

# State Route 4 Pavement Anchor Project

Multi-objective pavement anchor project in Alpine County along State  
Routes 4 and 89

10-ALP-4, -89 Post Miles 0.0 to 31.7, 10.84

Project Number 1018000271

## Initial Study with Proposed Mitigated Negative Declaration and Section 4(f) De Minimis Determination

Volume 1 of 2



Prepared by the  
State of California Department of Transportation

**February 2022**



## General Information About This Document

### ***What's in this document:***

The California Department of Transportation (Caltrans) has prepared this Initial Study, which examines the potential environmental impacts of alternatives being considered for the proposed project in Alpine County in California. The document explains why the project is being proposed, the alternatives being considered for the project, the existing environment that could be affected by the project, potential impacts of each of the alternatives, and proposed avoidance, minimization, and/or mitigation measures.

### ***What you should do:***

- Please read the document. Additional copies of the document and the related technical studies are available for review at the Caltrans District 10 office at 1976 East Doctor Martin Luther King Junior Boulevard, Stockton, California 95205; Alpine County Library at 270 Laramie Street, Markleeville, California 96120; Bear Valley Branch Library at 367 Creekside Drive, Bear Valley, California 95223.
- Tell us what you think. If you have any comments regarding the proposed project, please send your written comments to Caltrans by the deadline. Submit comments via U.S. mail to: C. Scott Guidi, Senior Environmental Planner, District 10 Environmental Division, California Department of Transportation, 1976 East Doctor Martin Luther King Junior Boulevard, Stockton, California 95205. Submit comments via email to: [scott.guidi@dot.ca.gov](mailto:scott.guidi@dot.ca.gov).
- Submit comments by the deadline: March 28, 2022

### ***What happens next:***

After comments are received from the public and the reviewing agencies, Caltrans may: 1) give environmental approval to the proposed project, 2) do additional environmental studies, or 3) abandon the project. If the project is given environmental approval and funding is appropriated, Caltrans could design and construct all or part of the project.

Printing this document: To save paper, this document has been set up for two-sided printing (to print the front and back of a page). Blank pages occur where needed throughout the document to maintain proper layout of the chapters and appendices.

For individuals with sensory disabilities, this document can be made available in Braille, in large print, on audiocassette, or on computer disk. To obtain a copy in one of these alternate formats, please write to or call Caltrans, Attention: C. Scott Guidi, Senior Environmental Planner, District 10 Environmental Division, 1976 East Doctor Martin Luther King Junior Boulevard, Stockton, California 95205; phone number (209) 479-1839 (Voice), or use the California Relay Service 1-800-735-2929 (Teletype to Voice), 1-800-735-2922 (Voice to Teletype), 1-800-855-3000 (Spanish Teletype to Voice and Voice to Teletype), 1-800-854-7784 (Spanish and English Speech-to-Speech), or 711.

Multi-objective pavement anchor project on State Route 4 from post miles 0.0  
to 31.7 and State Route 89 at post mile 10.84

**INITIAL STUDY  
with Proposed Mitigated Negative Declaration and Section 4(f)  
De Minimis Determination**

Submitted Pursuant to: (State) Division 13, California Public Resources Code

THE STATE OF CALIFORNIA  
Department of Transportation



---

James P. Henke  
Office Chief, District 10 Environmental  
California Department of Transportation  
CEQA Lead Agency

**February 7, 2022**

---

Date

The following individual can be contacted for more information about this document:

C. Scott Guidi, Senior Environmental Planner  
1976 East Doctor Martin Luther King Junior Boulevard  
Stockton, California 95205  
(209) 479-1839





**DRAFT**

## **Proposed Mitigated Negative Declaration**

Pursuant to: Division 13, Public Resources Code

**District-County-Route-Post Mile:** 10-ALP-4, -89 PM 0.0 to PM 31.7, PM 10.84  
**EA/Project Number:** EA 10-0J720 and Project Number 1018000271

### **Project Description**

The California Department of Transportation (Caltrans) is proposing a multi-objective anchor project and rehabilitation of existing asphalt concrete pavement on State Route 4 in Alpine County from post miles 0.0 to 31.7 and State Route 89 at post mile 10.84. The rehabilitation includes overlaying, digging out and cold-planing the roadway surface, upgrading asphalt concrete dikes and curbs, and constructing shoulder backing. The work would also modify or install the following assets to current standards: culverts, bridge-approaching metal beam guardrails, roadside signs, overhead sign structures, and maintenance vehicle pullouts.

### **Determination**

An Initial Study has been prepared by Caltrans, District 10.

On the basis of this study, it is determined that the proposed action with the incorporation of the identified mitigation measures will not have a significant effect on the environment for the following reasons:

- *Compensatory Mitigation: Wetlands and Other Waters of the United States*—The permanent loss of potentially jurisdictional waters of the United States is proposed to be compensated by Caltrans' participation in either an approved Mitigation Bank or the Sacramento U.S. Army Corps of Engineers' and National Fish and Wildlife Foundation's "In-Lieu Fee" program to ensure "no net loss" of functions and values of potentially jurisdictional waters of the United States.
- *Compensatory Mitigation: Riparian Vegetation*—The permanent loss of riparian vegetation is expected to require a 3 to 1 compensation ratio by the California Department of Fish and Wildlife. Caltrans therefore proposes to compensate for the loss of approximately 0.10-acre of riparian vegetation with the establishment of 0.30-acre of riparian vegetation at an undetermined onsite (within the project limits) or offsite location.

---

James P. Henke  
Office Chief, District 10 Environmental  
California Department of Transportation

---

Date



# Table of Contents

|                   |  |    |
|-------------------|--|----|
| <b>Chapter 1</b>  | Proposed Project.....  | 1  |
| 1.1               | Introduction .....   | 1  |
| 1.2               | Purpose and Need .....   | 1  |
| 1.2.1             | Purpose.....   | 1  |
| 1.2.2             | Need.....  | 3  |
| 1.3               | Project Description .....  | 3  |
| 1.4               | Project Alternatives .....   | 4  |
| 1.4.1             | Build Alternatives.....  | 4  |
| 1.4.2             | No-Build (No-Action) Alternative .....   | 5  |
| 1.5               | Standard Measures and Best Management Practices Included in All Build Alternatives ..... | 6  |
| 1.6               | Discussion of the NEPA Categorical Exclusion.....  | 7  |
| 1.7               | Permits and Approvals Needed.....  | 7  |
| <b>Chapter 2</b>  | CEQA Evaluation .....  | 9  |
| 2.1               | CEQA Environmental Checklist.....  | 9  |
| 2.1.1             | Aesthetics .....   | 9  |
| 2.1.2             | Agriculture and Forest Resources.....  | 11 |
| 2.1.3             | Air Quality .....  | 12 |
| 2.1.4             | Biological Resources.....  | 13 |
| 2.1.5             | Cultural Resources.....  | 33 |
| 2.1.6             | Energy.....  | 35 |
| 2.1.7             | Geology and Soils .....  | 35 |
| 2.1.8             | Greenhouse Gas Emissions.....  | 36 |
| 2.1.9             | Hazards and Hazardous Materials.....   | 38 |
| 2.1.10            | Hydrology and Water Quality .....  | 39 |
| 2.1.11            | Land Use and Planning .....  | 40 |
| 2.1.12            | Mineral Resources .....  | 40 |
| 2.1.13            | Noise.....   | 40 |
| 2.1.14            | Population and Housing.....  | 41 |
| 2.1.15            | Public Services.....   | 41 |
| 2.1.16            | Recreation .....   | 42 |
| 2.1.17            | Transportation .....   | 42 |
| 2.1.18            | Tribal Cultural Resources .....  | 43 |
| 2.1.19            | Utilities and Service Systems .....  | 44 |
| 2.1.20            | Wildfire.....  | 44 |
| 2.1.21            | Mandatory Findings of Significance .....   | 45 |
| <b>Appendix A</b> | Title VI Policy Statement .....  | 47 |
| <b>Appendix B</b> | Section 4(f) Documentation .....   | 49 |





# **Chapter 1**      Proposed Project

---

## **1.1 Introduction**

The California Department of Transportation (Caltrans) is the lead agency under the California Environmental Quality Act (known as CEQA) and the lead agency under the National Environmental Policy Act (known as NEPA).

This project proposes to rehabilitate the existing asphalt concrete on State Route 4 in Alpine County from the Calaveras and Alpine county line to 165 feet west of the State Route 89 junction, stretching from post miles 0.0 to 31.7. The project would also construct a maintenance vehicle pullout on State Route 89 in Alpine County at post mile 10.84. The project encompasses areas such as Bear Valley, Alpine Lake, Mosquito Lake, Pacific Valley, and Ebbetts Pass. See Figures 1-1 and 1-2.

The project is considered a multi-objective anchor project because it combines several project features into one main project. Along with the rehabilitation of existing asphalt concrete, the project would also install or modify the following assets to current standards:

- 66 culverts
- 7 bridge-approaching metal beam guardrails
- 274 roadside signs
- 2 overhead sign structures
- 2 maintenance vehicle pullouts

Permanent easements and temporary construction easements would be required. Project activities would include work off the paved road, trenching, grading, drainage work, work in a channel, tree removal, vegetation removal, and night work. During construction, one-way traffic control would be used as much as possible; full closures may be needed where the roadway is narrow.

## **1.2 Purpose and Need**

### **1.2.1 Purpose**

The purpose of this multi-objective project is to improve the pavement condition, culvert drainage systems, visibility of the roadside signs, and roadside safety devices for maintenance workers on State Routes 4 and 89 within the project limits.

Figure 1-1 Project Vicinity Map

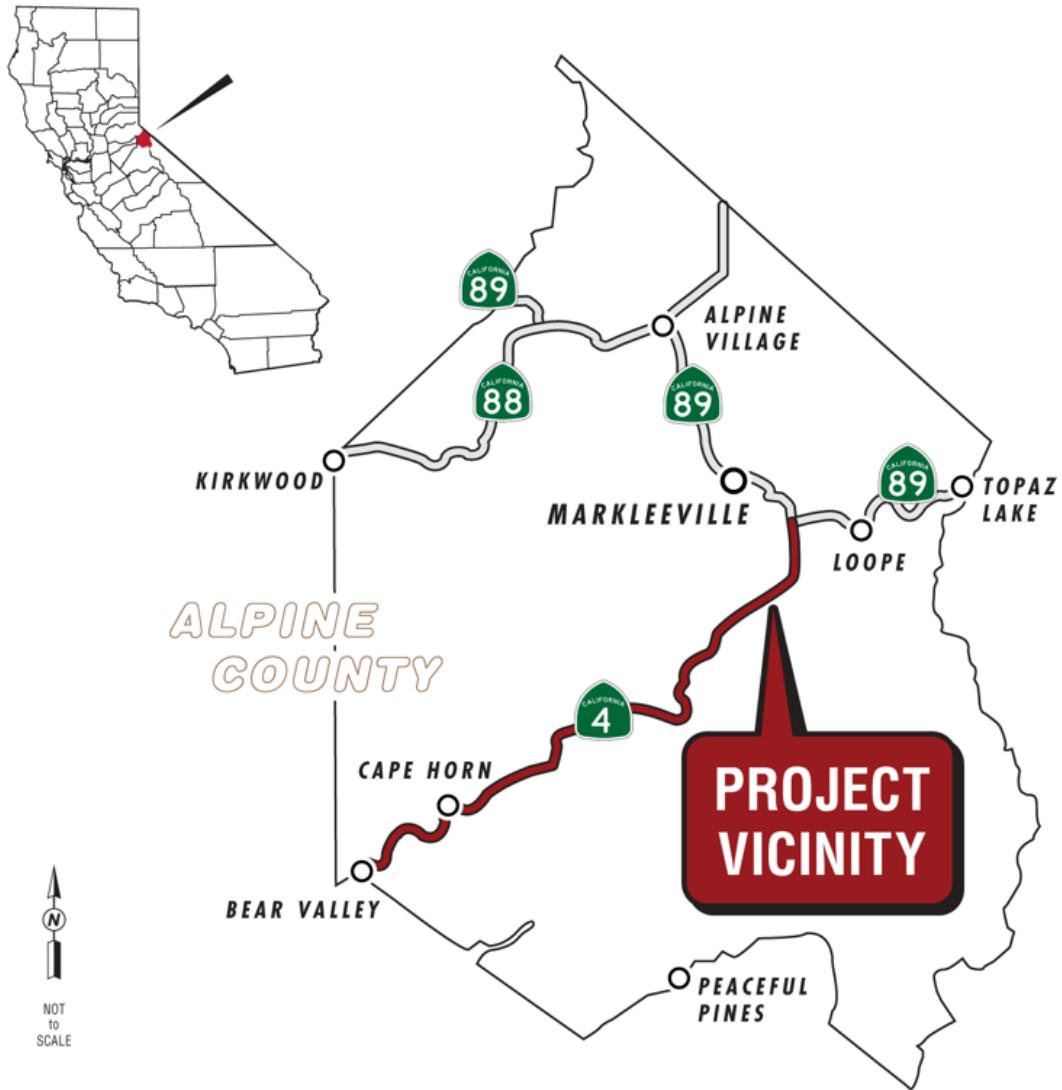
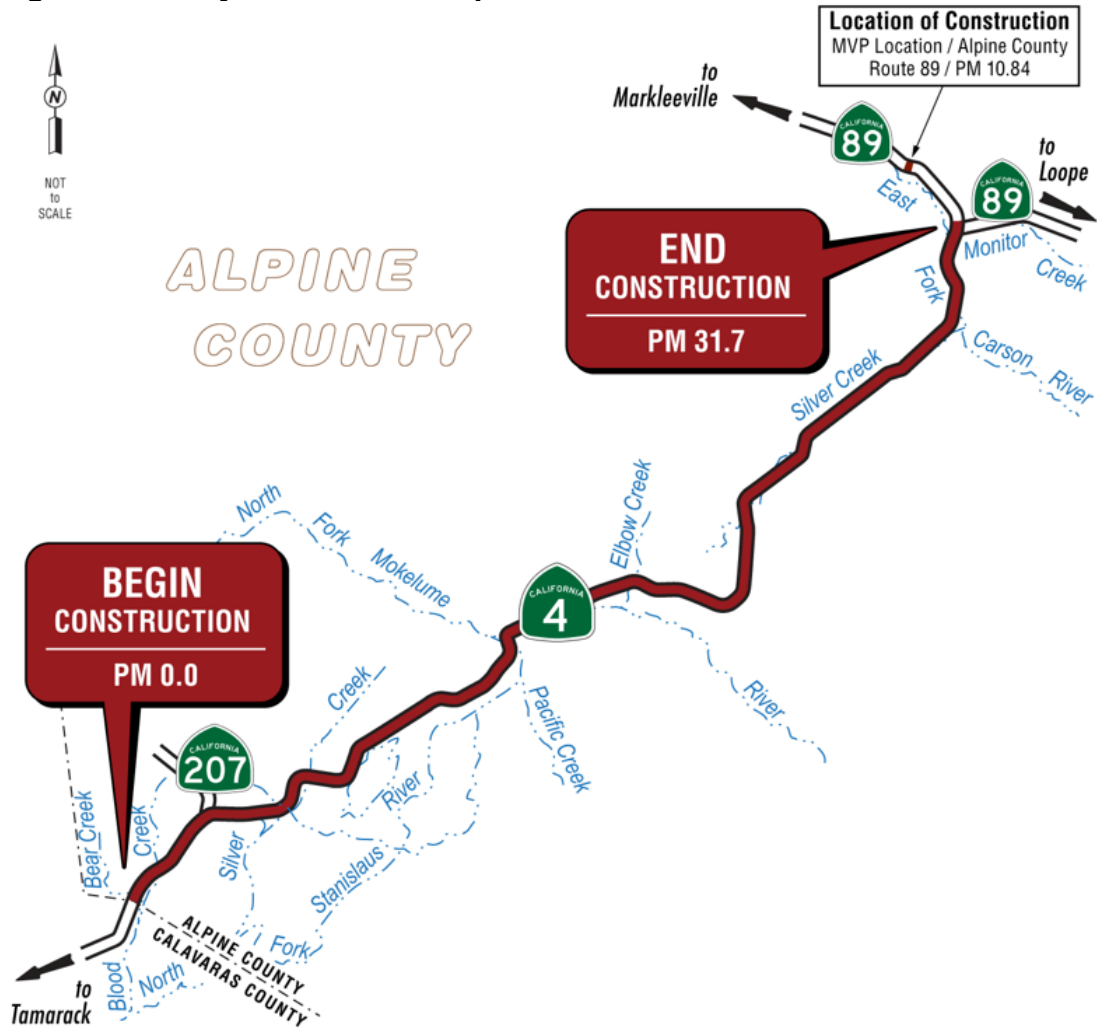


