of orange mesh fencing to protect these sensitive resources:

The following Caltrans Standard Specifications will be required for this project:

#### **BIO-1: Contractor-Supplied Biologist**

SSP 14-6.03D(1): CONTRACTOR-SUPPLIED BIOLOGIST: Monitor tributary diversion or dewatering for aquatic species, vegetation removal for aquatic and terrestrial species, ESA and silt fencing stability, and any other biological commitments for this project.

#### **BIO-2: Natural Resource Protection Plan**

SSP 14-6.03D(2): NATURAL RESOURCE PROTECTION PLAN (NRPP): The NRPP requires the use of a Contractor-Supplied Biologist. The Contractor gathers all the requirements from 14-6.03A Species Protection and from the various PLACs into one document, and describes the implementation measures the Contractor will take to assure that the requirements are met. The Contractor-Supplied-Biologist will be on site in order to survey, monitor, and potentially remove any wildlife species from the project area.

#### **BIO-3: Protect Water Quality and Minimize Sedimentation Runoff in Wetlands and Other Waters**

- Where working areas encroach on dry or wet streams, or wetlands, RWQCB-approved physical barriers adequate to prevent the flow or discharge of sediment into these systems will be constructed and maintained between working areas, streams, and wetlands. Discharge of sediment will be contained through the use of RWQCB-approved measures to keep sediment from entering protected waters.

- Oily or greasy substances originating from the Contractor's operations will not be allowed to enter, or be placed where they will later enter tributary waters.
- Asphalt concrete will not be allowed to enter tributary waters.

#### **BIO-4: Install Fencing to Protect Sensitive Biological Resources**

The wetland and other waters outside of direct construction impact areas will be delineated as environmentally sensitive areas (ESAs) on the project plans and in the project specifications. The boundaries of the ESA will be clearly marked in the field by the installation of a temporary high visibility fence. This fencing will be implemented as a first order of work and will remain in place until all construction activities are complete.

### **2.3.2 WETLANDS AND OTHER WATERS**

#### **Regulatory Setting**

Wetlands and other waters are protected under a number of laws and regulations. At the federal level, the Federal Water Pollution Control Act, more commonly referred to as the Clean Water Act (CWA) (33 United States Code [USC] 1344), is the primary law regulating wetlands and surface waters. One purpose of the CWA is to regulate the discharge of dredged or fill material into waters of the U.S., including wetlands. Waters of the U.S. include navigable waters, interstate waters, territorial seas, and other waters that may be used in interstate or foreign commerce. The lateral limits of jurisdiction over non-tidal water bodies extend to the ordinary high water mark (OHWM), in the absence of adjacent wetlands. When adjacent wetlands are present, CWA jurisdiction extends beyond the OHWM to the limits of the adjacent wetlands. To classify wetlands for the purposes of the CWA, a three-parameter approach is used that includes the presence of hydrophytic (water-loving) vegetation, wetland hydrology, and hydric soils (soils formed during saturation/inundation). All three parameters must be present, under normal circumstances, for an area to be designated as a jurisdictional wetland under the CWA.

Section 404 of the CWA establishes a regulatory program that provides that discharge of dredged or fill material cannot be permitted if a practicable alternative exists that is less damaging to the aquatic environment or if the nation's waters would be significantly degraded. The Section 404 permit program is run by the U.S. Army Corps of Engineers (USACE) with oversight by the U.S. Environmental Protection Agency (U.S. EPA).

The USACE issues two types of 404 permits: General and Individual. There are two types of General permits: Regional and Nationwide. Regional permits are issued for a general category of activities when they are similar in nature and cause minimal environmental effect. Nationwide permits are issued to allow a variety of minor project activities with no more than minimal effects.

Ordinarily, projects that do not meet the criteria for a Regional or Nationwide Permit may be permitted under one of USACE's Individual permits. There are two types of Individual permits: Standard permits and Letters of Permission. For Individual permits, the USACE decision to approve is based on compliance with U.S. EPA's Section 404(b)(1) Guidelines (40 Code of Federal Regulations [CFR] 230), and whether permit approval is in the public interest. The Section 404 (b)(1) Guidelines (Guidelines) were developed by the U.S. EPA in conjunction with the USACE, and allow the discharge of dredged or fill material into the aquatic system (waters of the U.S.) only if there is no practicable alternative which would

have less adverse effects. The Guidelines state that the USACE may not issue a permit if there is a “least environmentally damaging practicable alternative” (LEDPA) to the proposed discharge that would have lesser effects on waters of the U.S., and not have any other significant adverse environmental consequences.

The Executive Order for the Protection of Wetlands (EO 11990) also regulates the activities of federal agencies with regard to wetlands. Essentially, EO 11990 states that a federal agency, such as FHWA and/or the Department, as assigned, cannot undertake or provide assistance for new construction located in wetlands unless the head of the agency finds: (1) that there is no practicable alternative to the construction and (2) the proposed project includes all practicable measures to minimize harm. A Wetlands Only Practicable Alternative Finding must be made.

At the state level, wetlands and waters are regulated primarily by the State Water Resources Control Board (SWRCB), the Regional Water Quality Control Boards (RWQCBs) and the California Department of Fish and Wildlife (CDFW). In certain circumstances, the Coastal Commission (or Bay Conservation and Development Commission or the Tahoe Regional Planning Agency) may also be involved. Sections 1600-1607 of the California Fish and Game Code require any agency that proposes a project that will substantially divert or obstruct the natural flow of or substantially change the bed or bank of a river, stream, or lake to notify CDFW before beginning construction. If CDFW determines that the project may substantially and adversely affect fish or wildlife resources, a Lake or Streambed Alteration Agreement will be required. CDFW jurisdictional limits are usually defined by the tops of the stream or lake banks, or the outer edge of riparian vegetation, whichever is wider. Wetlands under jurisdiction of the USACE may or may not be included in the area covered by a Streambed Alteration Agreement obtained from the CDFW.

The RWQCBs were established under the Porter-Cologne Water Quality Control Act to oversee water quality. Discharges under the Porter-Cologne Act are permitted by Waste Discharge Requirements (WDRs) and may be required even when the discharge is already permitted or exempt under the CWA. In compliance with Section 401 of the CWA, the RWQCBs also issue water quality certifications for activities which may result in a discharge to waters of the U.S. This is most frequently required in tandem with a Section 404 permit request. Please see the [Water Quality section](#) for more details.

### **Affected Environment**

An Aquatic Resources Delineation (wetland delineation) will be conducted, in spring 2021, using the routine determination methods described in the 1987 Manual (Environmental Laboratory 1987) and the 2010 Western Mountains, Valleys and Coast Supplement (U.S. Army Corps of Engineers 2010). Potential wetlands and Waters of the United States (U.S.) and State will be mapped and delineated in the field in accordance with indicators and guidance in USACE Regulatory Guidance Letter No. 05-05, dated December 7, 2005 (U.S. Army Corps of Engineers 2005). Preliminary surveys for wetlands and Waters of the U.S. and State were completed during the summer of 2020. Until the Aquatic Resources Delineation or Preliminary Jurisdictional Determination (PJD) is conducted in the spring of 2021, the acreage provided below of potential impacts to these resources is estimated.



## **Wetlands and Non Wetland Waters**

Three types of wetlands (forested wetland, seasonal wetland, and scrub-shrub wetland) and types of non-wetland waters (perennial stream, ponds, and roadside ditches) were identified in the survey area.

## **Environmental Consequences**

### **No Build Alternative**

Under the no build alternative, no construction would take place. Therefore, there would be no impacts to vegetation or wildlife species in the study area.

### **Build Alternatives**

Project construction would encroach on the of jurisdictional wetlands and waters of the U.S. and State within the study area, resulting in both direct/permanent and temporary impacts. Impacts associated with SR-49 intersection modifications are considered to be permanent if they would result in the placement of permanent fill in the of jurisdictional wetlands and waters of the U.S. and State.

Alternative 1, it is estimated that construction of the proposed project would directly/permanently impact 0.42 acres of jurisdictional wetlands and waters of the U.S. and State.

Alternative 2, it is estimated that construction of the proposed project would directly/permanently impact 0.55 acres of jurisdictional wetlands and waters of the U.S. and State.

Alternative 3 it is estimated that construction of the proposed project would directly/permanently impact 0.55 acres of jurisdictional wetlands and waters of the U.S. and State.

Implementation of the avoidance and minimization efforts described below would minimize the impacts on wetlands. Additional mitigation is proposed to compensate for the permanent loss of wetlands.

### **Avoidance, Minimization, and Mitigation Measures**

In addition to the water quality BMPs and project SWPPP, to ensure that the proposed project minimizes effects on wetlands in and adjacent to the designated work areas, Caltrans will protect water quality and minimize sedimentation runoff in wetlands and other waters (BIO-3) install fencing (BIO-4). Additional avoidance and minimization measures may be agreed upon during the future permitting phase.

### **BIO-5: Compensatory for Impacts on Wetlands**

Mitigation for impacts to jurisdictional wetlands and other waters of the U.S. and State will be implemented to achieve no-net-loss of the functions and values within the study area in accordance with the USACE Habitat Mitigation and Monitoring Proposal Guidelines (1991).

The National Fish and Wildlife Foundation's Sacramento District California In-Lieu Fee Program provides a mitigation option that can be used by Caltrans to compensate for authorized impacts to aquatic resources. Caltrans may purchase mitigation credits through the In-Lieu Fee Program to compensate for impacts to wetlands and waters of the U.S. and State. Another alternative is to purchase credits at a Mitigation Bank within the project Service Area.

All temporarily disturbed wetland areas, for all alternatives, would be restored to pre-project contours and conditions for all alternatives.

### **Wetlands Only Practicable Finding**

Development of this project has complied with EO 11990, with regard to wetlands. Caltrans finds that there is no practicable alternative and the proposed project includes all practicable measures to minimize harm.

Meeting the purpose and need for the proposed project requires modification to the intersections within the project limits. Due to the proximity of adjacent wetlands and the design parameters required, complete avoidance of wetlands is not possible. Alternative 1 would result in 0.42 acres of impact, and Alternative 2 would result in 0.55 acres of impact, and Alternative 3 would also result in 0.55 acres of impact to wetlands.

Under the No-Build Alternative, no wetlands would be affected, but the No-Build Alternative does not meet the project purpose and need because it does not address the concerns that are present in the project area.

Practicable measures to minimize harm to wetlands are built into the project design as well as identified above in the "Avoidance, Minimization, and/or Mitigation Measures". Through extensive review and through coordination with resource agencies, the design of the project uses the least footprint possible.

Based on the above considerations, it is determined that there is no practicable alternative to the proposed construction in wetlands and that the proposed project includes all practicable measures to minimize harm to wetlands that may result from such use.

### **2.3.3 PLANT SPECIES**

#### **Regulatory Setting**

The U.S. Fish and Wildlife Service (USFWS) and California Department of Fish and Wildlife (CDFW) have regulatory responsibility for the protection of special-status plant species. "Special-status" species are selected for protection because they are rare and/or subject to population and habitat declines. Special status is a general term for species that are provided varying levels of regulatory protection. The highest level of protection is given to threatened and endangered species; these are species that are formally listed or proposed for listing as endangered or threatened under the Federal Endangered Species Act (FESA) and/or the California Endangered Species Act (CESA). Please see the Threatened and Endangered Species section 2.3.5 in this document for detailed information about these species.

This section of the document discusses all other special-status plant species, including CDFW species of special concern, USFWS candidate species, and California Native Plant Society (CNPS) rare and endangered plants.

The regulatory requirements for FESA can be found at 16 United States Code (USC) Section 1531, et seq. See also 50 Code of Federal Regulations (CFR) Part 402. The regulatory requirements for CESA can be found at California Fish and Game Code, Section 2050, et seq. Department projects are also subject to the Native Plant Protection Act, found at California Fish and Game Code, Section 1900-1913, and the California Environmental Quality Act (CEQA), found at California Public Resources Code, Sections 21000-21177.

### **Affected Environment**

Botanical surveys were conducted on June 18, 22, and 23, 2020. Additional botanical surveys will be conducted in the spring/summer of 2021.

The conservation of special status native plants and their habitats, as well as sensitive natural communities, is integral to maintaining biological diversity. Caltrans analyzes impacts to these rare plant species and natural communities on all projects where habitat is present.

Based on the botanical surveys there are no observed occurrences of Federal or State listed special status plant species within the ESL and no special status plant species were detected during botanical surveys. Additional botanical surveys will be conducted Spring/Summer 2021; if any special status plant species are observed, Caltrans will coordinate with CDFW or USFWS, and update the NES.

### **Environmental Consequences**

#### **No Build Alternative**

Under the no build alternative, no construction would take place. Therefore, there would be no impacts to plant species in the study area.

#### **Build Alternatives**

The proposed project would have no effect on any special status plant species because their presence is not anticipated within the project area.

#### **Avoidance, Minimization, and/or Mitigation Measures**

There are no avoidance and minimization efforts proposed due to lack of presence of special status plants within the ESL. Environmentally sensitive areas (ESA) fencing, best management practices (BMPs), and project avoidance and minimization measures will prevent any impacts to special status plant species that may be located outside the ESL.

### **2.3.4 ANIMAL SPECIES**

#### **Regulatory Setting**

Many state and federal laws regulate impacts to wildlife. The USFWS, CDFW, and the National Oceanic and Atmospheric Administration's National Marine Fisheries Service (NOAA Fisheries) are responsible for implementing these laws. All other special-status animal species are discussed in this section, including CDFW fully protected species and species of special concern, and USFWS or NOAA Fisheries candidate species.

Federal laws and regulations relevant to wildlife include the following:

- National Environmental Policy Act
- Migratory Bird Treaty Act
- Fish and Wildlife Coordination Act

State laws and regulations relevant to wildlife include the following:

- California Environmental Quality Act
- Sections 1600 – 1603 of the California Fish and Game Code
- Sections 4150 and 4152 of the California Fish and Game Code

Non-special-status migratory birds and raptors have the potential to nest in trees and shrubs in the environmental study area. Although these species are not considered special-status wildlife species, their occupied nests and eggs are protected by CFGC Sections 3503 and 3503.5 and the Migratory Bird Treaty Act.

### **Affected Environment**

Habitat assessment surveys were conducted on August 7, 2020, at Orr Creek (also known as Deer Creek) by Caltrans biologist Sarah-Jane Gerstman, to identify potential *Rana boylei* [Foothill yellow-legged frog (FYLF)] habitat within aquatic features in the ESL and within 1-mile radius of the ESL (where accessible). Not all aquatic features were accessible due to private property restrictions.

The FYLF is a California State Species of Special Concern, a State listed Candidate Threatened species, and a U.S. Forest Service and Bureau of Land Management Sensitive Species. FYLF are not federally listed nor do they have federally designated critical habitat. FYLFs inhabit shallow, slow, gravelly streams and rivers with sunny banks, in forests, chaparral, and woodlands. Breeding occurs from mid-March until early June when streams have slowed from winter runoff. Clusters of eggs are attached to the downstream side of submerged rocks. FYLF avoid rapid waters to protect the egg masses from being swept away. This species is a stream-dwelling form that deposits masses of 300-1200 eggs on the downstream side of cobbles and boulders over which a relatively thin, gentle flow of water exists. Tadpoles transform in about 15 weeks, from July to September. The daily and seasonal movement ecology and behavior of adults is essentially unknown (Bondi, 2013). The USFWS Federal Register “Endangered and Threatened Wildlife and Plants; Endangered Species Status for Sierra Nevada Yellow-Legged Frog and Northern Distinct Population Segment of the Mountain Yellow-Legged Frog, and Threatened Species Status for Yosemite Toad” states that Sierra Nevada Yellow-Legged Frogs may travel up to 2.05 miles along streams. The Federal Register study referenced Wengert in the USFWS Report states that this travel distance may have actually been for foothill yellow-legged frogs.

In this section of Orr Creek (also known as Dry Creek), the substrate was predominately sand and silt with some cobbles in the deepest part of the channel. This portion of Orr Creek does not have suitable breeding habitat for FYLF as it lacks the correct substrate and does not provide the shallows necessary for tadpole rearing. Breeding typically occurs in relatively wide and shallow channels with cobble, boulder, and gravel substrates (Thomson et. al. 2016: 88).

Flow measurements were taken on the edge (19 cm/s or 0.19 m/s) and in the middle of the channel (33 cm/s or 0.33 m/s). In a habitat suitability study, low velocity habitat with a preferred velocity of 0.05 m/s and cobble bar substrates provided higher suitability for oviposition sites (Bondi et al. 2013, Hayes et al. 2016). Tadpole rearing sites are in the same or proximate habitat as egg masses and low water velocity and shallower water depth habitat are more suitable for these sites (Bondi et al. 2013). Tadpoles remain in refugia in the substrate when they become exposed to higher velocities that can occur with the rainy season towards the end of their development. When FYLF were experimentally located from low-velocity patches to high-velocity patches, the degree to which the substrate was embedded did not change the short-term behavioral response of FYLF to increased velocity; this lack of response may place tadpoles at risk in more sediment-embedded streams because fewer refugia from high-velocity conditions exist (Kupferberg et al. 2008, Hayes et al. 2016). As such, adult female frogs may select oviposition sites that place tadpoles at the lowest risk due to presence of ample refugia such as cobbles and boulders.

Orr Creek is located within the Upper Coon-Upper Auburn watershed. According to the CNDDDB, the nearest occurrences of FYLF to the proposed project is approximately 6 miles southeast of the project location (2007 occurrence) and multiple sightings 8 miles northeast of the project location (2009 occurrence). This occurrence, as well as all other FYLF occurrences within 10 miles of the project, is located within the North Fork American watershed. There is no hydrological connectivity between the two watersheds; therefore, there will be no impacts to FYLF.

Lone Star Canal is located just north of the project ESL. It is an intermittent canal delivering water for irrigation purposes during spring/summer. The canal lacks habitat conditions suitable to FYLF.

## **Wildlife**

Wildlife species commonly associated with these various habitats include western toad (*Bufo boreas*), pacific chorus frog (*Pseudacris regilla*), western aquatic garter snake (*Thamnophis couchi*), red-shoulder hawk (*Buteo lineatus*), Nuttall's woodpecker (*Picoides nuttallii*), black phoebe (*Sayornis nigricans*), Virginia opossum (*Didelphis virginiana*), striped skunk (*Mephitis mephitis*), raccoon (*Procyon lotor*), mule deer (*Odocoileus hemionus*), and many other species.

## **Environmental Consequences**

### **No Build Alternative**

Under the no build alternative, no construction would take place. Therefore, there would be no impacts related to invasive species in the study area.

### **Build Alternatives**

When considering the lack of suitable substrate and flow, and no direct connectivity to the closest FYLF occurrences, the likelihood that this site supports any life stage of FYLF is extremely low to none. In addition, the project scope does not include any in-water work or work under the bridge; the scope only includes adding a median barrier on top of the bridge. Caltrans has determined the proposed project would not result in "take" of the FYLF per the California Fish and Game Code. This determination is for all Alternatives 1, 2, and 3.

## **Avoidance, Minimization, and/or Mitigation Measures**

This species is not anticipated to be present within the project area; however, the project has been designed to minimize effects on aquatic resources identified in the study area. Avoidance and minimization measures to protect wetlands and other waters of the U.S. and State, listed in section 2.3.2 will also protect any aquatic species.

### **2.3.5 THREATENED AND ENDANGERED SPECIES**

#### **Regulatory Setting**

The primary federal law protecting threatened and endangered species is the Federal Endangered Species Act (FESA): 16 United States Code (USC) Section 1531, et seq. See also 50 Code of Federal Regulations (CFR) Part 402. This act and later amendments provide for the conservation of endangered and threatened species and the ecosystems upon which they depend. Under Section 7 of this act, federal agencies, such as the Federal Highway Administration (FHWA) (and the Department, as assigned), are required to consult with the U.S. Fish and Wildlife Service (USFWS) and the National Oceanic and Atmospheric Administration's National Marine Fisheries Service (NOAA Fisheries) to ensure that they are not undertaking, funding, permitting, or authorizing actions likely to jeopardize the continued existence of listed species or destroy or adversely modify designated critical habitat. Critical habitat is defined as geographic locations critical to the existence of a threatened or endangered species. The outcome of consultation under Section 7 may include a Biological Opinion with an Incidental Take Statement or a Letter of Concurrence. Section 3 of FESA defines take as "harass, harm, pursue, hunt, shoot, wound, kill, trap, capture or collect or any attempt at such conduct."

California has enacted a similar law at the state level, the California Endangered Species Act (CESA), California Fish and Game Code Section 2050, et seq. CESA emphasizes early consultation to avoid potential impacts to rare, endangered, and threatened species and to develop appropriate planning to offset project-caused losses of listed species populations and their essential habitats. The California Department of Fish and Wildlife (CDFW) is the agency responsible for implementing CESA. Section 2080 of the California Fish and Game Code prohibits "take" of any species determined to be an endangered species or a threatened species. Take is defined in Section 86 of the California Fish and Game Code as "hunt, pursue, catch, capture, or kill, or attempt to hunt, pursue, catch, capture, or kill." CESA allows for take incidental to otherwise lawful development projects; for these actions an incidental take permit is issued by CDFW. For species listed under both FESA and CESA requiring a Biological Opinion under Section 7 of FESA, the CDFW may also authorize impacts to CESA species by issuing a Consistency Determination under Section 2080.1 of the California Fish and Game Code.

Another federal law, the Magnuson-Stevens Fishery Conservation and Management Act of 1976, was established to conserve and manage fishery resources found off the coast, as well as anadromous species and Continental Shelf fishery resources of the United States, by exercising (A) sovereign rights for the purposes of exploring, exploiting, conserving, and managing all fish within the exclusive economic zone established by Presidential Proclamation 5030, dated March 10, 1983, and (B) exclusive fishery management authority beyond the exclusive economic zone over such anadromous species, Continental Shelf fishery resources, and fishery resources in special areas.

## Affected Environment

Habitat assessment surveys were conducted on June 18, 22, and 23, 2020, to identify potential *Rana draytonii* [California red-legged frog (CRLF)] habitat within aquatic features in the ESL and within 1-mile radius of the ESL (where accessible). Not all aquatic features were accessible due to private property restrictions.

The historic range of CRLF extended along the coast from the vicinity of Point Reyes National Seashore, Marin County, California and inland from the vicinity of Redding, Shasta County, California, southward to northwestern Baja California, Mexico. This range encompassed 46 counties, but the subspecies has been extirpated from 24 of those counties which represents 70 percent of its former range (USFWS, 1996). Only isolated populations have been documented in the Sierra Nevada, northern Coast, and northern Transverse ranges. Within the Sierra Nevada Range, there are currently nine extant populations of CRLF. The project ESL is within historic and current range of CRLF.

As stated in the USFWS CRLF Recovery Plan for CRLF (2002), the frogs breed from December to April in ponds and streams. They seem to choose the sites with the warmest water available as long as it is at least 8 inches deep. Tadpoles hatch in a few days, depending on temperature and develop during the spring. They begin to transform into froglets in June and July, and by late August most have completed the process.

Outside of the breeding season, adult frogs seek out water greater than 3 feet deep. In some areas, late summer water can become scarce and frogs will travel to congregate in old dug wells, in deep holes in drying streams, or in and around springs. With the first soaking rains in fall, frogs tend to move away from their summer refuges. During a rainy winter, they may establish a temporary residence quite a distance from any body of water. At this time, they often gradually move towards the late winter breeding site. At the present time, stock ponds are useful for rehabilitation and enhancement of CRLF populations only if the frogs can get to them. The largest CRLF densities are associated with deep-water pools with dense stands of overhanging willows and an intermixed fringe of cattails (Jennings, 1988).

Hayes and Jennings (1986) found CRLF frog larvae are vulnerable to fish predation, especially immediately after hatching when non-feeding larvae are relatively immobile. Ponds that do not dry out during the summer often contain sunfish (*Lepomis spp.*), largemouth bass (*Micropterus spp.*), and bullfrogs (*Lithobates catesbeianus*), crayfish (*Procambarus clarkia*), mosquito fish (*Gambusia affinis*), who all predate on CRLF. Bullfrogs from a pond with a large population will quickly invade a pond.

A CRLF Habitat Site Assessment was conducted within 400 ft. of the ESL and within a 1-mile radius of the ESL (where access was available).

The following existing information was reviewed prior to field surveys to identify potential CRLF habitat within the site assessment area:

- August 2005 Revised Guidance on Site Assessments and Field Surveys for the California Red-legged Frog.
- United States Geologic Service (USGS) 7.5-minute topographic maps (Auburn and Lake Combie quadrangles).
- Aerial photography.

- Records of the CDFW's CNDDDB (2021).

The project vicinity was assessed for presence and quality of the “primary constituent elements” that the USFWS considers for the designation of potential “critical habitat” for CRLF (69 FR 19619, 71 FR 19244 19346, and 74 FR 51825 51829).

Ponds and streams surveyed within the project CRLF site assessment area have a potential to support CRLF and their breeding habitat if it were not for the abundant presence of known predators to CRLF. All aquatic features surveyed in the site assessment area contained one or more non-native species known to prey on most CRLF life cycles. The predator species identified included bullfrogs (*Rana catesbeiana*), black bass (*Micropterus sp.*), blue gill (*Lepomis macrochirus*), mosquito fish (*Gambusia affinis*), and sunfish (*Centrarchids sp.*).

Habitat quality in the site assessment area ranges from un-vegetated or manicured stock ponds and small perennial streams, to ponds with greater shoreline complexity and more extensive aquatic vegetation. Based solely on observations of the structure and quality of available habitat, without considering the potential presence of bullfrog competition or predatory fish, many of the ponds surveyed are suitable habitat for CRLF. However, considering the presence and abundance of predatory species (bullfrogs, predatory fish) observed during surveys, it is unlikely that CRLF would be present. These non-native species appear to be well established in the project area.

## **Environmental Consequences**

### **Nearest Observed CRLF Occurrences and Designated Critical Habitat**

- The first nearest observed occurrence was observed in 2009 and is approximately 19 miles southeast of the project area at the South Fork of the American River drainage in El Dorado County in the Georgetown quadrangle.
- The second nearest observed occurrence was observed in 2006 and is approximately 20 miles southeast of the project area at the Middle Fork American River drainage in Placer County, in the Michigan Bluff quadrangle; there are two occurrences near this location. The second observed occurrence does not record the observation date.
- The third nearest observed occurrence of CRLF was in 2007 approximately 23 miles northwest of the project near the South Yuba River drainage in Nevada County near Sailor Flat in the North Bloomfield quadrangle.
- The nearest critical habitat (NEV-1) is approximately 20 miles northeast of the ESL, in Nevada County, near Sailor Flat in the North Bloomfield quadrangle.

## **Project Impacts**

Based on the results of surveys, analyses of habitat conditions and requirements, and current range of CRLF, it was determined that the project will have no effect on CRLF. Potential impacts to CRLF were ruled out based on the following:

- All aquatic features surveyed in the site assessment area contained one or more non-native species known to prey on most of the CRLF life cycles. Because these non-native species appear to be well established in the project area, the likelihood for the presence of CRLF in the area is substantially decreased.
- Surveys within the site assessment area did not detect CRLF.



- CRLF have not been recorded within the vicinity of the project area. No known CRLF records occur within the Upper Coon Upper Auburn sub-watershed where the project is located.
- The nearest observed occurrence of CRLF was observed in 2009 and is approximately 19 miles southeast of the ESL, at the South Fork of the American River. The second nearest occurrence was observed in 2006 and is approximately 20 miles southeast of the ESL, at the Middle Fork American River. The third nearest observed occurrence was in 2007 approximately 23 miles northwest of the ESL near Sailor Flat. The ESL is approximately 20 miles from CRLF designated critical habitat.
- No new barriers to CRLF dispersal (removal of culverts and placement of additional structures) will be implemented as part of this project. Most new culverts placed will be larger in size, making them more likely to be used as dispersal routes.
- Caltrans will incorporate avoidance and minimization measures and BMPs to reduce the project impacts to aquatic features.
- A qualified biologist will be contracted to assure there will be no harm to wildlife species and sensitive habitats during construction.

### **Avoidance, Minimization, and/or Mitigation Measures**

This species is not anticipated to be present within the project area; however, the project has been designed to minimize effects on aquatic resources identified in the study area. The following avoidance and minimization measures to protect wetlands and other waters of the U.S. and State, listed in section 2.3.2, will also protect any aquatic species.

Additionally, typical Caltrans project BMP's will be implemented to reduce water quality impacts, which may include placement of silt fencing or filter fabric along the banks of any affected waterway once the vegetation is removed.

Construction activities are scheduled to happen outside of the rainy season, which would reduce potential for impacts on the tributaries located in the project area.

### **2.3.6 INVASIVE SPECIES**

#### **Regulatory Setting**

On February 3, 1999, President William J. Clinton signed Executive Order (EO) 13112 requiring federal agencies to combat the introduction or spread of invasive species in the United States. The order defines invasive species as "any species, including its seeds, eggs, spores, or other biological material capable of propagating that species, that is not native to that ecosystem whose introduction does or is likely to cause economic or environmental harm or harm to human health." Federal Highway Administration (FHWA) guidance issued August 10, 1999 directs the use of the State's invasive species list, maintained by the California Invasive Species Council to define the invasive species that must be considered as part of the National Environmental Policy Act (NEPA) analysis for a proposed project.

#### **Affected Environment**

Invasive plant species include species designated as federal noxious weeds by USDA, species listed by CDFA, and invasive plants identified by Cal-IPC. Invasive plants displace native species, change ecosystem processes, alter plant community structure, and lower

wildlife habitat quality (California Invasive Plant Council 2006:1). Road, highway, and related construction projects are some of the principal dispersal pathways for invasive plants and their propagules. No plant species designated as federal noxious weeds have been identified in the study area. Invasive plant species occur in all of the non-wetland vegetated cover types in the study area.

Botanical surveys were conducted June 18, 22, and 23, 2020. Invasive species that were observed within the ESL include nonnative, Himalayan blackberry (*Rubus discolor*), fennel (*Foeniculum vulgare*), star thistle (*Centaurea solstitialis*), white top (*Lepidium latifolium*), and medusa head (*Taeniatherum caputmedusae*). Invasive species were observed in the riparian areas along Orr/Dry Creek and include giant reed (*Arundo donax*), blue gum eucalyptus (*Eucalyptus globulus*), fennel (*Foeniculum vulgare*), black locust (*Robinia pseudoacacia*), Himalayan blackberry, fig (*Ficus carica*) and tree-of-heaven (*Ailanthus altissima*). No established infestations of noxious or highly invasive weeds were observed within the ESL.

## **Environmental Consequences**

### **No Build Alternative**

Under the no build alternative, no construction would take place. Therefore, there would be no impacts related to invasive species in the study area.

### **Build Alternatives**

The proposed project would create additional disturbed areas for a temporary period. Areas where temporary disturbance occurs would be more susceptible to colonization or spread by invasive plants. Implementation of avoidance and minimization measures provided below will help to avoid and minimize the introduction and spread of invasive plants.

### **Avoidance, Minimization, and/or Mitigation Measures**

#### **BIO-6: Avoid and Minimize the Spread of Invasive Plant Species during Project Construction and Restore Temporarily Disturbed Habitat**

To avoid and minimize the introduction of new invasive plants and the spread of invasive plants previously documented in the project area, the following BMPs will be implemented during project construction.

- Use a weed-free source for project materials (e.g., straw wattles for erosion control that are weed-free or contain less than 1% weed seed).
- Prevent invasive plant contamination of project materials during transport and when stockpiling (e.g., by covering soil stockpiles with a heavy-duty, contractor-grade tarpaulin).
- Use a seed mix for erosion control activities comprising California native species appropriate to the project location.

## 2.4 Cumulative Impacts

### Regulatory Setting

Cumulative impacts are those that result from past, present, and reasonably foreseeable future actions, combined with the potential impacts of the proposed project. A cumulative effect assessment looks at the collective impacts posed by individual land use plans and projects. Cumulative impacts can result from individually minor but collectively substantial impacts taking place over a period of time.

Cumulative impacts to resources in the project area may result from residential, commercial, industrial, and highway development, as well as from agricultural development and the conversion to more intensive agricultural cultivation. These land use activities can degrade habitat and species diversity through consequences such as displacement and fragmentation of habitats and populations, alteration of hydrology, contamination, erosion, sedimentation, disruption of migration corridors, changes in water quality, and introduction or promotion of predators. They can also contribute to potential community impacts identified for the project, such as changes in community character, traffic patterns, housing availability, and employment.

The California Environmental Quality Act (CEQA) Guidelines Section 15130 describes when a cumulative impact analysis is necessary and what elements are necessary for an adequate discussion of cumulative impacts. The definition of cumulative impacts under CEQA can be found in Section 15355 of the CEQA Guidelines. A definition of cumulative impacts under the National Environmental Policy Act (NEPA) can be found in 40 Code of Federal Regulations (CFR) Section 1508.7.

### Affected Environment

In consideration of the proposed project with reasonably foreseeable future projects or actions, potential impacts may be identified. Four projects were identified on the SR-49 segment within County of Nevada and County of Placer. Roadway features upgraded to current standards would be included in these current and upcoming projects.

EA: 03-0H210, NEV-49 Culvert Rehabilitation (South), proposes to rehabilitate existing culverts and corrugated steel pipe down drains exhibiting damage or needing remedial treatments to preserve and extend their service life. The limits of this project begin in Placer County at PM 8.23 and continue into Nevada County to PM 7.17. Project construction is planned to start in April 2021.

EA: 03-0H420, Count Station Repair and Installation, proposes to upgrade the performance and maintenance requirements of the existing traffic census detection system for the Regional Transportation Management Center to provide a sufficient detection system while lowering operational costs and improving communication speeds in various counties, state routes, and post miles. The project is already in construction. The project has been in construction since September 2020.

EA: 03-4H020, Safety Improvement, proposes to install safety improvements at multiple locations in various counties, state routes, and post miles. Improvements include advance flashing beacons, que warning systems, pedestrian activated flashing beacon's, signal

system modifications and upgrades, roadway lighting, ramp meter warning flashers and warning signs. The project has been in construction since June 2020.

EA: 03-3H830, PLA-49 Sidewalk Gap Closure, proposes to construct sidewalks and accessible curb ramps at various locations between post miles 3.7 and 7.5 along State Route 49 (SR 49) in and near Auburn, in Placer County. Project construction is planned to start in November 2021.

### **Existing and Future Land Use**

County of Placer land use plans for the area surrounding the proposed project are not significantly changing from the present use. No changes to the agencies' goals, objectives and/or management directives require modification due to the combined or individual projects. The proposed project would not contribute to any cumulative impacts on existing or future land use or management plan objectives.

### **Consistency with State, Regional, and Local Plans and Programs**

The Placer County Regional Transportation Plan identifies minimal changes for the roadway use demands over the next 20 years. The project support the goals of the State and Regional transportation plans as well.

### **Community Character and Cohesion**

The proposed project will have a slight impact on the community character due the installation of the median barrier. However, there are no cumulative effects on community character or cohesion.

### **Utilities and Emergency Services**

#### Utilities

The proposed project conflicts with the underground fiber optic lines, underground irrigation facilities, as well as overhead utility lines. Relocation of these facilities is anticipated to be required for this project. However, these activities will be coordinated with adjacent parcels as to not affect utility interruption, therefore there is no cumulative affect to utilities.

#### Emergency Services

During construction, lane closures may be required. Any required temporary lane closures would be coordinated with emergency service providers so as not to hinder emergency responses. The build alternatives are not anticipated to adversely affect response time for emergency services associated with fire station or police department personnel. The build alternatives, after constructed, may improve response times of emergency services by improving traffic flow and reducing delay. In addition, the build alternatives are intended to reduce conflicts in the study area, which would result in fewer emergency service calls. Because this project and adjacent projects would be constructed at various seasons, and coordination to ensure no delay in emergency responses would occur, there is no cumulative affect to emergency services.

### **Traffic and Transportation/Pedestrian and Bicycle Facilities**

If work on multiple projects were to overlap with the proposed project during construction, impacts related to traffic delays and detours for travel in the region could occur. While some level of disruption in traffic will occur, cumulative construction impacts would be temporary

and individual projects would contain measures to avoid major traffic delays. Therefore, it is not anticipated that temporary effects of construction of multiple projects would combine to result in cumulatively impacts.

### **Visual/Aesthetics**

The temporary construction impacts associated with the proposed project would not result in cumulative visual impacts because they would be temporary. The project may slightly alter the existing visual character of the area due to the introduction of new high contrast elements of pedestrian crosswalks, colorized chicanes, and overhead illuminated warning signs, the corridor's color will be moderately changed. However, the majority of pattern elements will remain intact. Though some of the foreground will be altered, the mid and background will retain the oak savannah landscape of wide open fields punctuated by native oak trees. Only at the intersection legs will there be any impact beyond the shoulder.

Overall, the proposed projects would not contribute to cumulative impacts related to the planned proposed project, and rural development in the area because the build alternatives would not substantially alter the existing visual landscape, degrade the visual quality of the project area, or alter levels of light and glare. As such, the combined visual effect of both alternatives with other projects planned, recently and in construction or currently in construction would not result in impacts that are cumulatively considerable.

### **Cultural Resources**

The proposed project is not anticipated to cumulatively impact cultural resources, as those adjacent projects were confirmed to not extend into the projects area of Direct Impact and thus will be avoided and protected by implementing avoidance measures. Please see 2.1.8 Cultural Resources Section for AMMs.

### **Water Quality and Storm Water Runoff**

The project area reside in a High-Risk Receiving Watershed and it is acknowledged that (throughout the construction process) there exists the potential that certain activities may result in erodible soils or suspended solids intermittently being introduced to waterways. Short-term discharges of chemical pollutants, oil or grease, may also be transported into waterways as the result of construction equipment use. However, it is anticipated that the implementation of standard minimization and avoidance measures, best management practices, and field inspections should minimize the risk that erodible soils, and suspended solids or pollutants, will enter receiving waters within the project limits. Therefore, there are no cumulative impacts expected for Water Quality and Storm Water Runoff.

### **Hazardous Waste and Materials**

Minor hazardous waste/ materials issues are present in all of the projects considered for cumulative impacts. Preliminary Site Investigations during the PS&E phase of project developments are conducted sampling of aerially deposited lead. Thermoplastic/ lead paint may be removed from the existing road surfaces prior to lane shifting and temporary detours. Standard Special Provisions to address these minor hazardous waste/ materials will be developed for the projects prior to finalizing PS&E. None of the locations are Cortese listed sites. There are no cumulative effects for hazardous waste and materials.

## **Air Quality**

According to the guidance from PCAPCD, the construction and operational criteria pollutant emissions the buildout of the of the general plans of Placer County, could result in a cumulative impact. Alternatives contribution to this effect would be considered cumulatively considerable, as the magnitude of emissions from other future projects is currently unknown. Although applicable air district regulatory measures would reduce the project-related construction and operational emission impacts, during the design year cumulative impacts related to operational emissions in the plan area may be slightly higher than PCAPCD operational project- and cumulative-level thresholds.

The Count Station Repair, PLA-49 Sidewalk Gap Closure, Culvert Rehabilitation and Install and Safety Improvement Project are all exempt from air quality conformity per 40 CFR 93.126, Table 2 of 40, as safety road projects and are not considered in cumulative impacts.

## **Hydrology and Floodplain**

The Placer 49 Safety Barrier Project, is located within FEMA Flood Insurance Rate Map (FIRM) panel 06061C0755H for Placer County, effective November 2, 2018. The proposed project would not infringe upon the existing floodplain. There are no cumulative impacts expected for hydrology and floodplain.

## **Wetlands and Other Waters**

There are no impacts to wetlands or other waters in either the Count Station Repair and Install and Safety Improvement Project projects. PLA-49 Sidewalk Gap Closure and Culvert Rehabilitation has implemented standard avoidance measures to have no effect on resources at the various locations of that project. Therefore, there are no cumulative impacts expected to wetlands and Other Waters of the US.

## **Animal Species**

There are no impacts to animal species or their habitat in either the proposed PLA-49 Safety Barrier Project, Count Station Repair, PLA-49 Sidewalk Gap Closure, Culvert Rehabilitation and Install and Safety Improvement Projects. No cumulative impacts to animal species are expected.

## **Threatened and Endangered Species**

There are no substantial impacts to listed species or their habitat in either PLA-49 Safety Barrier Project, Count Station Repair, PLA-49 Sidewalk Gap Closure, Culvert Rehabilitation and Install and Safety Improvement Projects. No cumulative impacts for threatened or endangered species are expected.

## **Invasive Species**

There are no invasive species identified in the impacted areas of either Count Station Repair, PLA-49 Sidewalk Gap Closure, Culvert Rehabilitation and Install and Safety Improvement Projects. There are no cumulative impacts expected for invasive species.

## Chapter 3 – California Environmental Quality Act (CEQA) Evaluation

### 3.1 Determining Significance under CEQA

The proposed project is a joint project by the California Department of Transportation (Caltrans) and the Federal Highway Administration (FHWA) and is subject to state and federal environmental review requirements. Project documentation, therefore, has been prepared in compliance with both the California Environmental Quality Act (CEQA) and the National Environmental Policy Act (NEPA). FHWA's responsibility for environmental review, consultation, and any other actions required by applicable Federal environmental laws for this project are being, or have been, carried out by Caltrans pursuant to 23 United States Code Section 327 (23 USC 327) and the Memorandum of Understanding dated December 23, 2016, and executed by FHWA and Caltrans. The Department is the lead agency under CEQA and NEPA.

One of the primary differences between NEPA and CEQA is the way significance is determined. Under NEPA, significance is used to determine whether an EIS, or a lower level of documentation, will be required. NEPA requires that an EIS be prepared when the proposed federal action (project) *as a whole* has the potential to "significantly affect the quality of the human environment." The determination of significance is based on context and intensity. Some impacts determined to be significant under CEQA may not be of sufficient magnitude to be determined significant under NEPA. Under NEPA, once a decision is made regarding the need for an EIS, it is the magnitude of the impact that is evaluated and no judgment of its individual significance is deemed important for the text. NEPA does not require that a determination of significant impacts be stated in the environmental documents.

CEQA, on the other hand, does require the Department to identify each "significant effect on the environment" resulting from the project and ways to mitigate each significant effect. If the project may have a significant effect on any environmental resource, then an EIR must be prepared. Each and every significant effect on the environment must be disclosed in the EIR and mitigated if feasible. In addition, the CEQA Guidelines list a number of "mandatory findings of significance," which also require the preparation of an EIR. There are no types of actions under NEPA that parallel the findings of mandatory significance of CEQA. This chapter discusses the effects of this project and CEQA significance.

### 3.2 CEQA Environmental Checklist

This checklist identifies physical, biological, social, and economic factors that might be affected by the proposed project. In many cases, background studies performed in connection with the projects will indicate that there are no impacts to a particular resource. A NO IMPACT answer in the last column reflects this determination. The words "significant" and "significance" used throughout the following checklist are related to CEQA, not NEPA, impacts. The questions in this form are intended to encourage the thoughtful assessment of impacts and do not represent thresholds of significance.

Project features, which can include both design elements of the project, and standardized measures that are applied to all or most Caltrans projects such as Best Management Practices (BMPs) and measures included in the Standard Plans and Specifications or as

Standard Special Provisions, are considered to be an integral part of the project and have been considered prior to any significance determinations documented below; see Chapters 1 and 2 for a detailed discussion of these features. The annotations to this checklist are summaries of information contained in Chapter 2 in order to provide the reader with the rationale for significance determinations; for a more detailed discussion of the nature and extent of impacts, please see Chapter 2. This checklist incorporates by reference the information contained in Chapters 1 and 2.

### 3.2.1 AESTHETICS

#### CEQA Significance Determinations for Aesthetics

Except as provided in Public Resources Code Section 21099, would the project:

a) Have a substantial adverse effect on a scenic vista?

**No Impact.** A scenic vista is defined as a viewpoint that provides expansive views of a highly valued landscape for the benefit of the general public. In addition, some scenic vistas are officially designated by public agencies, or informally designated by tourists and tourist guides. A substantial adverse effect to such a scenic vista is one that degrades the view from a designated view spot.

Within the region and near postmile 8.7, SR 49 provides few views that could potentially be considered a vista point along the main roadway. In addition, Caltrans has not officially designated a scenic vista in the general vicinity of the project area, nor is an informal scenic vista been established and utilized by the general public for viewing the site. Informal, unimproved pullouts exist on the adjoining roads that view the intersections of Lone Star and Lorensen, but they do not provide expansive or memorable views of the region and are not used by the public as points of observation of the surrounding landscape. Therefore, all alternatives will have no impact on scenic vistas.

b) Substantially damage scenic resources, including, but not limited to, trees, rock outcroppings, and historic buildings within a state scenic highway?

**Less Than Significant Impact.** Scenic resources can be defined as assets in the visual environment that are considered valuable; and, are not limited to, natural features, agriculture, built environments, transportation, infrastructure, and signage.

Along the affected highway corridor, SR 49 is listed as an Eligible State Scenic Highway. As an Eligible Scenic Highway, it contains valuable aesthetic resources for the visual corridor. Within the project limits, the most notable scenic resources are the surrounding savannah landscape and native oak trees. All project alternatives will affect the landscape to a minor degree, but they are not expected to significantly reduce the contributing aesthetic resources. Therefore, the project is expected to have a less than significant impact on scenic resources.

c) In non-urbanized areas, substantially degrade the existing visual character or quality of public views of the site and its surroundings? (Public views are those that are experienced from a publicly accessible vantage point.) If the project is in an urbanized area, would the project conflict with applicable zoning and other regulations governing scenic quality?



**Less Than Significant Impact.** Visual character can be defined as features and elements that make a specific visual environment distinctive and cohesive. Scenic or visual quality can be defined as natural features, cultural elements, as well as experiences and perceptions of both the individual and the larger community.

The project site is characterized by replacement intersections. Alternative 1 's configuration is the least compatible, but it is expected to retain the substantial visual character and visual quality elements. Alternative 2 & 3's configurations closely follow existing conditions and will retain scenic elements that contribute to the corridor's visual character and quality. Because all alternatives will maintain the dominate visual features of the corridor, the project's impacts on visual quality and visual character are expected to be less than significant.

d) Create a new source of substantial light or glare which would adversely affect day or nighttime views in the area?

**Less Than Significant Impact.** Substantial light and glare can be defined as a viewable source of light that has a significant ratio of luminance between the task (that which is being looked at) and the glare source.

At this time the proposed work is expected to be completed during normal working daylight hours so as to not necessitate nighttime illumination sources, and all equipment will have appropriate anti-glare surface coatings to prevent glare. Any potential for light and glare would be temporary and all temporary construction activities that require nighttime illumination sources for staging, access, or other construction activities shall comply with Caltrans Standard Specification 7-1.04, Public Safety. Therefore, no substantial new source of lighting or glare is proposed as part of the project.

### **3.2.2 AGRICULTURE AND FOREST RESOURCES**

#### **CEQA Significance Determinations for Agriculture and Forest Resources**

In determining whether impacts to agricultural resources are significant environmental effects, lead agencies may refer to the California Agricultural Land Evaluation and Site Assessment Model (1997) prepared by the California Dept. of Conservation as an optional model to use in assessing impacts on agriculture and farmland. In determining whether impacts to forest resources, including timberland, are significant environmental effects, lead agencies may refer to information compiled by the California Department of Forestry and Fire Protection regarding the state's inventory of forest land, including the Forest and Range Assessment Project and the Forest Legacy Assessment Project; and the forest carbon measurement methodology provided in Forest Protocols adopted by the California Air Resources Board.

Would the project:

a) Convert Prime Farmland, Unique Farmland, or Farmland of Statewide Importance (Farmland), as shown on the maps prepared pursuant to the Farmland Mapping and Monitoring Program of the California Resources Agency, to non-agricultural use?

**No Impact.** Although permanent acquisition of land is anticipated as part of this project, no Prime Farmland would be acquired. There is no land classified as Prime Farmland in the project area. The project would not convert any land currently used for agriculture to non-agricultural use.

b) Conflict with existing zoning for agricultural use, or a Williamson Act contract?

**No Impact.**

There are no parcels under the Williamson Act contract within the project limits.

c) Conflict with existing zoning for, or cause rezoning of, forest land (as defined in Public Resources Code section 12220(g)), timberland (as defined by Public Resources Code section 4526), or timberland zoned Timberland Production (as defined by Government Code section 51104(g))?

**No Impact.** The proposed project would not conflict with existing zoning for forestland/timberland since there is no forestland in the project area.

d) Result in the loss of forest land or conversion of forest land to non-forest use?

**No Impact.** There is no forestland in the project area. Therefore, the project would not result in a loss or conversion of forestland.

e) Involve other changes in the existing environment which, due to their location or nature, could result in conversion of Farmland, to non-agricultural use or conversion of forest land to non-forest use?

**No Impact.** The proposed project would not result in the conversion of Farmland to non-agricultural use or conversion of forest land to non-forest use.

### 3.2.3 AIR QUALITY

#### CEQA Significance Determinations for Air Quality

Where available, the significance criteria established by the applicable air quality management district or air pollution control district may be relied upon to make the following determinations.

Would the project:

a) Conflict with or obstruct implementation of the applicable air quality plan?

**No Impact.** The project does not obstruct implementation of the applicable air quality plan of Placer County Air Pollution Control District.

b) Result in a cumulatively considerable net increase of any criteria pollutant for which the project region is non-attainment under an applicable federal or state ambient air quality standard?

**Less Than Significant Impact.** The proposed project is located in a nonattainment area for a National O3 and PM2.5 Standards, and is listed and financially constrained in MTIP, which was found to conform by SACOG. The operational air quality impacts would not be substantial; however cumulatively considerable impacts of PM10 in related to both no-build and build alternatives during the design year may be anticipated.

c) Expose sensitive receptors to substantial pollutant concentrations?

**Less Than Significant Impact.** The sensitive receptor identified within the project site are residential areas. No considerable impacts to criteria pollutants are anticipated as the project's operational emissions are not significant under the build alternatives. For temporary construction emissions, construction dust and equipment exhaust emissions measures shall be implemented through Caltrans' special provisions and standard specifications, during all phases of construction work thus, the impact would be less than significant.

d) Result in other emissions (such as those leading to odors) adversely affecting a substantial number of people?

**Less Than Significant Impact.** Temporary construction activities could generate fugitive dust from the operation of construction equipment. The project will comply with construction standards adopted by the PCAPCD as well as Caltrans standardized procedures for minimizing air pollutants during construction.

### 3.2.4 BIOLOGICAL RESOURCES

#### CEQA Significance Determinations for Biological Resources

Would the project:

a) Have a substantial adverse effect, either directly or through habitat modifications, on any species identified as a candidate, sensitive, or special status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Wildlife, U.S. Fish and Wildlife Service, or NOAA Fisheries?

**No Impact.** Survey results have concluded that the Environmental Study Area does not contain suitable habitat for any candidate, sensitive or special status species as recognized by California Department of Fish and Wildlife or U.S. Fish and Wildlife.

b) Have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, regulations or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service?

**No Impact.** This project would not have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, regulations or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service.

c) Have a substantial adverse effect on state or federally protected wetlands (including, but not limited to, marsh, vernal pool, coastal, etc.) through direct removal, filling, hydrological interruption, or other means?

**Less Than Significant with Mitigation.** Proposed project will result in the placement of permanent fill into a riparian wetland. However, the permanent loss of riparian wetland habitat will be offset by compensatory mitigation or mitigation determined during the permitting phase of this project.

d) Interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites?

**No Impact.** This project will not affect any migratory wildlife corridors or the movement of any native resident or migratory fish or wildlife species.

e) Conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance?

**No Impact.** There are no anticipated local ordinances or preservation policies protecting biological resources that have the potential to occur within the Environmental Study Area.

f) Conflict with the provisions of an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan?

**No Impact.** This project will not conflict with the provisions of an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan.

### 3.2.5 CULTURAL RESOURCES

#### CEQA Significance Determinations for Cultural Resources

Would the project:

a) Cause a substantial adverse change in the significance of a historical resource pursuant to §15064.5?

**Less than Significant Impact.** As discussed in the Cultural Resources Section in Chapter 2, there are no known historical resources within the Area of Direct Impact (ADI). However, the APE encompasses one known resource which will be protected in their entirety from any potential effects with the following measure being incorporated (see Chapter 2, Cultural Resources section for detailed discussion of measures):

- **Cultural-1:** Environmentally Sensitive Area

b) Cause a substantial adverse change in the significance of an archaeological resource pursuant to §15064.5?

**No Impact.** One archaeological resource is outside of the project's Areas Directly Impacted (ADI) by the project and will be further avoided through the establishment and enforcement of an environmentally sensitive area (ESA). However, the potential for discovery of unknown cultural resources does exist. As discussed in the Cultural Resources Section in Chapter 2, there are no known archaeological resources within the Area of Direct Impact (ADI).

c) Disturb any human remains, including those interred outside of dedicated cemeteries?

**No Impact.** There is no indication or reason to believe human remains would be encountered during the project since there are no known cemeteries or burial sites in the project APE. However, the potential does exist to encounter unknown human remains during construction.

### 3.2.6 ENERGY

#### CEQA Significance Determinations for Energy

Would the project:

a) Result in potentially significant environmental impact due to wasteful, inefficient, or unnecessary consumption of energy resources, during project construction or operation?

**No Impact.** The proposed project is a safety project and will not increase capacity on SR 49. During construction, energy use would primarily involve fuel consumption from use of construction equipment and onroad vehicles. This consumption would be temporary in nature and would cease once construction is complete. Indirect energy use such as fuel consumption by vehicles utilizing the roadway would occur. Therefore, the project would not result in a wasteful, inefficient, or unnecessary usage of energy resources during project construction or operation.

b) Conflict with or obstruct a state or local plan for renewable energy or energy efficiency?

**No Impact.** The project does not conflict with or obstruct state or local plans for renewable energy measures or improving energy efficiency.

### 3.2.7 GEOLOGY AND SOILS

#### CEQA Significance Determinations for Geology and Soils

Would the project:

a) Directly or indirectly cause potential substantial adverse effects, including the risk of loss, injury, or death involving:

i) Rupture of a known earthquake fault, as delineated on the most recent Alquist-Priolo Earthquake Fault Zoning Map issued by the State Geologist for the area or based on other substantial evidence of a known fault? Refer to Division of Mines and Geology Special Publication 42?

**No Impact.** There are no known active faults in or near the project area according to the California Geological Survey.

ii) Strong seismic ground shaking?

**No Impact.** The project is located in an area that does not require investigation by the California Geological Survey.

iii) Seismic-related ground failure, including liquefaction?

**No Impact.** The project is located in an area that was not evaluated for liquefaction by the California Geological Survey. Thus, no impact would occur.

iv) Landslides?

**No Impact.** The project is located in an area that was not evaluated for landslides by the California Geological Survey. Thus, no impact would occur.

b) Result in substantial soil erosion or the loss of topsoil?

**Less Than Significant Impact.** Construction BMPs would minimize erosion and loss of topsoil from road grading and construction activities. Thus, the impact would be less than significant.

c) Be located on a geologic unit or soil that is unstable, or that would become unstable as a result of the project, and potentially result in on- or off-site landslide, lateral spreading, subsidence, liquefaction or collapse?

**No Impact.** The project is not located on a geologic unit or soil that is unstable or would become unstable as a result of the project according to the California Geological Survey. No impact would occur.

d) Be located on expansive soil, as defined in Table 18-1-B of the Uniform Building Code (1994), creating substantial direct or indirect risks to life or property?

**No Impact.** Soils compaction or expansion coefficient will be determined in the final geotechnical study and used to determine compaction requirements set in the construction standards. No substantial risk to life or property is anticipated.

e) Have soils incapable of adequately supporting the use of septic tanks or alternative wastewater disposal systems where sewers are not available for the disposal of wastewater?

**No Impact.** The project would not include a septic system or alternative wastewater disposal systems. There would be no impact.

f) Directly or indirectly destroy a unique paleontological resource or site or unique geologic feature?

**No Impact.** Placer County is underlain by Quaternary alluvium and metavolcanics rock which have the extremely low potential to contain fossils; therefore, no impacts are anticipated. However, compliance with Caltrans' BMPs and standard measures would protect paleontological resources during ground-disturbing activities. Section 14-7 PALEONTOLOGICAL RESOURCES of the 2018 Standard Specifications instruct Caltrans' construction contractors regarding actions taken when unanticipated paleontological resources are encountered during construction.

### 3.2.8 GREENHOUSE GAS EMISSIONS

#### CEQA Significance Determinations for Greenhouse Gas Emissions

Would the project:

a) Generate greenhouse gas emissions, either directly or indirectly, that may have a significant impact on the environment?

**Less Than Significant Impact.** Greenhouse emissions for both the opening and the design years, would not be expected to increase from the build alternatives in comparison with the no-build alternative except the southbound during the design year. This change could be attributed to the substantially projected change in VMT. However, the overall level of greenhouse gas emissions during the future years would decrease in comparison with that during the baseline year. Project Operation is not anticipated to generate additional greenhouse gas emissions because the project would not add travel lanes or increase the capacity of the roadway. Temporary emissions will occur during construction due to construction equipment and traveling vehicles waiting for traffic control. With implementation of construction greenhouse-reduction measures, the impact would be less than significant.

b) Conflict with an applicable plan, policy or regulation adopted for the purpose of reducing the emissions of greenhouse gases?

**Less Than Significant Impact.** The proposed project does not conflict with plans, policies or regulations intended to reduce greenhouse gas emissions.

### 3.2.9 HAZARDS AND HAZARDOUS MATERIALS

#### CEQA Significance Determinations for Hazards and Hazardous Materials

Would the project:

a) Create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials?

**Less Than Significant Impact.** It is anticipated this project will not create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials. If soil is to be removed from site, an ADL survey will need to be conducted. Based on the results, hazardous waste can be produced. However, it will be handled, transported, and disposed of properly.

b) Create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment?

**No Impact.** Standard specifications for removal and handling of known hazardous materials such as treated wood waste, Aerially Deposited Lead (ADL) and yellow traffic striping will minimize the chances of accidental release into the environment.

c) Emit hazardous emissions or handle hazardous or acutely hazardous materials, substances, or waste within one-quarter mile of an existing or proposed school?

**No Impact.** No schools exist within a one-quarter mile of the proposed project site.

d) Be located on a site which is included on a list of hazardous materials sites compiled pursuant to Government Code Section 65962.5 and, as a result, would it create a significant hazard to the public or the environment?

**No Impact.** There are no Cortese Sites located within the project area.

e) For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project result in a safety hazard or excessive noise for people residing or working in the project area?

**No Impact.** There are no airports within two miles of the project area and no aspect of the proposed project would result in a safety hazard for people residing or working in the project area.

f) Impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan?

**Less Than Significant Impact.** SR-49 is identified as an evacuation route. Traffic management plans finalized in later design stages of the project include provisions to allow evacuation efforts to be conducted in coordination with the California Highway Patrol and local emergency response personnel.

g) Expose people or structures, either directly or indirectly, to a significant risk of loss, injury or death involving wildland fires?

**No Impact.** The proposed project would not exacerbate existing risks associated with wildfire caused by highway users. Standard construction specifications for equipment idling and fuel storage during construction are intended to minimize the risk associated with their use.



### 3.2.10 HYDROLOGY AND WATER QUALITY

#### CEQA Significance Determinations for Hydrology and Water Quality

Would the project:

a) Violate any water quality standards or waste discharge requirements or otherwise substantially degrade surface or ground water quality?