Figure 1-2 Project Location Map



### 1.2.2 Need

The project is needed to preserve and extend the service life of the existing pavement within the project limits. The existing pavement has minor cracks on the surfaces, settlement, and crumbled edges. In addition, there is a need to address deteriorating culvert drainage systems, update nonstandard guardrail systems and nonstandard ground-mounted road signs, and provide maintenance vehicle pullouts for the safety of maintenance workers.

### 1.3 Project Description

Caltrans is proposing a multi-objective anchor project and rehabilitation of existing asphalt concrete pavement on State Route 4 in Alpine County from post miles 0.0 to 31.7 and State Route 89 at post mile 10.84. The rehabilitation includes digging out, cold-planing, and overlaying the roadway

surface, upgrading asphalt concrete dikes and curbs, and constructing shoulder backing. This project would also modify or install the following assets to current standards: culverts, bridge-approaching metal beam guardrails, roadside signs, overhead sign structures, and maintenance vehicle pullouts.

## 1.4 Project Alternatives

This section describes the proposed project alternatives developed to meet the purpose and need of the project, while avoiding or minimizing environmental impacts. Under consideration for the project are a build alternative and a no-build alternative.

### 1.4.1 Build Alternatives

The build alternative would rehabilitate the existing asphalt concrete pavement and install or modify additional roadway features to current standards on State Route 4 in Alpine County from post miles 0.0 to 31.7 and State Route 89 at post mile 10.84. Project work would include the following:

#### ***Pavement Rehabilitation***

The pavement rehabilitation would include the following processes:

- *Digging out*: removal and replacement of most, if not all, of the underlying base and sub-base materials.
- *Cold-planing*: the controlled removal of the existing pavement surface.
- *Overlaying*: paving method of applying a new layer of asphalt to a deteriorating surface.
- *Installing or modifying asphalt concrete dikes and curbs*: a ridge constructed on the edge of the road to direct runoff to a sediment-trapping device.
- *Shoulder backing*: a thin course of granular material that is used to protect the outside edge of the pavement by providing support that prevents the edge from cracking and pavement edge loss.

Throughout the project limits, the upper 0.25-inch of asphalt concrete pavement would be removed (dig out) along with the process of cold-planing the surface. Once the pavement surface is removed, it would then be replaced with a 0.25-inch layer of hot-mix asphalt concrete (overlay). The pavement rehabilitation would also include adding shoulder backing and asphalt concrete dikes and curbs to the newly paved surface.

### ***Culvert Replacement and Installation***

Existing culverts throughout the project limits would be replaced by reinforced concrete pipe culverts. Rock slope protection would be added to certain culverts for erosion control. Flared end sections would be added to culverts. Depending on culvert depth, one of two installation methods would be used:

- Cut-and-cover installation and/or replacement: an excavator would excavate down to the existing culvert, exposing it so that the excavator can remove the old pipe. After the new culvert is then placed into the trench, the trench is then filled back in with sediment and compacted for stability.
- Jack-and-bore culvert installation: two pits will be dug on either side of the culvert for a sending pit and receiving pit. A jack-and-bore machine would be placed in the sending pit and cut a hole underground horizontally from the sending pit to the receiving pit without disturbing the surface above. A new culvert would be pushed through the horizontal hole and set in place. The area would then be refilled and compacted for stability.

### ***Maintenance Vehicle Pullouts Installation***

Installation of maintenance vehicle pullouts would require grading and paving the currently unpaved shoulder areas next to the existing highway shoulders.

### ***Metal Beam Guardrail Replacement***

Existing metal beam guardrail systems would be dismantled and completely removed of existing rail posts and would be replaced in kind with updated guardrail systems that meet current standards.

### ***Roadside Sign Replacement***

Installation of roadside signs would entail the replacement of both signs and posts. The updated signs and posts would meet current standards.

This project contains several standardized project measures that are used on most, if not all, Caltrans projects and were not developed in response to any specific environmental impact resulting from the proposed project. These measures are listed later in this chapter under “Standard Measures and Best Management Practices Included in All Build Alternatives.”

## **1.4.2 No-Build (No-Action) Alternative**

Under the no-build alternative, the existing pavement on State Route 4 from post miles 0.0 to 31.7 would remain in its current condition with minor cracks on the surfaces and crumbled edges. The following assets would remain in their current non-standard condition: culverts, bridge-approaching metal beam guardrails, and roadside signs. Maintenance vehicle pullouts, used to minimize or eliminate maintenance worker exposure to traffic, would remain unbuilt on State Routes 4 and 89.

## **1.5 Standard Measures and Best Management Practices Included in All Build Alternatives**

**AQ 1**—Caltrans Standard Specifications Section 14-9.02, Air Pollution Control, requires the contractor to comply with air pollution control rules, ordinances, and regulations.

**AQ 2**—Caltrans Standard Specifications Section 10-5, Dust Control, requires the contractor to comply with dust control rules, ordinances, and regulations.

**CU 3**—Previously Unidentified Cultural Materials: If previously unidentified cultural materials are unearthed during construction, it is Caltrans' policy that work be halted in that area until a qualified archaeologist can assess the significance of the find.

**GHG 1**—Reduce construction waste and maximize the use of recycling materials.

**GHG 2**—Incorporate measures to reduce consumption of potable water.

**GHG 3**—Maintain equipment in proper tune and working condition.

**GHG 4**—Right size equipment for the job.

**GHG 5**—Roadside signs will use reflective sign materials illuminated by headlights rather than electric lights.

**HW 1**—Caltrans Standard Special Provision pertaining to Earth Material Containing Lead, 7-1.02K(6)(j)(iii) shall be added to the construction contract. A lead compliance plan is required.

**HW 2**—A project-specific survey for asbestos-containing material shall be conducted on project bridges prior to construction activities.

**HW 3**—A project-specific survey for lead-based paint on project bridges shall be conducted prior to construction activities.

**HW 4**—The Caltrans Standard Special Provision, 14-11.14, which pertains to treated wood waste, shall be added to the construction contract.

**NQ 1**—Caltrans Standard Specification 14-8.02, Noise Control, which regulates construction noise resulting from work activities.

**NQ 2**—Do not exceed 86 dBA Lmax at 50 feet from the job site activities from 9:00 p.m. to 6:0 a.m.

**NQ 3**—Equip an internal combustion engine with the manufacturer-recommended muffler. Do not operate an internal combustion engine on the job site without the appropriate muffler.

**WQ 1**—Caltrans Standard Specifications Section 13-1, Water Pollution Control, will be added to the construction contract. The contractor must abide by best management practices and address all potential water quality impacts that may occur during construction.

## **1.6 Discussion of the NEPA Categorical Exclusion**

This document contains information regarding compliance with the California Environmental Quality Act (CEQA) and other state laws and regulations. Separate environmental documentation, supporting a Categorical Exclusion determination, will be prepared in accordance with the National Environmental Policy Act. When needed for clarity, or as required by CEQA, this document may contain references to federal laws and/or regulations (CEQA, for example, requires consideration of adverse effects on species identified as a candidate, sensitive, or special-status species by the U.S. National Marine Fisheries Service and the U.S. Fish and Wildlife Service—that is, species protected by the Federal Endangered Species Act).

## **1.7 Permits and Approvals Needed**

The following permits, licenses, agreements, and certifications are required for project construction:

| <b>Agency</b>                              | <b>Permit/Approval</b>   | <b>Status</b>   |
|--|--|---|
| U.S. Fish and Wildlife Service             | Informal consultation for the Sierra Nevada yellow-legged frog and Yosemite toad. Formal consultation for the Lahontan cutthroat trout | A Biological Assessment is anticipated to be sent to the U.S. Fish and Wildlife Service in January 2022.                    |
| U.S. Army Corps of Engineers               | 404 Nationwide Permit  | Application for the 404 Nationwide permit is expected during the Plans, Specifications, and Estimates phase of the project. |
| Regional Water Quality Control Board       | 401 Certification  | Application for the 401 Certification is expected during the Plans, Specifications, and Estimates phase of the project.     |
| California Department of Fish and Wildlife | 1600 Agreement   | Application for the 1600 Agreement is expected after the final environmental document distribution.                         |



# Chapter 2 CEQA Evaluation

---

## 2.1 CEQA Environmental Checklist

This checklist identifies physical, biological, social, and economic factors that might be affected by the proposed project. Potential impact determinations include Significant and Unavoidable Impact, Less Than Significant Impact With Mitigation Incorporated, Less Than Significant Impact, and No Impact. In many cases, background studies performed in connection with a project will indicate that there are no impacts to a particular resource. A “No Impact” answer reflects this determination. The questions in this checklist are intended to encourage the thoughtful assessment of impacts and do not represent thresholds of significance.

Project features, which can include both design elements of the project and standardized measures that are applied to all or most Caltrans projects such as Best Management Practices and measures included in the Standard Plans and Specifications or as Standard Special Provisions, are considered to be an integral part of the project and have been considered prior to any significance determinations documented below.

“No Impact” determinations in each section are based on the scope, description, and location of the proposed project as well as the appropriate technical report (bound separately in Volume 2), and no further discussion is included in this document.

### 2.1.1 Aesthetics

Considering the information in the Scenic Resource Evaluation dated April 17, 2021, the following significance determinations have been made:

Except as provided in Public Resources Code Section 21099:

| Question—Would the project:  | CEQA Significance Determinations for Aesthetics |
|--|---|
| a) Have a substantial adverse effect on a scenic vista?  | <b>Less Than Significant Impact</b>             |
| b) Substantially damage scenic resources, including, but not limited to, trees, rock outcroppings, and historic buildings within a state scenic highway? | <b>Less Than Significant Impact</b>             |

| Question—Would the project:   | CEQA Significance Determinations for Aesthetics |
|---|---|
| c) In non-urbanized areas, substantially degrade the existing visual character or quality of public views of the site and its surroundings? (Public views are those that are experienced from a publicly accessible vantage point.) If the project is in an urbanized area, would the project conflict with applicable zoning and other regulations governing scenic quality? | <b>Less Than Significant Impact</b>             |
| d) Create a new source of substantial light or glare which would adversely affect day or nighttime views in the area?   | <b>No Impact</b>                                |

***Affected Environment***

The proposed project takes place on two officially designated scenic highways, State Routes 4 and 89. State Routes 4 and 89 cross the Sierra Nevada mountain range with various scenic views such as mountain peaks, meadows, forests, and lakes.

***Environmental Consequences***

The project would bring metal beam guardrails and culverts to current standards. Though metal beam guardrails and culverts currently exist within the visual setting of the project limits, temporary construction work would remove trees and vegetation, which could temporarily impact the visual forest setting. Adjustments should be made to the updated facilities to ensure they blend into the scenic environment.

***Avoidance, Minimization, and/or Mitigation Measures***

The following avoidance and minimization measures would be implemented to minimize the impacts on aesthetic resources:

**VIS 1**—Minimal tree and vegetation removal would be implemented during design and construction phase to avoid cumulative impacts throughout the routes.

**VIS 2**—Adjust culvert locations (adjust culvert pipe angles) during the design phase of the project to avoid tree removal as much as feasibly possible.

**VIS 3**—Upgraded Midwest Guardrail Systems will require the use of Natina Stain to reduce glare and to help blend the new guardrail system to the existing environment and protect the scenic quality of the routes.

Mitigation provided for biological resources—measures BIO 7 and BIO 8—would be implemented to accommodate for onsite mitigation required for aesthetic resources.

### 2.1.2 Agriculture and Forest Resources

In determining whether impacts to agricultural resources are significant environmental effects, lead agencies may refer to the California Agricultural Land Evaluation and Site Assessment Model (1997) prepared by the California Department of Conservation as an optional model to use in assessing impacts on agriculture and farmland. In determining whether impacts to forest resources, including timberland, are significant environmental effects, lead agencies may refer to information compiled by the California Department of Forestry and Fire Protection regarding the state’s inventory of forest land, including the Forest and Range Assessment Project and the Forest Legacy Assessment Project; and the forest carbon measurement methodology provided in Forest Protocols adopted by the California Air Resources Board.