**Less Than Significant Impact.** It is anticipated that the project will be regulated under the Construction General Permit (CGP). Compliance with the CGP will require a risk level analysis based on the project's potential erosion and transport to receiving waters. Analysis results will be utilized to determine standard water quality protection measures that will be implemented in order to avoid surface and ground water quality degradation. It is anticipated that BMP usage, placement, field implementation and effectiveness will be monitored, adjusted, and modified (accordingly) for the duration of the project. Compliance with all applicable NPDES Permits, in addition to coordination with the Regional Water Quality Board, is anticipated to ensure the protection of water resources in the area.

b) Substantially decrease groundwater supplies or interfere substantially with groundwater recharge such that the project may impede sustainable groundwater management of the basin?

**Less Than Significant Impact.** The intended use of the facility and potential pollutants that will be encountered in storm water runoff, after the project is constructed, is not anticipated to change from its current condition. The groundwater elevation within this corridor historically fluctuates but is not anticipated to impact the storm water treatment measures to be implemented. Additionally, due to excavation occurring on a temporary and short-term basis, during the construction period, groundwater resources should not be affected, and it is not anticipated that the project would negatively impact regional sustainable groundwater management (within the project vicinity).

c) Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river or through the addition of impervious surfaces, in a manner which would:

i) Result in substantial erosion or siltation on- or off-site;

**Less Than Significant Impact.** Standard construction erosion control measures will be utilized to avoid erosion and siltation for the duration of project activities. BMP measures and implementation strategies will be outlined in the Contractor prepared and Caltrans approved SWPPP. These will likely include temporary soil stabilization measures, linear sediment barriers (i.e. silt fence, gravel bag berms, fiber rolls), and construction site waste management (i.e. concrete washout, construction materials storage, litter/ waste management) among other approved controls.

ii) Substantially increase the rate or amount of surface runoff in a manner which would result in flooding on- or off-site;

**Less Than Significant Impact.** It is anticipated that drainage system design will focus on perpetuating existing highway drainage conditions to the greatest extent feasible. New drainage features will be designed to perpetuate flow in the existing direction and will have similar or greater capacity than what currently exists (in support of current design standards).

iii) Create or contribute runoff water which would exceed the capacity of existing or planned stormwater drainage systems or provide substantial additional sources of polluted runoff; or

**Less Than Significant Impact.** Drainage appurtenances, within the project limits, will be designed to accommodate the anticipated change in flow. Treatment BMPs will be incorporated into the project design, where applicable and feasible, to treat the new impervious area anticipated for the project. The implementation of BMPs meant to treat general pollutants will be evaluated and an analysis of site characteristics to optimize water quality volume/water quality flow and maximize site perviousness will be performed.

iv) Impede or redirect flood flows?

**Less Than Significant Impact.** It is anticipated that the site characteristics, pertaining to final drainage flow and functionality, will remain (in large part) similar to what currently occurs and exists. Preliminary analyses indicate that no significant impact to the floodplain or base flood elevations for the surrounding system would occur; however, a more detailed examination of the field parameters are pending and will be discussed in the accompanying project Drainage Report.

d) In flood hazard, tsunami, or seiche zones, risk release of pollutants due to project inundation?

**Less Than Significant Impact.** The project is located within Federal Emergency Management Agency (FEMA) Flood Insurance Rate Map Number 06061C0755H. Most of the project area lies within a FEMA designated Area of Minimal Flooding (Zone X). However, a portion of the project area, around Orr Creek, lies within a floodplain designation by FEMA as a Special Flood Hazard Area Zone A. "Zone A" is defined as areas within the floodplain of 1% annual change floodplain (100-year flood). The proposed project would not cause a significant change to the 100-year floodplain. No significant floodplain encroachment would occur.

e) Conflict with or obstruct implementation of a water quality control plan or sustainable groundwater management plan?

**Less Than Significant Impact.** Temporary and permanent impacts to local water quality basin and groundwater management plans will be minimized and/or avoided through the use of Best Management Practices and compliance with Caltrans' NPDES Permit.

### 3.2.11 LAND USE AND PLANNING

#### CEQA Significance Determinations for Land Use and Planning

Would the project:

- a) Physically divide an established community?

**Less Than Significant Impact.** The project would stay on the existing alignment and would not change the character of the study area because it would neither alter zoning, nor provide access to areas that are currently undeveloped.

- b) Cause a significant environmental impact due to a conflict with any land use plan, policy, or regulation adopted for the purpose of avoiding or mitigating an environmental effect?

**Less Than Significant Impact.** With the exception of the conversion of land to transportation uses and the use of land for construction purposes, no substantial change in land use or underlying zoning designation within the study area would occur as a result of implementing the proposed project. The project is consistent with local plans and policies, and land uses.

### 3.2.12 MINERAL RESOURCES

#### CEQA Significance Determinations for Mineral Resources

Would this project:

- a) Result in the loss of availability of a known mineral resource that would be of value to the region and the residents of the state?

**No Impact.** There are no designated mineral resources areas in the project area or vicinity.

- b) Result in the loss of availability of a locally-important mineral resource recovery site delineated on a local general plan, specific plan or other land use plan?

**No Impact.** There are no designated mineral resources areas in the project area or vicinity.

### 3.2.13 NOISE

#### CEQA Significance Determinations for Noise

Would the project result in:

a) Generation of a substantial temporary or permanent increase in ambient noise levels in the vicinity of the project in excess of standards established in the local general plan or noise ordinance, or applicable standards of other agencies?

**Less Than Significant.** This project is considered a Type III project and is not required to complete a noise analysis. However, construction noise would be short-term, no adverse noise impacts from construction are anticipated because construction would be conducted in accordance with Caltrans Standard Specifications Section 14.8-02, "Noise Control". Specification for noise to be restricted between 9 PM and 6 AM from exceeding 86 decibels at 50 feet from the job site will be applied to the project contract to minimize potential noise-related impacts.

b) Generation of excessive groundborne vibration or groundborne noise levels?

**No Impact.** Noise levels and groundborne vibration resulting from construction activities are not expected to be excessive.

c) For a project located within the vicinity of a private airstrip or an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project expose people residing or working in the project area to excessive noise levels?

**No Impact.** The proposed project is not located within the vicinity of a private airstrip or an airport land use, nor within two miles of a public airport or public use airport.

### 3.2.14 POPULATION AND HOUSING

#### CEQA Significance Determinations for Population and Housing

Would the project:

a) Induce substantial unplanned population growth in an area, either directly (for example, by proposing new homes and businesses) or indirectly (for example, through extension of roads or other infrastructure)?

**No Impact.** The proposed project would does not increase capacity or access; therefore, the proposed project would not directly or indirectly induce population growth in the area. The project would not add new homes or businesses and would not extend any roads or other infrastructure.

b) Displace substantial numbers of existing people or housing, necessitating the construction of replacement housing elsewhere?

**Less Than Significant Impact.** Displacements resulting from the proposed project would not be enough to cause changes to the regional population due to the relatively small number of relocations required and there are sufficient replacement properties in the study area. Therefore, impacts would be less than significant.

### 3.2.15 PUBLIC SERVICES

#### CEQA Significance Determinations for Public Services

a) Would the project result in substantial adverse physical impacts associated with the provision of new or physically altered governmental facilities, need for new or physically altered governmental facilities, the construction of which could cause significant environmental impacts, in order to maintain acceptable service ratios, response times or other performance objectives for any of the public services:

Fire protection?

Police protection?

**Less Than Significant Impact.** The project would not result in direct impacts on fire, police or other public, and is not anticipated to adversely affect response time for emergency services.

During construction, there may be temporary disruptions along SR 49 from shifting traffic or construction equipment. Traffic would be shifted to allow continued two-way operation of SR 49, as described in the traffic management plan. Any required closures would be coordinated with emergency service providers so as not to hinder emergency responses

Schools?

**No Impact.** There are no schools within the proximity of the project alignment.

Parks?

**No Impact** There are no parks within the proximity of the project alignment.

Other public facilities?

**No Impact.** There are no other public facilities within the proximity of the project alignment.

### 3.2.16 RECREATION

#### CEQA Significance Determinations for Recreation

a) Would the project increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated?

**No Impact.** The proposed project is not located near any park or recreational facilities; therefore, there would be no effects on parks or recreational facility resources.

b) Does the project include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment?

**No Impact.** The proposed project is not located near any park or recreational facilities; therefore, there would be no effects on parks or recreational facility resources.

### 3.2.17 TRANSPORTATION

#### CEQA Significance Determinations for Transportation

Would the project:

a) Conflict with a program plan, ordinance or policy addressing the circulation system, including transit, roadway, bicycle and pedestrian facilities?

**No Impact.** The project does not conflict with plans, ordinances or policy addressing transportation alternatives.

b) Conflict with or be inconsistent with CEQA Guidelines section 15064.3, subdivision (b)?

**Less Than Significant Impact.** The proposed project is a safety project and will not increase vehicular capacity. Compared to base year (2016) conditions, horizon year (2044) conditions would have 24 percent more daily VMT. However, due to the proposed project installation of the median barrier, daily VMT would increase slightly (less than 0.01 percent on a regional basis and less than 0.2 percent on a corridor basis) due to out-of-direction travel.

c) Substantially increase hazards due to a geometric design feature (e.g., sharp curves or dangerous intersections) or incompatible uses (e.g., farm equipment)?

**No Impact.** No incompatible uses or hazardous design features are associated with operation of the proposed project. The project would construct a 1.3-mile median barrier of SR 49 and improve intersection operations and safety along this segment of the highway.

d) Result in inadequate emergency access?

**Less Than Significant Impact.** The project would construct a 1.3-mile median barrier of SR 49 and improve intersection operations. Thus operationally, the project would improve emergency access. Temporary construction impacts could have the potential to impact emergency access during construction. However, a traffic control plan would provide continuous emergency access throughout construction. Thus, the temporary impact would be less than significant.

### 3.2.18 TRIBAL CULTURAL RESOURCES

#### CEQA Significance Determinations for Tribal Cultural Resources

Would the project cause a substantial adverse change in the significance of a tribal cultural resource, defined in Public Resources Code section 21074 as either a site, feature, place, cultural landscape that is geographically defined in terms of the size and scope of the landscape, sacred place, or object with cultural value to a California Native American tribe, and that is:

A records and literature search of the files at the North Central Information Center (NCIC) of the *California Historical Resources Information System* was conducted and included documentation of known archaeological sites, prior investigations, historic landmarks, historic markers, as well as any properties listed in the National Register of Historic Places and California Register of Historical Resources within the project area. Specifically, the following documents and references were examined as part of this search: *National Register of Historic Places* - listed and/or eligible properties.

Initial consultation occurred in October 2019 with a request sent to the Native American Heritage Commission (NAHC) for a Sacred Lands search and list of tribal contacts. A letter was received November 1, 2019 from the NAHC stating that the search was positive for sacred lands and to contact United Auburn Indian Community (UAIC). Consultation with UAIC did not result in any sacred lands being within the project area. The NAHC also provided a list of tribal contacts including UAIC, Tsi akim Maidu, Shingle Springs Band of Miwok Indians, and the Colfax-Todds Valley Consolidated Tribe. Initial consultation letters were sent to three different tribes on November 14, 2019. Responses were received from all three of the tribes, UAIC, Shingle Springs and the Colfax-Todds. The Tsi Akim Maidu did not respond. A field review with representatives from UAIC was held on March 10<sup>th</sup>, 2020 and another field review with a representative from the Colfax-Todds was held on September 3<sup>rd</sup>, 2020.

As a result of the cultural resource inventory, one prehistoric archaeological site was identified in the project area, however, the XPI excavations confirmed the site does not extend into the projects ADI and thus will be protected in its entirety through the establishment of an ESA.

a) Listed or eligible for listing in the California Register of Historical Resources, or in a local register of historical resources as defined in Public Resources Code section 5020.1(k), or

**No Impact.** A cultural resource was identified within the project limit. An XPI excavation was conducted on the cultural resource and it was confirmed to not extend into the projects ADI and will be protected in its entirety through the establishment of an ESA. Thus, no impact would occur.

b) A resource determined by the lead agency, in its discretion and supported by substantial evidence, to be significant pursuant to criteria set forth in subdivision (c) of Public Resources Code Section 5024.1. In applying the criteria set forth in subdivision (c) of Public Resource Code Section 5024.1, the lead agency shall consider the significance of the resource to a California Native American tribe.

**No Impact.** Consultation with Native American tribes and individuals determined there are no Tribal Cultural Resources within the ADI.

### **3.2.19 UTILITIES AND SERVICE SYSTEMS**

#### **CEQA Significance Determinations for Utilities and Service Systems**

Would the project:

a) Require or result in the relocation or construction of new or expanded water, wastewater treatment or storm water drainage, electric power, natural gas, or telecommunications facilities, the construction or relocation of which could cause significant environmental effects?

**Less Than Significant Impact.** The proposed project would require relocation of electrical power and telecommunications utility poles, this would be a temporary disruption of service and all utilities would be notified in advance.

b) Have sufficient water supplies available to serve the project and reasonably foreseeable future development during normal, dry and multiple dry years?

**No Impact.** The project would not require any water during operation. During construction, water would only be used for dust control along the project corridor. Due to the minimal amount of water that would be required for dust control, the impact on the existing water supply would be less than significant

c) Result in a determination by the wastewater treatment provider which serves or may serve the project that it has adequate capacity to serve the project's projected demand in addition to the provider's existing commitments?

**Less Than Significant Impact.** No wastewater would be generated by the project. If dewatering is necessary in areas where groundwater is encountered, depending on surface and groundwater levels at the time of construction, a permit for discharge of extracted groundwater would be obtained from the RWQCB. This discharge shall be consistent with RWQCB requirement and as such would not result in a violation of water quality standards or waste discharge requirements.

d) Generate solid waste in excess of state or local standards, or in excess of the capacity of local infrastructure, or otherwise impair the attainment of solid waste reduction goals?



**Less Than Significant Impact.** Construction of the proposed project would generate some waste material. The amount of construction related waste would not be substantial, be limited to the construction period and would not result in substantial reduction in the capacity of a landfill. Asphalt, concrete, trenching spoils and other excavated material would be reused on-site to the greatest extent feasible.

e) Comply with federal, state, and local management and reduction statutes and regulations related to solid waste?

**No Impact.** The project would comply with all federal, State, and local statutes and regulations related to solid waste.

### 3.2.20 WILDFIRE

#### CEQA Significance Determinations for Wildfire

If located in or near state responsibility areas or lands classified as very high fire hazard severity zones, would the project:

a) Substantially impair an adopted emergency response plan or emergency evacuation plan?

**No Impact.** The proposed project includes a Traffic Management Plan which takes into account emergency response actions and evacuations that may be required to occur through the construction areas, including during temporary closures. Coordination with California Highway Patrol and local emergency response agencies is included in the Traffic Management Plan to avoid impairment of any response or evacuation.

b) Due to slope, prevailing winds, and other factors, exacerbate wildfire risks, and thereby expose project occupants to, pollutant concentrations from a wildfire or the uncontrolled spread of a wildfire?

**No Impact.** The proposed project would not exacerbate wildfire risks due to slope, prevailing winds and other factors.

c) Require the installation or maintenance of associated infrastructure (such as roads, fuel breaks, emergency water sources, power lines or other utilities) that may exacerbate fire risk or that may result in temporary or ongoing impacts to the environment?

**No Impact.** The proposed project would provide wider shoulders and require utility relocation along an existing roadway corridor. No additional water sources would be required.

d) Expose people or structures to significant risks, including downslope or downstream flooding or landslides, as a result of runoff, post-fire slope instability, or drainage changes?

**No Impact.** The project will incorporate materials that provide slope stability and prevent downstream exposure to runoff. The drainage features of the proposed alignment will not change the receiving waters.

### 3.2.21 MANDATORY FINDINGS OF SIGNIFICANCE

#### CEQA Significance Determinations for Mandatory Findings of Significance

a) Does the project have the potential to substantially degrade the quality of the environment, substantially reduce the habitat of a fish or wildlife species, cause a fish or wildlife population to drop below self-sustaining levels, threaten to eliminate a plant or animal community, substantially reduce the number or restrict the range of a rare or endangered plant or animal or eliminate important examples of the major periods of California history or prehistory?

**Less Than Significant Impact.** The proposed project does not have to potential to substantially degrade animal, plant species or communities. Nor does it have the potential to eliminate important examples of California rich history. The small wetland removed does not contain any special status species. The department will purchase mitigation credits for the wetland impacts, however this does not mean that the take of the wetland is an adverse effect, rather the mitigation credits are to satisfy agency requirements.

b) Does the project have impacts that are individually limited, but cumulatively considerable? ("Cumulatively considerable" means that the incremental effects of a project are considerable when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects)?

**No Impact.** No cumulative impacts have been identified for the proposed project.

c) Does the project have environmental effects which will cause substantial adverse effects on human beings, either directly or indirectly?

**Less Than Significant Impact.** No substantial effects from the proposed project on the human environmental have been identified.

### **3.3 Wildfire**

#### **Regulatory Setting**

Senate Bill 1241 required the Office of Planning and Research, the Natural Resources Agency, and the California Department of Forestry and Fire Protection to develop amendments to the “CEQA Checklist” for the inclusion of questions related to fire hazard impacts for projects located on lands classified as very high fire hazard severity zones. The 2018 updates to the CEQA Guidelines expanded this to include projects “near” these very high fire hazard severity zones.

#### **Affected Environment**

There is potential for wildland fires in the region given the relatively dry summer climate, with hot days and wind. The project site is located in a Moderate Fire Hazard Severity Zone and is classified as being under the State Responsibility Area according to CalFire’s Fire Hazard Severity Zone mapping tool (<https://egis.fire.ca.gov/FHSZ/>). The project is not anticipated to exacerbate wildfire risks because it would be constructed on the existing alignment and no new infrastructure development proposed.

#### **Environmental Consequences**

The project would implement a traffic control plan which would keep lanes open for emergency access and/or evacuation at all times in the event of a wildfire in the region. After construction, the provision of additional lanes would provide enhanced emergency access and/or evacuation.

#### **Avoidance, Minimization, and/or Mitigation Measures**

Caltrans standard specifications inherently include safety measures which would indirectly result in minimization of wildfire risk from construction activities. Features of the project which contribute to resilience to wildfire include metal sign posts, cement drainage structures and cleared vegetation.

### 3.4 Climate Change

Climate change refers to long-term changes in temperature, precipitation, wind patterns, and other elements of the earth's climate system. An ever-increasing body of scientific research attributes these climatological changes to greenhouse gas (GHG) emissions, particularly those generated from the production and use of fossil fuels.

While climate change has been a concern for several decades, the establishment of the Intergovernmental Panel on Climate Change (IPCC) by the United Nations and World Meteorological Organization in 1988 led to increased efforts devoted to GHG emissions reduction and climate change research and policy. These efforts are primarily concerned with the emissions of GHGs generated by human activity, including carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), tetrafluoromethane, hexafluoroethane, sulfur hexafluoride (SF<sub>6</sub>), and various hydrofluorocarbons (HFCs). CO<sub>2</sub> is the most abundant GHG; while it is a naturally occurring component of Earth's atmosphere, fossil-fuel combustion is the main source of additional, human-generated CO<sub>2</sub>.

Two terms are typically used when discussing how we address the impacts of climate change: "greenhouse gas mitigation" and "adaptation." Greenhouse gas mitigation covers the activities and policies aimed at reducing GHG emissions to limit or "mitigate" the impacts of climate change. Adaptation, on the other hand, is concerned with planning for and responding to impacts resulting from climate change (such as adjusting transportation design standards to withstand more intense storms and higher sea levels). This analysis will include a discussion of both.

#### REGULATORY SETTING

This section outlines federal and state efforts to comprehensively reduce GHG emissions from transportation sources.

##### Federal

To date, no national standards have been established for nationwide mobile-source GHG reduction targets, nor have any regulations or legislation been enacted specifically to address climate change and GHG emissions reduction at the project level.

The National Environmental Policy Act (NEPA) (42 United States Code [USC] Part 4332) requires federal agencies to assess the environmental effects of their proposed actions prior to making a decision on the action or project.

The Federal Highway Administration (FHWA) recognizes the threats that extreme weather, sea-level change, and other changes in environmental conditions pose to valuable transportation infrastructure and those who depend on it. FHWA therefore supports a sustainability approach that assesses vulnerability to climate risks and incorporates resilience into planning, asset management, project development and design, and operations and maintenance practices (FHWA 2019). This approach encourages planning for sustainable highways by addressing climate risks while balancing environmental, economic, and social values—"the triple bottom line of sustainability" (FHWA n.d.). Program and project elements that foster sustainability and resilience also support economic vitality and global efficiency, increase safety and mobility, enhance the environment, promote energy conservation, and improve the quality of life.

Various efforts have been promulgated at the federal level to improve fuel economy and energy efficiency to address climate change and its associated effects. The most important of these was the Energy Policy and Conservation Act of 1975 (42 USC Section 6201) and Corporate Average Fuel Economy (CAFE) Standards. This act establishes fuel economy standards for on-road motor vehicles sold in the United States. Compliance with federal fuel economy standards is determined through the CAFE program based on each manufacturer's average fuel economy for the portion of its vehicles produced for sale in the United States.

Energy Policy Act of 2005, 109th Congress H.R.6 (2005–2006): This act sets forth an energy research and development program covering: (1) energy efficiency; (2) renewable energy; (3) oil and gas; (4) coal; (5) the establishment of the Office of Indian Energy Policy and Programs within the Department of Energy; (6) nuclear matters and security; (7) vehicles and motor fuels, including ethanol; (8) hydrogen; (9) electricity; (10) energy tax incentives; (11) hydropower and geothermal energy; and (12) climate change technology.

The U.S. EPA in conjunction with the National Highway Traffic Safety Administration (NHTSA) is responsible for setting GHG emission standards for new cars and light-duty vehicles to significantly increase the fuel economy of all new passenger cars and light trucks sold in the United States. Fuel efficiency standards directly influence GHG emissions.

## **State**

California has been innovative and proactive in addressing GHG emissions and climate change by passing multiple Senate and Assembly bills and executive orders (EOs) including, but not limited to, the following:

EO S-3-05 (June 1, 2005): The goal of this EO is to reduce California's GHG emissions to: (1) year 2000 levels by 2010, (2) year 1990 levels by 2020, and (3) 80 percent below year 1990 levels by 2050. This goal was further reinforced with the passage of Assembly Bill (AB) 32 in 2006 and Senate Bill (SB) 32 in 2016.

Assembly Bill (AB) 32, Chapter 488, 2006, Núñez and Pavley, The Global Warming Solutions Act of 2006: AB 32 codified the 2020 GHG emissions reduction goals outlined in EO S-3-05, while further mandating that the California Air Resources Board (ARB) create a scoping plan and implement rules to achieve "real, quantifiable, cost-effective reductions of greenhouse gases." The Legislature also intended that the statewide GHG emissions limit continue in existence and be used to maintain and continue reductions in emissions of GHGs beyond 2020 (Health and Safety Code [H&SC] Section 38551(b)). The law requires ARB to adopt rules and regulations in an open public process to achieve the maximum technologically feasible and cost-effective GHG reductions.

EO S-01-07 (January 18, 2007): This order sets forth the low carbon fuel standard (LCFS) for California. Under this EO, the carbon intensity of California's transportation fuels is to be reduced by at least 10 percent by the year 2020. ARB re-adopted the LCFS regulation in September 2015, and the changes went into effect on January 1, 2016. The program establishes a strong framework to promote the low-carbon fuel adoption necessary to achieve the governor's 2030 and 2050 GHG reduction goals.

Senate Bill (SB) 375, Chapter 728, 2008, Sustainable Communities and Climate Protection: This bill requires ARB to set regional emissions reduction targets for passenger vehicles.

The Metropolitan Planning Organization (MPO) for each region must then develop a "Sustainable Communities Strategy" (SCS) that integrates transportation, land-use, and housing policies to plan how it will achieve the emissions target for its region.

SB 391, Chapter 585, 2009, California Transportation Plan: This bill requires the State's long-range transportation plan to identify strategies to address California's climate change goals under AB 32.

EO B-16-12 (March 2012) orders State entities under the direction of the Governor, including ARB, the California Energy Commission, and the Public Utilities Commission, to support the rapid commercialization of zero-emission vehicles. It directs these entities to achieve various benchmarks related to zero-emission vehicles.

EO B-30-15 (April 2015) establishes an interim statewide GHG emission reduction target of 40 percent below 1990 levels by 2030 to ensure California meets its target of reducing GHG emissions to 80 percent below 1990 levels by 2050. It further orders all state agencies with jurisdiction over sources of GHG emissions to implement measures, pursuant to statutory authority, to achieve reductions of GHG emissions to meet the 2030 and 2050 GHG emissions reductions targets. It also directs ARB to update the Climate Change Scoping Plan to express the 2030 target in terms of million metric tons of carbon dioxide equivalent (MMT $\text{CO}_2\text{e}$ ).<sup>5</sup> Finally, it requires the Natural Resources Agency to update the state's climate adaptation strategy, *Safeguarding California*, every 3 years, and to ensure that its provisions are fully implemented.

SB 32, Chapter 249, 2016, codifies the GHG reduction targets established in EO B-30-15 to achieve a mid-range goal of 40 percent below 1990 levels by 2030.

SB 1386, Chapter 545, 2016, declared "it to be the policy of the state that the protection and management of natural and working lands ... is an important strategy in meeting the state's greenhouse gas reduction goals, and would require all state agencies, departments, boards, and commissions to consider this policy when revising, adopting, or establishing policies, regulations, expenditures, or grant criteria relating to the protection and management of natural and working lands."

AB 134, Chapter 254, 2017, allocates Greenhouse Gas Reduction Funds and other sources to various clean vehicle programs, demonstration/pilot projects, clean vehicle rebates and projects, and other emissions-reduction programs statewide.

SB 743, Chapter 386 (September 2013): This bill changes the metric of consideration for transportation impacts pursuant to CEQA from a focus on automobile delay to alternative methods focused on vehicle miles travelled, to promote the state's goals of reducing greenhouse gas emissions and traffic related air pollution and promoting multimodal transportation while balancing the needs of congestion management and safety.

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<sup>5</sup> GHGs differ in how much heat each trap in the atmosphere (global warming potential, or GWP).  $\text{CO}_2$  is the most important GHG, so amounts of other gases are expressed relative to  $\text{CO}_2$ , using a metric called "carbon dioxide equivalent" ( $\text{CO}_2\text{e}$ ). The global warming potential of  $\text{CO}_2$  is assigned a value of 1, and the GWP of other gases is assessed as multiples of  $\text{CO}_2$ .

SB 150, Chapter 150, 2017, Regional Transportation Plans: This bill requires ARB to prepare a report that assesses progress made by each metropolitan planning organization in meeting their established regional greenhouse gas emission reduction targets.

EO B-55-18 (September 2018) sets a new statewide goal to achieve and maintain carbon neutrality no later than 2045. This goal is in addition to existing statewide targets of reducing GHG emissions.

EO N-19-19 (September 2019) advances California's climate goals in part by directing the California State Transportation Agency to leverage annual transportation spending to reverse the trend of increased fuel consumption and reduce GHG emissions from the transportation sector. It orders a focus on transportation investments near housing, managing congestion, and encouraging alternatives to driving. This EO also directs ARB to encourage automakers to produce more clean vehicles, formulate ways to help Californians purchase them, and propose strategies to increase demand for zero-emission vehicles.

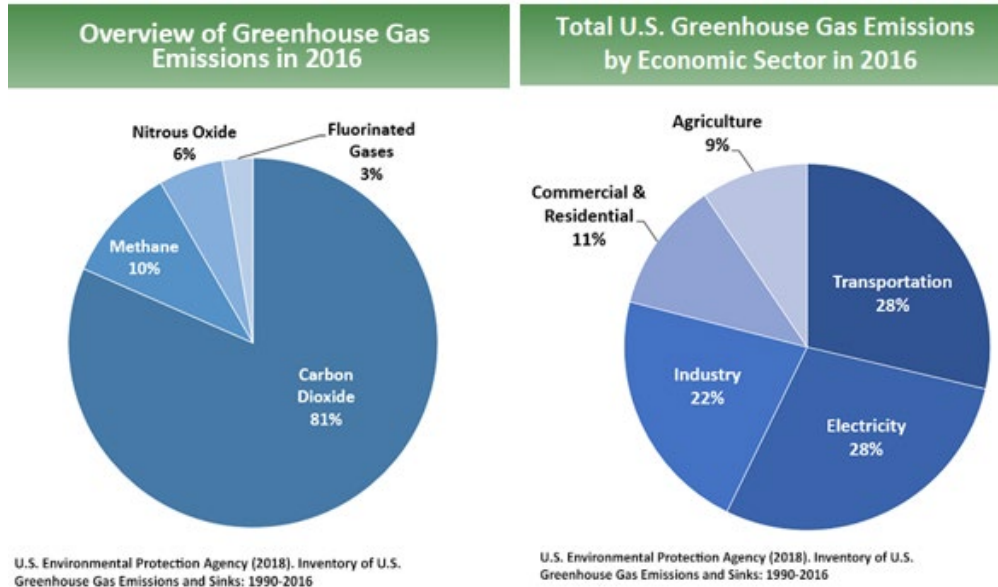
## **ENVIRONMENTAL SETTING**

The proposed project is in a rural area, with a primarily natural-resources based agricultural and tourism economy. SR-49 is the main transportation route to and through the area for both passenger and commercial vehicles. The nearest alternate route is I-80, 6 miles to the south. Traffic counts are low, and SR-49 is rarely congested; traffic delays are caused primarily by accidents. No railroad tracks run parallel or intersect the project limits. The Placer Regional Transportation Agency and the Sacramento Area Council of Governments (SACOG) guide in transportation development within the project area. The Placer County General Plan Circulation, Safety, and Traffic elements address GHGs in the project area.