Considering the information in the Alpine County General Plan dated March 2017 and the Caltrans Geographic Information System Library accessed September 30, 2021, the following significance determinations have been made:

| <b>Question—Would the project:</b>   | <b>CEQA Significance Determinations for Agriculture and Forest Resources</b> |
|--|--|
| a) Convert Prime Farmland, Unique Farmland, or Farmland of Statewide Importance (Farmland), as shown on the maps prepared pursuant to the Farmland Mapping and Monitoring Program of the California Resources Agency, to non-agricultural use?   | <b>No Impact</b>   |
| b) Conflict with existing zoning for agricultural use, or a Williamson Act contract?   | <b>No Impact</b>   |
| c) Conflict with existing zoning, or cause rezoning of, forest land (as defined in Public Resources Code Section 12220(g)), timberland (as defined by Public Resources Code Section 4526), or timberland zoned Timberland Production (as defined by Government Code Section 51104(g))? | <b>No Impact</b>   |
| d) Result in the loss of forest land or conversion of forest land to non-forest use?   | <b>Less Than Significant Impact</b>  |

| Question—Would the project:   | CEQA Significance Determinations for Agriculture and Forest Resources |
|---|---|
| e) Involve other changes in the existing environment which, due to their location or nature, could result in conversion of farmland to non-agricultural use or conversion of forest land to non-forest use? | <b>No Impact</b>  |

***Affected Environment***

The project would occur in Alpine County along State Routes 4 and 89. The land is designated as open space, wilderness, and open recreation.

***Environmental Consequences***

The project would occur in U.S. Forest Service and publicly owned lands. Project work would include tree and vegetation removal. The number of trees being removed is unknown at this time.

***Avoidance, Minimization, and/or Mitigation Measures***

The following minimization measure would be implemented to minimize the impacts on agriculture and forest resources:

**VIS 1**—Minimal tree and vegetation removal would be implemented during design and construction phase to avoid cumulative impacts throughout the routes.

Mitigation provided for biological resources—measures BIO 7 and BIO 8—would be implemented to accommodate for onsite mitigation required for forest resources.

**2.1.3 Air Quality**

Where available, the significance criteria established by the applicable air quality management district or air pollution control district may be relied upon to make the following determinations.

Considering the information in the Air Quality Memorandum dated December 16, 2020, the following significance determinations have been made:

| Question—Would the project:   | CEQA Significance Determinations for Air Quality |
|---|--|
| a) Conflict with or obstruct implementation of the applicable air quality plan? | <b>No Impact</b>                                 |

| Question—Would the project:   | CEQA Significance Determinations for Air Quality |
|---|--|
| b) Result in a cumulatively considerable net increase of any criteria pollutant for which the project region is non-attainment under an applicable federal or state ambient air quality standard? | <b>No Impact</b>                                 |
| c) Expose sensitive receptors to substantial pollutant concentrations?  | <b>No Impact</b>                                 |
| d) Result in other emissions (such as those leading to odors) adversely affecting a substantial number of people?   | <b>No Impact</b>                                 |

**2.1.4 Biological Resources**

Considering the information in the Natural Environment Study dated November 17, 2021, the following significance determinations have been made:

| Question—Would the project:  | CEQA Significance Determinations for Biological Resources        |
|--|--|
| a) Have a substantial adverse effect, either directly or through habitat modifications, on any species identified as a candidate, sensitive, or special-status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Wildlife, U.S. Fish and Wildlife Service, or National Oceanic Atmospheric Administration Fisheries? | <b>Less Than Significant Impact With Mitigation Incorporated</b> |
| b) Have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, regulations or by the California Department of Fish and Wildlife or U.S. Fish and Wildlife Service?   | <b>Less Than Significant Impact With Mitigation Incorporated</b> |
| c) Have a substantial adverse effect on state or federally protected wetlands (including, but not limited to, marsh, vernal pool, coastal, etc.) through direct removal, filling, hydrological interruption, or other means?   | <b>Less Than Significant Impact With Mitigation Incorporated</b> |

| Question—Would the project:  | CEQA Significance Determinations for Biological Resources |
|--|---|
| d) Interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites? | <b>Less Than Significant Impact</b>                       |
| e) Conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance?  | <b>No Impact</b>  |
| f) Conflict with the provisions of an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan?   | <b>No Impact</b>  |

***Affected Environment***

The project is in a rural, forested area in Alpine County. Within the project area, surveys conducted by the biologist noted the following features:

*Wetlands and “Other Waters”*

During field surveys conducted in January 2021, potential wetlands and other waters of the United States were preliminarily identified. Wetland features include the presence of hydrophytic vegetation (plants that specifically grow in water) and hydric soils (soil that is permanently or seasonally wet). Other waters of the United States can be lakes, rivers, and streams. For this project, water features that would be considered other waters were potentially jurisdictional ephemeral (stream caused by precipitation), intermittent (seasonal stream), and perennial (flowing water all year) streams. Wetlands were observed adjacent to the streams or at the end of culverts.

*Natural Communities*

Riparian habitat, considered a natural community, was observed during preliminary research and biological surveys. Riparian habitats are found along the banks of rivers or streams and can be characterized by their vegetation. Types of riparian vegetation that occurs within the project area are willow scrub and lodgepole pine located beyond the upper edge of the riverbanks. The vegetation occurs within montane riparian zones, which are narrow, often dense groves of broad-leaved trees.

*Special-Status Animal Species*

The area in and around the project holds suitable habitat for several special-status species. The area is within the historic and current range for the Morrison bumblebee, western bumblebee, monarch butterfly (candidate for

listing under the Federal Endangered Species Act), Lahontan cutthroat trout (threatened species under the Federal Endangered Species Act), mountain sucker (species of concern by the California Department of Fish and Wildlife), mountain whitefish (species of concern by the California Department of Fish and Wildlife), Sierra Nevada yellow-legged frog (endangered species under the federal Endangered Species Act and listed as threatened under the California Endangered Species Act), Yosemite toad (threatened species under the federal Endangered Species Act and considered a species of concern by the California Department of Fish and Wildlife), southern long-toed salamander (species of concern by the California Department of Fish and Wildlife), tree-roosting bats and migratory birds or raptors, and fish species.

#### *Migratory Fish and Wildlife*

Suitable habitat for migratory birds or raptors occurs in and around the project area. No waters designated as essential fish habitat occur within the project area, but project culverts that occur on or near perennial streams may bear fish species.

For more information on wetlands and other waters, natural communities, special-status animal species, and migratory fish and wildlife, refer to the Natural Environment Study Chapter 4 (or available by request) in Volume 2 of this document.

#### ***Environmental Consequences***

The project would include various construction activities that could cause impacts to biological resources in and around the project area. These activities may include vegetation removal, grading, trenching, and drainage work for culvert replacement and modification.

The project area is in habitat that is used or could be used by the Morrison bumblebee, western bumblebee, monarch butterfly, Lahontan cutthroat trout, mountain sucker, mountain whitefish, Sierra Nevada yellow-legged frog, Yosemite toad, southern long-toed salamander, tree-roosting bats and migratory birds or raptors, and fish species. The Natural Environment Study determined any effects to these special-status species, wetlands and other waters of the United States, and riparian vegetation would be less than significant with the implementation of avoidance, minimization, and mitigation measures BIO 1 through BIO 24, as discussed in the Avoidance, Minimization, and/or Mitigation Measure section below.

#### *Wetlands and "Other Waters"*

Project drainage work affecting potential waters of the United States and/or waters of the State of California includes the replacement or installation of highway drainage culverts using both cut-and-cover and jack-and-bore methodology, installing culvert end treatments, and streambank erosion

control using rock slope protection. Also, access to culvert inlet and outlet areas may require woody or shrubby vegetation clearing and vegetation trimming. For information on the location or impacts for each culvert for this project, see Chapter 4 of the Natural Environment Study. Amounts (below) used to determine impacts (cubic yards or acres) are approximate and may be updated when the project reaches the Plans, Specifications, and Estimates phase of the project.

#### *Other Waters of the United States*

The project would result in approximately 102.95 cubic yards of permanent fill, in the form of culvert end treatments such as rock slope protection and flared end sections. The permanent fill would take place in an area of approximately 0.03 acre of potentially jurisdictional ephemeral, intermittent, and perennial streams.

The project would result in a temporary disturbance of approximately 0.17 acres from contractor access to culvert inlets and outlets and by temporary water diversion activities such as cofferdams, which are structures designed to keep water out of the work area. Streams at post miles 5.86, 18.92, 19.88, and 21.01 are expected to have flowing or standing water, so temporary cofferdams may be used for temporary water diversion. Temporary cofferdams at these locations are expected to result in the temporary fill of 7.46 cubic yards.

#### *Wetlands*

The project will result in a total of approximately 23.97 cubic yards of permanent fill by using culvert end treatments including rock slope protection and culvert flared end sections. The permanent fill would take place in an area of approximately 0.007 acre of potentially jurisdictional wetlands. Approximately 0.35 acre of potentially jurisdictional wetlands would be temporarily disturbed by ditch grading activities and contractor access to culvert inlets and outlets near the Bear Valley road intersection.

Due to both temporary and permanent impacts to wetlands and other waters of the United States, the following permits would be required: Clean Water Act Section 404 Permit from the U.S. Army Corps of Engineers, and a Clean Water Act Section 401 Certification from the Central Valley Regional Water Quality Control Board. A 1600 Lake and Streambed Alteration Agreement from the California Department of Fish and Wildlife Service would also be required for not only temporary and permanent impacts to wetlands and other waters of the United States but also for riparian vegetation for the trimming or removal of riparian or streamside trees and shrubs. All above impacts would be less than significant with the incorporation of avoidance, minimization, and/or mitigation measures BIO 1 through BIO 8, and BIO 24.



### *Natural Communities*

Construction of the proposed project is expected to result in trimming or removal of 0.10 acre of streamside montane riparian willow scrub and lodgepole pine vegetation. Vegetation removal is planned at a culvert inlet near Silver Creek at post mile 21.01 and near Kinney Creek at a culvert at post mile 20.48. The removal of riparian vegetation may also impact special-status species such as the Lahontan cutthroat trout, mountain whitefish, mountain sucker, yellow-legged frog, southern long-toed salamander, and tree-roosting bats. These species are known to live either within the riparian trees and shrubs or in the waters covered by the riparian vegetation.

Effects of vegetation removal on the various species could mean an increase in water temperature due to increased sun exposure and reduced ground cover and could cause streambank erosion. All above impacts would be less than significant with the incorporation of avoidance, minimization, and/or mitigation measures BIO 1 through BIO 9. Compensatory mitigation either onsite (within project limits) or at an offsite location (outside of project limits) would be required for the proposed project.

### *Special-Status Animal Species*

#### *Western Bumblebee and Morrison Bumblebee*

The project would remove vegetation such as plants and shrubs that could be potential habitat or food sources for the following special-status species: Morrison bumblebee and western bumblebee. With the implementation of avoidance and minimization measures BIO 5, BIO 6, and BIO 10 through BIO 14, the proposed temporary construction activities are not expected to result in take. Take is defined as capture, harassment, intentional or accidental killing of a species.

#### *Monarch Butterfly*

Project activities have the potential to temporarily adversely affect plants that may be used for nectar or as host plants for the monarch butterfly and may therefore result in impacts to the species. The monarch butterfly is a candidate for listing under the Federal Endangered Species Act. Therefore, a determination of “may affect” has been made for the monarch butterfly, which may require consultation with the U.S. Fish and Wildlife Service, if the species were to become listed in the future. Avoidance and minimization measures BIO 5, BIO 6, BIO 10 through BIO 12, and BIO 15 would also be implemented for the monarch butterfly.

#### *Lahontan Cutthroat Trout*

Project activities such as drainage work for culvert replacement and modification may involve riparian vegetation removal and impacts to a stream involving physical disturbances such as stream diversion or heightened exposure to sediment or contaminants, increased turbidity or movement of water, noise, vibrations, and artificial light.

Culvert work at post mile 21.01 would replace an existing culvert, add a new flared end section, and add rock slope protection. It is estimated that these actions would result in approximately 20.68 cubic yards of permanent fill in an area of 0.006 acre. The stream flowing through the culvert is perennial, and a temporary water diversion system would be used to do the culvert replacement. These activities are expected to result in approximately 1.42 cubic yards of temporary fill and a temporary streambed disturbance of approximately 0.01 acre. Approximately 0.03 acre of montane willow scrub riparian vegetation would be trimmed or removed to provide access to both the inlet and outlet of the culvert. Moderate, but short-duration temporary increases in sediment mobilization, which can cause behavioral effects to fish species, may occur during culvert replacement.

The installation of water diversion structures used to isolate the work area from flowing water would occur for culvert work at post mile 20.21. The water diversion would result in the temporary loss of 0.01 acre of aquatic habitat for Silver and Kinney creeks. Caltrans proposes to replace the existing culvert at Post Mile 21.01 with a culvert system that facilitates the movement of fish and other targeted aquatic species in conformance with both state and federal regulations. The replacement culvert shall be designed as per Caltrans “Fish Passage Design for Road Crossings” guidance manual, which was developed in participation with the California Department of Fish and Wildlife, The U.S. Fish and Wildlife Service, and National Oceanic and Atmospheric Administration National Marine Fisheries Service.

Replacement of an existing culvert at post mile 20.48 may temporarily disturb 0.006 acre of streambed area to provide access for the culvert replacement. The outfall portion of the culvert sits at the ordinary high-water mark, the highest level that a stream reaches, for Kinney Creek. Kinney Creek also supports riparian vegetation consisting of shrubby willows that provide canopy cover over the stream. Construction activities are expected to result in the trimming or removal of approximately 0.007 acre of montane willow scrub riparian vegetation. Moderate, but short-duration temporary increases in sediment mobilization may occur during culvert replacement.

Fish species, including special-status species, are expected to occur within Silver Creek and Kinney Creek. Fish relocation at the potential project dewatering sites would be done by qualified biologists. Any water in dewatered areas would then be removed. When aquatic habitats have been sufficiently dewatered, relocation efforts would continue until all fish have been removed from the dewatering location. Mortality associated with fish relocation activities is expected to be low.

Project activities have the potential to result in permanent and temporary fills in perennial stream aquatic habitat and may require fish capture and relocation due to temporary stream diversion activities and may therefore result in take of the Lahontan cutthroat trout, a Federal Endangered Species

Act-candidate species. Therefore, a determination of “may affect and is likely to adversely affect” has been made for the species, and consultation with the U.S. Fish and Wildlife Service would be required. Additional avoidance and minimization measures BIO 1 through BIO 6, BIO 16, and BIO 24 have been developed to reduce probability of disturbance, injury, or mortality of the Lahontan cutthroat trout.

#### Mountain Sucker and Mountain Whitefish

The potential for the project to result in adverse impacts to mountain suckers and mountain whitefish because of permanent and temporary fill, water diversion activities, modifications to riparian vegetation, exposure to sediments, contaminants, changes in water quality, or disturbance or direct injury are the same as those discussed for Lahontan cutthroat trout above. Due to the potential for fish capture and relocation, the project construction activities may result in take of the mountain sucker and mountain whitefish and would require coordination with the California Department of Fish and Wildlife. The project would implement avoidance and minimization measures BIO 1 through BIO 6, BIO 16, and BIO 24 for the mountain sucker and mountain whitefish.

#### Sierra Nevada Yellow-Legged Frog

Potential adverse effects to the Sierra Nevada yellow-legged frog, and designated critical habitat for the Federal Endangered Species Act-listed species, may occur due to construction activities such as drainage work for culvert replacement and modification. Such activity may involve riparian vegetation removal and impacts to a stream involving physical disturbances such as heightened exposure to sediment or contaminants, increased turbidity, noise, vibrations, and artificial light.

Culvert replacement at Lake Alpine Reservoir at post mile 4.21 would replace a flared end section requiring 0.007 acre of space at the culvert’s outlet and a temporary disturbance of 0.17 acre on the high-water mark for the reservoir during the installation of the flared end section. No water diversion system or temporary fills are anticipated for this location. See the above discussion on the Lahontan cutthroat trout for additional information on culvert work at post miles 21.01 and 20.48, which are also potential areas of impact for the Sierra Nevada yellow-legged frog.

The following project areas have been designated as Critical Habitat for the Sierra Nevada yellow-legged frog: post miles 2.94 to 10.50 (Upper Stanislaus Critical Habitat Unit), post miles 15.95 to 21.70 (East Amador Critical Habitat Unit). These segments of roadways have been noted to contain aquatic nonbreeding habitat and upland areas that are areas around a non-breeding aquatic habitat.

The above post miles contain permanent lakes and perennial streams that hold water long enough to support growth development during the tadpole

phase. No impacts to Mosquito Lakes or any highway culvert drainage that leads into Mosquito Lakes are proposed. Aquatic habitat in the Mosquito Lakes area would be designated as an environmentally sensitive area in project plans and specifications. Also, the post miles include crossings of several un-named and named intermittent and ephemeral streams that are designated Critical Habitat for the Sierra Nevada yellow-legged frog. For post miles 2.94 to 10.50, the replacement of culverts at four ephemeral streams and five intermittent streams would result in 33.93 cubic yards of permanent fill in an area of 0.01 acre for the Upper Stanislaus Critical Habitat. For post miles 15.95 to 21.70, the replacement of culverts at two ephemeral streams and four intermittent streams would result in 5.57 cubic yards of permanent fill in an area of 0.001 acre for the East Amador Critical Habitat Unit.

Upland areas, where the Sierra Nevada yellow-legged frog may find food, is within 82 feet of any area considered as potential Sierra Nevada yellow-legged frog breeding and non-breeding habitat. From post miles 2.94 to 10.50, the project would replace culverts at two ephemeral streams and seven intermittent streams in the Upper Stanislaus Critical Habitat. From post miles 15.95 to 21.70, the project would replace culverts at two perennial streams, two ephemeral streams, and four intermittent streams in the East Amador Critical Habitat Unit. Both locations are expected to result in a temporary disturbance of upland habitat, measured by a 20-foot radius adjacent to each culvert's inlet and outlet.

Therefore, a “may affect but is not likely to adversely affect” determination has been made for the Sierra Nevada yellow-legged frog, and consultation with the U.S. Fish and Wildlife Service would be required. The project may result in adverse effects to perennial stream habitat that may be occupied by this species, but the project is not expected to result in take of the Sierra Nevada yellow-legged frog. The project is not expected to require a California Endangered Species Act consultation or Incidental Take Permit under 2080 or 2081. The project would implement avoidance and minimization measures BIO 1 through BIO 6, BIO 17, and BIO 24 for the Sierra Nevada yellow-legged frog. The project is not expected to require a California Endangered Species Act consultation or an Incidental Take Permit.

### Yosemite Toad

Potential adverse effects to the Yosemite toad, a threatened species under the Federal Endangered Species Act and a “species of concern” by the California Department of Fish and Wildlife, may occur due to construction activities such as drainage work for culvert replacement and modification, which may involve impacts to aquatic breeding habitats such as physical disturbances such as heightened exposure to sediment or contaminants, increased turbidity, noise, vibrations, and artificial light.

In the Bear Valley Blood's Meadow area, construction activities from culvert end treatments (rock slope protection and flared end sections) would result in

permanent fill totaling 0.007 acre (post mile 0.92) and temporary disturbances of 0.35 acre for construction access to culvert inlets and outlets and grading activities (post miles 0.62 to 0.77).

In the Hermit Valley area, from post miles 13.0 to 13.3, all work would be limited to the roadway rehabilitation. The meadow habitat in this area would be designated as an environmentally sensitive area in the project plans and specifications.

The following project areas have been designated as Critical Habitat for the Yosemite toad:

Post miles 11.40 to 11.70 and post miles 14.80 to 19.50 have been identified as containing habitat such as upland lodgepole pine forest, upper montane forest, and meadows that are suitable habitat for the Yosemite toad.

The project would replace a culvert at post mile 18.62 that is adjacent to a meadow. The meadow is approximately 4.5 feet beyond the project limits, but the area would be avoided by being designated as an environmentally sensitive area in project plans and specifications. Culvert work at post mile 11.61 is expected to result in the temporary disturbance of upland habitat that is a part of designated Critical Habitat. Multiple culverts would be replaced between post miles 18.14 and 19.39; the project is near a meadow, and work at each of these culverts is expected to result in a temporary disturbance of upland habitat.

Therefore, a “may affect but is not likely to adversely affect” determination has been made for the Yosemite toad, and consultation with the U.S. Fish and Wildlife Service would be required. The project has the potential to result in permanent and temporary impacts to meadow habitats that could support Yosemite toads. With implementation of avoidance and minimization measures BIO 1 through BIO 6, BIO 17, and BIO 24, the potential for the project to result in take of the species would be minimal. Coordination with the California Department of Fish and Wildlife may be required.

#### Southern Long-Toed Salamander

Potential adverse effects to the southern long-toed salamander, a “Species of Concern” by the California Department of Fish and Wildlife, may occur due to construction activities such as drainage work for culvert replacement and modification, which may involve impacts to aquatic breeding habitats such as physical disturbances such as heightened exposure to sediment or contaminants, increased turbidity, noise, vibrations, and artificial light.

Lake Alpine Reservoir holds potential habitat for southern long-toed salamanders. Culvert replacement would occur at post mile 4.21 and result in the permanent placement (0.007 acre) of a flared end section at the culvert outfall and a temporary disturbance (0.17 acre) for the material installation,

which is near the high-water mark for the reservoir. With implementation of avoidance and minimization measures BIO 1 through BIO 6, BIO 18, and BIO 24, the construction activities are not expected to result in take of southern long-toed salamanders. Coordination with the California Department of Fish and Wildlife may be required.

### Tree-Roosting Bats

Culvert replacement throughout the project limits would require crew and equipment access to culvert inlet and outfall areas and may require vegetation clearing or vegetation trimming to perform cut-and-cover or jack-and-bore operations on culverts. An undetermined number of trees (trees that could support tree-roosting bats) would be removed for project culvert replacement work. With implementation of avoidance and minimization measures BIO 1 through BIO 3, BIO 5, and BIO 19 through BIO 20, the construction activities are not expected to result in take of tree-roosting bats. Coordination with the California Department of Fish and Wildlife may be required.

### Migratory Fish and Wildlife

The project would entail construction activities such as drainage work, work in a channel, trenching, tree removal, and vegetation removal that may potentially conflict with nesting migratory birds or raptors and fish species. Project activities at culvert post miles 20.48 and 21.021 could carry or be near perennial streams that could bear fish passage. Nesting habitat for migratory birds and raptors occurs within the project limits, and nesting may be attempted in habitats such as trees or shrubs between February 1 and September 30. With implementation of avoidance measures BIO 1 through 3, BIO 6, and BIO 21 through BIO 24, the construction activities are not expected to result in the take of any migratory birds or their active nests, or common wildlife species.

### **Avoidance, Minimization, and/or Mitigation Measures**

The following avoidance, minimization, and mitigation measures would be implemented to minimize the impacts on biological resources. Additional details on these measures and associated Best Management Practices can be found in Chapter 4 of the Natural Environment Study (in Volume 2).

#### *BIO 1—Environmentally Sensitive Area Designation*

Additional direct and indirect impacts to sensitive biological resources throughout the project area would be avoided or minimized by designating “environmentally sensitive areas.” All areas outside of the proposed construction footprint will be considered as environmentally sensitive areas, as well as any areas determined by a qualified biologist during project planning or during preconstruction surveys to qualify for environmentally sensitive area designation.

Environmentally sensitive area information will be shown on contract plans and discussed in Section 14-1.02 of the Caltrans 2018 Standard Specifications or any Special Provisions in Section 14-1.02. Environmentally sensitive area provisions may include, but are not necessarily limited to, the use of temporary orange fencing or other high-visibility marking to identify the proposed limit of work in areas adjacent sensitive resources or to locate and exclude sensitive resources from potential construction impacts. Contractor encroachment into environmentally sensitive areas will be prohibited, and immediate work stoppage and notification to the Caltrans Resident Engineer is required if an environmentally sensitive area is breached. Environmentally sensitive area provisions will be implemented as a first order of work and remain in place until all construction activities are complete.

### *BIO 2—Designated Biologist*

A Designated Biologist or biologists will be onsite during any activities that have the potential to affect sensitive biological resources. The Designated Biologist will monitor regulated species and habitats; ensure that construction activities do not result in the unintended take of regulated species or disturbances to regulated habitats; ensure that construction activities comply with any permits, licenses, agreements, or contracts; immediately notify the Caltrans Resident Engineer of any take of regulated species, disturbances to regulated habitats, or breaches of environmentally sensitive areas; and prepare, submit, and sign notifications and reports. A Designated Biologist who performs specialized activities must have demonstrated field experience working with the regulated species or performing the specialized task and regulatory agency approval will be required prior to Caltrans' acceptance of the Designated Biologist.

The Designated Biologists for the proposed project may be "Department-supplied" biologists (Caltrans biologists or consultant biologists under Task Order contracts to Caltrans) or may be "Contractor-Supplied Biologists." If Contractor-Supplied Biologists are used as Designated Biologists, Contractor-Supplied Biologists provisions would be discussed in Section 14-6.03D(1-3) of the Caltrans 2018 Standard Specifications or any Special Provisions in Section 14-6.03D(1-3) that will specify Contractor-Supplied Biologists qualifications, responsibilities, and submittals.

Prior to project construction, the Contractor-Supplied Biologists would prepare a "Natural Resources Protection Program" within 7 days of contract approval as per Standard or Special Provisions under Section 14-6.03D (2) of the Caltrans 2018 Standard Specifications. The Natural Resources Protection Program would describe the measures and schedules for protecting biological resources and regulatory compliance and must be approved by Caltrans prior to the onset of construction activities.

*BIO 3—Worker Environmental Awareness Training for Construction Personnel*

Before any work occurs in the project area, a qualified Designated Biologist will conduct a mandatory contractor/worker environmental awareness training for construction personnel. The awareness training will be provided to all construction personnel (contractors and subcontractors) to brief them on the need to avoid and minimize effects to sensitive biological resources (for example, jurisdictional wetlands and other waters, threatened and endangered species, other special-status species, roosting bats, nesting birds, etc.) within and adjacent to construction areas and the penalties for not complying with applicable state and federal laws and permit requirements. The Designated Biologist will inform all construction personnel about the life history and habitat requirements of special-status habitats and species known to occur or with potential for occurrence onsite, the importance of maintaining habitat, and the terms and conditions of regulatory requirements.

The worker environmental awareness training also will cover general restrictions and guidelines that must be followed by all construction personnel to reduce or avoid effects on sensitive biological resources during project construction. The training also will include identifying the Best Management Practices written into construction specifications for avoiding and minimizing the discharge of construction materials or other contaminants into jurisdictional waters.

Worker environmental awareness training will be required for any construction personnel intending to enter the construction zone for more than 15 minutes. Any Designated Biologists conducting worker environmental awareness training must meet the qualifications of regulatory agencies, and copies of training sign-in sheets for construction personnel will be provided to regulatory agencies upon request. If a Contractor-Supplied Biologist is used, then the Contractor-Supplied Biologist will prepare and submit copies of the worker environmental awareness training and any associated training materials for Caltrans' review and approval prior to the onset of project construction activities as per Special Provisions of the of the Caltrans 2018 Standard Specifications under Section 14-6.03(D) "*Biological Resource Information Program.*"

*BIO 4—Limited Operation Period: In-Water Construction Activities*

It is proposed that construction activities occurring at sites determined as potentially jurisdictional waters of the United States and waters of the State of California will occur between June 1 and October 15 of any construction season, unless earlier or later dates for in-channel construction activities are approved by the California Department of Fish and Wildlife and the U.S. Fish and Wildlife Service.



*BIO 5—Limit Vegetation Removal*

Clearing of herbaceous vegetation and/or trimming of woody vegetation may be required at some locations for culvert replacement activities. Vegetation removal will be limited to the absolute minimum amount required for construction.

*BIO 6—Restore and Revegetate Temporarily Disturbed Areas Onsite*

Disturbed areas within the construction limits will be graded to minimize surface erosion and siltation into receiving waters. Disturbed areas will be re-contoured to as close to pre-project condition as possible and will be stabilized as soon as feasible as (and no later than October 15 of each construction season) to avoid erosion during subsequent storms and runoff. Permanent erosion control seeding will be performed at all disturbed sites by hydro-seeding over the course of construction as each site is completed, with all sites seeded by the completion of construction activities.

*BIO 7—Compensatory Mitigation: Wetlands and Other Waters of the United States*

Construction of the project is expected to result in the permanent loss of approximately 1,408.77 square feet (0.03 acre) of intermittent and ephemeral streams and 323.74 square feet (0.01 acre) of wetlands potentially qualifying as “other waters” of the United States.

The permanent loss of potentially jurisdictional waters of the U.S. is proposed to be compensated by either an approved Mitigation Bank or Caltrans’ participation in the Sacramento U.S. Army Corps of Engineers’ and National Fish and Wildlife Foundation’s “In Lieu Fee” program to ensure “no net loss” of functions and values of potentially jurisdictional waters of the United States. The program operates by making available mitigation credits (Credits) for purchase by permittees (with the approval of the applicable regulatory agencies), and the Credits may be used to satisfy the compensatory mitigation requirements applicable to such permittees for their impacts to aquatic resources. Credits would be purchased in the “Walker-Carson Rivers Aquatic Service Area,” the “Calaveras/ Stanislaus Rivers Aquatic Resource Service Area,” and the “Cosumnes-Mokelumne Rivers Aquatic Service Area.”

*BIO 8—Compensatory Mitigation: Riparian Vegetation*

Construction of the project is expected to result in the trimming or removal of 0.10-acre of streamside montane riparian willow scrub and lodgepole pine vegetation. This loss of riparian vegetation is expected to require a 3 to 1 compensation ratio by the California Department of Fish and Wildlife. Caltrans therefore proposes to compensate for the loss of 0.10 acre of riparian vegetation with the establishment of 0.30 acre of riparian vegetation at an undetermined onsite (within the project limits) or offsite location.