A GHG emissions inventory estimates the amount of GHGs discharged into the atmosphere by specific sources over a period of time, such as a calendar year. Tracking annual GHG emissions allows countries, states, and smaller jurisdictions to understand how emissions are changing and what actions may be needed to attain emission reduction goals. U.S. EPA is responsible for documenting GHG emissions nationwide, and the ARB does so for the state, as required by H&SC Section 39607.4.

### **National GHG Inventory**

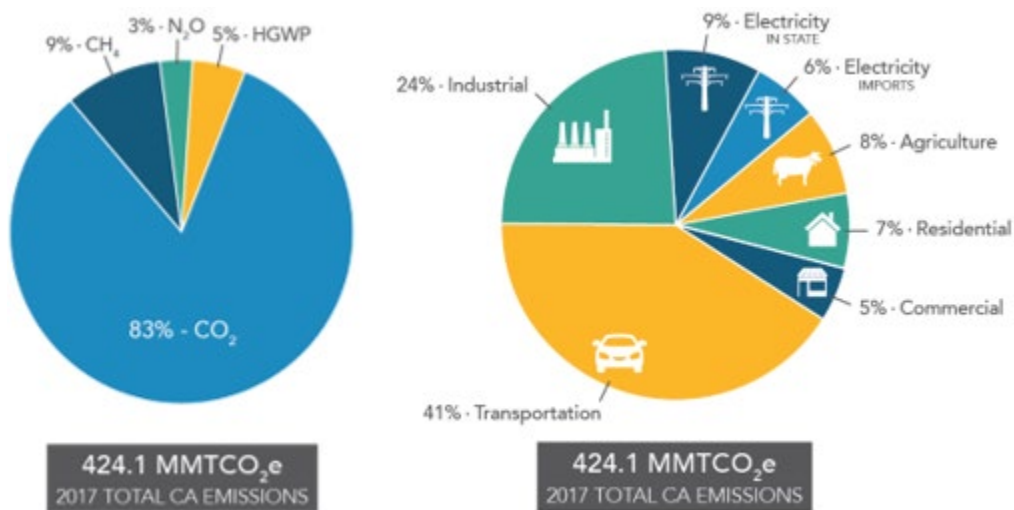
The U.S. EPA prepares a national GHG inventory every year and submits it to the United Nations in accordance with the Framework Convention on Climate Change. The inventory provides a comprehensive accounting of all human-produced sources of GHGs in the United States, reporting emissions of CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, HFCs, perfluorocarbons, SF<sub>6</sub>, and nitrogen trifluoride. It also accounts for emissions of CO<sub>2</sub> that are removed from the atmosphere by "sinks" such as forests, vegetation, and soils that uptake and store CO<sub>2</sub> (carbon sequestration). The 1990–2016 inventory found that of 6,511 MMTCO<sub>2e</sub> GHG emissions in 2016, 81% consist of CO<sub>2</sub>, 10% are CH<sub>4</sub>, and 6% are N<sub>2</sub>O; the balance consists of fluorinated gases (EPA 2018a). In 2016, GHG emissions from the transportation sector accounted for nearly 28.5% of U.S. GHG emissions.



**Figure 8. U.S. 2016 Greenhouse Gas Emissions**

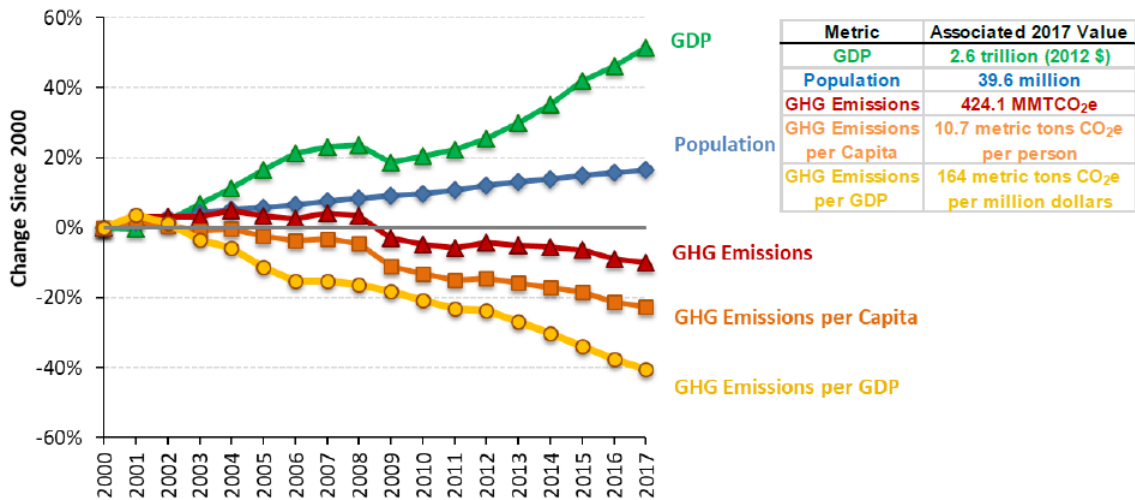
### State GHG Inventory

ARB collects GHG emissions data for transportation, electricity, commercial/residential, industrial, agricultural, and waste management sectors each year. It then summarizes and highlights major annual changes and trends to demonstrate the state’s progress in meeting its GHG reduction goals. The 2019 edition of the GHG emissions inventory found total California emissions of 424.1 MMTCO<sub>2</sub>e for 2017, with the transportation sector responsible for 41% of total GHGs. It also found that overall statewide GHG emissions declined from 2000 to 2017 despite growth in population and state economic output (ARB 2019a).



**Figure 9. California 2017 Greenhouse Gas Emissions**





**Figure 10. Change in California GDP, Population, and GHG Emissions since 2000**  
(Source: ARB 2019b)

AB 32 required ARB to develop a Scoping Plan that describes the approach California will take to achieve the goal of reducing GHG emissions to 1990 levels by 2020, and to update it every 5 years. ARB adopted the first scoping plan in 2008. The second updated plan, *California’s 2017 Climate Change Scoping Plan*, adopted on December 14, 2017, reflects the 2030 target established in EO B-30-15 and SB 32. The AB 32 Scoping Plan and the subsequent updates contain the main strategies California will use to reduce GHG emissions.

### Regional Plans

ARB sets regional targets for California’s 18 MPOs to use in their Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS) to plan future projects that will cumulatively achieve GHG reduction goals. Targets are set at a percent reduction of passenger vehicle GHG emissions per person from 2005 levels. The proposed project is included in Sacramento Area Council of Governments (SACOG)’s Metropolitan Transportation Improvement Program (MTIP) and the Metropolitan Transportation Plan/Sustainable Communities Strategy (MTP/SCS) which was adopted November 2019. The regional reduction target for SACOG are 7 percent by 2020 and 19 percent by 2035.

Placer County has its own Regional Transportation Planning Agency (RTPA) that is responsible for developing its own transportation plans. The Placer County Transportation Planning Agency’s (PCTPA) two most recent RTPs are incorporated into SACOG’s regional planning processes through the SACOG MTP.

The following SACOG MTP/SCS policies and supporting actions apply to the project:

POLICY 20: Prioritize cost effective safety improvements that will help the region eliminate fatal transportation related accidents.

POLICY 22: Invest in bicycle and pedestrian infrastructure to encourage healthy, active transportation trips and provide recreational opportunities for residents and visitors.

Placer County has adopted the Placer County Sustainability Plan (PCSP), A Greenhouse Gas Emission Reduction Plan and Adaptation Strategy. The PCSP sets emission reduction targets for community-wide emissions of 6.0 MTCO<sub>2e</sub> per person by 2030 and 2.0 MTCO<sub>2e</sub> per person by 2050. The PCSP identifies 67 local strategies to reduce community-wide emissions and 46 strategies to reduce government operations emissions. The following voluntary community-wide PCSP strategies are relevant to the project:

**Strategy T-5:** Partner with incorporated communities and regional agencies to develop bikeways and trails between communities.

**Action Item 2:** Implement the PCTPA's Placer County Regional Bikeway Plan in coordination with Placer County Transportation Planning Agency, Placer County Department of Public Works, and the TRPA's Linking Tahoe Active Transportation Plan.

**Action Item 7:** Implement pedestrian and bike safety infrastructure such as signage, traffic controls, and visible street paint.

The following County operations PCSP strategies are relevant to the project:

**Strategy GO E-5:** Upgrade streetlights and traffic signals to advanced energy efficient bulbs.

## PROJECT ANALYSIS

GHG emissions from transportation projects can be divided into those produced during operation of the SHS and those produced during construction. The primary GHGs produced by the transportation sector are CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, and HFCs. CO<sub>2</sub> emissions are a product of the combustion of petroleum-based products, like gasoline, in internal combustion engines. Relatively small amounts of CH<sub>4</sub> and N<sub>2</sub>O are emitted during fuel combustion. In addition, a small amount of HFC emissions are included in the transportation sector.

The CEQA Guidelines generally address greenhouse gas emissions as a cumulative impact due to the global nature of climate change (Pub. Resources Code, § 21083(b)(2)). As the California Supreme Court explained, "because of the global scale of climate change, any one project's contribution is unlikely to be significant by itself." (Cleveland National Forest Foundation v. San Diego Assn. of Governments (2017) 3 Cal.5th 497, 512.) In assessing cumulative impacts, it must be determined if a project's incremental effect is "cumulatively considerable" (CEQA Guidelines Sections 15064(h)(1) and 15130).

To make this determination, the incremental impacts of the project must be compared with the effects of past, current, and probable future projects. Although climate change is ultimately a cumulative impact, not every individual project that emits greenhouse gases must necessarily be found to contribute to a significant cumulative impact on the environment.

## Operational Emissions

The purpose of the proposed project is to improve safety and will not add through-lanes or increase the vehicle capacity of the roadway. Therefore, the operational emissions associated with the proposed project area under the future build alternatives would not be anticipated to increase in comparison with those under the baseline year.

## Construction Emissions

Construction GHG emissions would result from material processing, on-site construction equipment, and traffic delays due to construction. These emissions will be produced at different levels throughout the construction phase; their frequency and occurrence can be reduced through innovations in plans and specifications and by implementing better traffic management during construction phases.

In addition, with innovations such as longer pavement lives, improved traffic management plans, and changes in materials, the GHG emissions produced during construction can be offset to some degree by longer intervals between maintenance and rehabilitation activities.

Construction emissions were estimated using the latest Caltrans' Model, CAL-CET2020 (version 1.0.1). The emissions expected to result from construction are anticipated to occur during 2022 through 2024. Construction-related emissions for the proposed project are presented in Table 22 below. Alternative 2 would create the least construction emissions with 982 tons of CO<sub>2</sub>; Alternative 3 would create the most with 1,108 tons of CO<sub>2</sub>; and Alternative 1 would create 1,024 tons of CO<sub>2</sub>.

**Table 22. Construction Emissions to Roadways**

	Alternative. 1 CO <sub>2</sub> (tons)	Alternative. 2 CO <sub>2</sub> (tons)	Alternative. 3 CO <sub>2</sub> (tons)
Land Clearing/Grubbing	0	0	0
Roadway Excavation/Removal	242	230	263
Structural Excavation/Removal	0	0	0
Base/Subbase/ Imported Borrow	98	97	106
Structure Concrete	0	0	0
Paving	101	101	109
Drainage/Environment/Landscaping	2	2	2
Traffic Signalization/Signage/Striping/Painting	581	552	628
Other Operation	0	0	0
<b>Project Total (US tons)</b>	<b>1024</b>	<b>982</b>	<b>1108</b>

All construction contracts include Caltrans Standard Specifications Section 7-1.02A and 7-1.02C, Emissions Reduction, which require contractors to comply with all laws applicable to the project and to certify they are aware of and will comply with all ARB emission reduction regulations; and Section 14-9.02, Air Pollution Control, which requires contractors to comply with all air pollution control rules, regulations, ordinances, and statutes. Certain common regulations, such as equipment idling restrictions, that reduce construction vehicle emissions also help reduce GHG emissions.

## CEQA Conclusion

While the proposed project will result in GHG emissions during construction, it is anticipated that the project will not result in any increase in operational GHG emissions. The proposed project does not conflict with any applicable plan, policy, or regulation adopted for the purpose of reducing the emissions of greenhouse gases. With implementation of construction GHG-reduction measures, the impact would be less than significant.

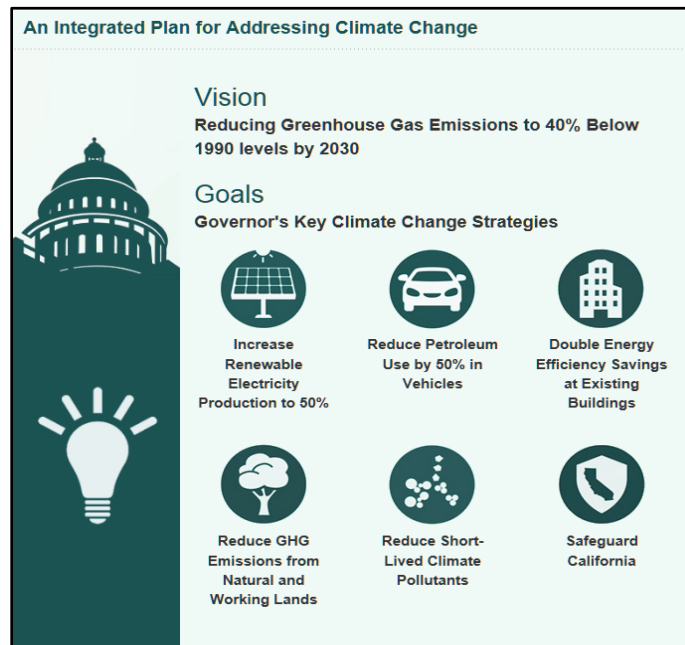
Caltrans is firmly committed to implementing measures to help reduce GHG emissions. These measures are outlined in the following section.

## GREENHOUSE GAS REDUCTION STRATEGIES

### Statewide Efforts

Major sectors of the California economy, including transportation, will need to reduce emissions to meet the 2030 and 2050 GHG emissions targets. Former Governor Edmund G. Brown promoted GHG reduction goals that involved (1) reducing today's petroleum use in cars and trucks by up to 50 percent; (2) increasing from one-third to 50 percent our electricity derived from renewable sources; (3) doubling the energy efficiency savings achieved at existing buildings and making heating fuels cleaner; (4) reducing the release of methane, black carbon, and other short-lived climate pollutants; (5) managing farms and rangelands, forests, and wetlands so they can store carbon; and (6) periodically updating the state's climate adaptation strategy, *Safeguarding California*.

Figure 11. California Climate Strategy



The transportation sector is integral to the people and economy of California. To achieve GHG emission reduction goals, it is vital that the state build on past successes in reducing criteria and toxic air pollutants from transportation and goods movement. GHG emission reductions will come from cleaner vehicle technologies, lower-carbon fuels, and reduction of vehicle miles traveled (VMT). A key state goal for reducing GHG emissions is to reduce today's petroleum use in cars and trucks by up to 50 percent by 2030 (State of California 2019).

In addition, SB 1386 (Wolk 2016) established as state policy the protection and management of natural and working lands and requires state agencies to consider that policy in their own decision making. Trees and vegetation on forests, rangelands, farms, and wetlands remove carbon dioxide from the atmosphere through biological processes and sequester the carbon in above- and below-ground matter.

### **Caltrans Activities**

Caltrans continues to be involved on the Governor's Climate Action Team as the ARB works to implement EOs S-3-05 and S-01-07 and help achieve the targets set forth in AB 32. EO B-30-15, issued in April 2015, and SB 32 (2016), set an interim target to cut GHG emissions to 40 percent below 1990 levels by 2030. The following major initiatives are underway at Caltrans to help meet these targets.

#### ***CALIFORNIA TRANSPORTATION PLAN (CTP 2040)***

The California Transportation Plan (CTP) is a statewide, long-range transportation plan to meet our future mobility needs and reduce GHG emissions. In 2016, Caltrans completed the *California Transportation Plan 2040*, which establishes a new model for developing ground transportation systems, consistent with CO<sub>2</sub> reduction goals. It serves as an umbrella document for all the other statewide transportation planning documents. Over the next 25 years, California will be working to improve transit and reduce long-run repair and maintenance costs of roadways and developing a comprehensive assessment of climate-related transportation demand management and new technologies rather than continuing to expand capacity on existing roadways.

SB 391 (Liu 2009) requires the CTP to meet California's climate change goals under AB 32. Accordingly, the CTP 2040 identifies the statewide transportation system needed to achieve maximum feasible GHG emission reductions while meeting the state's transportation needs. While MPOs have primary responsibility for identifying land use patterns to help reduce GHG emissions, CTP 2040 identifies additional strategies in Pricing, Transportation Alternatives, Mode Shift, and Operational Efficiency.

#### ***CALTRANS STRATEGIC MANAGEMENT PLAN***

The Strategic Management Plan, released in 2015, creates a performance-based framework to preserve the environment and reduce GHG emissions, among other goals. Specific performance targets in the plan that will help to reduce GHG emissions include:

- Increasing percentage of non-auto mode share
- Reducing VMT
- Reducing Caltrans' internal operational (buildings, facilities, and fuel) GHG emissions

## **FUNDING AND TECHNICAL ASSISTANCE PROGRAMS**

In addition to developing plans and performance targets to reduce GHG emissions, Caltrans also administers several sustainable transportation planning grants. These grants encourage local and regional multimodal transportation, housing, and land use planning that furthers the region's RTP/SCS; contribute to the State's GHG reduction targets and advance transportation-related GHG emission reduction project types/strategies; and support other climate adaptation goals (e.g., *Safeguarding California*).

## **CALTRANS POLICY DIRECTIVES AND OTHER INITIATIVES**

Caltrans Director's Policy 30 (DP-30) Climate Change (June 22, 2012) is intended to establish a Department policy that will ensure coordinated efforts to incorporate climate change into Departmental decisions and activities. *Caltrans Activities to Address Climate Change* (April 2013) provides a comprehensive overview of Caltrans' statewide activities to reduce GHG emissions resulting from agency operations.

## **Project-Level GHG Reduction Strategies**

The following measures will also be implemented in the project to reduce GHG emissions and potential climate change impacts from the project.

Please note that although these measures are anticipated to reduce construction-related emissions, these reductions cannot be quantified at this time.

- The construction contractor must comply with the Caltrans' Standard Specifications in Section 14-9 (2018). - Section 14-9-02 specifically requires compliance by the contractor with all applicable laws and regulations related to air quality, including air pollution control district and air quality management district regulations and local ordinances.
- Construction equipment and vehicles will be properly tuned and maintained. All construction equipment will use low sulfur fuel as required by CA Code of Regulations Title 17, Section 93114.
- Crosswalks, signals, and bike ramps would improve bike and pedestrian travel at intersections to support non-motorized transportation.

## **ADAPTATION**

Reducing GHG emissions is only one part of an approach to addressing climate change. Caltrans must plan for the effects of climate change on the state's transportation infrastructure and strengthen or protect the facilities from damage. Climate change is expected to produce increased variability in precipitation, rising temperatures, rising sea levels, variability in storm surges and their intensity, and in the frequency and intensity of wildfires. Flooding and erosion can damage or wash out roads; longer periods of intense heat can buckle pavement and railroad tracks; storm surges combined with a rising sea level can inundate highways. Wildfire can directly burn facilities and indirectly cause damage when rain falls on denuded slopes that landslide after a fire. Effects will vary by location and may, in the most extreme cases, require that a facility be relocated or redesigned.

Accordingly, Caltrans must consider these types of climate stressors in how highways are planned, designed, built, operated, and maintained.

### **Federal Efforts**

Under NEPA assignment, Caltrans is obligated to comply with all applicable federal environmental laws and FHWA NEPA regulations, policies, and guidance.

The U.S. Global Change Research Program (USGCRP) delivers a report to Congress and the president every 4 years, in accordance with the Global Change Research Act of 1990 (15 U.S.C. ch. 56A § 2921 et seq). The *Fourth National Climate Assessment*, published in 2018, presents the foundational science and the “human welfare, societal, and environmental elements of climate change and variability for 10 regions and 18 national topics, with particular attention paid to observed and projected risks, impacts, consideration of risk reduction, and implications under different mitigation pathways.” Chapter 12, “Transportation,” presents a key discussion of vulnerability assessments. It notes that “asset owners and operators have increasingly conducted more focused studies of particular assets that consider multiple climate hazards and scenarios in the context of asset-specific information, such as design lifetime” (USGCRP 2018).

The U.S. DOT Policy Statement on Climate Adaptation in June 2011 committed the federal Department of Transportation to “integrate consideration of climate change impacts and adaptation into the planning, operations, policies, and programs of DOT in order to ensure that taxpayer resources are invested wisely, and that transportation infrastructure, services and operations remain effective in current and future climate conditions” (U.S. DOT 2011).

FHWA order 5520 (*Transportation System Preparedness and Resilience to Climate Change and Extreme Weather Events*, December 15, 2014) established FHWA policy to strive to identify the risks of climate change and extreme weather events to current and planned transportation systems. FHWA has developed guidance and tools for transportation planning that foster resilience to climate effects and sustainability at the federal, state, and local levels (FHWA 2019).

### **State Efforts**

Climate change adaptation for transportation infrastructure involves long-term planning and risk management to address vulnerabilities in the transportation system. *California’s Fourth Climate Change Assessment* (2018) is the state’s effort to “translate the state of climate science into useful information for action” in a variety of sectors at both statewide and local scales. It adopts the following key terms used widely in climate change analysis and policy documents:

- *Adaptation* to climate change refers to adjustment in natural or human systems in response to actual or expected climatic stimuli or their effects, which moderates harm or exploits beneficial opportunities.
- *Adaptive capacity* is the “combination of the strengths, attributes, and resources available to an individual, community, society, or organization that can be used to prepare for and undertake actions to reduce adverse impacts, moderate harm, or exploit beneficial opportunities.”

- *Exposure* is the presence of people, infrastructure, natural systems, and economic, cultural, and social resources in areas that are subject to harm.
- *Resilience* is the “capacity of any entity – an individual, a community, an organization, or a natural system – to prepare for disruptions, to recover from shocks and stresses, and to adapt and grow from a disruptive experience”. Adaptation actions contribute to increasing resilience, which is a desired outcome or state of being.
- *Sensitivity* is the level to which a species, natural system, or community, government, etc., would be affected by changing climate conditions.
- *Vulnerability* is the “susceptibility to harm from exposure to stresses associated with environmental and social change and from the absence of capacity to adapt.” Vulnerability can increase because of physical (built and environmental), social, political, and/or economic factor(s). These factors include, but are not limited to: ethnicity, class, sexual orientation and identification, national origin, and income inequality. Vulnerability is often defined as the combination of sensitivity and adaptive capacity as affected by the level of exposure to changing climate.

Several key state policies have guided climate change adaptation efforts to date. Recent state publications produced in response to these policies draw on these definitions.

EO S-13-08, issued by then-governor Arnold Schwarzenegger in November 2008, focused on sea-level rise and resulted in the *California Climate Adaptation Strategy* (2009), updated in 2014 as *Safeguarding California: Reducing Climate Risk* (Safeguarding California Plan). The Safeguarding California Plan offers policy principles and recommendations and continues to be revised and augmented with sector-specific adaptation strategies, ongoing actions, and next steps for agencies.

EO S-13-08 also led to the publication of a series of sea-level rise assessment reports and associated guidance and policies. These reports formed the foundation of an interim *State of California Sea-Level Rise Interim Guidance Document* (SLR Guidance) in 2010, with instructions for how state agencies could incorporate “sea-level rise (SLR) projections into planning and decision making for projects in California” in a consistent way across agencies. The guidance was revised and augmented in 2013. *Rising Seas in California – An Update on Sea-Level Rise Science* was published in 2017 and its updated projections of sea-level rise and new understanding of processes and potential impacts in California were incorporated into the *State of California Sea-Level Rise Guidance Update* in 2018.

EO B-30-15, signed in April 2015, requires state agencies to factor climate change into all planning and investment decisions. This EO recognizes that effects of climate change other than sea-level rise also threaten California’s infrastructure. At the direction of EO B-30-15, the Office of Planning and Research published *Planning and Investing for a Resilient California: A Guidebook for State Agencies* in 2017, to encourage a uniform and systematic approach. Representatives of Caltrans participated in the multi-agency, multidisciplinary technical advisory group that developed this guidance on how to integrate climate change into planning and investment.

AB 2800 (Quirk 2016) created the multidisciplinary Climate-Safe Infrastructure Working Group, which in 2018 released its report, *Paying it Forward: The Path Toward Climate-Safe Infrastructure in California*. The report provides guidance to agencies on how to address the challenges of assessing risk in the face of inherent uncertainties still posed by the best



available science on climate change. It also examines how state agencies can use infrastructure planning, design, and implementation processes to address the observed and anticipated climate change impacts.

## **Caltrans Adaptation Efforts**

### ***CALTRANS VULNERABILITY ASSESSMENTS***

Caltrans is conducting climate change vulnerability assessments to identify segments of the State Highway System vulnerable to climate change effects including precipitation, temperature, wildfire, storm surge, and sea-level rise. The approach to the vulnerability assessments was tailored to the practices of a transportation agency, and involves the following concepts and actions:

- *Exposure* – Identify Caltrans assets exposed to damage or reduced service life from expected future conditions.
- *Consequence* – Determine what might occur to system assets in terms of loss of use or costs of repair.
- *Prioritization* – Develop a method for making capital programming decisions to address identified risks, including considerations of system use and/or timing of expected exposure.

The climate change data in the assessments were developed in coordination with climate change scientists and experts at federal, state, and regional organizations at the forefront of climate science. The findings of the vulnerability assessments will guide analysis of at-risk assets and development of adaptation plans to reduce the likelihood of damage to the State Highway System, allowing Caltrans to both reduce the costs of storm damage and to provide and maintain transportation that meets the needs of all Californians.

## **Project Adaptation Analysis**

### ***SEA-LEVEL RISE***

The proposed project is outside the coastal zone and not in an area subject to sea-level rise. Accordingly, direct impacts to transportation facilities due to projected sea-level rise are not expected.

### ***FLOODPLAINS***

Precipitation can affect transportation assets in a variety of ways, such as inundation, washouts, or structural damage from heavy rain. Climate change is expected to bring fewer but more intense rainfall events in California. To help understand future flood risks to California infrastructure, Caltrans analyzed changes in 100-year storm precipitation depth, which is one of the design criteria considered in bridge and culvert design. The vulnerability assessments for each district mapped these changes for 2025, 2055, and 2085 for a high-emissions scenario. The District 3 Climate Vulnerability Assessment maps show the project location could experience up to 9.9% increase in 100-year storm precipitation depth through 2085 (Caltrans 2019).

The project's location hydraulics study concluded that the proposed project would partially encroach on the 100-year floodplain of the North Fork Dry Creek (also known as Orr Creek).

Building the project would increase the amount of impervious surface area, which would increase the amount of runoff water. Post-construction stormwater treatment controls would address both the decrease in infiltration to groundwater that seeps into surface waters and the runoff from impervious surfaces that discharges into nearby waters. Treatment controls would include types that infiltrate, harvest, reuse, and allow the evapotranspiration of stormwater runoff. Accordingly, it is not anticipated that the amount of runoff water created would exceed the capacities of the planned stormwater system.

### ***WILDFIRE***

The District 3 Climate Change Vulnerability Assessment mapping of roadways exposed to wildfire concern shows that SR-49 in the project area is considered exposed roadway in an area with a high level of concern for wildfire. CalFire's Fire Hazard Severity Zone mapping tool (<https://egis.fire.ca.gov/FHSZ/>) shows the project traverses moderate fire hazard severity zones. The project area is within the State Responsibility Area for wildfire, the project is not anticipated to exacerbate the impacts of wildfires intensified by climate change for the following reasons:

- The addition of wider shoulders, and median would increase the width of the road as a firebreak and provide additional areas for emergency response vehicle staging.
- The project would be constructed on the existing alignment, with no new infrastructure development proposed.
- Implementation of Caltrans 2018 revised Standard Specification 7-1.02M(2) during construction, mandating fire prevention procedures including a fire prevention plan, will avoid accidental fire starts during construction.

## Chapter 4 – Comments and Coordination

Early and continuing coordination with the general public and public agencies is an essential part of the environmental process. It helps planners determine the necessary scope of environmental documentation and the level of analysis required, and to identify potential impacts and avoidance, minimization, and/or mitigation measures and related environmental requirements. Agency and tribal consultation and public participation for this project have been accomplished through a variety of formal and informal methods, including interagency coordination meetings, public meetings, public notices, Project Development Team (PDT) meetings, and Project Development Focus meetings. This chapter summarizes the results of the Department's efforts to fully identify, address, and resolve project-related issues through early and continuing coordination.

### 4.1 Tribal Consultation

Initial consultation occurred in October 2019 with a request sent to the Native American Heritage Commission (NAHC) for a Sacred Lands search and list of tribal contacts. A letter was received November 1, 2019, from the NAHC stating that the search was positive for sacred lands and to contact United Auburn Indian Community (UAIC). Consultation with UAIC did not result in any sacred lands being within the project area. The NAHC also provided a list of tribal contacts including UAIC, Tsi akim Maidu, Shingle Springs Band of Miwok Indians, and the Colfax-Todds Valley Consolidated Tribe.

Initial consultation letters were sent to three different tribes on November 14, 2019. Responses were received from three of the tribes: UAIC, Shingle Springs and the Colfax-Todds. The Tsi Akim Maidu did not respond. A field review with representatives from UAIC was held on March 10, 2020, and another field review with a representative from the Colfax-Todds was held on September 3, 2020.

### 4.2 Public Coordination

#### Community Interaction

A Public Open House was held on February 20, 2019, at the DeWitt Center, Placer County. The PDT has reviewed the comments and has taken them in consideration in developing this project.

#### Public Meeting

The draft Initial Study/ Environmental Assessment will be available for public review at the Caltrans District 3 office in Marysville. A digital copy can be requested from the contacts below.