***BIO 9—Preconstruction Surveys: Special-Status Plants***

- The qualifications of any proposed Biological Monitor(s) will be presented to the U.S. Fish and Wildlife Service and California Department of Fish and Wildlife for review and written approval at least 2 weeks prior to conducting project activities at the project site.
- No more than 24 hours prior to any ground disturbance in a given location, preconstruction surveys will be conducted by a California Department of Fish and Wildlife-approved biologist for sensitive plant species using California Department of Fish and Wildlife-approved survey protocols.
- If sensitive plant species are detected within areas that will be disturbed by construction activities, then no work will take place at these locations until Caltrans has consulted with the California Department of Fish and Wildlife.
- New sightings of sensitive plant species will be reported to the California Natural Diversity Database. A copy of the reporting form and a topographic map clearly marked with the location of where the sensitive plant species were observed should also be provided to the California Department of Fish and Wildlife.

***BIO 10—Weed-Free Construction Equipment and Vehicles***

To minimize the potential for the transport of weed propagules to the action area from sources outside of the project area, construction equipment and vehicles are recommended to be cleaned and washed at the contractor's facilities prior to arrival to the construction site. Any vehicle or equipment cleaning that occurs onsite during construction activities will conform with Caltrans 2018 Standard Specifications or any Special Conditions under Section 13-4.03E(3) and Section NS-08 (Vehicle and Equipment Cleaning) of the Caltrans 2017 Construction Site Best Management Practices Manual which require the contractor to contain and dispose of any waste resulting from vehicle or equipment cleaning.

***BIO 11—Weed Control During Construction***

To minimize the potential for spreading weed propagules originating from within the project Environmental Study Limits during the course of construction activities, including initial vegetation clearing and at onsite revegetation areas, weed control would be accomplished in accordance with Caltrans 2018 Standard Specifications or Special Provisions under Section 20-1.03C(3). The use of herbicides for weed control activities would be discouraged but may be considered on a case-by-case basis depending upon the weed species, the extent of infestation, or any regulatory restrictions.

***BIO 12—Weed-Free Erosion Control and Revegetation Treatments***

To minimize the risk of introducing weed propagules to the action area from sources outside of the project area, only locally adapted plant species appropriate for the project area will be used in any erosion control or

revegetation seed mix or stock. The Caltrans Biologist will consult with the Caltrans Landscape Architect to develop appropriate seed and planting palettes for use in revegetation and/or erosion control applications. Any compost, mulch, tackifier, fiber, straw, duff, topsoil, erosion control products, or seed must meet Caltrans 2018 Standard Specification or any Special Provisions under Section 21-2.02 for these materials. Any hydro-seed used for revegetation activities must also be certified weed-free as per Caltrans 2018 Standard Specifications Section 21-2.02F.

*BIO 13—Bumblebee Hive Avoidance: Preconstruction Surveys*

The qualifications of any proposed Biological Monitor(s) will be presented to the California Department of Fish and Wildlife for review and written approval at least 2 weeks prior to conducting project activities at the project site. A California Department of Fish and Wildlife-approved biologist will be present during all construction-related activities that may affect bumblebee hives. Prior to any ground-breaking activities, a focused survey for bumblebee hives will be conducted by a qualified biologist within 7 days prior to the beginning to project-related activities. Preconstruction surveys for bumblebee hives will be specified under Caltrans 2018 Standard Specification and/or Standard Special Provision 14-6.03A (Species Protection).

*BIO 14—Bumblebee Hive Avoidance: Avoid Active Hives*

If active bumblebee hives are found, a protective no-work buffer of 20 feet will be established and Caltrans will consult with the California Department of Fish and Wildlife to comply with provisions of the Fish and Game Code of California. Protective buffers for bumblebee hives will be specified under Caltrans 2018 Standard Specification and/or Standard Special Provision 14-6.03A (Species Protection). No work will start within the buffer until authorization is received from the Resident Engineer. If construction or other project-related activities may potentially cause hive destruction or hive abandonment, monitoring of the hive site by a qualified biologist will be required to ensure that protective radii are maintained.

*BIO 15—Monarch Butterfly: Preconstruction Surveys*

The qualifications of any proposed Biological Monitor(s) will be presented to the U.S. Fish and Wildlife Service for review and written approval at least 2 weeks prior to conducting project activities at the project site. A U.S. Fish and Wildlife Service-approved biologist will be present during all construction-related activities that may affect the monarch butterfly. Prior to any construction activities, a focused survey for all life stages of the monarch butterfly will be conducted by a qualified biologist within 7 days prior to the beginning to project-related activities. Preconstruction surveys for the monarch butterfly will be specified under Caltrans 2018 Standard Specification and/or Standard Special Provision 14-6.03A (Species Protection). Any observation of any life stage of the monarch butterfly,

including breeding, will be reported to the western monarch butterfly mapper or via iNaturalist.

*BIO 16—Salvage Species from Dewatered Areas*

In the absence of fish relocation, special-status fish species or other aquatic organisms exposed to dewatering would suffer thermal stress, desiccation, and/or physical injury from construction equipment. By removing fish from dewatered stream reaches within the construction areas, the project is expected to significantly reduce the number of special-status fish species and other aquatic organisms that are injured or killed during the summer work season. Salvaged fish and other aquatic organisms would be relocated to suitable habitats adjacent to the construction area.

A fish relocation plan would be prepared by a Designated Biologist for review and approval by the U.S. Fish and Wildlife Service and California Department of Fish and Wildlife prior to the onset of construction activities. Designated Biologists conducting fish salvage activities must be approved by the U.S. Fish and Wildlife Service and the California Department of Fish and Wildlife.

*BIO 17—Preconstruction Surveys and Construction Site Biological*

*Monitoring: Sierra Nevada Yellow-Legged Frog and Yosemite Toad*

- The qualifications of any proposed Biological Monitor(s) will be presented to the U.S. Fish and Wildlife Service and California Department of Fish and Wildlife for review and written approval at least 2 weeks prior to conducting project activities at the project site.
- A U.S. Fish and Wildlife Service and California Department of Fish and Wildlife-approved biologist will be present during all construction-related activities that may affect Sierra Nevada yellow-legged frogs or Yosemite toads or their habitats.
- The U.S. Fish and Wildlife Service and California Department of Fish and Wildlife-approved biologist will have the authority to halt work through coordination with the Resident Engineer or onsite project manager in the event that a Sierra Nevada yellow-legged frog or Yosemite toad is observed in the project footprint. The Resident Engineer or onsite project manager will ensure construction activities remain suspended in any area where the biologist has determined that take of the Sierra Nevada yellow-legged frog or Yosemite toad could occur. Work will resume once the animal leaves the site of its own volition, once it is determined that the frog is not being harassed by or in danger due to construction activities. If a Sierra Nevada yellow-legged frog or Yosemite toad is observed in the work area, the U.S. Fish and Wildlife Service and California Department of Fish and Wildlife-approved biologist(s) will notify the U.S. Fish and Wildlife Service and California Department of Fish and Wildlife contact by telephone and email within 24 hours of the initial observation.

- No more than 24 hours prior to any ground disturbance in a given location, preconstruction surveys will be conducted by a U.S. Fish and Wildlife Service and California Department of Fish and Wildlife-approved biologist for Sierra Nevada yellow-legged frogs or Yosemite toads using U.S. Fish and Wildlife Service and California Department of Fish and Wildlife-approved survey protocols. These surveys will consist of walking surveys of the project limits and accessible adjacent areas within at least 50 feet of the project limits. The biologist(s) will investigate all potential Sierra Nevada yellow-legged frog and Yosemite toad cover sites. This includes thorough investigation of mammal burrows, appropriate soil cracks, and debris. Native vertebrates found in the cover sites will be documented and, if appropriate, relocated to an adequate cover site in the vicinity. The entrances and other refuge features within the project limits will be collapsed or removed following investigation and clearance.
- New sightings of Sierra Nevada yellow-legged frogs or Yosemite toads will be reported to the California Natural Diversity Database. A copy of the reporting form and a topographic map clearly marked with the location of where the Sierra Nevada yellow-legged frog or Yosemite toad was observed should also be provided to the U.S. Fish and Wildlife Service and California Department of Fish and Wildlife.
- To the extent practicable, initial ground-disturbing activities will be avoided between October 16 and May 31 to avoid the period when adult Sierra Nevada yellow-legged frogs and Yosemite toads are most likely to be in torpor. When ground-disturbing activities must take place between October 16 and May 31, daily monitoring by a U.S. Fish and Wildlife Service-approved biologist(s) will occur for Sierra Nevada yellow-legged frogs and Yosemite toads.
- If pumping is used for dewatering, intakes will be completely screened with wire mesh no larger than 0.2-inch to prevent any tadpoles from entering the pump.
- To prevent the inadvertent entrapment of the Sierra Nevada yellow-legged frog or Yosemite toad, all excavated, steep-walled holes or trenches more than 6 inches deep will be covered at the close of each working day by plywood or similar materials. If it is not feasible to cover an excavation, one or more escape ramps constructed of earthen fill or wooden planks will be installed. Before such holes or trenches are filled, they must be thoroughly inspected for trapped animals. If at any time a trapped animal is discovered, the biologist will immediately place escape ramps or other appropriate structures to allow the animal to escape, or the U.S. Fish and Wildlife Service and California Department of Fish and Wildlife will be contacted by telephone for guidance. The U.S. Fish and Wildlife Service and California Department of Fish and Wildlife will be notified of the incident by telephone and email within one working day.

*BIO 18—Preconstruction Surveys and Construction Site Biological Monitoring: Southern Long-Toed Salamander*

- The qualifications of any proposed Biological Monitor(s) will be presented to the California Department of Fish and Wildlife for review and written approval at least 2 weeks prior to conducting project activities at the project site.
- A California Department of Fish and Wildlife-approved biologist will be present during all construction-related activities that may affect southern long-toed salamanders or their habitats.
- The California Department of Fish and Wildlife-approved biologist will have the authority to halt work through coordination with the Resident Engineer or onsite project manager in the event that a southern long-toed salamander is observed in the project footprint. The Resident Engineer or onsite project manager will ensure construction activities remain suspended in any area where the biologist has determined that take of the southern long-toed salamander could occur. Work will resume once the animal leaves the site of its own volition, once it is determined that the salamander is not being harassed by or in danger due to construction activities. If a southern long-toed salamander is observed in the work area, the California Department of Fish and Wildlife-approved biologist(s) will notify the California Department of Fish and Wildlife contact by telephone and email within 24 hours of the initial observation.
- No more than 24 hours prior to any ground disturbance in a given location, preconstruction surveys will be conducted by a California Department of Fish and Wildlife-approved biologist for southern long-toed salamanders using California Department of Fish and Wildlife-approved survey protocols. These surveys will consist of walking surveys of the project limits and accessible adjacent areas within at least 50 feet of the project limits. The biologist(s) will investigate all potential southern long-toed salamander cover sites. This includes thorough investigation of mammal burrows, appropriate soil cracks, and debris. Native vertebrates found in the cover sites will be documented and, if appropriate, relocated to an adequate cover site in the vicinity. The entrances and other refuge features within the project limits will be collapsed or removed following investigation and clearance.
- New sightings of southern long-toed salamanders will be reported to the California natural Diversity Database. A copy of the reporting form and a topographic map clearly marked with the location of where the southern long-toed salamander was observed should also be provided to the California Department of Fish and Wildlife.
- To the extent practicable, initial ground-disturbing activities will be avoided between October 16 and May 31 to avoid the period when adult southern long-toed salamanders are most likely to be in torpor. When ground-disturbing activities must take place between October 16 and May 31,

daily monitoring by a California Department of Fish and Wildlife-approved biologist(s) will occur for southern long-toed salamanders.

- If pumping is used for dewatering, intakes will be completely screened with wire mesh no larger than 0.2-inch to prevent any salamanders from entering the pump.
- To prevent the inadvertent entrapment of the southern long-toed salamanders, all excavated, steep-walled holes or trenches more than 6 inches deep will be covered at the close of each working day by plywood or similar materials. If it is not feasible to cover an excavation, one or more escape ramps constructed of earthen fill or wooden planks will be installed. Before such holes or trenches are filled, they must be thoroughly inspected for trapped animals.
- If at any time a trapped animal is discovered, the biologist will immediately place escape ramps or other appropriate structures to allow the animal to escape, or the California Department of Fish and Wildlife will be contacted by telephone for guidance. The California Department of Fish and Wildlife will be notified of the incident by telephone and email within one working day. If approved by the California Department of Fish and Wildlife, southern long-toed salamanders that need to be relocated outside the construction area will be released to adjacent habitat within the same riparian area or watershed by the California Department of Fish and Wildlife-approved biologist. If relocation of the species to adjacent habitat is not feasible, the biologist will relocate the species to a pre-approved location determined by Caltrans and the California Department of Fish and Wildlife.

*BIO 19—Roosting Bat Avoidance: Preconstruction Surveys*

The qualifications of any proposed Biological Monitor(s) will be presented to the California Department of Fish and Wildlife for review and written approval at least 2 weeks prior to conducting project activities at the project site. A California Department of Fish and Wildlife-approved biologist will be present during all construction-related activities that may affect tree-roosting bats or their habitats. Prior to any tree removal activities, a focused survey for tree-roosting bats will be conducted by a qualified biologist within 15 days prior to the beginning of project-related activities. If a lapse in project-related work of 15 days or longer occurs, another survey and, if required, consultation with the California Department of Fish and Wildlife will be required before the work can be reinitiated. Preconstruction surveys for tree-roosting bats will be specified under Caltrans 2018 Standard Specification and/or Standard Special Provision 14-6.03A (Species Protection).

*BIO 20—Roosting Bat Avoidance: Avoid Active Roosts*

If active day roosts or maternity roosts are found, a protective no-work buffer of 50 feet will be established and Caltrans will consult with the California Department of Fish and Wildlife to comply with provisions of the Fish and

Game Code of California. Protective buffers for tree-roosting bats will be specified under Caltrans 2018 Standard Specification and/or Standard Special Provision 14-6.03A (Species Protection). No work will start within the buffer until authorization is received from the Resident Engineer. If construction or other project-related activities which may potentially cause roost destruction or roost abandonment, monitoring of the nest site by a qualified biologist will be required to ensure that protective radii are maintained.

*BIO 21—Nesting Bird Avoidance: Limited Operation Period*

Performing ground-disturbance, vegetation removal, or other construction activities within nesting bird habitat during the non-nesting season (between October 1 and January 31) would not require preconstruction surveys or nesting bird avoidance measures.

*BIO 22—Nesting Bird Avoidance: Preconstruction Surveys During Nesting Season*

If ground-disturbance, vegetation removal, or other construction activities are scheduled during the nesting season of protected raptors and migratory birds (February 1 to September 30), a focused survey for active nests of such birds will be conducted by a qualified biologist within 15 days prior to the beginning of project-related activities. If a lapse in project-related work of 15 days or longer occurs, another survey and, if required, consultation with the U.S. Fish and Wildlife Service and California Department of Fish and Wildlife will be required before the work can be reinitiated. Preconstruction surveys for nesting migratory birds and raptors will be specified under Caltrans 2018 Standard Specification and/or Standard Special Provision 14-6.03A (Species Protection) and/or 14-6.03(B) (Bird Protection).

*BIO 23—Nesting Bird Avoidance: Avoid Active Nests*

If active nests are found, a protective no-work buffer will be established and Caltrans will consult with the U.S. Fish and Wildlife Service regarding appropriate action to comply with the Migratory Bird Treaty Act of 1918 and with the California Department of Fish and Wildlife to comply with provisions of the Fish and Game Code of California.

If nesting migratory birds or nesting raptors are detected by the Designated Biologist during the preconstruction survey, the appropriate no-work buffer will need be established around the nest. No work will start within the buffer until authorization is received from the Resident Engineer.

Appropriate no-work buffer distances for raptors is a 300-foot radius, and for other migratory birds is a 100-foot radius. All work must be stopped within the radius of any active migratory bird nest.



Protective buffer radii for nesting migratory birds and raptors will be specified under Caltrans 2018 Standard Specification and/or Standard Special Provision 14-6.03A (Species Protection) and/or 14-6.03(B) (Bird Protection).

If construction or other project-related activities that may potentially cause nest destruction, nest abandonment or forced fledging of migratory birds are necessary, monitoring of the nest site by a qualified biologist will be required to ensure that protective radii are maintained.

#### *BIO 24—Containment Measures/Construction Site Best Management Practices*

To contain construction-related material and prevent debris and pollutants from entering receiving waters and to reduce the potential for discharge to receiving waters, the contractor will follow all applicable guidelines and requirements in Section 13, Water Quality of the Caltrans 2018 Standard Specifications or any Special Provisions in Section 13 regarding water pollution control and general specifications for preventing, controlling, and abating water pollution in streams, waterways, and other bodies of water. The project design team may specify “Best Management Practices” to be used during construction in addition to, or in place of, other temporary measures selected by the contractor.

Prior to construction, the contractor would be required to submit either a Water Pollution Control Plan or a Stormwater Pollution Prevention Plan, as appropriate. The Caltrans Resident Engineer and Construction Team would review and approve the Water Pollution Control Plan or Stormwater Pollution Prevention Plan, within 7 to 15 days of contract approval. A Spill Prevention and Control Plan would be developed by the contractor as a component of the Water Pollution Control Plan or Stormwater Pollution Prevention Plan. Specific Best Management Practices options will be considered, evaluated, and dependent on factors such as field conditions, changes to construction strategies, and regulatory requirements in order to protect the beneficial uses of receiving waters. Best Management Practices options will be based on the best conventional and best available technology. Caltrans staff and the contractor are required to perform routine inspections of the construction area to verify that field Best Management Practices are properly implemented and maintained and are operating effectively and as designed.

### **2.1.5 Cultural Resources**

Considering the information in the Historic Property Survey Report dated October 13, 2021, the following significance determinations have been made:

| Question—Would the project:  | CEQA Significance Determinations for Cultural Resources |
|--|---|
| a) Cause a substantial adverse change in the significance of a historical resource pursuant to Section 15064.5?      | <b>No Impact</b>  |
| b) Cause a substantial adverse change in the significance of an archaeological resource pursuant to Section 15064.5? | <b>Less Than Significant Impact</b>                     |
| c) Disturb any human remains, including those interred outside of dedicated cemeteries?                              | <b>No Impact</b>  |

***Affected Environment***

The project sits within the Sierra Nevada Mountain Range in Alpine County. This area is pre-historically and historically known to have been heavily used by Native Americans and early settlers. The Caltrans archaeologist performed an internal search, and multiple archaeological resources were identified along State Route 4. Four out of the 19 identified resources were previously determined eligible for the National Register of Historic Places or California Register of Historic Resources. Consultation letters were sent to several Native American tribes in the area, and coordination occurred with the Washoe Tribe of Nevada and California.

***Environmental Consequences***

Construction activities that would occur within or adjacent to identified cultural resources are road paving, guardrail, culvert, and roadside sign replacements, shoulder backing, and maintenance vehicle pullout construction. Project work is anticipated to impact archaeological sites that have been previously disturbed. Even with these disturbances, the project would not impact site features that could make the site eligible for the National Register of Historic Places and therefore would have no adverse effect on these cultural resources.

The anticipated Finding of Effect for this project is No Adverse Effect Without Standard Conditions, which will require a Cultural Studies Office and/or State Historic Preservation Officer concurrence. Due to the number of cultural sites requiring evaluation, the finding of effect document will not become official until after the draft environmental document has been publicly circulated.

***Avoidance, Minimization, and/or Mitigation Measures***

The following avoidance and minimization measures would be implemented to minimize the impacts on cultural resources:

*CU 1—Cultural Monitoring*

Cultural monitoring will be required during construction activities near culturally sensitive areas.

*CU 2—Environmentally Sensitive Area Fencing*

Environmentally sensitive area fencing will be placed around site boundaries to minimize site impacts.

*CU 3—Previously Unidentified Cultural Materials*

If previously unidentified cultural materials are unearthed during construction, it is Caltrans’ policy that work be halted in that area until a qualified archaeologist can assess the significance of the find. Additional archaeological survey will be needed if the project limits are extended beyond the present survey limits.

**2.1.6 Energy**

Considering the information in the Alpine County Energy Action Plan dated December 6, 2016 and the Climate Change and Greenhouse Gas Memorandum dated September 20, 2021, and considering the proposed project’s scope and the anticipated duration of the project, the following significance determinations have been made:

| <b>Question—Would the project:</b>   | <b>CEQA Significance Determinations for Energy</b> |
|--|--|
| a) Result in potentially significant environmental impact due to wasteful, inefficient, or unnecessary consumption of energy resources during project construction or operation? | <b>No Impact</b>                                   |
| b) Conflict with or obstruct a state or local plan for renewable energy or energy efficiency?  | <b>No Impact</b>                                   |

**2.1.7 Geology and Soils**

Considering the information in the California Department of Conservation Regulatory Map Portal accessed November 10, 2021, the following significance determinations have been made:

| Question—Would the project:  | CEQA Significance Determinations for Geology and Soils |
|--|--|
| a) Directly or indirectly cause potential substantial adverse effects, including the risk of loss, injury, or death involving:<br><br>i) Rupture of a known earthquake fault, as delineated on the most recent Alquist-Priolo Earthquake Fault Zoning Map issued by the State Geologist for the area or based on other substantial evidence of a known fault? Refer to Division of Mines and Geology Special Publication 42. | <b>No Impact</b>                                       |
| ii) Strong seismic ground shaking?   | <b>No Impact</b>                                       |
| iii) Seismic-related ground failure, including liquefaction?   | <b>No Impact</b>                                       |
| iv) Landslides?  | <b>No Impact</b>                                       |
| b) Result in substantial soil erosion or the loss of topsoil?  | <b>No Impact</b>                                       |
| c) Be located on a geologic unit or soil that is unstable, or that would become unstable as a result of the project, and potentially result in onsite or offsite landslide, lateral spreading, subsidence, liquefaction or collapse?   | <b>No Impact</b>                                       |
| d) Be located on expansive soil, as defined in Table 18-1-B of the Uniform Building Code (1994), creating substantial risks to life or property?   | <b>No Impact</b>                                       |
| e) Have soils incapable of adequately supporting the use of septic tanks or alternative waste water disposal systems where sewers are not available for the disposal of waste water?   | <b>No Impact</b>                                       |
| f) Directly or indirectly destroy a unique paleontological resource or site or unique geologic feature?  | <b>No Impact</b>                                       |

### 2.1.8 Greenhouse Gas Emissions

Considering the information in the Climate Change and Greenhouse Gas Memorandum dated September 20, 2021, the following significance determinations have been made:

| Question—Would the project:  | CEQA Significance Determinations for Greenhouse Gas Emissions |
|--|---|
| a) Generate greenhouse gas emissions, either directly or indirectly, that may have a significant impact on the environment?      | <b>Less Than Significant Impact</b>                           |
| b) Conflict with an applicable plan, policy or regulation adopted for the purpose of reducing the emissions of greenhouse gases? | <b>No Impact</b>  |

***Affected Environment***

The project is in a rural, mountainous area of Alpine County. The purpose of the project is improve pavement condition, drainage, and visibility of the roadside signs on State Routes 4 and 89. The project would rehabilitate existing asphalt concrete pavement and modify or install the following assets to current standards: culverts, bridge-approaching metal beam guardrails, roadside signs, overhead sign structures, and maintenance vehicle pullouts. The Alpine County General Plan addressed climate change and greenhouse gases in the project area.

***Environmental Consequences***

The project would not increase operational greenhouse gas emissions. Temporary carbon dioxide emissions generated from construction equipment were estimated using the Caltrans Construction Emission Tool. The estimated carbon dioxide emissions for the project would be approximately 1,300 tons of carbon dioxide during 200 working days (less than the 264 working days per 1 year) duration. The project would not conflict with any plan, policy, or regulation for reducing emissions of greenhouse gases. With implementation of greenhouse gas reduction strategies during construction, the impact would be less than significant.

***Avoidance, Minimization, and/or Mitigation Measures***

The following minimization measures would be implemented to reduce greenhouse gas emissions and potential climate change impacts from the project:

**AQ 1**—Caltrans Standard Specifications Section 14-9.02, Air Pollution Control.

**GHG 1**—Reduce construction waste and maximize the use of recycling materials (reduces consumption of raw materials, reduces landfill waste, encourages cost savings).

**GHG 2**—Incorporate measures to reduce consumption of potable water.

**GHG 3**—Maintain equipment in proper tune and working condition.

**GHG 4**—Right size equipment for the job.

**GHG 5**—Roadside signs will use reflective sign materials illuminated by headlights rather than electric lights.

**2.1.9 Hazards and Hazardous Materials**

Considering the information in the Initial Site Assessment dated June 17, 2021, the following significance determinations have been made:

| <b>Question—Would the project:</b>  | <b>CEQA Significance Determinations for Hazards and Hazardous Materials</b> |
|---|---|
| a) Create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials?   | <b>No Impact</b>  |
| b) Create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment?   | <b>No Impact</b>  |
| c) Emit hazardous emissions or handle hazardous or acutely hazardous materials, substances, or waste within one-quarter mile of an existing or proposed school?   | <b>No Impact</b>  |
| d) Be located on a site which is included on a list of hazardous materials sites compiled pursuant to Government Code Section 65962.5 and, as a result, would it create a significant hazard to the public or the environment?  | <b>No Impact</b>  |
| e) For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project result in a safety hazard or excessive noise for people residing or working in the project area? | <b>No Impact</b>  |
| f) Impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan?   | <b>No Impact</b>  |
| g) Expose people or structures, either directly or indirectly, to a significant risk of loss, injury or death involving wildland fires?   | <b>No Impact</b>  |

### 2.1.10 Hydrology and Water Quality

Considering the information in the Water Compliance Memorandum dated June 7, 2021 and the Floodplain Evaluation dated April 19, 2021, the following significance determinations have been made:

| Question—Would the project:   | CEQA Significance Determinations for Hydrology and Water Quality |
|---|--|
| a) Violate any water quality standards or waste discharge requirements or otherwise substantially degrade surface water or groundwater quality?   | <b>No Impact</b>   |
| b) Substantially decrease groundwater supplies or interfere substantially with groundwater recharge such that the project may impede sustainable groundwater management of the basin?   | <b>No Impact</b>   |
| c) Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river or through the addition of impervious surfaces, in a manner which would:<br><br>(i) result in substantial erosion or siltation onsite or offsite; | <b>No Impact</b>   |
| (ii) substantially increase the rate or amount of surface runoff in a manner which would result in flooding onsite or offsite;  | <b>No Impact</b>   |
| (iii) create or contribute runoff water which would exceed the capacity of existing or planned stormwater drainage systems or provide substantial additional sources of polluted runoff; or   | <b>No Impact</b>   |
| (iv) impede or redirect flood flows?  | <b>No Impact</b>   |
| d) In flood hazard, tsunami, or seiche zones, risk release of pollutants due to project inundation?   | <b>No Impact</b>   |
| e) Conflict with or obstruct implementation of a water quality control plan or sustainable groundwater management plan?   | <b>No Impact</b>   |

### 2.1.11 Land Use and Planning

Considering the information in the Alpine County General Plan dated March 2017, the following significance determinations have been made:

| Question—Would the project:  | CEQA Significance Determinations for Land Use and Planning |
|--|--|
| a) Physically divide an established community?   | No Impact  |
| b) Cause a significant environmental impact due to a conflict with any land use plan, policy, or regulation adopted for the purpose of avoiding or mitigating an environmental effect? | No Impact  |

### 2.1.12 Mineral Resources

Considering the information in the U.S. Geological Survey: Mining Resources Online Spatial Data accessed November 10, 2021, the following significance determinations have been made:

| Question—Would the project:   | CEQA Significance Determinations for Mineral Resources |
|---|--|
| a) Result in the loss of availability of a known mineral resource that would be of value to the region and the residents of the state?                                | No Impact  |
| b) Result in the loss of availability of a locally important mineral resource recovery site delineated on a local general plan, specific plan or other land use plan? | No Impact  |

### 2.1.13 Noise

Considering the information in the Noise Compliance Study dated June 2, 2021, the following significance determinations have been made:

| Question—Would the project result in:   | CEQA Significance Determinations for Noise |
|---|--|
| a) Generation of a substantial temporary or permanent increase in ambient noise levels in the vicinity of the project in excess of standards established in the local general plan or noise ordinance, or applicable standards of other agencies? | No Impact                                  |



| <b>Question—Would the project result in:</b>  | <b>CEQA Significance Determinations for Noise</b> |
|---|---|
| b) Generation of excessive groundborne vibration or groundborne noise levels?   | <b>No Impact</b>                                  |
| c) For a project located within the vicinity of a private airstrip or an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project expose people residing or working in the project area to excessive noise levels? | <b>No Impact</b>                                  |

### 2.1.14 Population and Housing

Considering the information in the Caltrans Environmental Geographic Information Systems Library accessed November 10, 2021, the following significance determinations have been made:

| <b>Question—Would the project:</b>  | <b>CEQA Significance Determinations for Population and Housing</b> |
|---|--|
| a) Induce substantial unplanned population growth in an area, either directly (for example, by proposing new homes and businesses) or indirectly (for example, through extension of roads or other infrastructure)? | <b>No Impact</b>   |
| b) Displace substantial numbers of existing people or housing, necessitating the construction of replacement housing elsewhere?   | <b>No Impact</b>   |