A virtual public meeting is planned for May 26, 2021 at 6:00 PM to garner input from the public who are interested in Caltrans' project. The virtual public meeting information with details about WebEx will be posted on the project website at: <https://dot.ca.gov/caltrans-near-me/district-3/d3-projects/d3-sr-49-safety-barrier>

For those preferring to participate using a landline, a phone bridge will also be available:  
1-888-570-6350 Phone Number  
4170217 . . . . . Participant Code

Public comments will be accepted at the public meeting and until close of business (5:00 PM) on **June 17, 2021**, via e-mail at: [hwy49safetybarrier@dot.ca.gov](mailto:hwy49safetybarrier@dot.ca.gov) and in writing at the Caltrans District 3 office at:

California Department of Transportation, District 3  
Attention: Sandeep Sandhu  
703 B Street  
Marysville, CA 95901

Comments can also be submitted via the project website below:  
<https://deavpm.wixsite.com/pla49sb>

All written and email comments received by the deadline will be reviewed by the Caltrans project development team and considered prior to project approval or abandonment. Comments posted on social media or other platforms will not be considered.

## Chapter 5 – List of Preparers

The following Caltrans District 3 staff contributed to the preparation of this Environmental Impact Report.

**Sandeep Sandhu**, Associate Environmental Planner. Contribution: Environmental Coordinator and Document Writer

**Mike Bartlett**, District-3 Office Chief. Contribution: Document Review

**Kelly McNally**, Environmental Branch Chief. Contribution: Document review

**Kelli Angell**, Associate Environmental Planner. (Natural Sciences) Contribution: Project Biologist, Natural Environmental Study (NES)

**William Larson**, Associate Environmental Planner (Archaeology). Contribution: Archaeological Survey Report (ASR), Historic Properties Survey Report (HPSR)

**Lisa Bright**, District Native American Coordinator. Contribution: Native American Consultation.

**Sonia Miller**, Associate Environmental Planner (Architectural History). Contribution: Historic Resource Evaluation Report (HRER)

**Mark Melani**, Transportation Engineer. Contribution: Initial Site Assessment

**Saeid Zandian-Jazi**, Transportation Engineer. Contribution: Noise Study.

**Sean Cross**, NPDES Coordinator. Contribution: Water Quality Assessment

**Youngil Cho**, Transportation Engineer. Contribution: Air Quality Study and Energy Analysis

**Marta Martinez**, Associate Environmental Coordinator. Contribution: Community Impact Analysis

**Clark Townsend**, Hydraulics Engineer. Contribution: Floodplain Study

**Jonathan Sampson**, Landscape Architect. Contribution: Visual Impact Assessment

**Samual Vandell**, Transportation Engineer. Contribution: Project Manager

**Cirilo Salilcan**, Transportation Engineer. Contribution: Project Design Senior

**Cameron Haymore**, Transportation Engineer. Contribution: Project Design

**Bradley Bowers**, Associate Environmental Planner. Contribution: Paleontological Evaluation Report

**Brenda Powell-Jones**, Senior Environmental Planner. Contribution: Climate Change Policy Advisor, GHG Reviewer.

## **Chapter 6 – Distribution List**

The State Clearinghouse distributed copies of this document to reviewing agencies. In addition, copies were sent to:

Caltrans District 3 Office

Auburn Library

Grass Valley Library

Madelyn Helling Library

Nevada County Offices (Main Lobby)

## APPENDICES

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## **Appendix A. Section 4(f)**

### **Resources Evaluated Relative to the Requirements of Section 4(f): No-Use Determination(s)**

Section 4(f) of the Department of Transportation Act of 1966, codified in federal law at 49 United States Code (USC) 303, declares that “it is the policy of the United States Government that special effort should be made to preserve the natural beauty of the countryside and public park and recreation lands, wildlife and waterfowl refuges, and historic sites.”

This section of the document discusses parks, recreational facilities, wildlife refuges, and historic properties found within or next to the project area that do not trigger Section 4(f) protection because: 1) they are not publicly owned, 2) they are not open to the public, 3) they are not eligible historic properties, or 4) the project does not permanently use the property and does not hinder the preservation of the property.

The property is a Section 4(f) property, but no “use” will occur. Therefore, the provisions of Section 4(f) do not apply.



# Appendix B. Title VI Policy Statement

## DEPARTMENT OF TRANSPORTATION

OFFICE OF THE DIRECTOR  
P.O. BOX 942873, MS-49  
SACRAMENTO, CA 94273-0001  
PHONE (916) 654-6130  
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www.dot.ca.gov



Making Conservation  
a California Way of Life.

November 2019

### NON-DISCRIMINATION POLICY STATEMENT

The California Department of Transportation, under Title VI of the Civil Rights Act of 1964, ensures *"No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance."*

Related federal statutes, remedies, and state law further those protections to include sex, disability, religion, sexual orientation, and age.

For information or guidance on how to file a complaint, or obtain more information regarding Title VI, please contact the Title VI Branch Manager at (916) 324-8379 or visit the following web page:  
<https://dot.ca.gov/programs/business-and-economic-opportunity/title-vi>.

To obtain this information in an alternate format such as Braille or in a language other than English, please contact the California Department of Transportation, Office of Business and Economic Opportunity, at 1823 14<sup>th</sup> Street, MS-79, Sacramento, CA 95811; (916) 324-8379 (TTY 711); or at [Title.VI@dot.ca.gov](mailto:Title.VI@dot.ca.gov).

A handwritten signature in blue ink, appearing to read "Toks Omishakin".

Toks Omishakin  
Director

*"Provide a safe, sustainable, integrated and efficient transportation system to enhance California's economy and livability"*

## Appendix C. California Department of Transportation Relocation Assistance Program

### RELOCATION ASSISTANCE ADVISORY SERVICES

#### *DECLARATION OF POLICY*

“The purpose of this title is to establish a ***uniform policy for fair and equitable treatment*** of persons displaced as a result of federal and federally assisted programs in order that such persons ***shall not suffer disproportionate injuries*** as a result of programs designed for the benefit of the public as a whole.”

The Fifth Amendment to the U.S. Constitution states, “No Person shall...be deprived of life, liberty, or property, without due process of law, nor shall private property be taken for public use without just compensation.” The Uniform Act sets forth in statute the due process that must be followed in Real Property acquisitions involving federal funds. Supplementing the Uniform Act is the government-wide single rule for all agencies to follow, set forth in 49 Code of Federal Regulations (CFR) Part 24. Displaced individuals, families, businesses, farms, and nonprofit organizations may be eligible for relocation advisory services and financial benefits, as discussed below.

#### *FAIR HOUSING*

The Fair Housing Law (Title VIII of the Civil Rights Act of 1968) sets forth the policy of the United States to provide, within constitutional limitations, for fair housing. This act, and as amended, makes discriminatory practices in the purchase and rental of most residential units illegal. Whenever possible, minority persons shall be given reasonable opportunities to relocate to any available housing regardless of neighborhood, as long as the replacement dwellings are decent, safe, and sanitary and are within their financial means. This policy, however, does not require the Department to provide a person a larger payment than is necessary to enable a person to relocate to a comparable replacement dwelling.

Any persons to be displaced will be assigned to a relocation advisor, who will work closely with each displacee in order to see that all payments and benefits are fully utilized and that all regulations are observed, thereby avoiding the possibility of displacees jeopardizing or forfeiting any of their benefits or payments. At the time of the initiation of negotiations (usually the first written offer to purchase), owner-occupants are given a detailed explanation of the state’s relocation services. Tenant occupants of properties to be acquired are contacted soon after the initiation of negotiations and also are given a detailed explanation of the Caltrans Relocation Assistance Program. To avoid loss of possible benefits, no individual, family, business, farm, or nonprofit organization should commit to purchase or rent a replacement property without first contacting a Department relocation advisor.

#### *RELOCATION ASSISTANCE ADVISORY SERVICES*

In accordance with the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, the Department will provide relocation advisory assistance to any person, business, farm, or nonprofit organization displaced as a result of the acquisition of real property for public use, so long as they are legally present in the United States. The Department will assist eligible displacees in obtaining comparable replacement housing by providing current and continuing information on the availability and prices of both houses for

sale and rental units that are “decent, safe, and sanitary.” Nonresidential displacees will receive information on comparable properties for lease or purchase (for business, farm, and nonprofit organization relocation services, see below).

Residential replacement dwellings will be in a location generally not less desirable than the displacement neighborhood at prices or rents within the financial ability of the individuals and families displaced, and reasonably accessible to their places of employment. Before any displacement occurs, comparable replacement dwellings will be offered to displacees that are open to all persons regardless of race, color, religion, sex, national origin, and consistent with the requirements of Title VIII of the Civil Rights Act of 1968. This assistance will also include the supplying of information concerning federal and state assisted housing programs and any other known services being offered by public and private agencies in the area.

Persons who are eligible for relocation payments and who are legally occupying the property required for the project will not be asked to move without first being given at least 90 days written notice. Residential occupants eligible for relocation payment(s) will not be required to move unless at least one comparable “decent, safe, and sanitary” replacement dwelling, available on the market, is offered to them by the Department.

### ***RESIDENTIAL RELOCATION FINANCIAL BENEFITS***

The Relocation Assistance Program will help eligible residential occupants by paying certain costs and expenses. These costs are limited to those necessary for or incidental to the purchase or rental of a replacement dwelling and actual reasonable moving expenses to a new location within 50 miles of the displacement property. Any actual moving costs in excess of the 50 miles are the responsibility of the displacee. The Residential Relocation Assistance Program can be summarized as follows:

#### ***Moving Costs***

Any displaced person, who lawfully occupied the acquired property, regardless of the length of occupancy in the property acquired, will be eligible for reimbursement of moving costs. Displacees will receive either the actual reasonable costs involved in moving themselves and personal property up to a maximum of 50 miles, or a fixed payment based on a fixed moving cost schedule. Lawful occupants who move into the displacement property after the initiation of negotiations must wait until the Department obtains control of the property in order to be eligible for relocation payments.

#### ***Purchase Differential***

In addition to moving and related expense payments, fully eligible homeowners may be entitled to payments for increased costs of replacement housing.

Homeowners who have owned and occupied their property for 90 days or more prior to the date of the initiation of negotiations (usually the first written offer to purchase the property), may qualify to receive a price differential payment and may qualify to receive reimbursement for certain nonrecurring costs incidental to the purchase of the replacement property. An interest differential payment is also available if the interest rate for the loan on the replacement dwelling is higher than the loan rate on the displacement dwelling, subject to certain limitations on reimbursement based upon the replacement property interest rate.

#### ***Rent Differential***

Tenants and certain owner-occupants (based on length of ownership) who have occupied the property to be acquired by the Department prior to the date of the initiation of negotiations may

qualify to receive a rent differential payment. This payment is made when the Department determines that the cost to rent a comparable “decent, safe, and sanitary” replacement dwelling will be more than the present rent of the displacement dwelling. As an alternative, the tenant may qualify for a down payment benefit designed to assist in the purchase of a replacement property and the payment of certain costs incidental to the purchase, subject to certain limitations noted under the *Down Payment* section below. To receive any relocation benefits, the displaced person must buy or rent and occupy a “decent, safe and sanitary” replacement dwelling within one year from the date the Department takes legal possession of the property, or from the date the displacee vacates the displacement property, whichever is later.

#### *Down Payment*

The down payment option has been designed to aid owner-occupants of less than 90 days and tenants in legal occupancy prior to the Department’s initiation of negotiations. The one-year eligibility period in which to purchase and occupy a “decent, safe and sanitary” replacement dwelling will apply.

#### *Last Resort Housing*

Federal regulations (49 CFR 24) contain the policy and procedure for implementing the Last Resort Housing Program on Federal-aid projects. Last Resort Housing benefits are, except for the amounts of payments and the methods in making them, the same as those benefits for standard residential relocation as explained above. Last Resort Housing has been designed primarily to cover situations where a displacee cannot be relocated because of lack of available comparable replacement housing, or when the anticipated replacement housing payments exceed the limits of the standard relocation procedure, because either the displacee lacks the financial ability or other valid circumstances.

After the initiation of negotiations, the Department will within a reasonable length of time, personally contact the displacees to gather important information, including the following:

- Number of people to be displaced.
- Specific arrangements needed to accommodate any family member(s) with special needs.
- Financial ability to relocate into comparable replacement dwelling which will adequately house all members of the family.
- Preferences in area of relocation.
- Location of employment or school.

### **NONRESIDENTIAL RELOCATION ASSISTANCE**

The Nonresidential Relocation Assistance Program provides assistance to businesses, farms and nonprofit organizations in locating suitable replacement property, and reimbursement for certain costs involved in relocation. The Relocation Advisory Assistance Program will provide current lists of properties offered for sale or rent, suitable for a particular business’s specific relocation needs. The types of payments available to eligible businesses, farms, and nonprofit organizations are: searching and moving expenses, and possibly reestablishment expenses; or a fixed in lieu payment instead of any moving, searching and reestablishment expenses. The payment types can be summarized as follows:

#### *Moving Expenses*

Moving expenses may include the following actual, reasonable costs:

- The moving of inventory, machinery, equipment and similar business-related property, including: dismantling, disconnecting, crating, packing, loading, insuring, transporting, unloading, unpacking, and reconnecting of personal property. Items identified as real property may not be moved under the Relocation Assistance Program. If the displacee buys an Item Pertaining to the Realty back at salvage value, the cost to move that item is borne by the displacee.
- Loss of tangible personal property provides payment for actual, direct loss of personal property that the owner is permitted not to move.
- Expenses related to searching for a new business site, up to \$2,500, for reasonable expenses actually incurred.

#### *Reestablishment Expenses*

Reestablishment expenses related to the operation of the business at the new location, up to \$25,000 for reasonable expenses actually incurred.

#### *Fixed In Lieu Payment*

A fixed payment in lieu of moving, searching, and reestablishment payments may be available to businesses that meet certain eligibility requirements. This payment is an amount equal to half the average annual net earnings for the last two taxable years prior to the relocation and may not be less than \$1,000 nor more than \$40,000.

### **ADDITIONAL INFORMATION**

Reimbursement for moving costs and replacement housing payments are not considered income for the purpose of the Internal Revenue Code of 1954, or for the purpose of determining the extent of eligibility of a displacee for assistance under the Social Security Act, or any other law, except for any federal law providing local "Section 8" Housing Programs.

Any person, business, farm or nonprofit organization that has been refused a relocation payment by the Department relocation advisor or believes that the payment(s) offered by the agency are inadequate may appeal for a special hearing of the complaint. No legal assistance is required. Information about the appeal procedure is available from the relocation advisor.

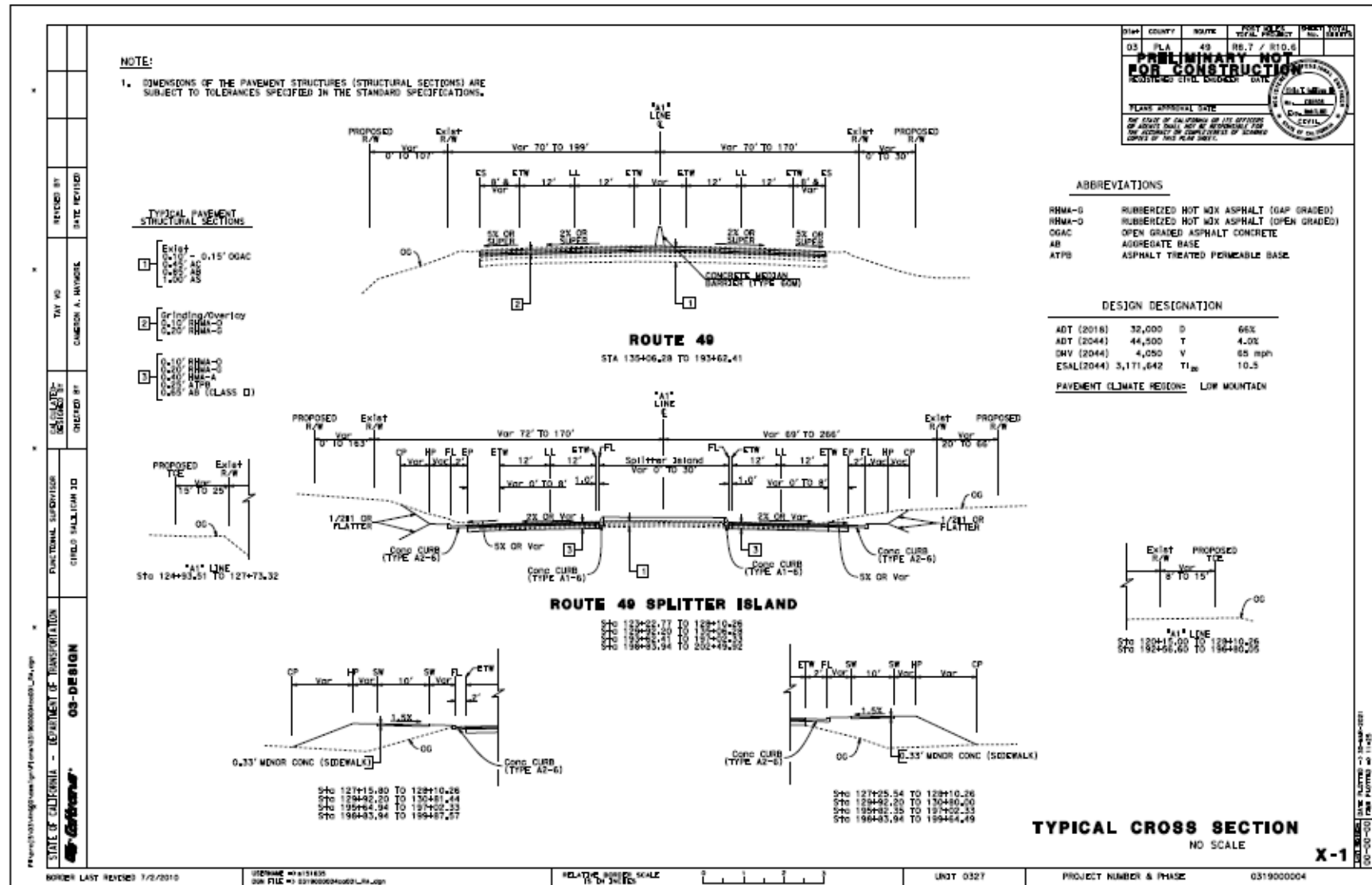
California law allows for the payment for lost goodwill that arises from the displacement for a public project. A list of ineligible expenses can be obtained from the Department's Division of Right of Way and Land Surveys. California's law and the federal regulations covering relocation assistance provide that no payment shall be duplicated by other payments being made by the displacing agency.

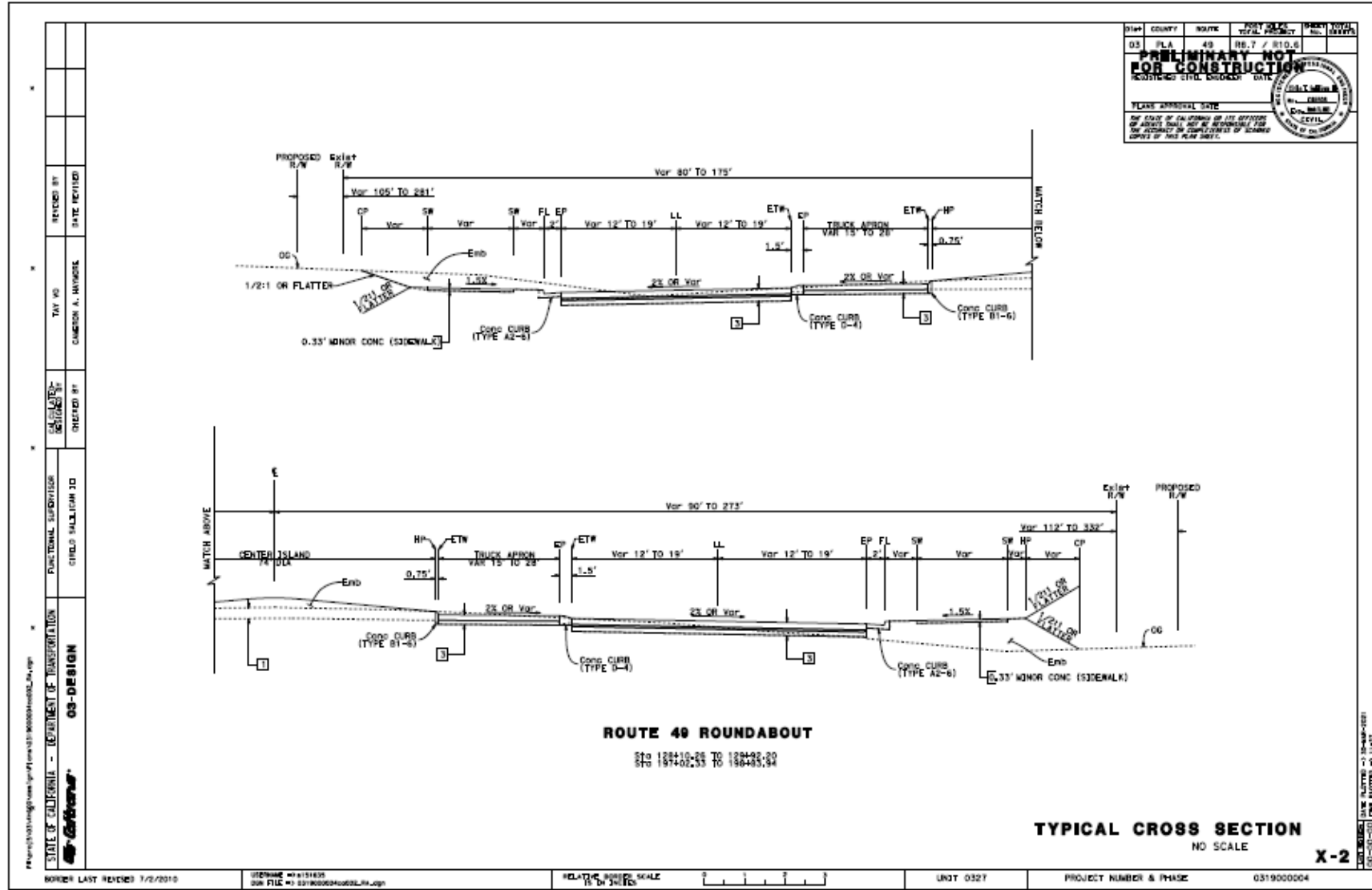
More information regarding Caltrans' Division of Right of Way's Relocation Assistance Program can be found at:

<https://dot.ca.gov/programs/right-of-way/relocation-assistance-program>

# Appendix D. Alternative Layouts

## Alternative 1





DATE: 12/15/10  
DRAWN BY: J. H. HARRIS  
CHECKED BY: J. H. HARRIS

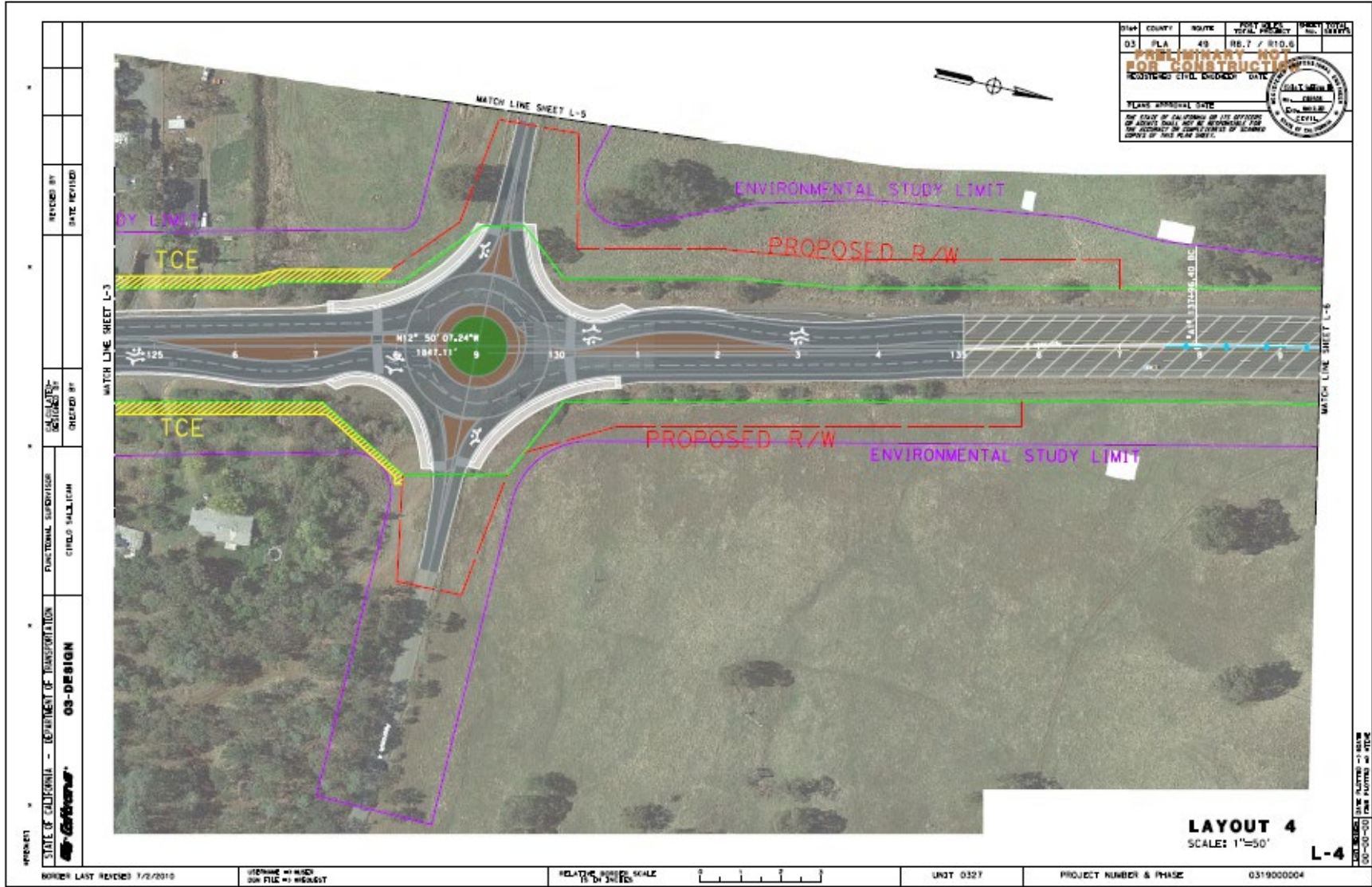
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DIST	COUNTY	ROUTE	POST MILE TOTAL PROJECT	TRUCK STOP NO.	TRUCK STOP
03	PLA	49	RB. 7 / R10.6		

**FOR CONTRACTORS**

REGISTERED CIVIL ENGINEER DATE: \_\_\_\_\_

PLANS APPROVAL DATE: \_\_\_\_\_

THE STATE OF CALIFORNIA AND ITS OFFICERS OR AGENTS SHALL NOT BE RESPONSIBLE FOR THE ACCURACY OR COMPLETENESS OF SCENES OR RECORDS IN CONNECTION WITH ANY COPY OF THIS PLAN SHEET.

STATE OF CALIFORNIA  
 REGISTERED CIVIL ENGINEER  
 DATE: \_\_\_\_\_  
 CIVIL

DESIGNED BY	CHECKED BY	DATE

FUNCTIONAL SUPERVISION: CIVIL ENGINEER

03-DESIGN

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION

CONTRACT NO. \_\_\_\_\_

PROJECT NO. \_\_\_\_\_

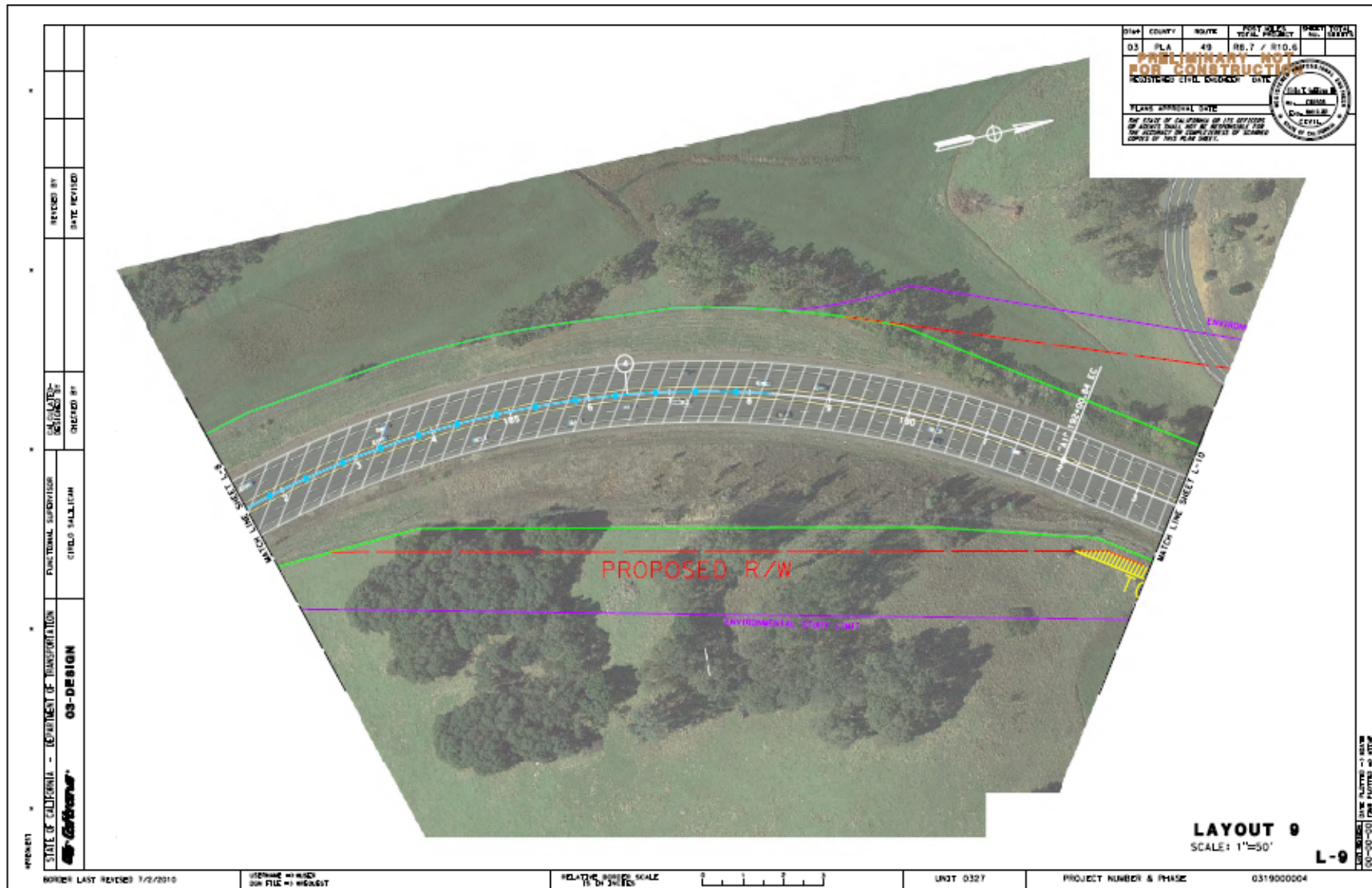
DATE: \_\_\_\_\_

**LAYOUT 7**  
 SCALE: 1"=50'  
**L-7**



UNIT: 0327 PROJECT NUMBER & PHASE: 0319000004





DIST	COUNTY	ROUTE	POST MILE TOTAL PROJECT	SHEET NO.	TOTAL SHEETS
03	PLA	49	88.7 / 810.6		

**PRELIMINARY NOT FOR CONSTRUCTION**

REGISTERED CIVIL ENGINEER DATE: \_\_\_\_\_

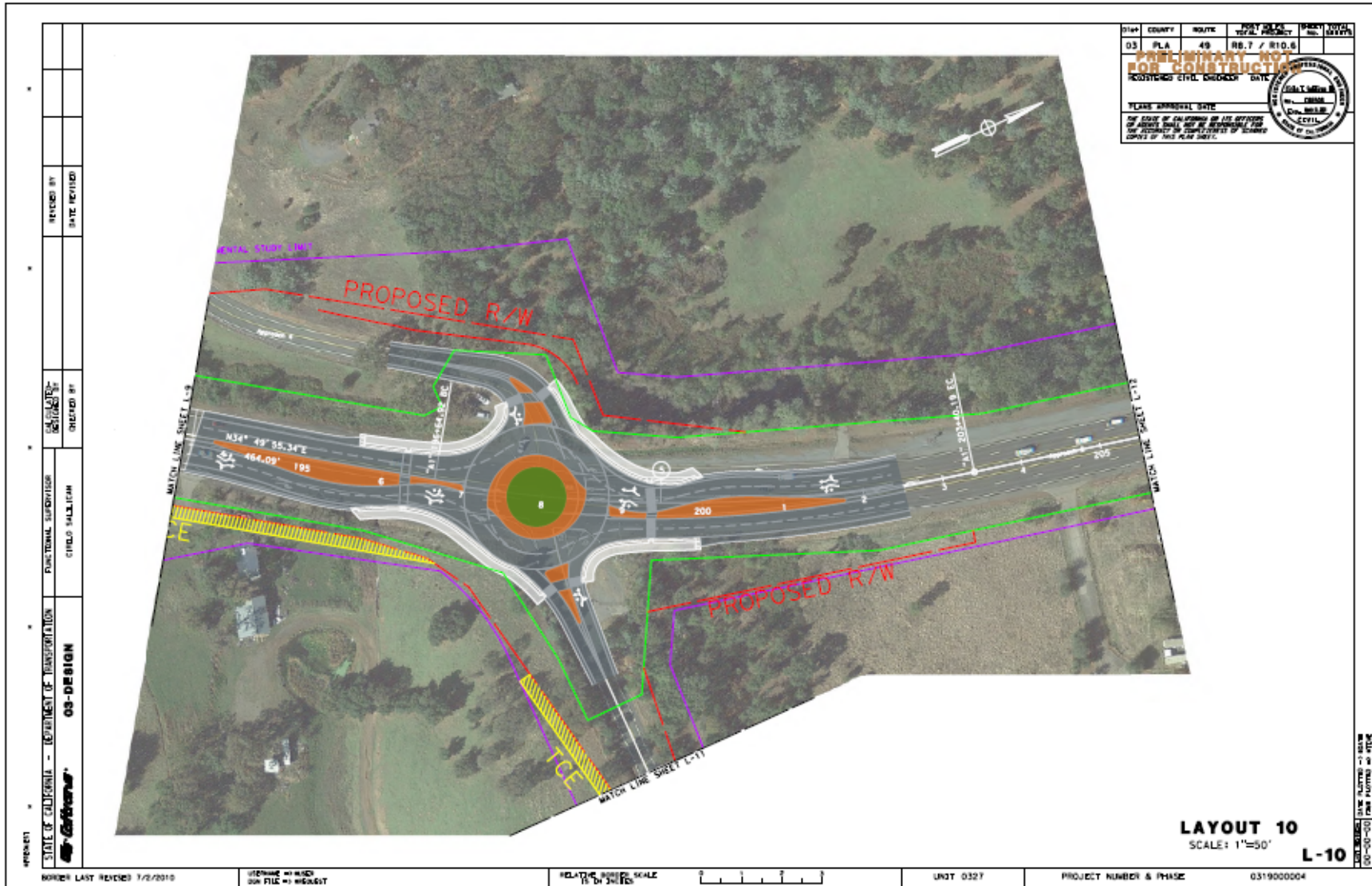
YEAR APPROVAL DATE: \_\_\_\_\_

THE STATE OF CALIFORNIA BY ITS OFFICIAL OF ADVISE SHALL NOT BE RESPONSIBLE FOR THE ACCURACY OR COMPLETENESS OF DRAWING CONCEPTS OF THIS PLAN SHEET.

PROJECT	STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION	FUNCTIONAL PURPOSE	FIELD SKETCH	DESIGNED BY	CHECKED BY	REVISIONS BY	DATE REVISION
	<b>CS-Consultants</b>	<b>OS-DESIGN</b>					

NUMBER LAST REVISION: 7/2/2010	DATE TIME BY: 7/2/2010	RELATIVE HORIZ. SCALE: 1"=50'	UNIT: 0327	PROJECT NUMBER & PHASE: 0319000004
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DIST.	COUNTY	ROUTE	POST MILE TOTAL PROJECT	MARK NO.	TOTAL SHEETS
03	FLA	40	RB. 7 / RD. 6		

**FOR CONSTRUCTION**

REGISTERED CIVIL ENGINEER DATE: \_\_\_\_\_

PLEASE APPROVAL DATE: \_\_\_\_\_

FOR CITY OF PALMDALE OR ITS OFFICERS  
OR AGENTS SHALL NOT BE RESPONSIBLE FOR  
THE ACCURACY OR COMPLETENESS OF SCENES  
SHOWN ON THIS PLAN SHEET.

SEAL: CIVIL ENGINEER, STATE OF CALIFORNIA, No. \_\_\_\_\_, EXPIRES \_\_\_\_\_

REVISION	DATE	BY	REASON

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**03-DESIGN**  
 FUNCTIONAL SURVEYOR: \_\_\_\_\_  
 CHECKED BY: \_\_\_\_\_  
 CIRCLED SIGNATURE: \_\_\_\_\_

**LAYOUT 11**  
 SCALE: 1"=50'  
**L-11**

NO. 1000 LAST REVISED 7/2/2010	UTERLINE (H) (H) (H) OR FILE (H) (H) (H)	RELATIVE HORIZONTAL SCALE 1" = 50'	UNIT 0327	PROJECT NUMBER & PHASE 0319000004
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0319000004 LAYOUT 11  
 0319000004 LAYOUT 11  
 0319000004 LAYOUT 11





Dist	COUNTY	ROUTE	POST MILE TOTAL PROJECT	START MILE MARK	END MILE MARK
03	FLA	49	RB. 7 / RTD. 6		

**FOR CONSTRUCTION**

PROVIDES CIVIL ENGINEER DATE: \_\_\_\_\_

PLANS APPROVAL DATE: \_\_\_\_\_

FOR STATE OF CALIFORNIA BY ITS OFFICERS OF AGENCY SHALL NOT BE RESPONSIBLE FOR OR SECURED IN COMPLIANCE WITH SECTION 65092 OF THIS PLAN SHEET.

REGISTERED PROFESSIONAL CIVIL ENGINEER  
 STATE OF CALIFORNIA  
 No. \_\_\_\_\_  
 DATE: \_\_\_\_\_

REVISION	DATE	BY	REASON

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**OS-DESIGN**

FUNCTIONAL SUPERVISOR: \_\_\_\_\_  
 FIELD SUPERVISOR: \_\_\_\_\_

DESIGNED BY: \_\_\_\_\_  
 CHECKED BY: \_\_\_\_\_

APPROVED BY: \_\_\_\_\_

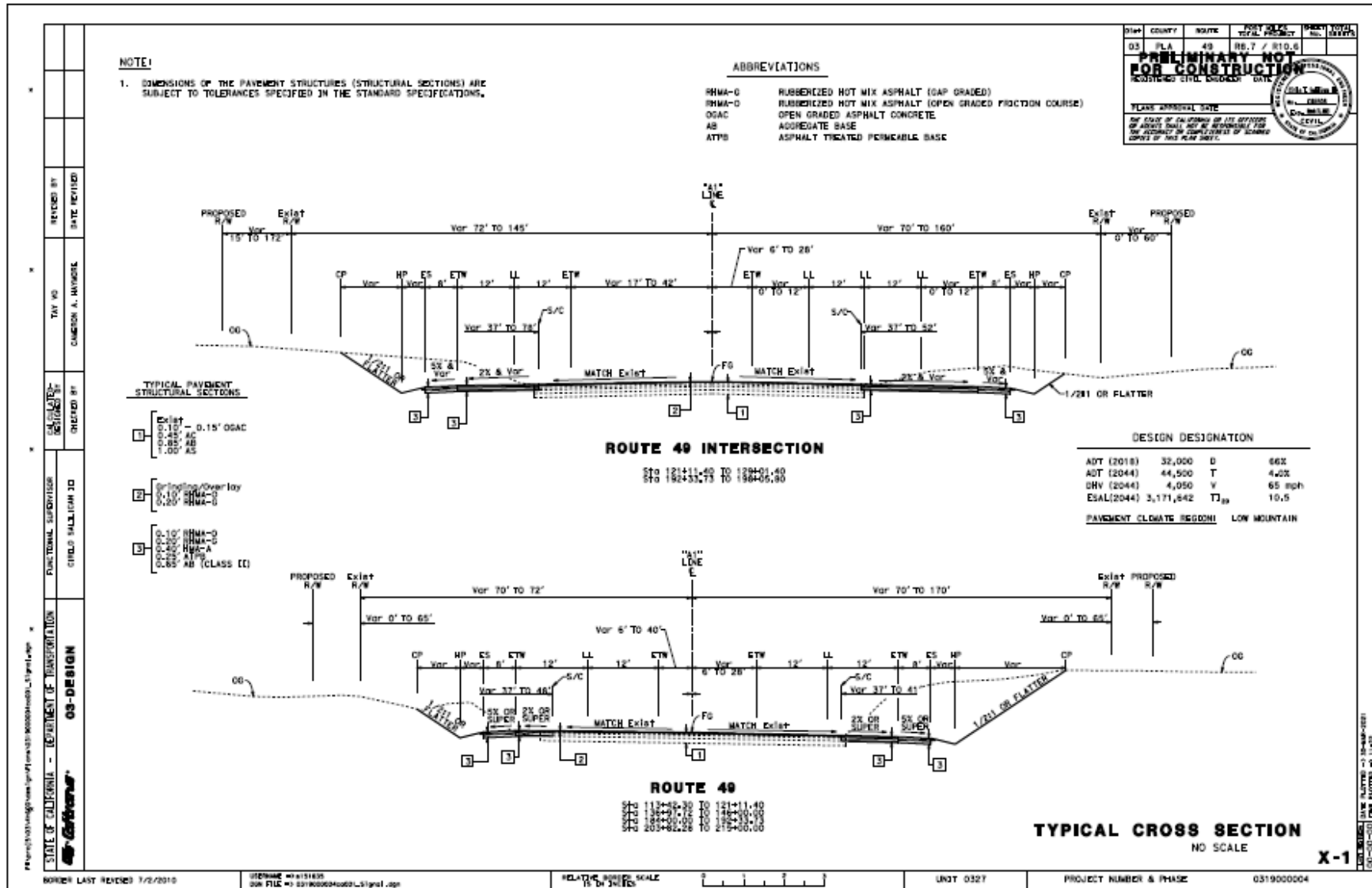
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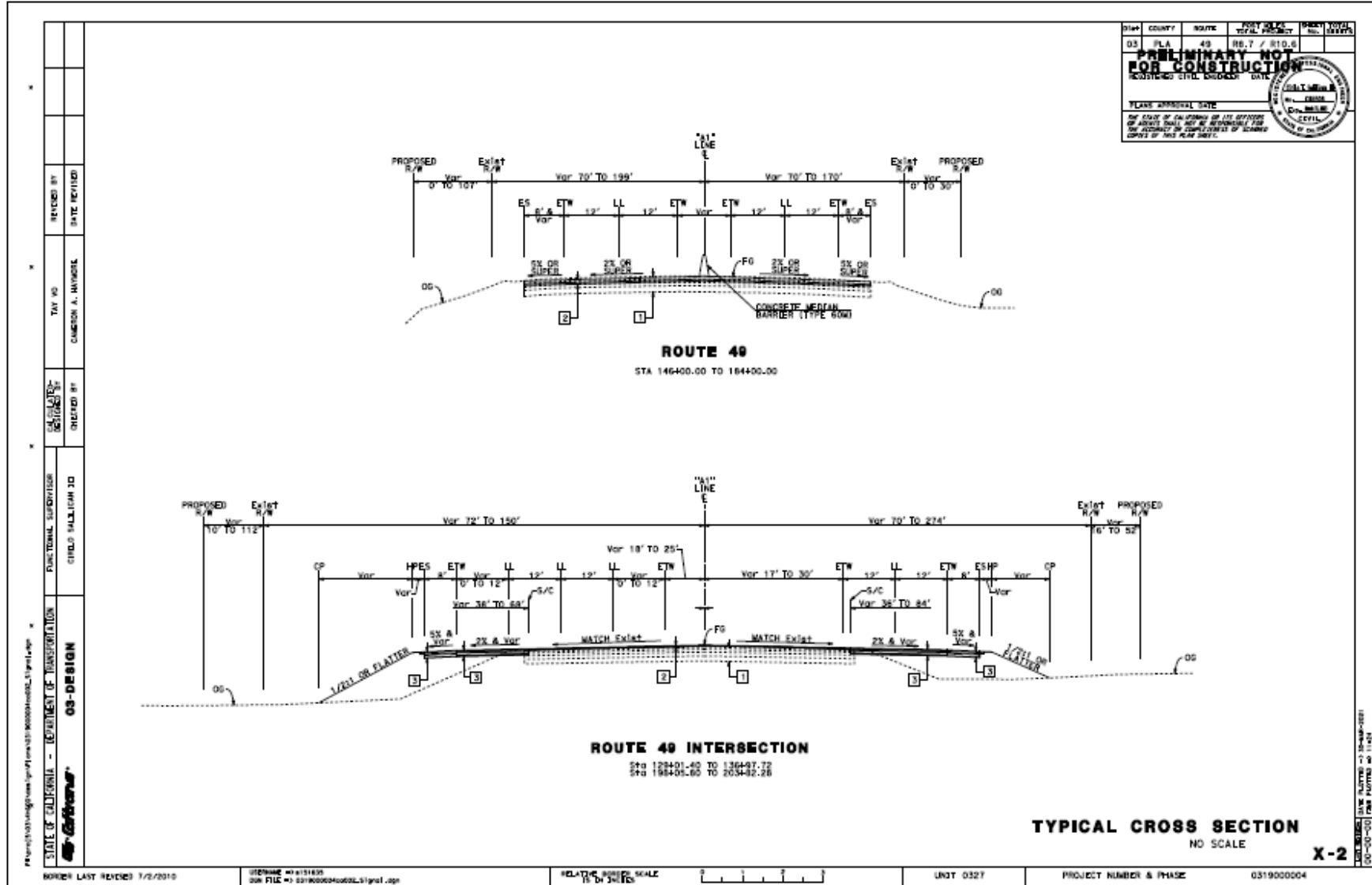
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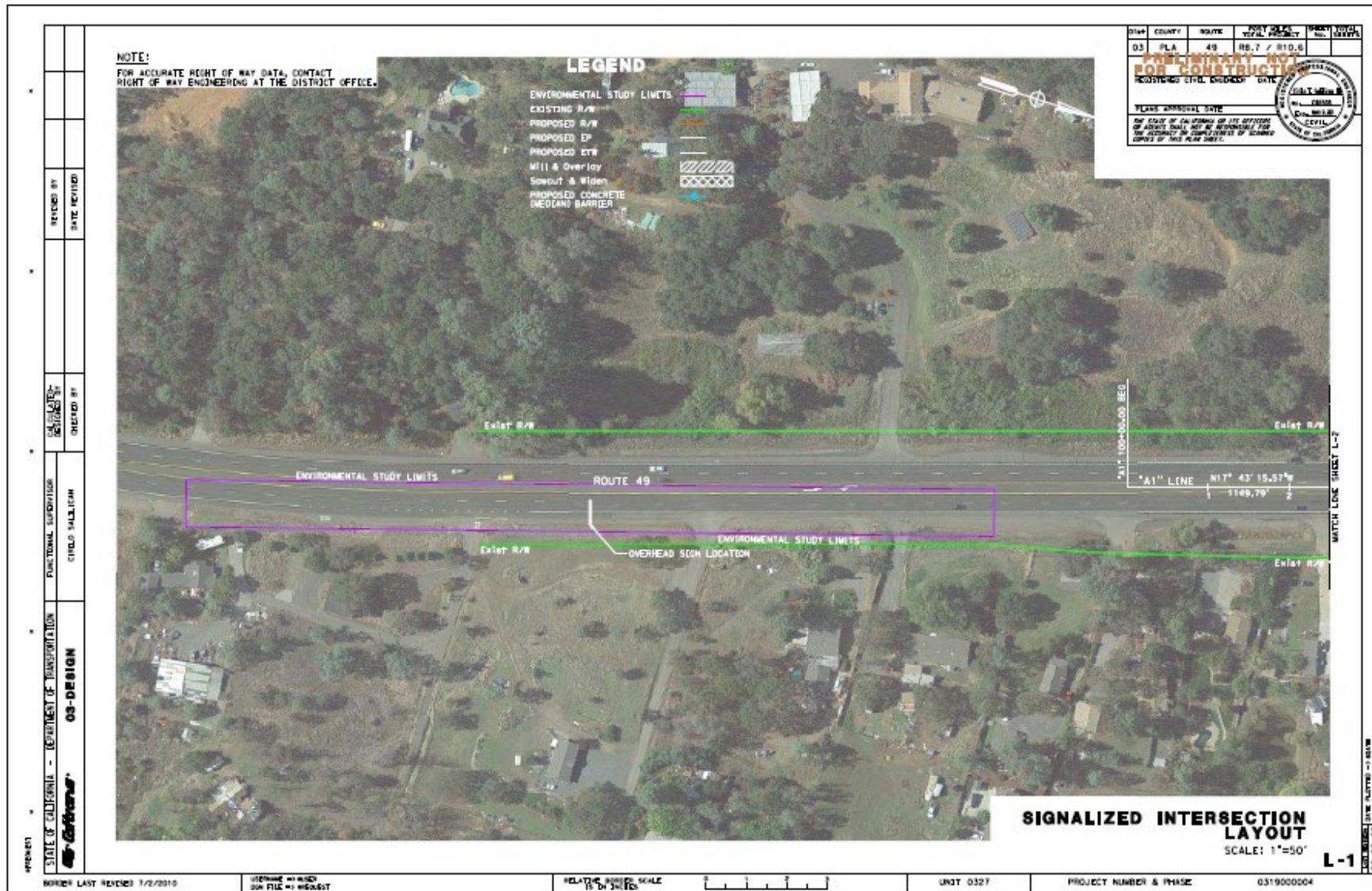
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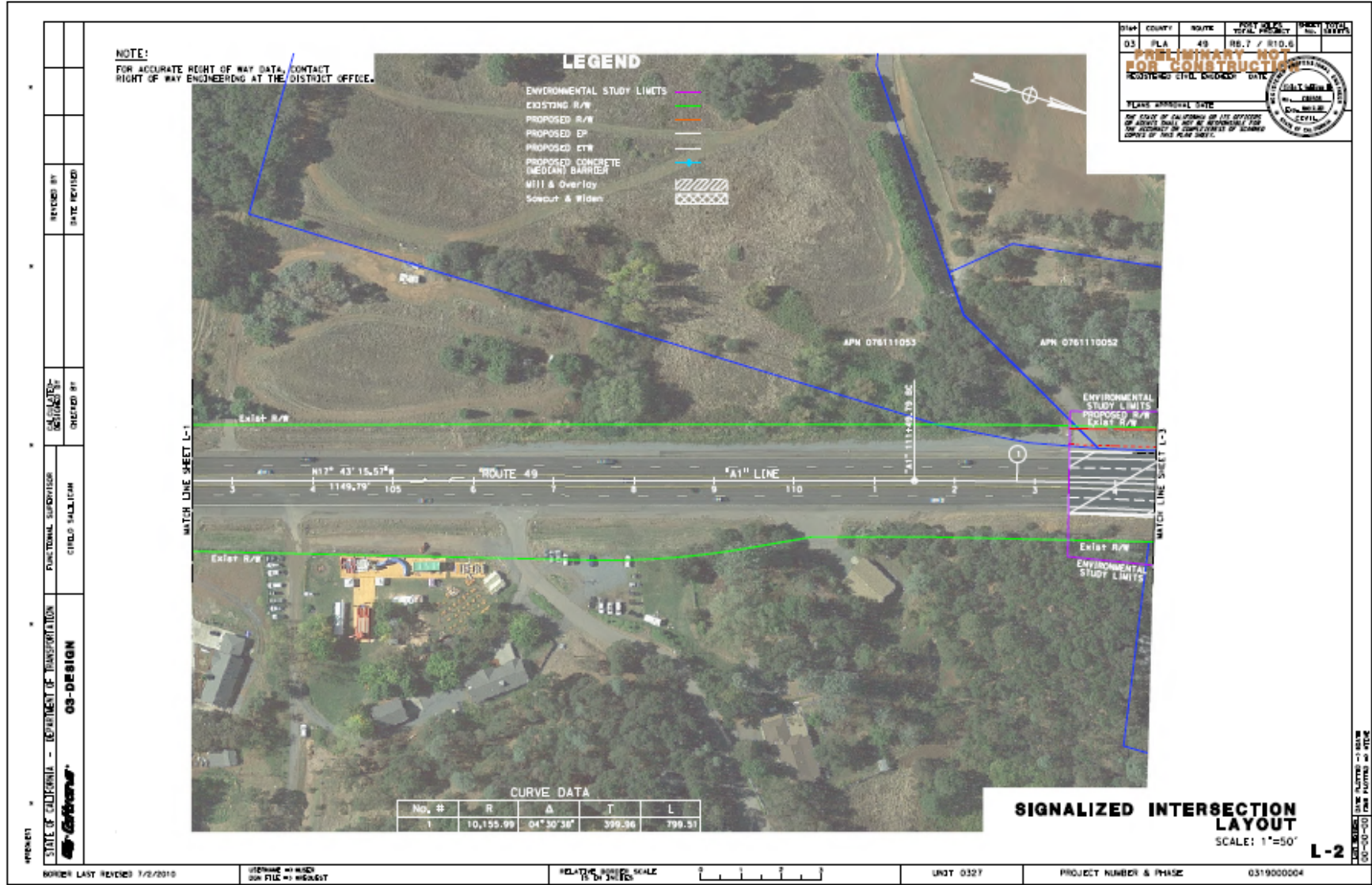
THIS DRAWING IS THE PROPERTY OF THE STATE OF CALIFORNIA AND IS NOT TO BE REPRODUCED OR TRANSMITTED IN ANY FORM OR BY ANY MEANS, ELECTRONIC OR MECHANICAL, INCLUDING PHOTOCOPYING, RECORDING, OR BY ANY INFORMATION STORAGE AND RETRIEVAL SYSTEM.

# Alternative 2







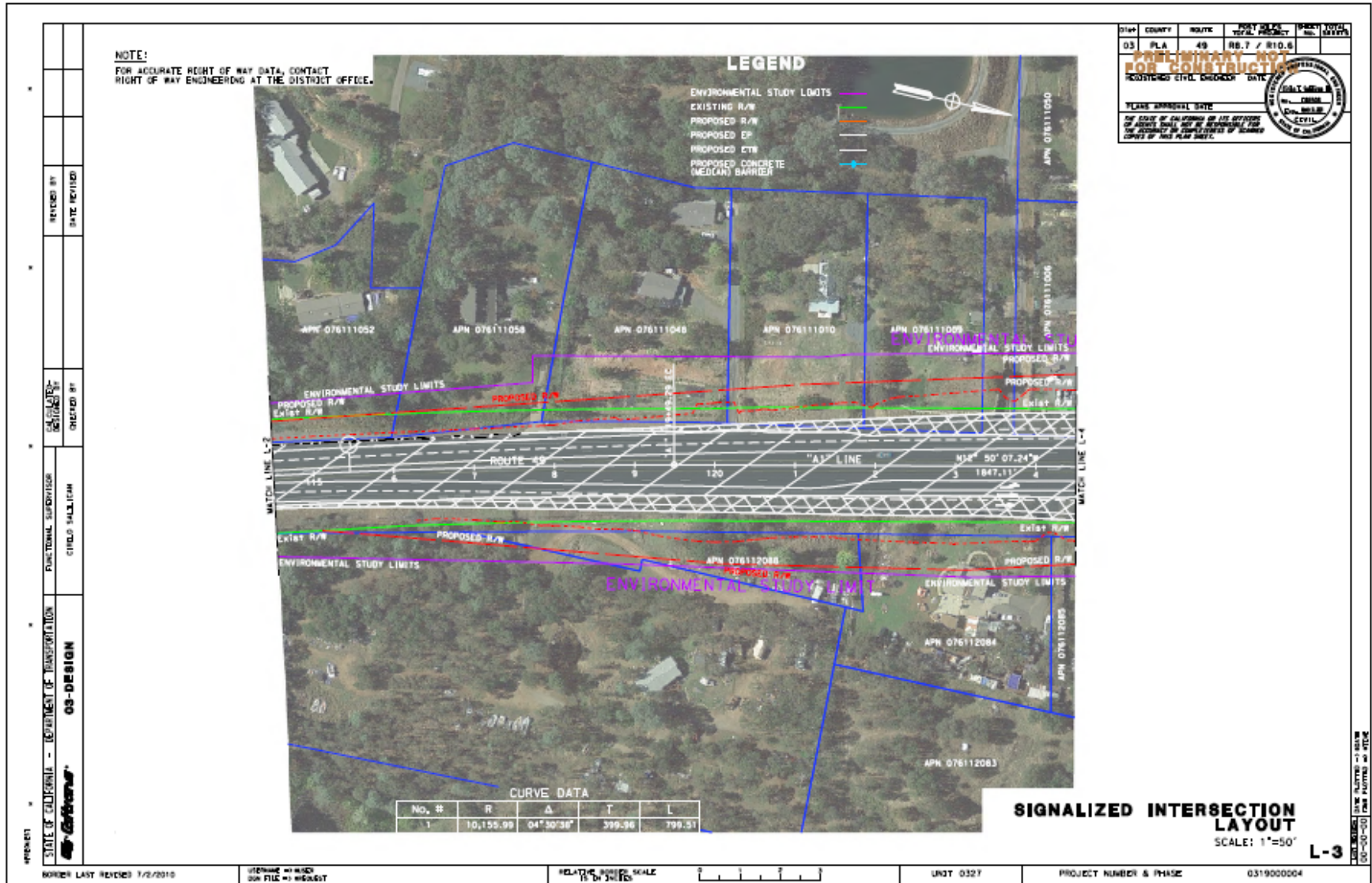


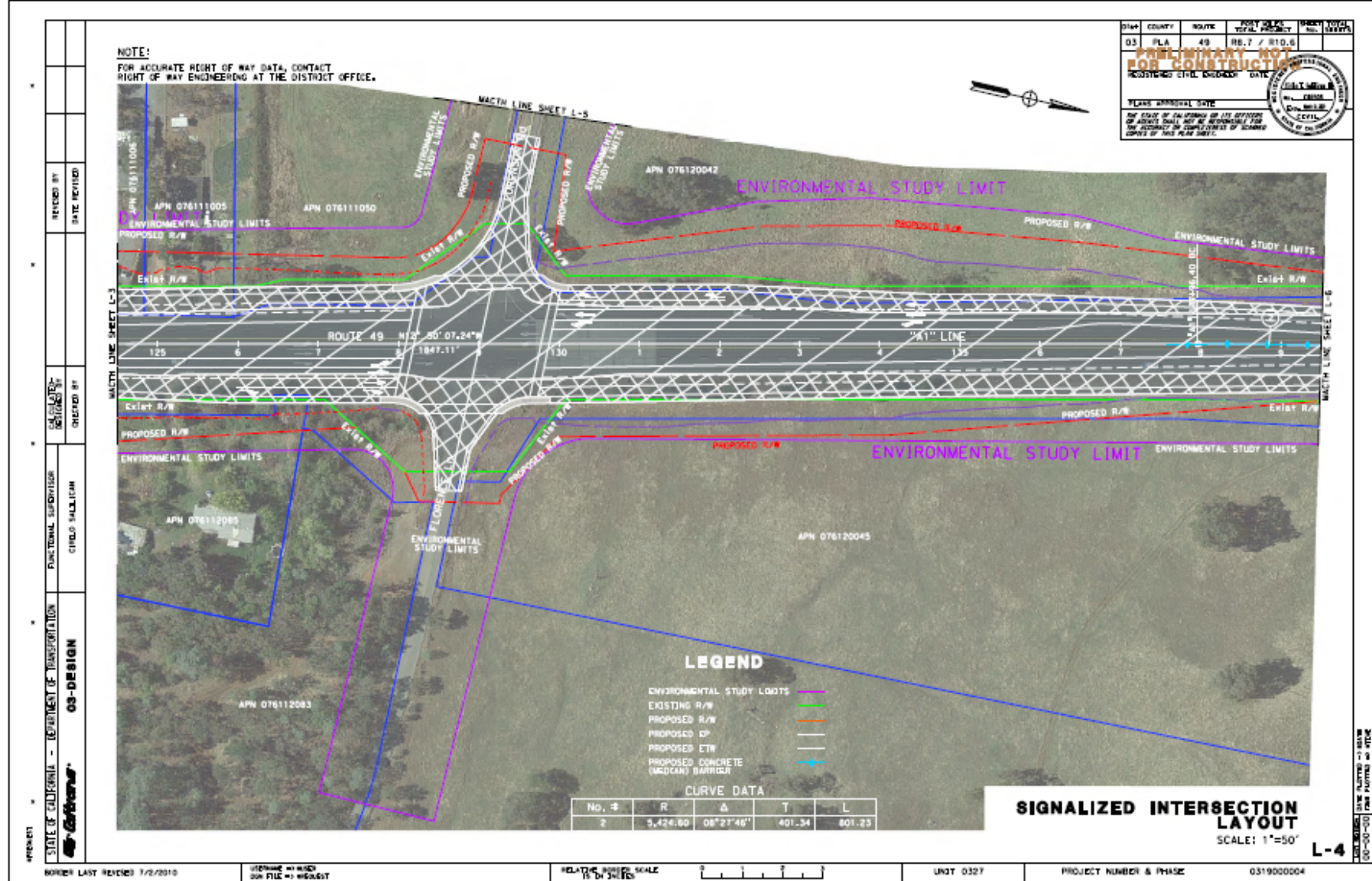
TOWN	COUNTY	ROUTE	POST MILE VEHAL PROPERTY	SHEET NO.	TOTAL SHEETS
03	FLA	49	86.7 / 810.6		
<b>FOR CONSTRUCTION</b>					
REGISTERED CIVIL ENGINEER DATE: _____					
YEAR APPROVAL DATE: _____					
<small>THE STATE OF FLORIDA OR ITS OFFICIALS OR AGENCIES SHALL NOT BE RESPONSIBLE FOR THE ACCURACY OR COMPLETENESS OF ANY INFORMATION CONTAINED IN THIS PLAN SHEET.</small>					