### 2.1.15 Public Services

Considering the information in the Alpine County General Plan dated March 2017, the following significance determinations have been made:

| <b>Question:</b>  | <b>CEQA Significance Determinations for Public Services</b> |
|---|---|
| a) Would the project result in substantial adverse physical impacts associated with the provision of new or physically altered governmental facilities, need for new or physically altered governmental facilities, the construction of which could cause significant environmental impacts, in order to maintain acceptable service ratios, response times or other performance objectives for any of the public services:<br>Fire protection? | <b>No Impact</b>  |
| Police protection?  | <b>No Impact</b>  |
| Schools?  | <b>No Impact</b>  |
| Parks?  | <b>No Impact</b>  |
| Other public facilities?  | <b>No Impact</b>  |

**2.1.16 Recreation**

Considering the information in the Alpine County General Plan dated March 2017, the following significance determinations have been made:

| <b>Question—Would the project:</b>   | <b>CEQA Significance Determinations for Recreation</b> |
|--|--|
| a) Would the project increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated? | <b>No Impact</b>                                       |
| b) Does the project include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment?                        | <b>No Impact</b>                                       |

**2.1.17 Transportation**

Considering the information in the Alpine County Regional Transportation Plan dated February 2021, the following significance determinations have been made:

| Question—Would the project:  | CEQA Significance Determinations for Transportation |
|--|---|
| a) Conflict with a program, plan, ordinance, or policy addressing the circulation system, including transit, roadway, bicycle and pedestrian facilities?         | <b>No Impact</b>                                    |
| b) Conflict or be inconsistent with CEQA Guidelines Section 15064.3, subdivision (b)?  | <b>No Impact</b>                                    |
| c) Substantially increase hazards due to a geometric design feature (e.g., sharp curves or dangerous intersections) or incompatible uses (e.g., farm equipment)? | <b>No Impact</b>                                    |
| d) Result in inadequate emergency access?  | <b>No Impact</b>                                    |

**2.1.18 Tribal Cultural Resources**

Considering the information in the Historic Property Survey Report dated October 13, 2021, the following significance determinations have been made:

Would the project cause a substantial adverse change in the significance of a tribal cultural resource, defined in Public Resources Code Section 21074 as either a site, feature, place, cultural landscape that is geographically defined in terms of the size and scope of the landscape, sacred place, or object with cultural value to a California Native American tribe, and that is:

| Question:  | CEQA Significance Determinations for Tribal Cultural Resources |
|--|--|
| a) Listed or eligible for listing in the California Register of Historical Resources, or in a local register of historical resources as defined in Public Resources Code Section 5020.1(k), or   | <b>No Impact</b>   |
| b) A resource determined by the lead agency, in its discretion and supported by substantial evidence, to be significant pursuant to criteria set forth in subdivision (c) of Public Resources Code Section 5024.1. In applying the criteria set forth in subdivision (c) of Public Resources Code Section 5024.1, the lead agency shall consider the significance of the resource to a California Native American tribe. | <b>No impact</b>   |

### 2.1.19 Utilities and Service Systems

Considering the information in the Alpine County General Plan dated March 2017 and considering the current project scope, the following significance determinations have been made:

| Question—Would the project:  | CEQA Significance Determinations for Utilities and Service Systems |
|--|--|
| a) Require or result in the relocation or construction of new or expanded water, wastewater treatment or storm water drainage, electric power, natural gas, or telecommunications facilities, the construction or relocation of which could cause significant environmental effects? | <b>No Impact</b>   |
| b) Have sufficient water supplies available to serve the project and reasonably foreseeable future development during normal, dry and multiple dry years?  | <b>No Impact</b>   |
| c) Result in a determination by the wastewater treatment provider which serves or may serve the project that it has adequate capacity to serve the project’s projected demand in addition to the provider’s existing commitments?  | <b>No Impact</b>   |
| d) Generate solid waste in excess of state or local standards, or in excess of the capacity of local infrastructure, or otherwise impair the attainment of solid waste reduction goals?  | <b>No Impact</b>   |
| e) Comply with federal, state, and local management and reduction statutes and regulations related to solid waste?   | <b>No Impact</b>   |

### 2.1.20 Wildfire

Considering the information in the California Fire Hazard Severity Zone Map accessed November 10, 2021, and given the scope of the project, the following significance determinations have been made:

If located in or near state responsibility areas or lands classified as very high fire hazard severity zones:

| Question—Would the project:  | CEQA Significance Determinations for Wildfire |
|--|---|
| a) Substantially impair an adopted emergency response plan or emergency evacuation plan?   | <b>No Impact</b>                              |
| b) Due to slope, prevailing winds, and other factors, exacerbate wildfire risks, and thereby expose project occupants to pollutant concentrations from a wildfire or the uncontrolled spread of a wildfire?  | <b>No Impact</b>                              |
| c) Require the installation or maintenance of associated infrastructure (such as roads, fuel breaks, emergency water sources, power lines or other utilities) that may exacerbate fire risk or that may result in temporary or ongoing impacts to the environment? | <b>No Impact</b>                              |
| d) Expose people or structures to significant risks, including downslope or downstream flooding or landslides, as a result of runoff, post-fire slope instability, or drainage changes?  | <b>No Impact</b>                              |

**2.1.21 Mandatory Findings of Significance**

| Question:  | CEQA Significance Determinations for Mandatory Findings of Significance |
|--|---|
| a) Does the project have the potential to substantially degrade the quality of the environment, substantially reduce the habitat of a fish or wildlife species, cause a fish or wildlife population to drop below self-sustaining levels, threaten to eliminate a plant or animal community, substantially reduce the number or restrict the range of a rare or endangered plant or animal or eliminate important examples of the major periods of California history or prehistory? | <b>Less Than Significant Impact With Mitigation Incorporated</b>        |

| Question:  | CEQA Significance Determinations for Mandatory Findings of Significance |
|--|---|
| b) Does the project have impacts that are individually limited, but cumulatively considerable? (“Cumulatively considerable” means that the incremental effects of a project are considerable when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects)? | <b>No Impact</b>  |
| c) Does the project have environmental effects which will cause substantial adverse effects on human beings, either directly or indirectly?  | <b>No Impact</b>  |

***Affected Environment***

The project would affect environmental resources in the vicinity of State Route 4 in Alpine County from post miles 0.0 to 31.7 and State Route 89 at post mile 10.84. However, the scope of work is limited. Project work consists of rehabilitating existing roadway and bringing to current standards these elements: culverts, metal beam guardrails, roadside signs, and overhead sign structures. The project would also construct maintenance vehicle pullouts. Other work would be performed in a limited footprint around existing facilities.

***Environmental Consequences***

The project may impact aesthetics, forest resources, cultural resources, and greenhouse gas emissions, but with the implementation of avoidance and minimization measures as discussed in Chapter 2, the effects would be less than significant.

The project may also impact biological resources, but with the implementation of avoidance, minimization, and mitigation measures as discussed in Chapter 2, section 2.1.4, the effects would be less than significant with mitigation incorporated.

***Avoidance, Minimization, and/or Mitigation Measures***

With the implementation of avoidance, minimization, and mitigation measures, the project would have a less than significant impact on the environment. All other impacts would be minimized through the implementation of Caltrans Best Management Practices, Standard Specifications, and Standard Special Provisions. Therefore, the project would not have a significant impact on species, habitat, or any other natural or historical resource.

# Appendix A Title VI Policy Statement

STATE OF CALIFORNIA—CALIFORNIA STATE TRANSPORTATION AGENCY

Govin Newsom, Governor

## DEPARTMENT OF TRANSPORTATION

OFFICE OF THE DIRECTOR  
P.O. BOX 942873, MS-49  
SACRAMENTO, CA 94273-0001  
PHONE (916) 654-6130  
FAX (916) 653-5776  
TTY 711  
[www.dot.ca.gov](http://www.dot.ca.gov)



Making Conservation  
a California Way of Life.

September 2021

## NON-DISCRIMINATION POLICY STATEMENT

The California Department of Transportation, under Title VI of the Civil Rights Act of 1964, ensures *"No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance."*

Caltrans will make every effort to ensure nondiscrimination in all of its services, programs and activities, whether they are federally funded or not, and that services and benefits are fairly distributed to all people, regardless of race, color, or national origin. In addition, Caltrans will facilitate meaningful participation in the transportation planning process in a nondiscriminatory manner.

Related federal statutes, remedies, and state law further those protections to include sex, disability, religion, sexual orientation, and age.

For information or guidance on how to file a complaint, or obtain more information regarding Title VI, please contact the Title VI Branch Manager at (916) 324-8379 or visit the following web page:  
<https://dot.ca.gov/programs/civil-rights/title-vi>.

To obtain this information in an alternate format such as Braille or in a language other than English, please contact the California Department of Transportation, Office of Civil Rights, at 1823 14<sup>th</sup> Street, MS-79, Sacramento, CA 95811; PO Box 942874, MS-79, Sacramento, CA 94274-0001; (916) 324-8379 (TTY 711); or at [Title.VI@dot.ca.gov](mailto:Title.VI@dot.ca.gov).

A handwritten signature in blue ink, appearing to read 'Toks Omishakin'.

Toks Omishakin  
Director

*"Provide a safe and reliable transportation network that serves all people and respects the environment."*





## **Appendix B Section 4(f) Documentation**

---

This document discusses de minimis impact determinations under Section 4(f). Section 6009(a) of Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users Extensions Acts amended Section 4(f) legislation at 23 United States Code 138 and 49 United States Code 303 to simplify the processing and approval of projects that have only de minimis impacts on lands protected by Section 4(f). This amendment provides that once the U.S. Department of Transportation determines that a transportation use of Section 4(f) property, after consideration of any impact avoidance, minimization, and mitigation or enhancement measures, results in a de minimis impact on that property, an analysis of avoidance alternatives is not required and the Section 4(f) evaluation process is complete. Federal Highway Administration's final rule on Section 4(f) de minimis findings is codified in 23 Code of Federal Regulations 774.3 and Code of Federal Regulations 774.17.

Responsibility for compliance with Section 4(f) has been assigned to the Department pursuant to 23 United States Code 326 and 327, including de minimis impact determinations, as well as coordination with those agencies that have jurisdiction over a Section 4(f) resource that may be affected by a project action.

### **1.0 Background**

#### *Project Description*

The California Department of Transportation (Caltrans) proposes a multi-objective anchor project and rehabilitation of existing asphalt concrete pavement on State Route 4 in Alpine County from post miles 0.0 to 31.7 and State Route 89 at post mile 10.84. The rehabilitation includes overlaying, digging out and cold-planing the roadway surface, upgrading asphalt concrete dikes and curbs, and constructing shoulder backing. Along with the rehabilitation of existing asphalt concrete, the project would also install or modify the following assets to current standards:

- 66 culverts
- 7 bridge-approaching metal beam guardrails
- 274 roadside signs
- 2 overhead sign structures
- 2 maintenance vehicle pullouts

Project activities would include work off the paved road, trenching, grading, drainage work, work in a channel, tree removal, vegetation removal, and night work. During construction, one-way traffic control would be used as much as

possible; full closures may be needed where the roadway is narrow. The multi-objective anchor project and rehabilitation work on State Route 4 and 89 is anticipated to take two construction seasons and a total of 200 working days. Permanent easements and temporary construction easements would be required. Table 1 below describes the easement requirements and scope of work at each location.

**Table 1. Proposed Project Work**

| Location Number | State Route | Post Mile | Scope of Work        | Temporary or Permanent Easements |
|-----------------|-------------|-----------|----------------------|----------------------------------|
| C-1             | 4           | 0.03      | Culvert              | Not Applicable                   |
| S-1             | 4           | 0.34      | Construction Staging | Not Applicable                   |
| C-2             | 4           | 0.39      | Culvert              | Not Applicable                   |
| C-3             | 4           | 0.62      | Culvert              | BOTH                             |
| G-1             | 4           | 0.62-0.77 | Grading              | TEMPORARY                        |
| C-4             | 4           | 1.34      | Culvert              | Not Applicable                   |
| C-5             | 4           | 1.49      | Culvert              | Not Applicable                   |
| C-6             | 4           | 1.78      | Culvert              | Not Applicable                   |
| S-2             | 4           | 1.95      | Construction Staging | Not Applicable                   |
| C-7             | 4           | 1.95      | Culvert              | BOTH                             |
| C-8             | 4           | 2.38      | Culvert              | TEMPORARY                        |
| C-9             | 4           | 2.48      | Culvert              | Not Applicable                   |
| C-10            | 4           | 2.53      | Culvert              | TEMPORARY                        |
| C-11            | 4           | 2.56      | Culvert              | Not Applicable                   |
| C-13            | 4           | 2.91      | Culvert              | Not Applicable                   |
| S-3             | 4           | 3.24      | Construction Staging | TEMPORARY                        |
| C-14            | 4           | 3.26      | Culvert              | BOTH                             |
| C-15            | 4           | 3.33      | Culvert              | BOTH                             |
| C-16            | 4           | 3.38      | Culvert              | BOTH                             |
| C-17            | 4           | 3.48      | Culvert              | BOTH                             |
| C-18            | 4           | 3.7       | Culvert              | BOTH                             |
| C-19            | 4           | 3.78      | Culvert              | BOTH                             |
| C-21            | 4           | 4.21      | Culvert              | BOTH                             |
| C-22            | 4           | 4.31      | Culvert              | BOTH                             |
| C-23            | 4           | 4.53      | Culvert              | BOTH                             |
| S-4             | 4           | 4.95      | Construction Staging | TEMPORARY                        |
| C-24            | 4           | 5.13      | Culvert              | BOTH                             |
| S-5             | 4           | 5.86      | Construction Staging | TEMPORARY                        |
| C-25            | 4           | 5.86      | Culvert              | BOTH                             |
| C-26            | 4           | 6.34      | Culvert              | Not Applicable                   |
| S-6             | 4           | 6.41      | Construction Staging | TEMPORARY                        |
| C-27            | 4           | 6.44      | Culvert              | BOTH                             |
| C-28            | 4           | 7.09      | Culvert              | BOTH                             |
| C-29            | 4           | 7.41      | Culvert              | BOTH                             |
| C-30            | 4           | 7.41      | Culvert              | BOTH                             |
| C-31            | 4           | 7.45      | Culvert              | TEMPORARY                        |
| MBGR-1          | 4           | 7.82      | Metal Beam Guardrail | TEMPORARY                        |
| C-32            | 4           | 8.11      | Culvert              | BOTH                             |
| S-7             | 4           | 8.52      | Construction Staging | TEMPORARY                        |
| C-33            | 4           | 8.85      | Culvert              | BOTH                             |