APPROVED BY	DESIGNED BY	CHECKED BY	DATE
FUNCTIONAL SUPERVISOR	CALCULATED BY	DESIGNED BY	DATE
CITRUS SOLUTION			
STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION			
<b>03-DESIGN</b>			
PROJECT: _____			
REVISION	REVISION	DATE	BY
REVISION: NONE PLANNED TO BE PRINTED IN WHITE			

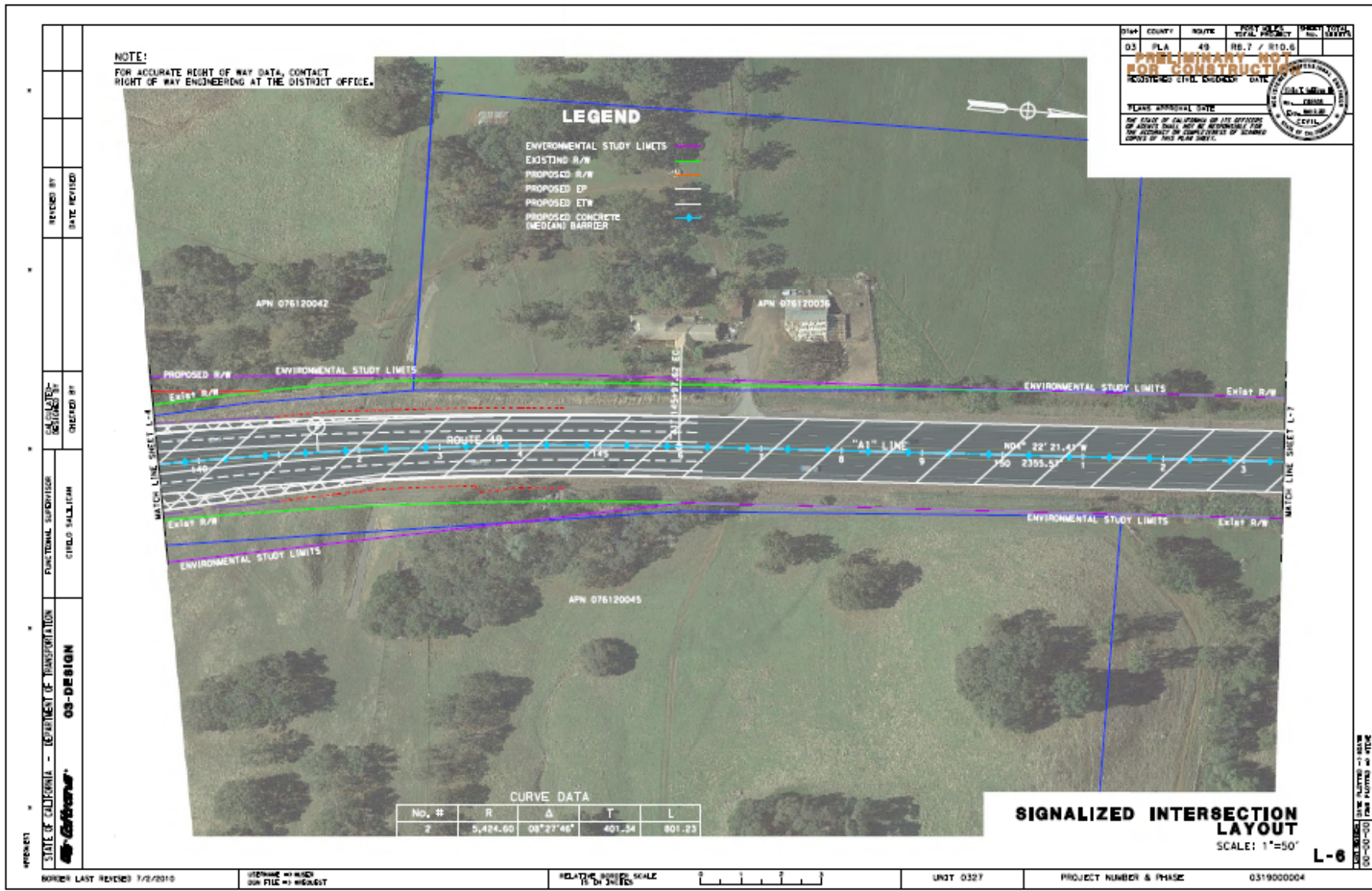
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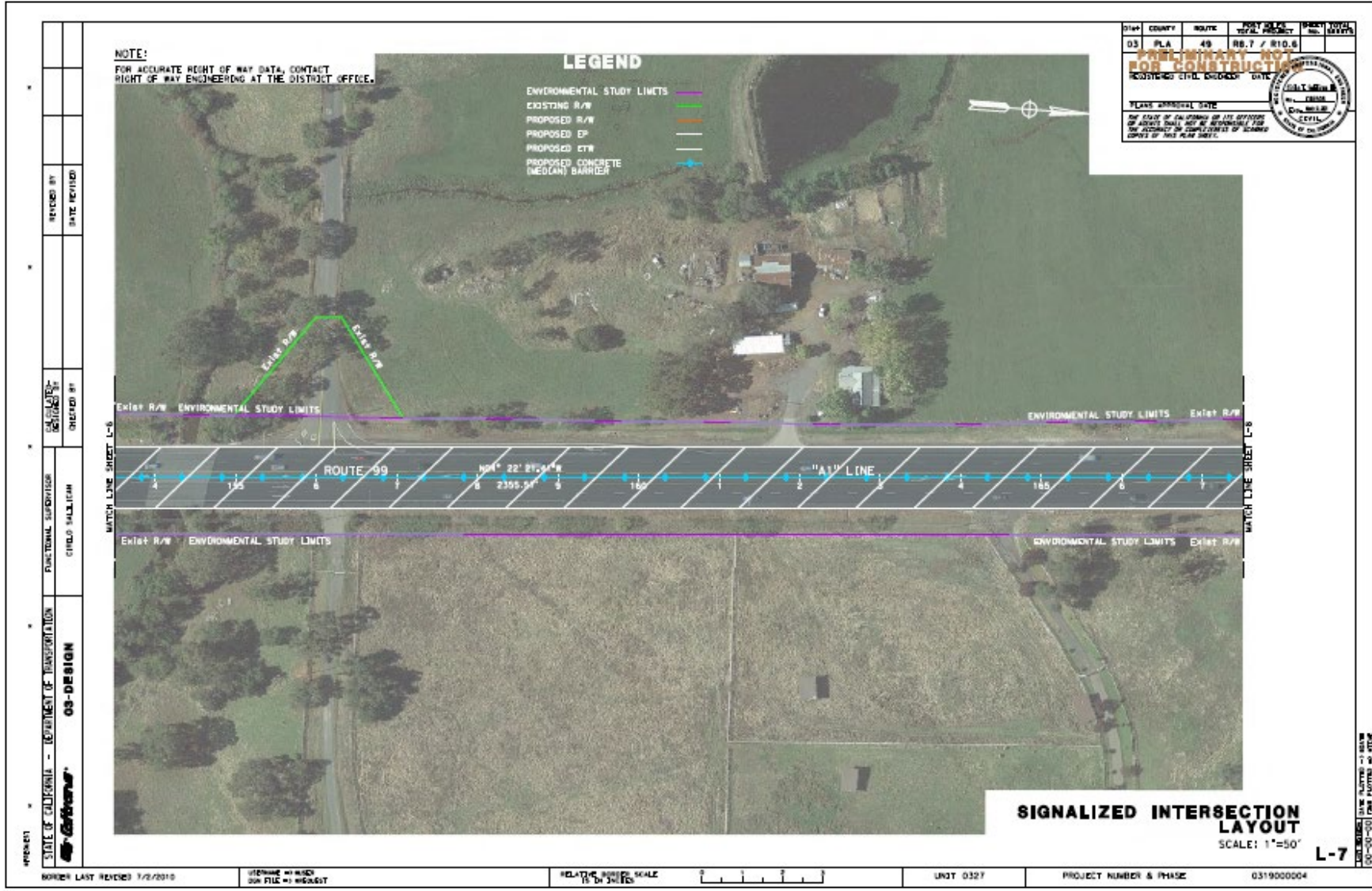


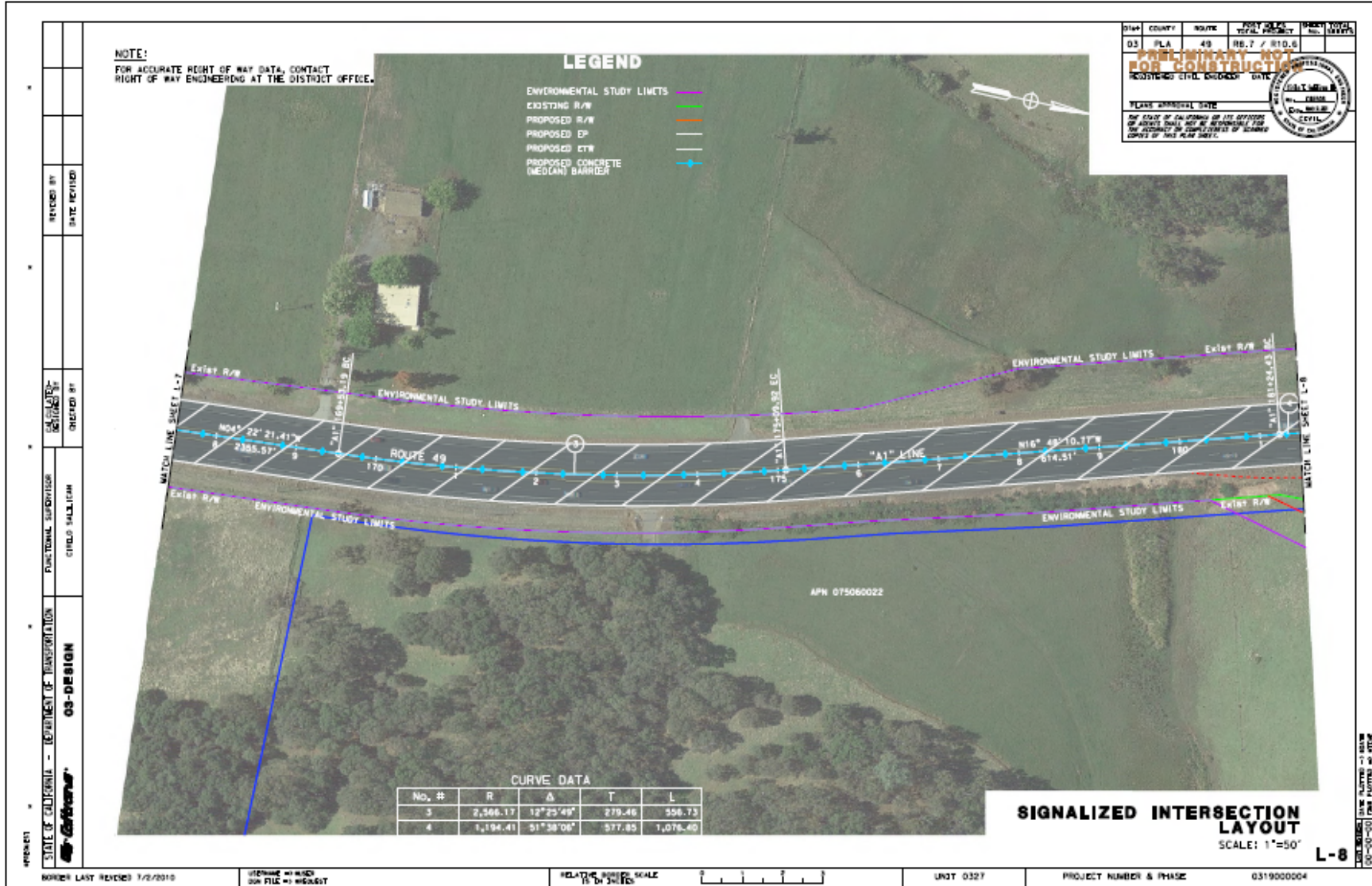


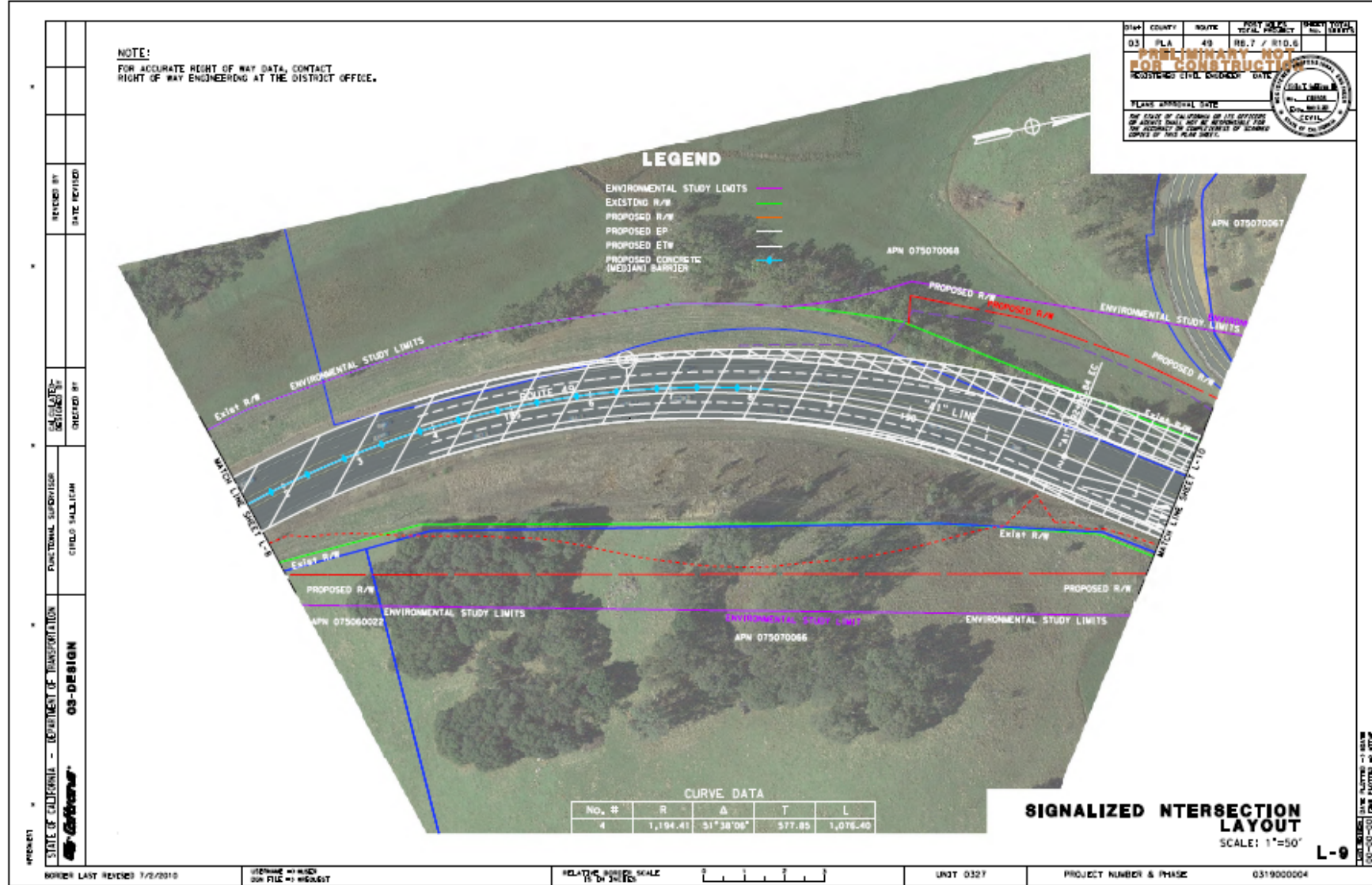


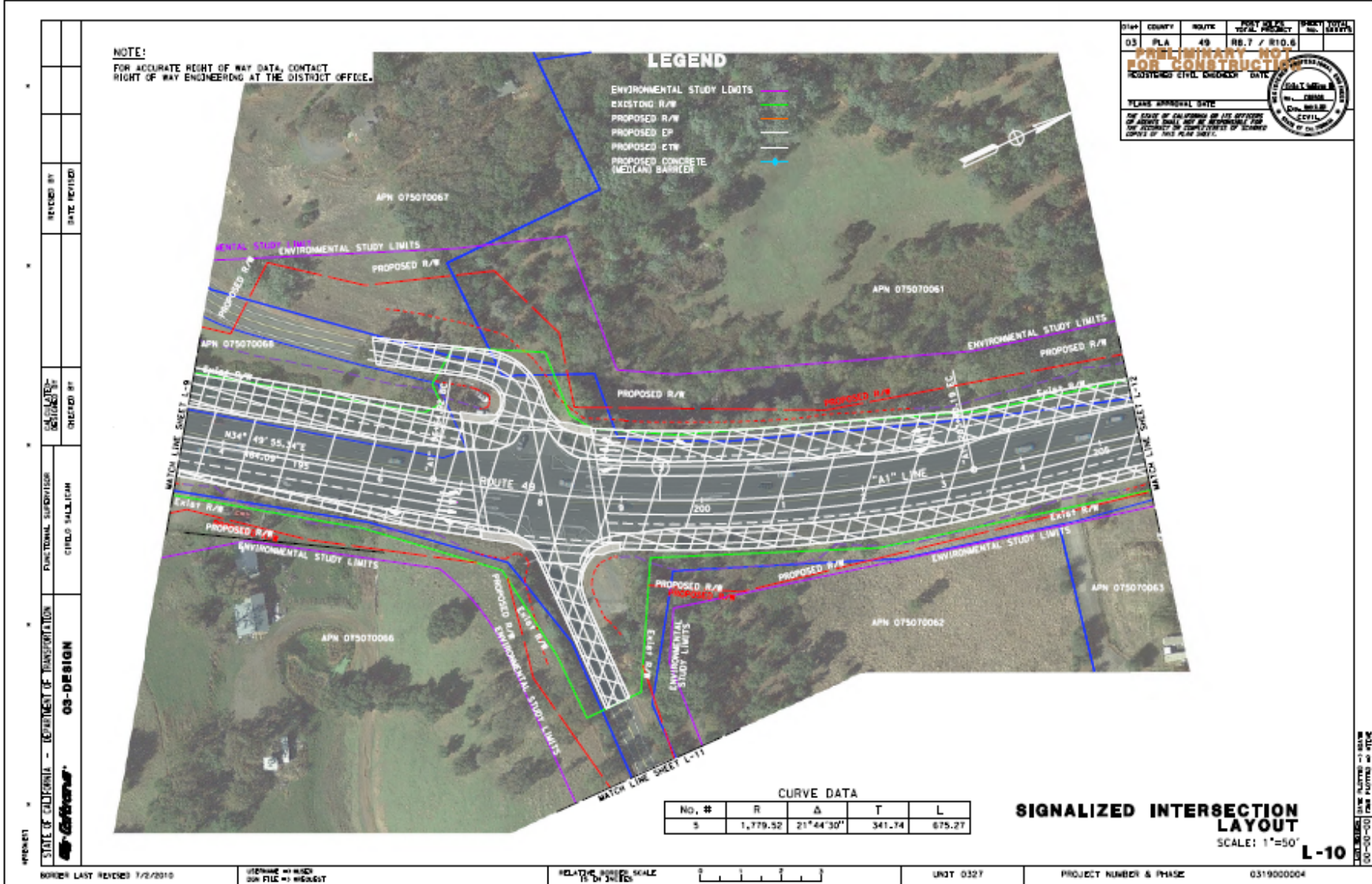






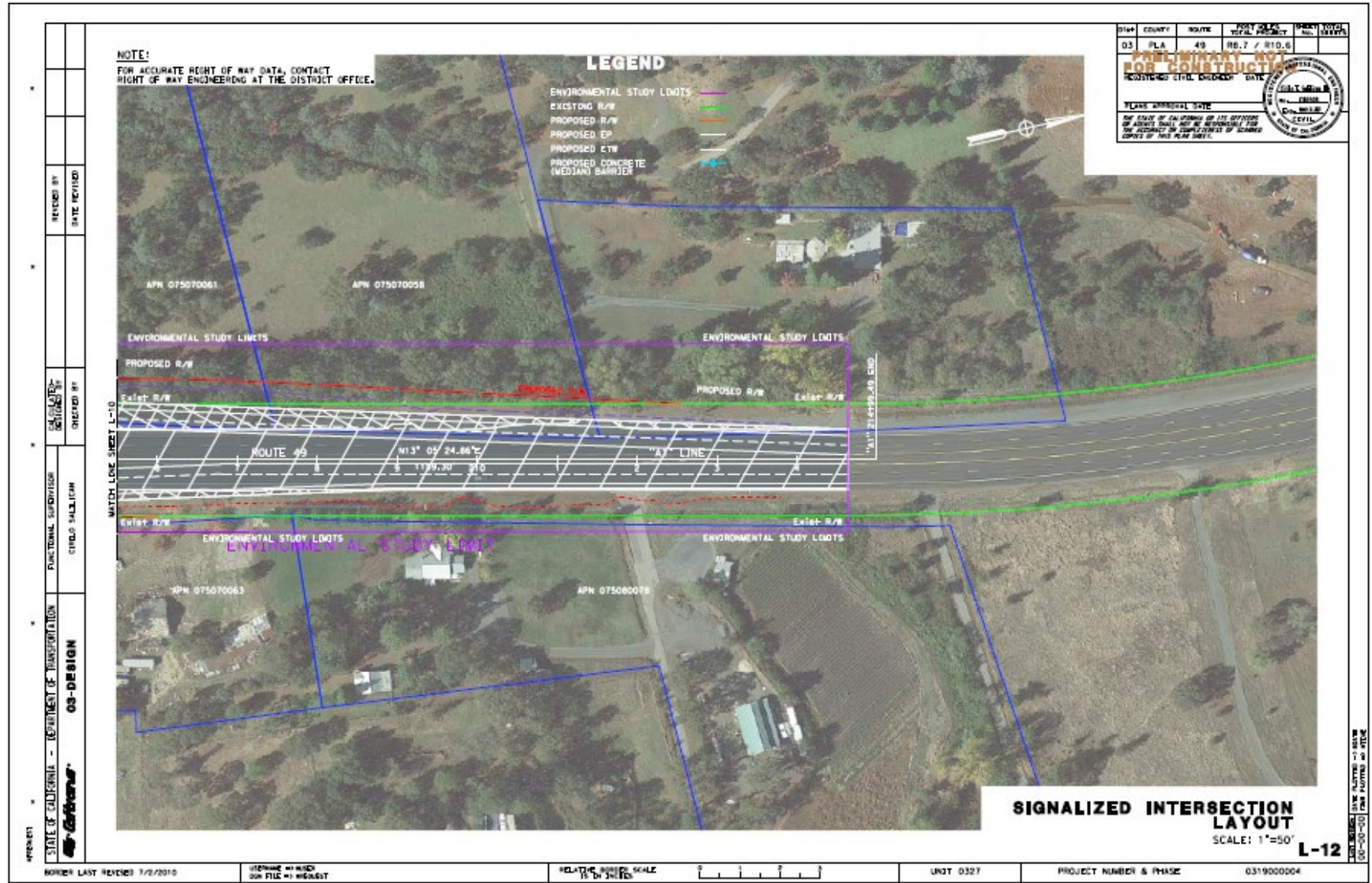














# Appendix E. Avoidance, Minimization and/or Mitigation Summary



## Environmental Commitments Record (ECR)

DIST-CO-RTE: 03 - PLA - 049 PM/PM: R8.700/R10.600 EA/Project ID: 03-4H600\_ / 0319000004

Project Description: Construct concrete median barrier and two roundabouts.

Date (Last modification):

Environmental Planner: Sandeep Sandhu

Phone: 530-720-3324

Construction Liaison:

Phone:

Resident Engineer:

Phone:

### PERMITS

Permit	Agency	Application Submitted	Permit Received	Permit Expiration	Permit Requirements Completed by	Permit Requirements Completed on	Comments
1600	California Department of Fish & Wildlife						
401	Regional Water Quality Control Board						
404 Nationwide Verification	US Army Corps of Engineers						
Preliminary Jurisdictional Determination (PJD)	US Army Corps of Engineers						

### ENVIRONMENTAL COMMITMENTS

#### PS&E/BEFORE RTL

Category	Task and Brief Description	Source	Included In PS&E Package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA
Hazardous Waste	PSI for ADL required				PE needs to request PSI prior to PS&E		_____	_____		
Hazardous Waste	Use SSP 36-4 which is applicable to non-hazardous waste created during removal of asphalt rumble strips with yellow striping.	ISA	SSP	OE/RE/ECL/Haz Waste Specialist			_____	_____		

**Environmental Commitments Record for Placer 49 Safety Barrier**

Category	Task and Brief Description	Source	Included in PS&E Package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA
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**PRE-CONSTRUCTION**

Category	Task and Brief Description	Source	Included in PS&E Package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA
----------	----------------------------	--------	--------------------------	--------------------------	------------------	----------	-------------------	-------------------	---------	---

Biology	Contact project biologist for locations of ESA fencing.		n/a	Engineer	Contact project biologist for exact locations of ESA fencing.		_____	_____		
							Signature	Date		
Biology	Per SSP 14-6.03A, 14-6.03B, 14-6.03D(1), 14-6.03D(2) - A qualified Contractor Supplied Biologist must conduct a focused survey for active bird nests if construction occurs during the nesting season (February 1 - September 30) within the limits of the project ESL. These surveys will be conducted no more than 7 days prior to the initiation of construction activities. Stop all work within 100 feet if an active bird nest is discovered and contact the Environmental Construction Liaison or Project Biologist.	SSP	SSP	Engineer	Bird nest surveys within 7 days prior to ground breaking activities.		_____	_____		
							Signature	Date		
Biology	Per SSP 14-6.03A, 14-6.03D(1), 14-6.03D(2) - A qualified Contractor Supplied Biologist must conduct focused amphibian surveys 7 days prior to ground breaking activities.	SSP	SSP	Engineer	Amphibian surveys 7 days prior to ground breaking activities.		_____	_____		
							Signature	Date		
Cultural Resources	Establish ESA fencing around the cultural site.		n/a	Engineer	Place fencing around the cultural site. Contact Archaeologist when this is completed.		_____	_____		
							Signature	Date		
Hazardous Waste	Review and approve the Lead Compliance Plan provided by the contractor prior to start of construction.	ISA	n/a	Contractor/RE/CL			_____	_____		
							Signature	Date		
Other	Follow all Caltrans Standard Specifications for Environmental Section 14.	Std. Spec	Std. Spec	RE			_____	_____		
							Signature	Date		

**Environmental Commitments Record for Placer 49 Safety Barrier**

Category	Task and Brief Description	Source	Included in PS&E Package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant Impacts under CEQA
Other	Invite Biologist and ECL to the Pre-Construction Meeting.	N/A	n/a	Contractor/RE/E CL/Biologist						
							_____ Signature	_____ Date		

**CONSTRUCTION**

Category	Task and Brief Description	Source	Included in PS&E Package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant Impacts under CEQA
Hazardous Waste	Use SSP 14-11.14 Treated Wood Waste	ISA	SSP	Contractor/RE/E CL	Implement and adhere to the provisions in the SSP regarding the handling, removal, and disposal of treated wood waste.					
							_____ Signature	_____ Date		
Hazardous Waste	Use SSP 7-1.02K(6)(j)(II) Earth Material Containing Lead	ISA	SSP	Contractor/RE/E CL	Implement and adhere to the provisions in the SSP regarding the handling, removal, and disposal of earth materials containing lead.					
							_____ Signature	_____ Date		
Landscape	Temporary construction activities that require nighttime illumination sources for staging, access, or other construction activities shall comply with Caltrans Standard Specification 7-1.04, Public Safety.	VIA	Std. Spec	RE/ECL/Contractor						
							_____ Signature	_____ Date		
Landscape	Vegetation removal shall be limited to the extent necessary to construct the project in accordance to Caltrans Standard Specification 5-1.36B, Landscape and 5-1.39C(1), Landscape.	VIA	Std. Spec	RE/ECL/Contractor						
							_____ Signature	_____ Date		

**POST-CONSTRUCTION**

Category	Task and Brief Description	Source	Included in PS&E Package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant Impacts under CEQA
Other	Complete the CEC after construction is complete	Other	n/a	ECL						
							_____ Signature	_____ Date		

## Appendix F. Special Status Species Lists



### United States Department of the Interior

FISH AND WILDLIFE SERVICE  
Sacramento Fish And Wildlife Office  
Federal Building  
2800 Cottage Way, Room W-2605  
Sacramento, CA 95825-1846  
Phone: (916) 414-6600 Fax: (916) 414-6713



In Reply Refer To:

March 03, 2021

Consultation Code: 08ESMF00-2020-SLI-1812

Event Code: 08ESMF00-2021-E-03458

Project Name: PLA 49 Safety Project (03-4H600)

Subject: Updated list of threatened and endangered species that may occur in your proposed project location or may be affected by your proposed project

To Whom It May Concern:

The enclosed species list identifies threatened, endangered, proposed and candidate species, as well as proposed and final designated critical habitat, under the jurisdiction of the U.S. Fish and Wildlife Service (Service) that may occur within the boundary of your proposed project and/or may be affected by your proposed project. The species list fulfills the requirements of the Service under section 7(c) of the Endangered Species Act (Act) of 1973, as amended (16 U.S.C. 1531 *et seq.*).

Please follow the link below to see if your proposed project has the potential to affect other species or their habitats under the jurisdiction of the National Marine Fisheries Service:

[http://www.nwr.noaa.gov/protected\\_species/species\\_list/species\\_lists.html](http://www.nwr.noaa.gov/protected_species/species_list/species_lists.html)

New information based on updated surveys, changes in the abundance and distribution of species, changed habitat conditions, or other factors could change this list. Please feel free to contact us if you need more current information or assistance regarding the potential impacts to federally proposed, listed, and candidate species and federally designated and proposed critical habitat. Please note that under 50 CFR 402.12(e) of the regulations implementing section 7 of the Act, the accuracy of this species list should be verified after 90 days. This verification can be completed formally or informally as desired. The Service recommends that verification be completed by visiting the ECOS-IPaC website at regular intervals during project planning and implementation for updates to species lists and information. An updated list may be requested through the ECOS-IPaC system by completing the same process used to receive the enclosed list.

The purpose of the Act is to provide a means whereby threatened and endangered species and the ecosystems upon which they depend may be conserved. Under sections 7(a)(1) and 7(a)(2) of the Act and its implementing regulations (50 CFR 402 *et seq.*), Federal agencies are required to

utilize their authorities to carry out programs for the conservation of threatened and endangered species and to determine whether projects may affect threatened and endangered species and/or designated critical habitat.

A Biological Assessment is required for construction projects (or other undertakings having similar physical impacts) that are major Federal actions significantly affecting the quality of the human environment as defined in the National Environmental Policy Act (42 U.S.C. 4332(2) (c)). For projects other than major construction activities, the Service suggests that a biological evaluation similar to a Biological Assessment be prepared to determine whether the project may affect listed or proposed species and/or designated or proposed critical habitat. Recommended contents of a Biological Assessment are described at 50 CFR 402.12.

If a Federal agency determines, based on the Biological Assessment or biological evaluation, that listed species and/or designated critical habitat may be affected by the proposed project, the agency is required to consult with the Service pursuant to 50 CFR 402. In addition, the Service recommends that candidate species, proposed species and proposed critical habitat be addressed within the consultation. More information on the regulations and procedures for section 7 consultation, including the role of permit or license applicants, can be found in the "Endangered Species Consultation Handbook" at:

<http://www.fws.gov/endangered/esa-library/pdf/TOC-GLOS.PDF>

Please be aware that bald and golden eagles are protected under the Bald and Golden Eagle Protection Act (16 U.S.C. 668 *et seq.*), and projects affecting these species may require development of an eagle conservation plan ([http://www.fws.gov/windenergy/eagle\\_guidance.html](http://www.fws.gov/windenergy/eagle_guidance.html)). Additionally, wind energy projects should follow the wind energy guidelines (<http://www.fws.gov/windenergy/>) for minimizing impacts to migratory birds and bats.

Guidance for minimizing impacts to migratory birds for projects including communications towers (e.g., cellular, digital television, radio, and emergency broadcast) can be found at:

<http://www.fws.gov/migratorybirds/CurrentBirdIssues/Hazards/towers/towers.htm>;

<http://www.towerkill.com>; and

<http://www.fws.gov/migratorybirds/CurrentBirdIssues/Hazards/towers/comtow.html>.

[http://](http://www.fws.gov/migratorybirds/CurrentBirdIssues/Hazards/towers/comtow.html)

We appreciate your concern for threatened and endangered species. The Service encourages Federal agencies to include conservation of threatened and endangered species into their project planning to further the purposes of the Act. Please include the Consultation Tracking Number in the header of this letter with any request for consultation or correspondence about your project that you submit to our office.

Attachment(s):

- Official Species List



## Official Species List

This list is provided pursuant to Section 7 of the Endangered Species Act, and fulfills the requirement for Federal agencies to "request of the Secretary of the Interior information whether any species which is listed or proposed to be listed may be present in the area of a proposed action".

This species list is provided by:

**Sacramento Fish And Wildlife Office**

Federal Building  
2800 Cottage Way, Room W-2605  
Sacramento, CA 95825-1846  
(916) 414-6600

## Project Summary

Consultation Code: 08ESMF00-2020-SLI-1812

Event Code: 08ESMF00-2021-E-03458

Project Name: PLA 49 Safety Project (03-4H600)

Project Type: TRANSPORTATION

Project Description: Two roundabouts on SR 49 to improve traffic safety.

Project Location:

Approximate location of the project can be viewed in Google Maps: <https://www.google.com/maps/@38.984963370904026,-121.10749193286487,14z>



Counties: Placer County, California

## Endangered Species Act Species

There is a total of 3 threatened, endangered, or candidate species on this species list.

Species on this list should be considered in an effects analysis for your project and could include species that exist in another geographic area. For example, certain fish may appear on the species list because a project could affect downstream species.

IPaC does not display listed species or critical habitats under the sole jurisdiction of NOAA Fisheries<sup>1</sup>, as USFWS does not have the authority to speak on behalf of NOAA and the Department of Commerce.

See the "Critical habitats" section below for those critical habitats that lie wholly or partially within your project area under this office's jurisdiction. Please contact the designated FWS office if you have questions.

- 
1. [NOAA Fisheries](#), also known as the National Marine Fisheries Service (NMFS), is an office of the National Oceanic and Atmospheric Administration within the Department of Commerce.

### Amphibians

NAME	STATUS
California Red-legged Frog <i>Rana draytonii</i> There is <b>final</b> critical habitat for this species. The location of the critical habitat is not available. Species profile: <a href="https://ecos.fws.gov/ecp/species/2891">https://ecos.fws.gov/ecp/species/2891</a>	Threatened

### Fishes

NAME	STATUS
Delta Smelt <i>Hypomesus transpacificus</i> There is <b>final</b> critical habitat for this species. The location of the critical habitat is not available. Species profile: <a href="https://ecos.fws.gov/ecp/species/321">https://ecos.fws.gov/ecp/species/321</a>	Threatened

### Flowering Plants

NAME	STATUS
Stebbins' Morning-glory <i>Calystegia stebbinsii</i> No critical habitat has been designated for this species. Species profile: <a href="https://ecos.fws.gov/ecp/species/3991">https://ecos.fws.gov/ecp/species/3991</a>	Endangered

### Critical habitats

THERE ARE NO CRITICAL HABITATS WITHIN YOUR PROJECT AREA UNDER THIS OFFICE'S JURISDICTION.

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**NMFS Species List - PLA 49 Safety Improvement 03-4H600**

Angell, Kelli@DOT  
Wed 3/3/2021 4:14 PM



To:

[nmfs.wcrca.specieslist@noaa.gov](mailto:nmfs.wcrca.specieslist@noaa.gov)

California Department of Transportation  
703 B St.  
Marysville, CA 95901  
PLA 49 Safety Improvement Project 03-4H600  
Kelli Angell, [kelli.angell@dot.ca.gov](mailto:kelli.angell@dot.ca.gov), 530-812-4305

Quad Name Auburn  
Quad Number 38121-H1  
ESA Anadromous Fish

SONCC Coho ESU (T) -  
CCC Coho ESU (E) -  
CC Chinook Salmon ESU (T) -  
CVSR Chinook Salmon ESU (T) - X  
SRWR Chinook Salmon ESU (E) -  
NC Steelhead DPS (T) -  
CCC Steelhead DPS (T) -  
SCCC Steelhead DPS (T) -  
SC Steelhead DPS (E) -  
CCV Steelhead DPS (T) - X  
Eulachon (T) -  
sDPS Green Sturgeon (T) -  
ESA Anadromous Fish Critical Habitat

SONCC Coho Critical Habitat -  
CCC Coho Critical Habitat -  
CC Chinook Salmon Critical Habitat -  
CVSR Chinook Salmon Critical Habitat -  
SRWR Chinook Salmon Critical Habitat -  
NC Steelhead Critical Habitat -  
CCC Steelhead Critical Habitat -  
SCCC Steelhead Critical Habitat -  
SC Steelhead Critical Habitat -  
CCV Steelhead Critical Habitat - X

Eulachon Critical Habitat -  
sDPS Green Sturgeon Critical Habitat -  
ESA Marine Invertebrates

Range Black Abalone (E) -  
Range White Abalone (E) -  
ESA Marine Invertebrates Critical Habitat

Black Abalone Critical Habitat -  
ESA Sea Turtles

East Pacific Green Sea Turtle (T) -  
Olive Ridley Sea Turtle (T/E) -  
Leatherback Sea Turtle (E) -  
North Pacific Loggerhead Sea Turtle (E) -  
ESA Whales

Blue Whale (E) -  
Fin Whale (E) -  
Humpback Whale (E) -  
Southern Resident Killer Whale (E) -  
North Pacific Right Whale (E) -  
Sei Whale (E) -  
Sperm Whale (E) -  
ESA Pinnipeds

Guadalupe Fur Seal (T) -  
Essential Fish Habitat

Coho EFH -  
Chinook Salmon EFH - X  
Groundfish EFH -  
Coastal Pelagics EFH -  
Highly Migratory Species EFH -  
MMPA Species (See list at left)

ESA and MMPA Cetaceans/Pinnipeds  
See list at left and consult Monica DeAngelis  
monica.deangelis@noaa.gov  
562-980-3232

MMPA Cetaceans -  
MMPA Pinnipeds -

**Federal ESA - - NOAA Fisheries Species List Re: Species List - PLA 49 Safety Improvement 03-4H600**

□

Label: Enforced: Inbox 120 day (4 months) Expires: Thu 7/1/2021 5:14 PM

NMFS SpeciesList - NOAA Service Account <nmfs.wcrca.specieslist@noaa.gov>

Wed 3/3/2021 4:14 PM □

□  
□

To:

Angell, Kelli@DOT

**EXTERNAL EMAIL. Links/attachments may not be safe.**

Receipt of this email confirms that NOAA Fisheries has received your email requesting confirmation of an Endangered Species Act SPECIES LIST. If you provided your name, phone number, federal agency name (or delegated state agency such as Caltrans), mailing address, project title, and a brief description of the project, and a copy of a list of threatened or endangered species identified within specified geographic areas generated from NOAA Fisheries, West Coast Region, California Species List Tool, this email, along with the list you generated, serves as your federal Endangered Species Act SPECIES LIST. If you have a question, contact your local NOAA Fisheries liaison.



**Selected Elements by Scientific Name**  
 California Department of Fish and Wildlife  
 California Natural Diversity Database



Query Criteria: QuadIS (Auburn (3812181))

Species	Element Code	Federal Status	State Status	Global Rank	State Rank	Rare Plant Rank/CDFW SSC or FP
<i>Allium jepsonii</i> Jepson's onion	PMLIL022V0	None	None	G2	S2	1B.2
<i>Ammonitella yatesii</i> tight coin (=Yates' snail)	IMGASB0010	None	None	G1	S1	
<i>Andrena subapasta</i> An andrenid bee	IHYM35210	None	None	G1G2	S1S2	
<i>Banksula gallei</i> Galile's cave harvestman	ILARA14040	None	None	G1	S1	
<i>Bombus morrisoni</i> Morrison bumble bee	IHYM24460	None	None	G4G5	S1S2	
<i>Clarkia biloba ssp. brandegeeeae</i> Brandegee's clarkia	PDONA05053	None	None	G4G5T4	S4	4.2
<i>Corynorhinus townsendii</i> Townsend's big-eared bat	AMACC08010	None	None	G4	S2	SSC
<i>Cosumnoperla hypocrenea</i> Cosumnes stripetail	IIPLE23020	None	None	G2	S2	
<i>Falco peregrinus anatum</i> American peregrine falcon	ABNKD06071	Delisted	Delisted	G4T4	S3S4	FP
<i>Fritillaria eastwoodiae</i> Butte County fritillary	PMLIL0V060	None	None	G3Q	S3	3.2
<i>Lathyrus sulphureus var. argillaceus</i> dubious pea	PDFAB25101	None	None	G5T1T2Q	S1S2	3
<i>Rana boylei</i> foothill yellow-legged frog	AAABH01050	None	Endangered	G3	S3	SSC
<i>Viburnum ellipticum</i> oval-leaved viburnum	PDCPR07080	None	None	G4G5	S3?	2B.3

Record Count: 13

<b>CNPS Species List Obtained 3/4/2021</b>				
Scientific Name	Common Name	CRPR	CESA	FESA
<i>Allium jepsonii</i>	Jepson's onion	1B.2	None	None
<i>Fritillaria eastwoodiae</i>	Butte County fritillary	3.2	None	None
<i>Lathyrus sulphureus</i> var. <i>argillaceus</i>	dubious pea	3	None	None
<i>Viburnum ellipticum</i>	oval-leaved viburnum	2B.3	None	None



## Appendix G. Four Pillars Document

### BACKGROUND

This document is to provide supporting information for 4H600 relative to the different alternatives and associated collision pattern expected upon completion and HQ Safety required discussion on the 4 Pillars of Safety <https://safetyprograms.onramp.dot.ca.gov/4-pillars>.

Project EA 4H6000 was identified through the Federally mandated, State supported Highway Safety Improvement Program (HSIP) as a 201.010, Safety Improvement Program project, as part of the Multi-Lane Cross Median Monitoring Program to place PCC Median Barrier (MB) on SR 49 in Placer County, a 65 mph facility, due to a series of cross median collisions that resulted in both fatal and serious injuries. The PCC MB will be installed on a 1.9 mile segment between Lone Star Road and Lorenson Road/Florence Lane, respectively.

There are multiple issues created by placement of the PCC MB in a rural area on what is considered a conventional highway, which has numerous driveways and secondary roads with access to SR 49.

The first issue is that it will cut off direct left turn access for the public exiting from SR 49 or entering from driveways or side streets. This creates an out of direction travel issue for the public, because they will need to make a U-turn to return in the other direction of travel.

The secondary issue is that there is a need to provide a safe and viable U-turn movement at the ends of the PCC MB, which are at Lone Star Road and Lorenson Road/Florence Lane because the nearest marked U-turn movement at a traffic signal on SR 49 for northbound traffic is at Wolf-Combie Road, 3.3 miles away and for southbound traffic at Willow Creek Road, 2.8 miles away. Although the Streets and Highway Code designates the SR 49 segment from Auburn to Grass Valley as a freeway/expressway, the Code specifically defines an expressway as, "... through traffic which may have partial control of access, but which may or may not be divided or have grade separations at intersections", and this segment has no control of access.

### FOUR PILLARS OF TRAFFIC SAFETY

The Department has identified safety of the transportation system as a primary Mission and has established Safety First Goal to provide a safe transportation system for all users and workers. We have also been tasked to rethink Traffic Safety processes to include the Four Pillars of Traffic Safety as we work toward the ultimate "Toward Zero Deaths" goal. This includes use of:

- FHWA Proven Safety Countermeasures, part of the Every Day Counts program;
- Safe System approach for traffic safety, which notes that death and serious injury are unacceptable, that humans make mistakes and are vulnerable, that responsibility is shared, that safety is proactive, and that system redundancy is critical;
- Accelerate advanced technology; and
- Integrating equity by ensuring that the goals of the Strategic Highway Safety Plan (SHSP) and HSIP are incorporated into engineering processes to help traditionally underserved populations.

The change to Safe Systems approach is a paradigm shift in roadway safety philosophy. Whereas before we wanted to prevent collisions, we now want to prevent death and serious injuries. Before, we wanted to improve human behavior, we now recognize that humans make mistakes and humans are vulnerable and we need to take that into account for roadway design to help drivers avoid serious injuries and deaths.

## *FHWA PROVEN SAFETY COUNTERMEASURES*

Double down on what works. This pillar identifies FHWA Proven Safety Countermeasures through the Everyday Counts program.

### General for All Alternatives

- Median Barrier – the primary purpose of this project is to install PCC MB for the purpose of reducing fatal and serious injury cross median collisions. This will assist all drivers.
- Safety Edge – is applicable as required by the appropriate Caltrans Standard Plans. This will assist all drivers.
- Road Safety Audit – was completed in 2020 for the SR 49 corridor from Grass Valley to Interstate 80 in Auburn. This segment and this project were both part of the multi-agency group conducting the Road Safety Audit.
- Corridor Access Management – this countermeasure refers to control of entry and exit points from the highway. The PCC MB meets this requirement, since it prevents both left turns from the mainline highway and from the secondary roads/driveways within the project. This will assist all drivers.
- Enhanced Delineation and Friction for Curves – this project includes the following:
  - Pavement markings –The Department uses a standard 6” wide Enhanced Wet Night Visibility (EWNV) thermoplastic striping. EWNV striping adds both a high level of initial and long-term luminescence and a multi-faceted bead to the standard thermoplastic. This multifaceted bead reflects light on wet pavement back to the driver, which coupled with the wider stripe width further enhances the visibility of the striping at both night and when the pavement is wet. These assist all drivers.
  - Post mounted delineation – all curves through the project are evaluated for compliance with California Manual of Uniform Traffic Control Devices (CA MUTCD) Section 2C.09 for additions of chevrons for curve delineation. These assist all drivers.
  - Larger signs and signs with enhanced retroreflectivity - All speed limit signs will have the size increased to the maximum allowed by the CA MUTCD for a conventional highway. Caltrans already uses Type XI retroreflective sheeting as a standard and this is the highest standard retroreflective sheeting available in the industry at this time. These assist all drivers.
  - Dynamic advance curve warning signs and sequential curve signs - all curves through the project are evaluated for compliance with California Manual of Uniform Traffic Control Devices (CA MUTCD) Section 2C.09 for additions of sequential chevrons for curve delineation. These assist all drivers.
  - Curve correction and new Gap Graded Rubberized HMA pavement –The Department will place a Gap Graded Rubberized HMA pavement as the final riding surface. This riding surface will have a higher frictional coefficient than the existing pavement. Traffic Safety does not see the need for the extra expenditure for high friction surface treatment at this time due to the new pavement being placed. This will assist all drivers.

### Roundabout Specific

- Roundabouts – The FHWA Proven Safety Countermeasures website discussion from the Highway Safety Manual on roundabouts, roundabouts have a 82% reduction in severe crashes versus a two way stop controlled intersection and 78% reduction in severe crashes versus a signalized intersection. This is a critical part of the Safe System approach. This will assist all drivers.

#### Traffic Signal Specific

- Backplates with Reflective Borders – this is now a Department standard. This will assist all drivers.

#### *ACCELERATE ADVANCED TECHNOLOGY*

This is a new discussion and the Department is still in the learning process of what new technologies can be applied. There are a couple of advanced technologies being considered for this project:

Roundabout and Traffic Signals – The use of overhead cantilevered flashing beacons with either an Extinguishable Message Sign or Type XI sheeting retroreflective sign in advance of the roundabouts/signals is being discussed.

Traffic Signal – Because of the extended traffic queuing expected during the peak hour (discussed below) there is consideration of using an automated advanced signal warning system that would detect when queuing reached a specific point and/or traffic speeds reduced to a specific point. The system would then activate a Portable Changeable Message sign upstream to alert drivers of either slowed or stopped traffic ahead.

#### *SAFE SYSTEM APPROACH*

The goal here is to Lead Safety Culture Change by:

- Prevent Death and Serious Injuries – this project is being designed to provide a more forgiving roadway to all drivers, including young and elderly drivers, by adding a PCC MB and addressing entry and exit type collisions at both Lone Star Road and Lorensen Road/Florence Lane by replacing the existing stop signs at those intersection and providing for a safer exit movement off SR 49.
- Design for Human Mistakes and Limitations – the incidence of cross median collisions is the primary reason this project is being developed. Prevent Death and Serious Injuries has additional applicable discussion.
- Reduce System Kinetic Energy/Control Speeding – Speeding is an expressed concern of the community that lives along and travels on SR 49. Regulatory speed limits are governed by the California Vehicle Code (CVC), Division 11, Chapter 3, Driving, Overtaking, and Passing, Section §21651, and Chapter 7, Speed Laws, Section §22349, respectively and the standard for this facility is 65 mph because it is considered to be a divided highway. The CHP has stated to the Department that they would enforce a 55 mph speed limit because it conflicts with the CVC. It should be noted that if roundabouts are selected as the final alternative then traffic will have to slow to about 25 mph to be able to enter and pass through the roundabout prior to accelerating again. This will reduce the system kinetic energy both prior to and shortly after the roundabouts. Signals will only have this impact when a red phase is in place.

- Coordinate and Share Responsibility – by providing a more forgiving roadway environment for all drivers this should make it easier for all drivers, including the young and elderly, to pass through this corridor safely.
- Proactively Address Risks – this project was identified through the Multi-Lane Cross Median Monitoring program, which is a program that specifically searches the collision database for criteria that equates to cross median collisions. The addition of PCC MB and other low-cost proven countermeasures, such as rumble strips, increased sign sizes, enhanced visibility of striping and signage, etc. are all proactive engineering measures to reduce the future potential of collisions in this corridor.

*INTEGRATE EQUITY*

The goal here is to ensure that the processes, strategies and outcomes of the SHSP and HSIP serve all, but particularly vulnerable and traditionally underserved populations.

According to a California State Transportation report, within the U.S. in 2017, there were 37,133 people killed in motor vehicle traffic crashes. Additionally, in the same year 2,746,000 people were injured. Traffic crashes have economic costs as well, which was estimated at \$242 billion nationally. In California, nearly 3,600 people die each year in traffic crashes and more than 13,000 people are severely injured. Collectively, these traffic crashes cost California over \$53.5 billion.

It is important to start by reviewing the cost of fatal, injury and PDO type collisions:

**FHWA National Comprehensive Crash Costs, 2016 Dollars**

Crash Severity	Comprehensive Crash Unit Costs
Fatal	\$11,295,400
Suspected Serious Injury	\$655,000
Suspected Minor Injury	\$198,500
Possible Injury	\$125,600
Property Damage Only	\$11,900

The table shows the need for additional emphasis and more comprehensive consideration and analysis of fatal and serious injury collisions versus minor injury, possible injury or PDO collisions relative to the cost to those involved, to their local communities and to society. This project has identified a collision pattern requiring correction.

There are a disproportionate number of fatal and serious injury collisions on rural roadways. Consider rural versus urban area Vehicle Miles Traveled (VMT) and collision rates. According to a 2015 Federal Office of Energy report on VMT, California rural roads have 15.7% of VMT while urban roads have 84.3% of VMT (California is one of only four states with a greater than 80% urban VMT).

Now consider fatal collision rates in California for 2016 from the NHTSA which shows that 42% of fatal collisions occurred on rural roads and 53% on urban roads (3% were unknown). The Caltrans 2017 Collision Data on California State Highways data shows 42% of fatal collisions on rural roads and 58% on urban roads. A quick analysis of this data shows that almost half the fatalities are occurring on rural roadways which have 17 percent of the volume of the urban roadways. This segment of SR 49 is considered rural and the collision patterns show an equity issue.

**ALTERNATIVE DISCUSSION**

Three potential alternatives to provide U-turn movements were developed these included:

- A 2 lane roundabout.
- A traffic signal system with U-turns and acceleration lanes to rejoin mainline traffic in the right lane.
- A Restricted Crossing U-Turn (RCUT) movement, described below.

#### *ROUNDAABOUT*

This alternative is for a 2 lane roundabout.

- Requires traffic to slow at entrance point to near 25 mph, this will provide additional benefits to slow traffic through speeds for short distances on the approaches and departures for the project corridor.
- According to the TAR, queuing should be 200 feet or less in the peak hour.
- Because of the entry, circulatory, and exit speeds being below 30 mph, collision severity should be reduced due to the lower speeds of all vehicles.
- According to the Insurance Institute of Highway Safety and FHWA roundabout typically achieve a 37 percent reduction in overall collisions, a 75 percent reduction in severe collisions and a 90 percent reduction in fatalities versus a two way stop controlled intersection. There is also at least a 75 percent reduction in injury collisions versus a signalized intersection.

#### *TRAFFIC SIGNAL*

This alternative is for a traffic signal with widening outside the existing shoulder to allow a design vehicle to make a U turn and an acceleration lane is provided for U turning traffic to rejoin the traffic flow safely.

- According to the TAR, queuing for the traffic signal systems will be between 1100 and 1175 feet in the peak hour in the build year.
  - The queuing for the traffic signal system will require additional advanced warning, to include flashing beacons on an overhead mast arm over a traffic lane with either an Extinguishable Message Sign or an oversized standard sign.
- Traffic signals result in an increased number of collisions but a reduction in severity versus a two way stop controlled intersection.

#### *RCUT*

An RCUT has a primary design feature of only allowing right turn movements from the secondary road.

- For clarification purposes the term RCUT is often used interchangeably with J-Turn, however, there are differences which are important to this discussion.
  - The RCUT allows traffic at the secondary road a right turn movement only, they must then move into the left lane and then into the U turn pocket in the existing median. Once in the median, drivers must cross opposing traffic lanes to finish their U-turn outside the opposing travel lanes and then have an acceleration lane provided to rejoin the mainline traffic flow in the right lane and then proceed downstream to make their right turning movement. Standard design provides a loon turn, which only provides approximately 425 feet for U turning traffic to rejoin the mainline traffic flow, however, with the prevailing traffic speed and volumes,

respectively, it was determined that an acceleration lane was required at this location in order to achieve optimal safety results.

- The J-Turn, which is used most often in the Midwest, has a slightly different concept in that it requires a much wider median area. Drivers have a median side deceleration lane and make their U-turn completely within the median area and then have an acceleration lane to rejoin traffic in the left lane of travel. Drivers must then move to the right lane to be able to make their right turning movement downstream.
- The significant difference between the RCUT and J-Turn is the cross traffic turning movement. For the J-Turn, drivers are making multiple merging movements across traffic lanes versus the RCUT where drivers have to cross the opposing lanes of travel.
- Note that when drivers make a standard 90 degree left turn movement they are able to accelerate across traffic and move onto the side street while continuing to accelerate to the posted speed limit. The RCUT requires drivers to make a 180 degree U-turn movement and once drivers start that movement, they have to maintain a much slower speed to make the 180 degree turn and to get onto the acceleration lane, where they can accelerate to rejoin the mainline traffic flow. This means that drivers making a RCUT movement have additional time being exposed to oncoming/cross traffic due to slower speeds versus making a standard left turn movement. This will be magnified even further if the vehicle is larger, such as a tractor trailer, RV, or fire truck, or is towing a trailer, whether that is an RV, animal, or work product trailer, respectively.
- RCUT also requires a more complicated pedestrian movement that is not completely tangential across the roadway and requires the pedestrian to cross the traffic lanes tangentially, then make a diagonal movement across the RCUT then cross the opposing lanes tangentially on the opposite side of the cross street from where the pedestrian started. The issue with this would be the challenge for the visually impaired. The Department would have to place curbing to guide the visually impaired pedestrian along the desired path, however, curbs are not desirable on high speed roadways due to the potential for an errant driver to leave their assigned lane and vault the curb.

**Appendix H. Comment Letters and Responses (if not included in Chapter 4; for final document only)**

## **List of Technical Studies**

Air Quality Report

Energy Analysis Report

Noise Study Report

Water Quality Assessment

Natural Environment Study

Floodplain Hydraulics Study

Historical Property Survey Report

- Archaeological Survey Report

Hazardous Waste Reports

- Initial Site Assessment

Visual Impact Assessment

Paleontological Identification Report

Community Impact Assessment