| Location Number | State Route | Post Mile | Scope of Work        | Temporary or Permanent Easements |
|-----------------|-------------|-----------|----------------------|----------------------------------|
| C-33a           | 4           | 9.28      | Culvert              | BOTH                             |
| C-34            | 4           | 10.05     | Culvert              | BOTH                             |
| S-8             | 4           | 10.65     | Construction Staging | TEMPORARY                        |
| C-36            | 4           | 10.85     | Culvert              | TEMPORARY                        |
| C-37            | 4           | 11.04     | Culvert              | Not Applicable                   |
| C-38            | 4           | 11.08     | Culvert              | Not Applicable                   |
| C-39            | 4           | 11.21     | Culvert              | TEMPORARY                        |
| S-9             | 4           | 11.22     | Construction Staging | TEMPORARY                        |
| C-40            | 4           | 11.61     | Culvert              | BOTH                             |
| MBGR-2          | 4           | 11.99     | Metal Beam Guardrail | TEMPORARY                        |
| C-41            | 4           | 12.3      | Culvert              | TEMPORARY                        |
| C-42            | 4           | 12.63     | Culvert              | BOTH                             |
| C-43            | 4           | 12.92     | Culvert              | BOTH                             |
| MBGR-3          | 4           | 12.94     | Metal Beam Guardrail | TEMPORARY                        |
| S-10            | 4           | 13.43     | Construction Staging | TEMPORARY                        |
| C-44            | 4           | 14.41     | Culvert              | TEMPORARY                        |
| C-45            | 4           | 14.79     | Culvert              | TEMPORARY                        |
| C-46            | 4           | 15.12     | Culvert              | TEMPORARY                        |
| C-47            | 4           | 15.18     | Culvert              | TEMPORARY                        |
| C-48            | 4           | 15.66     | Culvert              | TEMPORARY                        |
| C-49            | 4           | 15.19     | Culvert              | TEMPORARY                        |
| S-11            | 4           | 16.3      | Construction Staging | TEMPORARY                        |
| C-50            | 4           | 18.14     | Culvert              | Not Applicable                   |
| C-51            | 4           | 18.19     | Culvert              | TEMPORARY                        |
| C-52            | 4           | 18.34     | Culvert              | TEMPORARY                        |
| C-53            | 4           | 18.52     | Culvert              | Not Applicable                   |
| C-54            | 4           | 18.55     | Culvert              | TEMPORARY                        |
| C-55            | 4           | 18.62     | Culvert              | BOTH                             |
| S-12            | 4           | 18.65     | Construction Staging | TEMPORARY                        |
| S-13            | 4           | 18.7      | Construction Staging | TEMPORARY                        |
| C-56            | 4           | 18.74     | Culvert              | BOTH                             |
| C-57            | 4           | 18.92     | Culvert              | BOTH                             |
| C-58            | 4           | 19.16     | Culvert              | BOTH                             |
| C-59            | 4           | 19.34     | Culvert              | BOTH                             |
| C-60            | 4           | 19.88     | Culvert              | BOTH                             |
| S-14            | 4           | 19.95     | Construction Staging | TEMPORARY                        |
| S-15            | 4           | 20        | Construction Staging | TEMPORARY                        |
| C-61            | 4           | 20.2      | Culvert              | BOTH                             |
| C-62            | 4           | 20.29     | Culvert              | BOTH                             |
| C-63            | 4           | 20.48     | Culvert              | TEMPORARY                        |
| C-64            | 4           | 20.69     | Culvert              | BOTH                             |
| C-65            | 4           | 20.86     | Culvert              | BOTH                             |
| C-66            | 4           | 21.01     | Culvert              | TEMPORARY                        |
| C-67            | 4           | 21.44     | Culvert              | TEMPORARY                        |
| S-16            | 4           | 23        | Construction Staging | TEMPORARY                        |
| MBGR-4          | 4           | 24.47     | Metal Beam Guardrail | TEMPORARY                        |
| C-69            | 4           | 25.03     | Culvert              | TEMPORARY                        |
| C-70            | 4           | 25.31     | Culvert              | Not Applicable                   |
| S-17            | 4           | 25.33     | Construction Staging | TEMPORARY                        |
| MBGR-5          | 4           | 26.15     | Metal Beam Guardrail | TEMPORARY                        |
| S-18            | 4           | 27.95     | Construction Staging | TEMPORARY                        |

| Location Number | State Route | Post Mile | Scope of Work                | Temporary or Permanent Easements |
|-----------------|-------------|-----------|------------------------------|----------------------------------|
| MBGR-6          | 4           | 27.98     | Metal Beam Guardrail         | TEMPORARY                        |
| MBGR-7          | 4           | 29.81     | Metal Beam Guardrail         | TEMPORARY                        |
| MVP-1           | 4           | 31.635    | Maintenance Vehicle Pull Out | TEMPORARY                        |
| MVP-2           | 89          | 10.84     | Maintenance Vehicle Pull Out | TEMPORARY                        |

For the purpose of this analysis, only locations which require temporary construction easements or permanent easements were analyzed. Due to the nature of the project, locations at which construction will take place only within the State right of way are not expected to use Section 4(f) properties.

## **2.0 Section 4(f) De Minimis Analysis**

The proposed project takes place on State Route 4 and 89 in Alpine County. Alpine County is located within the Sierra Nevada Mountain range. Almost 95 percent of the County's land is publicly owned and includes portions of the Stanislaus and Humboldt-Toiyabe National Forests. The rural, mountainous nature of the County is ideal for recreational opportunities such as fishing, camping, skiing, hiking, biking, and hunting.

The proposed project takes place on and adjacent to the State Highway System on United States Forest Service land (within Stanislaus and Humboldt-Toiyabe National Forests). Caltrans has coordinated with the United States Forest Service for both Stanislaus and Humboldt-Toiyabe National Forests to determine whether the land within the proposed project area is used for public recreational purposes. It was determined that all land within the proposed project area is used for dispersed recreation. Therefore, for the purpose of this study, all United States Forest Service land within the project area will be considered a Section 4(f) resource.

Even though all Forest Service Land will be considered a Section 4(f) resource, Caltrans still recognizes specific designated areas used for public recreation within the project area. These recreation areas were identified within a half mile boundary of each project location and the boundary was used to define the study area for each recreational resource. The study area was defined to identify an area large enough to assess the potential for the project to result in proximity impacts to recreation areas protected under Section 4(f).

There is a total of 32 specifically designated public recreation areas within the project area. The Section 4(f) resources identified for this study include campgrounds, trails, trailheads, off-highway vehicle trails, Sno-Parks, lakes (including day use and picnic areas), landmarks, and all designated parking areas for recreational resources. This study has also identified and taken into consideration all roads that lead to recreational resources.

Several project locations have been identified in Table 2 below as directly adjacent to the following Section 4(f) resources.

**Table 2. Specific Designated Recreation Areas**

| Property Name  | Section 4(f) Features  | Official Agency with Jurisdiction                       | Distance from Project Footprint   | Type of Use           |
|--|--|---|---|-----------------------|
| Lodgepole Campground                                       | Campsites, picnic tables, fire rings, and toilets.                     | United States Forest Service-Stanislaus National Forest | 116 feet from Culvert 8 (C-8) replacement   | No Use                |
| Round Valley Sno-Park Entrance                             | Cross-country skiing, snowshoeing, sledding, and snow play activities. | United States Forest Service-Round Valley Sno-Park      | 82 feet from Culvert 13 (C-13) replacement  | No Use                |
| Woodchuck Basin Trailhead                                  | Day Hiking, backpacking and parking.                                   | United States Forest Service-Stanislaus National Forest | 35 feet from Culvert 25 (C-25) replacement and 0 feet from Construction Staging 5 (S-5) | <i>De Minimis Use</i> |
| Stanislaus Meadow Trailhead                                | Mountain biking, day hiking, backpacking, horse riding and camping     | United States Forest Service-Stanislaus National Forest | 30 feet from Construction Staging 7 (S-7)   | No Use                |
| Pacific Crest Trail  | Backpacking trail  | United States Forest Service                            | 55 feet from Culvert 54 (C-54)  | No Use                |
| Parking for Pacific Crest Trail and Ebbetts Pass Trailhead | Day hiking and backpacking.  | United States Forest Service                            | 18 feet from Culvert 57 (C-57)  | No Use                |

A *No Use* determination has been made for the following resources:

**Lodgepole Campground:** Culvert 8 (C-8) replacement construction would take place near the road that leads to the campground. It is assumed one-way traffic control would be provided during culvert replacement construction and would still allow for public access to the campground.

**Round Valley Sno-Park:** Culvert 13 (C-13) replacement construction would take place outside of the Sno-Park entrance. It is assumed one-way traffic control would be provided during culvert replacement construction and would still allow for public access to the Sno-Park.

**Stanislaus Meadow Trailhead:** Construction Staging 7 (S-7) takes place directly adjacent to the trailhead and parking. Construction staging would remain in the designated area away from the recreational resource and would not interfere with public access or parking at the trailhead.

**Pacific Crest Trail:** Culvert 54 (C-54) would take place directly adjacent to the trail. Culvert replacement construction would remain away from the trail crossing. Since the trail crosses State Route 4, it is assumed access to the trail for through hikers would remain.

**Parking for the Pacific Crest Trail and Ebbetts Pass Trailhead:** Culvert 57 (C-57) would take place adjacent to the entrance of the parking area. It is assumed one-way traffic control would be provided during culvert replacement construction and would still allow for public access to the trailhead and parking area.

A *De Minimis Use* determination has been made for Woodchuck Basin Trailhead and will be discussed in the next section below.

### **3.0 Use Determination- De Minimis**

A de minimis determination means that the project would not adversely affect the activities, features, or attributes qualifying a park, recreation area, or refuge for protection under Section 4(f). The scope of work to be conducted within the limits of United States Forest Service land is the replacement of culverts and metal beam guardrails, grading, construction of rock slope protection, and construction staging. Since the project is within two National Forests, Stanislaus and Humboldt-Toiyabe, Caltrans will be seeking a concurrence on the De Minimis determinations from each National Forest.

#### *Recreational Resource - United States Forest Service Land*

Under Section 4(f), a use occurs because of permanent acquisition, temporary occupancy, or constructive use of any Section 4(f) property. The proposed project would acquire permanent easements and temporary construction easements from United States Forest Service Land deemed as a Section 4(f) resource. The following easements would occur for the proposed project:

- Fifty-two locations with temporary construction easements and permanent easements for culverts. The maximum potential acreage required for culvert replacement is 0.112 acres.
- One temporary construction easement for grading. The maximum acreage required for grading is 0.173 acres.
- Eight temporary construction easements for metal beam guardrail replacement. The maximum potential acreage required for metal beam guardrail replacement is 0.272 acres.
- Two temporary construction easements for maintenance vehicle pull out construction. The maximum potential acreage for maintenance vehicle pullout construction is 0.667 acres.

- Sixteen temporary construction easements for construction staging. The maximum potential acreage required for construction staging is 0.397 acres.

The temporary construction easements would be short-term. Permanent easements would only occur to bring existing transportation facilities to current standards. Caltrans considers the acquisition of permanent easements and temporary construction easements of United States Forest Service land for the State Route 4 Pavement Anchor Project to be minor; therefore, a de minimis use determination is warranted.

#### *Recreational Resource - Woodchuck Basin Trailhead*

Culvert 25 (C-25) replacement construction would take place directly outside of the Woodchuck Basin trailhead entrance and Construction Staging 5 (S-5) takes place in an assumed parking lot for the trailhead. Under Section 4(f), encroachment on a parking lot used for a recreational resource can be deemed de minimis as long as the public's ability to access and use the site is not reduced. Construction staging in the assumed parking area for the trailhead would be temporary and would not impact the resource.

Construction equipment would be confined to only a portion of the parking lot, construction staging will be temporary, and access to the trailhead would remain open for public use. For those reasons Caltrans considers the construction staging at Woodchuck Basin Trailhead to be a de minimis use.

#### **4.0 Avoidance, Minimization, and/or Mitigation Measures**

There would be minor anticipated changes to the existing features or attributes of the forest. Project avoidance, minimization, and mitigation measures would be implemented to avoid impact to the current environmental setting. Any damage to the surrounding forest area from construction activities will be repaired or returned to their original conditions.

#### **5.0 Public Notice Process**

Caltrans is seeking written concurrence from both Stanislaus and Humboldt-Toiyabe National Forests on the de minimis use determination. The public will be allowed to comment on the Section 4(f) Determination during the environmental document public comment period. After the public review period, comments will be addressed, and Caltrans will seek concurrence from both Stanislaus and Humboldt-Toiyabe National Forests on the de minimis use determination.

In conclusion, Caltrans has determined that the acquisition of temporary construction easements and permanent easements on United States Forest Service land for the State Route 4 Pavement Anchor Project constitutes a de minimis use. A de minimis determination has also been made for the construction staging taking place in the assumed trailhead parking lot for the

Woodchuck Basin trailhead. Caltrans feels the de minimis use is warranted, since the use of the identified Section 4(f) resources are minor for the proposed project work: replacement of culverts and metal beam guardrails, grading, construction of rock slope protection, and construction staging. Additionally, project avoidance, minimization, and mitigation measures would be implemented to avoid impact to the current environmental setting and any damage to the surrounding forest area from construction activities will be repaired or returned to their original conditions. Caltrans is seeking concurrence from the United States Forest Service for Stanislaus and Humboldt-Toiyabe National Forests on the de minimis determination.

Questions and concerns about this report should be directed to Kayla Martino, Associate Environmental Planner, at (209) 479-1952.



## **List of Technical Studies Bound Separately (Volume 2)**

Air Quality Memorandum

Natural Environment Study

Climate Change and Greenhouse Gas Memorandum

Cultural Historic Property Survey Report

Floodplain Evaluation

Hazardous Waste Initial Site Assessment

Noise Study Memorandum

Water Quality Memorandum

Scenic Resource Evaluation/Visual Assessment

To obtain a copy of one or more of these technical studies/reports or the Initial Study, please send your request to:

C. Scott Guidi  
District 10 Environmental Division  
California Department of Transportation  
1976 East Doctor Martin Luther King Junior Boulevard, Stockton, California  
95205

Or send your request via email to: [scott.guidi@dot.ca.gov](mailto:scott.guidi@dot.ca.gov)

Or call: 209-479-1839

Please provide the following information in your request:

Project title: State Route 4 Pavement Anchor Project

General location information: State Routes 4 and 89 in Alpine County from post miles 0.0 to 31.7 and post mile 10.84.

District number-county code-route-post mile: 10-ALP-4, -89 post miles 0.0 to 31.7, 10.84

Project ID number: 1018000271